

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
DEPARTMENT OF BUSINESS REGULATION
DIVISION OF SECURITIES
1511 PONTIAC AVENUE
JOHN O. PASTORE COMPLEX – BUILDING 69-1
CRANSTON, RI 02920

CONSENT AGREEMENT

IN THE MATTER OF
CROHN'S & COLITIS FOUNDATION
OF AMERICA, INC
: _____
:

I.

The Securities Division (the "Division") of the Rhode Island Department of Business Regulation (the "Department") enters into this Consent Agreement (the "Agreement") to resolve concerns that Section 5-53.1-2, 5-53.1-2 (13) (e), and 5-53.1-7(8)(i) of the Solicitation by Charitable Organizations Act, Chapter 5-53.1 et seq. of the Rhode Island General Laws (the "Act"), may have been violated by the Crohn's & Colitis Foundation of America ("CCFA"). The Division has determined to resolve this matter, after investigation but without instituting administrative proceedings, by entering into this Agreement.

II.

The Division alleges that:

1. CCFA is a charitable organization with a principal place of business at 386 Park Avenue South, New York, NY 10016
2. At all times relevant to this agreement it has been unlawful for a charitable organization to solicit contributions in this State unless properly registered in accordance with §5-53.1-2 or exempt therefrom pursuant to §5-53.1-3 of the Act.
3. Based upon information and belief, it is the position of the Division that from April 13, 2010, to the present, CCFA solicited contributions as a charitable organization without benefit of registration, in violation of §5-53.1-2 and §5-53.1-7(8)(i).

1. CCHA shall immediately undertake to comply fully with the Solicitation by Charitable Organizations Act §5-53.1 et seq.
2. CCHA, upon signing the Agreement, agrees to pay an assessment in the amount of Seven Hundred Fifty Dollars (\$750.00) to the Division.
3. CCHA will ensure that this Department receives all future application for re-registration no later than thirty (30) days prior to the date of expiration in accordance with §5-53.1-2(13) (e).
4. Upon the Division's execution of this Agreement CCHA's registration will be renewed and will expire on April, 13 2011.
5. CCHA agrees to maintain its registration as a charitable organization in accordance with

to the following:

denying any violations and without any judicial determination or finding of fact, the parties agree Accordingly, in resolution of the allegations of the Division, and CCHA without admitting or provisions of the Solicitation by Charitable Organizations Act.

for the protection of donors to charitable organizations and consistent with the purposes intended by the

Based on the foregoing, the Division finds that the following is in the public interest, appropriate

III

(e) of the Act.

5. The receipt of the CCHA renewal application on July 23, 2010, one hundred and one (101) days after its expiration on April 13th, constituted a violation of §5-53.1-2 (13)

expiration of the prior registration.

application on forms prescribed by the Director no later than thirty (30) days prior to the registration shall also be for a ... period of one year and may be effected by filing an

Based on the provisions set forth in §5-53.1-2(13)(e) governing re-registration: Re-

4. On July 23, 2010, the Division received CCHA's registration renewal application.

the requirements set forth in §5-53.1-2, and §5-53.1-7(8)(i).

6. Further violations of the Solicitation by Charitable Organizations Act may be grounds for

significant and substantial penalties such as revocation or suspension, administrative

penalties up to one thousand dollars (\$1,000.00) per violation and the imposition of

criminal and civil sanctions.

Dated as of the 15th day of December, 2010.

Maria L. D'Alessandro
Maria L. D'Alessandro, Deputy Director of
Securities, Commercial Licensing and Racing and
Athletics

Crohn's & Colitis Foundation of America Inc.

By: [Signature]
Its Assistant Secretary

On this 23 day of November, 2010 appeared before me Daphne Stasos
who executed the foregoing Consent Agreement and who duly acknowledged to me that he/she
was authorized to do so.

NOTARY PUBLIC
My Commission Expires: [Signature]

FRANCINE D. DOZIER
NOTARY PUBLIC-STATE OF NEW YORK
No. 01D06156591
Qualified in Queens County
My Commission Expires December 04 2010

CCFA.CHAR.CA
am