

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
DEPARTMENT OF BUSINESS REGULATION
1511 PONTIAC AVENUE, BLDGS. 68-69
CRANSTON, RHODE ISLAND 02920**

IN THE MATTER OF:	:	
	:	
KEITH A. HEROUX,	:	Complaint No. 17RE001
	:	
RESPONDENT.	:	

CONSENT AGREEMENT

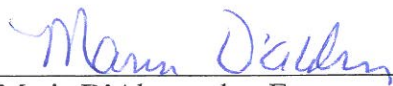
The Commercial Licensing Division (“Division”) of the Rhode Island Department of Business Regulation (“Department”) enters into this Consent Agreement (“Agreement”) with Keith A. Heroux (“Respondent”) to resolve the above-captioned matter pursuant to its authority under R.I. Gen. Laws § 42-35-9(d).

The Department and Respondent hereby agree to the following:

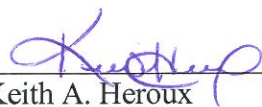
1. Respondent is currently licensed as a Real Estate Broker (License No. REB.0016344) by the Department.
2. On April 4, 2016, Respondent timely filed a renewal application for his Real Estate Broker license.
3. On that application, Respondent answered “No” to question 8, which states: “Since your initial license date, or last renewal, whichever is more recent, have you been convicted of or pled nolo contendere to a crime other than a minor traffic violation.”
4. Thereafter, it came to the Department’s attention that Respondent plead nolo contendere to a misdemeanor charge of filing a false financial statement on March 14, 2016, which the Rhode Island Superior Court filed for one year. See R.I. Gen. Laws § 12-10-12.

5. Respondent admits that he failed to disclose his plea of nolo contendere to the Department when he renewed his license on April 4, 2016, in violation of Commercial Licensing Regulation 11 § 13 and R.I. Gen. Laws § 5-20.5-14(1) & (14).
6. In order to resolve this matter amicably and avoid the time and costs of an administrative hearing, Respondent agrees:
 - a. To pay an administrative penalty of \$250.00 payable to the “General Treasurer, State of Rhode Island”; and
 - b. To notify the Department of any future criminal convictions or pleas of nolo contendere within sixty (60) days of the final judgment or final order in the case, as required by Commercial Licensing Regulation 11 § 13.
7. By agreeing to resolve this matter through the execution of this Consent Agreement, Respondent voluntarily waives his right to an administrative hearing or appeal to the Rhode Island Superior Court under the Rhode Island Administrative Procedures Act, R.I. Gen. Laws § 42-35-1 *et seq.*
8. If Respondent fails to abide by the requirements of this Consent Agreement, the Department may initiate further administrative proceedings against the Respondent.

CONSENTED TO AS TO FORM AND SUBSTANCE BY:



Maria D'Alessandro, Esq.
Deputy Director, Securities,
Commercial Licensing, and Gaming & Athletics
Department of Business Regulation



Keith A. Heroux
Respondent

Date: 3/21/17

Date: 3/13/17

CERTIFICATION

I hereby certify on this 21 day of March 2017, that a copy of the within Consent Agreement was sent by first class mail, postage prepaid, to:

Keith A. Heroux BenMar Realty 980 Eddie Dowling Highway North Smithfield, RI 02896

AND BY E-MAIL TO:

1. Keith A. Heroux, Keith@benmarrealty.com
2. Maria D'Alessandro, Esq., DBR Deputy Director, Securities, Commercial Licensing, Gaming & Athletics
3. Donna Costantino, DBR Associate Director Commercial Licensing, Gaming & Athletics
4. William DeLuca, DBR Real Estate Administrator
5. Amy C. Stewart, Esq., DBR Legal Counsel