

## HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2017

OF THE CONDITION AND AFFAIRS OF THE

# UnitedHealthcare of New England, Inc.

|   | NAIC Group Code  | 0707<br>(Current)  | 0707<br>(Prior)   | NAIC Company Co  | de <u>95149</u>  | Employer'  | 's ID Number05-0413469   |
|---|--|--|---|--|--|--|--|
| Organized under the Law   | s of   | ,  | ode Island  |  | , State of Domic   | cile or Port of  | of Entry RI  |
| Country of Domicile   |  |  |   | United States  | of America   |  |  |
| Licensed as business type   | e:   |  |   | Health Maintenanc  | e Organization   |  |  |
| Is HMO Federally Qualifie   | d?Yes[]No[)  | X]   |   |  |  |  |  |
| Incorporated/Organized  |  | 11/14/198  | 34  |  | Commenced  | Business   | 12/27/1984   |
| Statutory Home Office   | 47   | 5 Kilvert Stre   | eet, Suite 310  | ,  |  |  | Warwick , RI, US 02886-1392  |
|   |  | (Street and  | l Number)   |  |  |  | or Town, State, Country and Zip Code)  |
| Main Administrative Office  | e  |  |   | 4 Research Driv  |  |  |  |
|   | Shelton, CT,   | US 06484   |   | (Street and )  |  |  | 203-447-4444   |
| (Ci   | ty or Town, State, Co  |  | ip Code)  | ,  |  | (  | (Area Code) (Telephone Number)   |
| Mail Address  | 4 Rese   | arch Drive,  | 5th Floor   | ,  |  |  | Shelton , CT, US 06484   |
|   | (Street an   | d Number o   | r P.O. Box)   |  |  | (City o  | or Town, State, Country and Zip Code)  |
| Primary Location of Books   | s and Records  |  |   | 4 Research Dri   |  |  |  |
|   | Shelton, CT,   | 115 06484  |   | (Street and  | Number)  |  | 203-447-4444   |
| (Ci   | ty or Town, State, Co  |  | ip Code)  | ,  |  | (  | (Area Code) (Telephone Number)   |
| Internet Website Address  |  |  |   | www.unitedhea  | lthcare.com  |  |  |
| Statutory Statement Cont  | act  | Josep  | ph James De   | ewey   | ,  |  | 203-447-4444   |
|   | issanh i dawa  |  | (Name)  |  |  |  | (Area Code) (Telephone Number)   |
|   | joseph <u>j</u> dewe<br>(E-mail Ac   |  |   | ,  |  |  | 203-447-4451<br>(FAX Number)   |
|   |  |  |   | OFFICI   | -RS  |  |  |
| Preside   | ent  | Stephen .  | John Farrell  |  |  | Treasurer  | Robert Worth Oberrender  |
| Secreta   | ary Ch   | nristina Regi  | na Palme-Kriz   | zak  | Chief Execut   | tive Officer   | Dennis Patrick O'Brien   |
|   |  |  |   | ОТНЕ   | R  |  |  |
|   | per, Vice President, I<br>Operations   | Medicaid   | 1   | Nyle Brent Cottingto   | on, Vice Preside   | ent  | Heather Anastasia Lang Jacobsen, Assistant Secret  |
|   | •  |  |   | · · ·  |  |  | - <u> </u>   |
| Patrice   | e Evelyn Cooper  |  |   | DIRECTORS OF<br>Stephen Jo   |  |  | Dennis Patrick O'Brien   |
|   |  |  |   |  |  |  |  |
| State of  | Rhode Island   | d  | ss  | S:   |  |  |  |
| County of   | Kent   |  |   |  |  |  |  |
| all of the herein describe<br>statement, together with r<br>condition and affairs of th<br>in accordance with the N<br>rules or regulations requ<br>respectively. Furthermore | d assets were the al<br>elated exhibits, sche<br>e said reporting entity<br>AIC Annual Stateme<br>uire differences in re<br>e, the scope of this a<br>matting differences of | bsolute prop<br>dules and ex<br>y as of the re<br>nt Instruction<br>eporting not<br>attestation by | perty of the sa<br>xplanations the<br>eporting period<br>ns and Accou<br>related to a<br>y the describe | aid reporting entity,<br>erein contained, and<br>d stated above, and<br>nting Practices and<br>ccounting practices<br>ad officers also inclu | free and clear the free and clear the free of its income a procedures may and procedure the related of the rela | from any lier<br>d to, is a full<br>nd deductior<br>anual except<br>es, accordin<br>I correspond | eporting entity, and that on the reporting period stated ab<br>ns or claims thereon, except as herein stated, and that<br>I and true statement of all the assets and liabilities and o<br>ns therefrom for the period ended, and have been compl<br>t to the extent that: (1) state law may differ; or, (2) that s<br>ng to the best of their information, knowledge and be<br>ding electronic filing with the NAIC, when required, that i<br>ay be requested by various regulators in lieu of or in add |
| Stephen J   | ohn Farrell  |  |   | Christina Regina   | Palme-Krizak   |  |  |

Nancy M. Waskosky Notary Public State of Minnesota January 31, 2020

|                | AS  | SETS         |                          |                          |  |
|----------------|---|--------------|--------------------------|--------------------------|--|
|                |   |              | Current Statement Date   |                          | 4  |
|                |   | 1<br>Accesta | 2<br>Nonodmitted Accests | 3<br>Net Admitted Assets | December 31<br>Prior Year Net<br>Admitted Assets |
|                |   | Assets       | Nonadmitted Assets       | (Cols. 1 - 2)            |  |
|                | Bonds   |              |                          |                          |  |
| 2.             | Stocks:   |              |                          |                          |  |
|                | 2.1 Preferred stocks  |              |                          | 0                        |  |
|                | 2.2 Common stocks   |              |                          |                          |  |
| 3.             | Mortgage loans on real estate:  |              |                          |                          |  |
|                | 3.1 First liens   |              |                          | 0                        | 0  |
|                | 3.2 Other than first liens  |              |                          | 0                        | 0  |
| 4.             | Real estate:  |              |                          |                          |  |
|                | 4.1 Properties occupied by the company (less \$   |              |                          | 0                        | 0  |
|                | 4.2 Properties held for the production of income (less  |              |                          |                          |  |
|                | \$  |              |                          | 0                        | 0  |
|                | ,   |              |                          | 0                        | 0  |
|                | 4.3 Properties held for sale (less \$ encumbrances)   |              |                          | 0                        | 0  |
| 5.             | Cash (\$(939,444) ), cash equivalents   |              |                          |                          |  |
|                | (\$0) and short-term  |              |                          |                          |  |
|                | investments (\$   | 5 000 100    |                          | 5 000 100                | 0 700 006  |
|                |   |              |                          |                          |  |
|                | Contract loans (including \$ premium notes)   |              |                          |                          |  |
| 7.             | Derivatives   |              |                          |                          |  |
| 8.             | Other invested assets   |              |                          |                          | 0  |
| 9.             | Receivables for securities  | 0            |                          | 0                        | 0  |
| 10.            | Securities lending reinvested collateral assets   |              |                          | 0                        | 0  |
| 11.            | Aggregate write-ins for invested assets   | 0            | 0                        | 0                        | 0  |
| 12.            | Subtotals, cash and invested assets (Lines 1 to 11)   |              |                          |                          |  |
|                | Title plants less \$ charged off (for Title insurers only)  |              |                          |                          |  |
| 44             |   |              |                          | 1,294,249                |  |
| 14.            | Investment income due and accrued   | 1,294,249    |                          | 1,294,249                |  |
| 15.            | Premiums and considerations:  |              |                          |                          |  |
|                | 15.1 Uncollected premiums and agents' balances in the course of collection                                    | 20,619,214   | 2,025,073                |                          |  |
|                | 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$   |              |                          | 0                        | 0  |
|                | earned but unbilled premiums)   |              |                          | 0                        | 0  |
|                | 15.3 Accrued retrospective premiums (\$   |              |                          |                          |  |
|                | contracts subject to redetermination (\$46,459,101 )  |              |                          |                          |  |
| 16.            | Reinsurance:  |              |                          |                          |  |
|                | 16.1 Amounts recoverable from reinsurers  | 1,210,229    |                          | 1,210,229                | 1,519,916  |
|                | 16.2 Funds held by or deposited with reinsured companies  |              |                          | 0                        | 0  |
|                | 16.3 Other amounts receivable under reinsurance contracts   |              |                          |                          |  |
| 17.            | Amounts receivable relating to uninsured plans  |              |                          |                          | 1,083,008  |
|                | Current federal and foreign income tax recoverable and interest thereon                                       |              |                          |                          |  |
|                | Net deferred tax asset  |              |                          |                          |  |
| 19.            | Guaranty funds receivable or on deposit   |              |                          |                          |  |
|                |   |              |                          |                          |  |
| 20.            | Electronic data processing equipment and software   |              |                          | 0                        | 0  |
| 21.            | Furniture and equipment, including health care delivery assets  |              |                          | _                        | _  |
|                | (\$   |              |                          |                          |  |
| 22.            | Net adjustment in assets and liabilities due to foreign exchange rates  |              |                          |                          |  |
| 23.            | Receivables from parent, subsidiaries and affiliates  |              |                          |                          |  |
| 24.            | Health care (\$20,341,172 ) and other amounts receivable  |              |                          |                          |  |
| 25.            | Aggregate write-ins for other than invested assets  |              |                          | 3,869,019                | 1,408,917  |
| 26.            | Total assets excluding Separate Accounts, Segregated Accounts and<br>Protected Cell Accounts (Lines 12 to 25) |              |                          |                          |  |
| 27.            | From Separate Accounts, Segregated Accounts and Protected Cell<br>Accounts                                    |              |                          | 0                        |  |
| 28.            | Total (Lines 26 and 27)   | 331,924,695  |                          | 325,892,838              | 313,457,743                                      |
|                | DETAILS OF WRITE-INS  | , ,          |                          | , ,                      | , ,  |
| 1404           |   |              |                          |                          |  |
| 1101.          |   |              |                          |                          |  |
| 1102.          |   |              |                          |                          |  |
| 1103.          |   |              |                          |                          |  |
| 1198.          | Summary of remaining write-ins for Line 11 from overflow page   | 0            | 0                        | 0                        | 0  |
| 1199.          | Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)   | 0            | 0                        | 0                        | 0  |
| 2501.          | Premium Tax Receivable  |              |                          |                          | 1,408,917  |
| 2502.          | Miscellaneous Receivables   |              |                          |                          |  |
| 2502.          | Prepaid Expenses  |              |                          | 0                        |  |
| 2503.<br>2598. | Summary of remaining write-ins for Line 25 from overflow page   |              |                          | 0                        |  |
|                |   |              |                          |                          |  |
| 2599.          | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)   | 3,912,102    | 43,083                   | 3,869,019                | 1,408,917  |

## ASSETS

# LIABILITIES, CAPITAL AND SURPLUS

|          |   |            | Current Period |             | Prior Year  |
|----------|---|------------|----------------|-------------|-------------|
|          |   | 1          | 2              | 3           | 4           |
|          | 040,000   | Covered    | Uncovered      | Total       | Total       |
| 1.       | Claims unpaid (less \$  |            |                |             |             |
| 2.       | Accrued medical incentive pool and bonus amounts                              |            |                |             |             |
| 3.       | Unpaid claims adjustment expenses   | 1, 123,848 |                | 1,123,848   | 1,083,143   |
| 4.       | Aggregate health policy reserves, including the liability of                  |            |                |             |             |
|          | \$6,738,223 for medical loss ratio rebate per the Public                      | 15 007 500 |                | 15 007 500  | 14 007 004  |
|          | Health Service Act  |            |                |             |             |
| 5.       | Aggregate life policy reserves  |            |                |             |             |
| 6.<br>7  | Property/casualty unearned premium reserve<br>Aggregate health claim reserves |            |                |             |             |
| 7.       | Premiums received in advance  |            |                |             |             |
| 8.<br>9. | General expenses due or accrued   |            |                |             |             |
|          | Current federal and foreign income tax payable and interest thereon           | 2,700,004  |                | 2,755,004   |             |
| 10.1     | (including \$0 on realized gains (losses))                                    | 5 111 740  |                | 5 111 740   | 0           |
| 10.2     | Net deferred tax liability  |            |                |             |             |
|          | Ceded reinsurance premiums payable  |            |                |             |             |
| 11.      | Amounts withheld or retained for the account of others                        |            |                |             |             |
| 12.      | Remittances and items not allocated   |            |                |             |             |
| 13.      |   |            |                |             | 10,094      |
| 14.      | Borrowed money (including \$ current) and                                     |            |                |             |             |
|          | interest thereon \$ (including \$   |            |                | 0           | 0           |
| 45       | Amounts due to parent, subsidiaries and affiliates                            |            |                |             |             |
| 15.      | -   |            |                |             |             |
| 16.      | Derivatives   |            |                |             | 0           |
| 17.      | Payable for securities  |            |                |             |             |
| 18.      | Payable for securities lending  |            |                |             | 0           |
| 19.      | Funds held under reinsurance treaties (with \$                                |            |                |             |             |
|          | authorized reinsurers, \$ unauthorized  |            |                | 0           | 0           |
|          | reinsurers and \$   |            |                |             | 0           |
| 20.      | Reinsurance in unauthorized and certified (\$                                 |            |                | 0           | 0           |
|          | companies   |            |                | 0           |             |
| 21.      | Net adjustments in assets and liabilities due to foreign exchange rates       |            |                |             | 0           |
| 22.      | Liability for amounts held under uninsured plans                              |            |                |             |             |
| 23.      | Aggregate write-ins for other liabilities (including \$                       | 10.070     |                | 10,070      | 10.044      |
|          | current)  |            |                |             |             |
|          | Total liabilities (Lines 1 to 23)   |            |                |             |             |
| 25.      | Aggregate write-ins for special surplus funds                                 |            |                |             | 0           |
| 26.      | Common capital stock  |            |                |             | 5,862,835   |
| 27.      | Preferred capital stock   |            |                |             | 40,000,000  |
| 28.      | Gross paid in and contributed surplus   |            |                |             |             |
| 29.      | Surplus notes   |            |                |             |             |
| 30.      | Aggregate write-ins for other than special surplus funds                      |            |                | aa aa ( aa= | 0           |
| 31.      | Unassigned funds (surplus)  | XXX        | XXX            |             |             |
| 32.      | Less treasury stock, at cost:   |            |                |             |             |
|          | 32.1 shares common (value included in Line 26                                 |            |                |             |             |
|          | \$  | XXX        | XXX            |             |             |
|          | 32.2 shares preferred (value included in Line 27                              |            |                |             |             |
|          |   | XXX        |                |             | 440,000,005 |
| 33.      |   |            |                |             |             |
| 34.      | Total liabilities, capital and surplus (Lines 24 and 33)                      | XXX        | XXX            | 325,892,838 | 313,457,743 |
|          | DETAILS OF WRITE-INS  |            |                |             |             |
| 2301.    | Unclaimed Property  |            |                |             |             |
| 2302.    |   |            |                |             |             |
| 2303.    |   |            |                |             |             |
| 2398.    | Summary of remaining write-ins for Line 23 from overflow page                 |            |                | 0           |             |
| 2399.    | Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)                     | 16,279     | 0              | 16,279      | 12,344      |
| 2501.    | Section 9010 ACA Subsequent Fee Year Assessment                               |            |                |             |             |
| 2502.    |   |            |                |             |             |
| 2503.    |   |            |                |             |             |
| 2598.    | Summary of remaining write-ins for Line 25 from overflow page                 | XXX        | XXX            | 0           | 0           |
| 2599.    | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)                     | XXX        | XXX            | 10,406,815  | 0           |
| 3001.    |   | xxx        | XXX            |             |             |
| 3002.    |   | XXX        | XXX            |             |             |
| 3003.    |   | XXX        | XXX            |             |             |
| 3098.    | Summary of remaining write-ins for Line 30 from overflow page                 | xxx        | XXX            | 0           | 0           |
| 3099.    | Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)                     | XXX        | XXX            | 0           | 0           |

# STATEMENT OF REVENUE AND EXPENSES

|            | STATEMENT OF REV  | Currer         | The second secon | Prior Year<br>To Date | Prior Year Ended<br>December 31 |
|------------|---|----------------|--|-----------------------|---------------------------------|
|            | -   | 1<br>Uncovered | 2<br>Total   | 3<br>Total            | 4<br>Total                      |
| 1.         | Member Months   | XXX            |  |                       |                                 |
| 2.         | Net premium income ( including \$   |                | ,  |                       |                                 |
|            | premium income)   |                |  |                       |                                 |
| 3.         | Change in unearned premium reserves and reserve for rate credits                      |                | (5,161,644)  |                       |                                 |
| 4.         | Fee-for-service (net of \$ medical expenses)  | XXX            |  |                       |                                 |
| 5.         | Risk revenue  |                |  |                       |                                 |
| 6.         | Aggregate write-ins for other health care related revenues                            |                |  |                       | 0                               |
| 7.         | Aggregate write-ins for other non-health revenues                                     |                |  |                       | 0                               |
| 8.         | Total revenues (Lines 2 to 7)   | XXX            |  |                       |                                 |
|            | Hospital and Medical:   |                | 040 740 050  | 000 005 000           |                                 |
| 9.         | Hospital/medical benefits   |                |  |                       |                                 |
| 10.        | Other professional services   |                | ,  | ,                     | 721,882                         |
| 11.<br>12. | Emergency room and out-of-area  |                |  |                       | 0                               |
| 12.        | Prescription drugs  |                |  |                       |                                 |
| 13.        | Aggregate write-ins for other hospital and medical                                    |                |  |                       |                                 |
| 15.        | Incentive pool, withhold adjustments and bonus amounts                                |                |  |                       |                                 |
| 16.        | Subtotal (Lines 9 to 15)  |                |  |                       |                                 |
|            | Less:   |                |  | ,,,                   | , ,                             |
| 17.        | Net reinsurance recoveries  |                |  |                       |                                 |
| 18.        | Total hospital and medical (Lines 16 minus 17)  |                |  |                       |                                 |
| 19.        | Non-health claims (net)   |                |  |                       |                                 |
| 20.        | Claims adjustment expenses, including \$11,568,123 cost                               |                |  |                       |                                 |
|            | containment expenses  |                | 20,760,508   |                       |                                 |
| 21.        | General administrative expenses   |                |  |                       |                                 |
| 22.        | Increase in reserves for life and accident and health contracts                       |                |  |                       |                                 |
|            | (including \$ increase in reserves for life only)                                     |                |  |                       | 0                               |
| 23.        | Total underwriting deductions (Lines 18 through 22)                                   |                |  |                       |                                 |
| 24.        | Net underwriting gain or (loss) (Lines 8 minus 23)                                    |                |  |                       |                                 |
| 25.        | Net investment income earned  |                | 2, 182,068   | 2,401,821             | 4,632,221                       |
| 26.        | Net realized capital gains (losses) less capital gains tax of                         |                | 00,440   | 500,000               | 004.054                         |
| 07         | \$  |                |  |                       |                                 |
|            | Net investment gains (losses) (Lines 25 plus 26)                                      | 0              | 2,212,178  | 2,928,084             | 5,466,275                       |
| 28.        | Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ |                |  |                       |                                 |
|            | (amount charged off \$  |                | (224, 323)   | (151,099)             | (392 212)                       |
| 29.        | Aggregate write-ins for other income or expenses                                      |                | . , ,  | . , ,                 | . , ,                           |
| 30.        | Net income or (loss) after capital gains tax and before all other federal             |                |  |                       |                                 |
|            | income taxes (Lines 24 plus 27 plus 28 plus 29)                                       | XXX            |  |                       |                                 |
| 31.        | Federal and foreign income taxes incurred   | XXX            |  |                       | 14 , 177 , 124                  |
| 32.        | Net income (loss) (Lines 30 minus 31)   | XXX            | 20,066,507   | 12,800,876            | 12,702,612                      |
|            | DETAILS OF WRITE-INS  |                |  |                       |                                 |
| 0601.      |   | XXX            |  |                       |                                 |
| 0602.      |   | XXX            |  |                       |                                 |
| 0603.      |   |                |  |                       |                                 |
| 0698.      | Summary of remaining write-ins for Line 6 from overflow page                          | XXX            |  | 0                     | 0                               |
| 0699.      | Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)                              | XXX            | 0  | 0                     | 0                               |
| 0701.      |   |                |  |                       |                                 |
| 0702.      |   | XXX            |  |                       |                                 |
| 0703.      |   |                |  |                       |                                 |
| 0798.      | Summary of remaining write-ins for Line 7 from overflow page                          | XXX            | 0  | 0                     | 0                               |
| 0799.      | Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)                              | XXX            | 0  | 0                     | 0                               |
| 1401.      |   |                |  |                       |                                 |
| 1402.      |   |                |  |                       |                                 |
| 1403       |   |                |  |                       |                                 |
| 1498.      | Summary of remaining write-ins for Line 14 from overflow page                         |                | 0  | 0                     | 0                               |
| 1499.      | Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)                             | 0              | 0  | 0                     | 0                               |
| 2901.      | Fines And Penalties   |                | (9,768)  | 0                     | 0                               |
| 2902.      |   |                |  |                       |                                 |
| 2903       |   |                |  |                       |                                 |
| 2998.      | Summary of remaining write-ins for Line 29 from overflow page                         |                |  |                       | 0                               |
| 2999.      | Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)                             | 0              | (9,768)  | 0                     | 0                               |

# **STATEMENT OF REVENUE AND EXPENSES (Continued)**

| <u> </u> | STATEWIENT OF REVENUE AND EA   |                         | 2                     | 3                               |
|----------|--|-------------------------|-----------------------|---------------------------------|
|          |  | Current Year<br>to Date | Prior Year<br>to Date | Prior Year Ended<br>December 31 |
|          |  |                         |                       |                                 |
|          |  |                         |                       |                                 |
|          | CAPITAL AND SURPLUS ACCOUNT  |                         |                       |                                 |
|          |  |                         |                       |                                 |
|          |  |                         |                       |                                 |
| 33.      | Capital and surplus prior reporting year                                     |                         | 147 , 152 , 178       | 147 , 152 , 178                 |
| 34.      | Net income or (loss) from Line 32  | 20,066,507              |                       |                                 |
| 35.      | Change in valuation basis of aggregate policy and claim reserves             |                         |                       |                                 |
| 36.      | Change in net unrealized capital gains (losses) less capital gains tax of \$ |                         |                       |                                 |
| 37.      | Change in net unrealized foreign exchange capital gain or (loss)             |                         |                       |                                 |
| 38.      | Change in net deferred income tax  | (2,169,314)             | (1,490,634)           |                                 |
| 39.      | Change in nonadmitted assets   | (619,011)               | (4,021,235)           | (1,816,608)                     |
| 40       | Change in unauthorized and certified reinsurance                             | 0                       | 0                     | 0                               |
| 41.      | Change in treasury stock   | 0                       | 0                     | 0                               |
| 42.      | Change in surplus notes  | 0                       | 0                     | 0                               |
| 43.      | Cumulative effect of changes in accounting principles                        |                         |                       |                                 |
| 44.      | Capital Changes:   |                         |                       |                                 |
|          | 44.1 Paid in   | 0                       | 0                     | 0                               |
|          | 44.2 Transferred from surplus (Stock Dividend)                               | 0                       | 0                     | 0                               |
|          | 44.3 Transferred to surplus  |                         |                       |                                 |
| 45.      | Surplus adjustments:   |                         |                       |                                 |
|          | 45.1 Paid in   | 0                       | 0                     | 0                               |
|          | 45.2 Transferred to capital (Stock Dividend)                                 |                         |                       |                                 |
|          | 45.3 Transferred from capital  |                         |                       |                                 |
| 46.      | Dividends to stockholders  | (11,800,000)            | (14,700,000)          |                                 |
| 47.      | Aggregate write-ins for gains or (losses) in surplus                         | 0                       | 0                     | 0                               |
| 48.      | Net change in capital & surplus (Lines 34 to 47)                             | 5,478,182               | (7,410,993)           | (28 , 129 , 083 )               |
| 49.      | Capital and surplus end of reporting period (Line 33 plus 48)                | 124,501,277             | 139,741,185           | 119,023,095                     |
|          | DETAILS OF WRITE-INS   |                         |                       |                                 |
| 4701.    |  |                         |                       |                                 |
| 4702.    |  |                         |                       |                                 |
| 4703.    |  |                         |                       |                                 |
| 4798.    | Summary of remaining write-ins for Line 47 from overflow page                | 0                       | 0                     | 0                               |
| 4799.    | Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)                    | 0                       | 0                     | 0                               |

# **CASH FLOW**

|    |  | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|----|--|------------------------------|----------------------------|--------------------------------------|
|    | Cash from Operations   |                              |                            |                                      |
| 1. | Premiums collected net of reinsurance  |                              |                            |                                      |
| 2. | Net investment income  | 2,763,475                    | 2,944,121                  |                                      |
| 3. | Miscellaneous income   | 0                            | 0                          |                                      |
| 4. | Total (Lines 1 to 3)   | 483,548,533                  | 371,494,210                | 834,746,1                            |
| 5. | Benefit and loss related payments  | 409,707,319                  |                            |                                      |
| 6. | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts                            |                              |                            |                                      |
| 7. | Commissions, expenses paid and aggregate write-ins for deductions  |                              |                            |                                      |
| 8. | Dividends paid to policyholders  |                              |                            |                                      |
| 9. | Federal and foreign income taxes paid (recovered) net of \$0 tax on capital                                    |                              |                            |                                      |
|    | gains (losses)   | (6,677,999)                  | 5,517,999                  | 20,735,4                             |
| 0. | Total (Lines 5 through 9)  | 441,918,276                  | 407,201,456                | 887,425,4                            |
| 1. | Net cash from operations (Line 4 minus Line 10)  | 41,630,257                   | (35,707,246)               | (52,679,2                            |
|    | Cash from Investments  |                              |                            |                                      |
| 2. | Proceeds from investments sold, matured or repaid:   |                              |                            |                                      |
|    | 12.1 Bonds   | 15 927 500                   | 30 398 640                 | 62 414 2                             |
|    | 12.2 Stocks  |                              |                            |                                      |
|    |  |                              |                            |                                      |
|    | 12.3 Mortgage loans  |                              |                            |                                      |
|    |  |                              |                            |                                      |
|    | 12.5 Other invested assets   |                              |                            |                                      |
|    | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments                                |                              |                            |                                      |
|    | 12.7 Miscellaneous proceeds  | 587,286                      | 297,200                    | 55,1                                 |
|    | 12.8 Total investment proceeds (Lines 12.1 to 12.7)  |                              |                            |                                      |
| 3. | Cost of investments acquired (long-term only):   |                              |                            |                                      |
|    | 13.1 Bonds   |                              |                            |                                      |
|    | 13.2 Stocks  |                              |                            |                                      |
|    | 13.3 Mortgage loans  |                              |                            |                                      |
|    | 13.4 Real estate   | 0                            | 0                          |                                      |
|    | 13.5 Other invested assets   |                              | 0                          |                                      |
|    | 13.6 Miscellaneous applications  | 0                            | 0                          |                                      |
|    | 13.7 Total investments acquired (Lines 13.1 to 13.6)   | 544,148,983                  | 397,283,219                | 848,016,9                            |
| 4. | Net increase (or decrease) in contract loans and premium notes   | 0                            | 0                          |                                      |
| 5. | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)  | (13,217,631)                 | 73,699,653                 | 101,954,4                            |
|    | Cash from Financing and Miscellaneous Sources  |                              |                            |                                      |
| 6. | Cash provided (applied):   |                              |                            |                                      |
|    | 16.1 Surplus notes, capital notes  | 0                            | 0                          |                                      |
|    | 16.2 Capital and paid in surplus, less treasury stock  | 0                            | 0                          |                                      |
|    | 16.3 Borrowed funds  | 0                            | 0                          |                                      |
|    | 16.4 Net deposits on deposit-type contracts and other insurance liabilities                                    | 0                            | 0                          |                                      |
|    | 16.5 Dividends to stockholders   |                              |                            |                                      |
|    | 16.6 Other cash provided (applied)   | (20,410,599)                 | 3,730,880                  | 24,257,6                             |
| 7. | Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | (32,210,599)                 | (35,969,120)               | (40,442,3                            |
|    |  |                              |                            |                                      |
| 0  | RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS  | (0 707 070)                  | 0 000 000                  | 0 000 0                              |
| 8. | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)                | (3,797,973)                  | 2,023,288                  | 8,832,8                              |
| 9. | Cash, cash equivalents and short-term investments:   | 0 700 000                    | (04 70-)                   | (o) -                                |
|    | 19.1 Beginning of year   |                              | (34,797).                  |                                      |
|    | 19.2 End of period (Line 18 plus Line 19.1)  | 5,000,123                    | 1,988,491                  | 8,798,0                              |

# **EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

|   |                   | Compreh<br>(Hospital & | nensive    | 4                      | 5              | 6              | 7  | 8                       | 9                     | 10    |
|---|-------------------|------------------------|------------|------------------------|----------------|----------------|--|-------------------------|-----------------------|-------|
|   | Total             | 2<br>Individual        | 3<br>Group | Medicare<br>Supplement | Vision<br>Only | Dental<br>Only | Federal<br>Employees<br>Health Benefit<br>Plan | Title XVIII<br>Medicare | Title XIX<br>Medicaid | Other |
| Total Members at end of:  | Total             | Individual             | Gloup      | Supplement             | Only           | Only           | Fidii  | Medicare                | Medicald              | Other |
| 1. Prior Year   |                   |                        |            | 0                      | 0              | 0              | 0  |                         |                       |       |
| 2. First Quarter  |                   | 42                     |            | 0                      | 0              | 0              | 0  | 43,241                  |                       |       |
| 3. Second Quarter   |                   | 42                     | 2, 181     |                        |                |                |  | 44,395                  |                       |       |
| 4. Third Quarter  | 0                 | 0                      | 0          |                        |                |                |  | 0                       | 0                     |       |
| 5. Current Year   | 0                 | 0                      | 0          |                        |                |                |  | 0                       | 0                     |       |
| 6. Current Year Member Months   | 842, 162          | 201                    | 13,942     |                        |                |                |  | 260,790                 | 567,229               |       |
| Total Member Ambulatory Encounters for Period:  |                   |                        |            |                        |                |                |  |                         |                       |       |
| 7 Physician   |                   | 234                    |            |                        |                |                |  | 417,517                 |                       |       |
| 8. Non-Physician  |                   | 6                      | 294        |                        |                |                |  | 204,309                 | 252,261               |       |
| 9. Total  | 1,368,542         | 240                    | 11,298     | 0                      | 0              | 0              | 0  | 621,826                 | 735,178               |       |
| 10. Hospital Patient Days Incurred  | 63,330            | 0                      | 151        |                        |                |                |  | 19,753                  | 43,426                |       |
| 11. Number of Inpatient Admissions  | 14,176            | 0                      | 48         |                        |                |                |  | 3,820                   | 10,308                |       |
| 12. Health Premiums Written (a)   |                   |                        | 6,265,111  |                        |                |                |  | 231,126,500             |                       |       |
| 13. Life Premiums Direct  | 0                 |                        |            |                        |                |                |  |                         |                       |       |
| 14. Property/Casualty Premiums Written  | 0                 |                        |            |                        |                |                |  |                         |                       |       |
| 15. Health Premiums Earned  |                   |                        | 6,265,420  |                        |                |                |  | 226,826,913             |                       |       |
| 16. Property/Casualty Premiums Earned   | 0                 |                        |            |                        |                |                |  |                         |                       |       |
| 17. Amount Paid for Provision of Health Care Services   |                   |                        | 4,715,706  |                        |                |                |  | 174,830,513             |                       |       |
| <ol> <li>Amount Incurred for Provision of Health Care Services</li> <li>a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees</li> </ol> | 415,075,673<br>\$ | 53,878                 | 4,355,389  |                        |                |                |  | 179,241,855             | 231,424,551           |       |

7

# CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

| Aging Analysis of L  | Inpaid Claims |              |              |               |               |             |
|--|---------------|--------------|--------------|---------------|---------------|-------------|
| 1  | 2             | 3            | 4            | 5             | 6             | 7           |
| Account  | 1 - 30 Days   | 31 - 60 Days | 61 - 90 Days | 91 - 120 Days | Over 120 Days | Total       |
| Claims Unpaid (Reported)                                     |               |              |              |               |               |             |
|  |               |              |              |               |               |             |
|  |               |              |              |               |               |             |
|  |               |              |              |               |               |             |
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|  |               |              |              |               |               |             |
|  |               |              |              |               |               |             |
|  |               |              |              |               |               |             |
| 0299999 Aggregate accounts not individually listed-uncovered |               |              |              |               |               | <u>ر</u>    |
| 0399999 Aggregate accounts not individually listed-dicovered | 28,876,207    | 893,691      | 383,224      | 119,920       | 217,399       | 30,490,441  |
| 0499999 Subtotals  | 28,876,207    | 893,691      | 383,224      | 119,920       |               | 30,490,441  |
| 0599999 Unreported claims and other claim reserves           | 20,010,201    | 000,001      | 000,224      | 113,320       | 211,000       | 69,848,046  |
| 0699999 Total amounts witheld                                |               |              |              |               |               | 362,352     |
| 0799999 Total claims unpaid                                  |               |              |              |               |               | 100,700,839 |
| 0899999 Accrued medical incentive pool and bonus amounts     |               |              |              |               |               | 4,471,437   |
| bossess Accided medical incentive pool and bonds amounts     |               |              |              |               |               | 4,471,437   |

## UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

|   | Claims   |  | Liab  |  | 5  | 6   |
|---|--|--|---|--|--|---|
|   | Year to  | 2 Date                                   | End of Curr<br>3                                | ent Quarter                              |  |   |
| Line of Business                              | On<br>Claims Incurred Prior<br>to January 1 of<br>Current Year | On<br>Claims Incurred<br>During the Year | On<br>Claims Unpaid<br>Dec. 31<br>of Prior Year | On<br>Claims Incurred<br>During the Year | Claims Incurred in<br>Prior Years<br>(Columns 1 + 3) | Estimated Claim<br>Reserve and<br>Claim Liability<br>December 31 of<br>Prior Year |
| 1. Comprehensive (hospital and medical)       |  | 1,501,084                                |   |  |  |   |
| 2. Medicare Supplement                        |  |  |   |  | 0  | 0   |
| 3. Dental Only                                |  |  |   |  | 0  | 0   |
| 4. Vision Only                                |  |  |   |  | 0  | 0   |
| 5. Federal Employees Health Benefits Plan     |  |  |   |  | 0  | 0   |
| 6. Title XVIII - Medicare                     |  |  | 2,746,000                                       |  |  |   |
| 7 Title XIX - Medicaid                        |  |  | 1, 185, 268                                     | 40,615,413                               |  |   |
| 8. Other health                               |  |  |   |  | 0  | 0   |
| 9. Health subtotal (Lines 1 to 8)             |  |  | 4, 105, 488                                     |  |  |   |
| 10. Healthcare receivables (a)                |  |  |   | 2,578,790                                | 5,726,359  |   |
| 11. Other non-health                          |  |  |   |  | 0  | 0   |
| 12. Medical incentive pools and bonus amounts |  |  | 2,696,148                                       | 1,775,289                                | 5,006,124  | 5,212,098   |
| 13. Totals (Lines 9-10+11+12)                 | 54,654,791   | 333,636,132                              | 6,801,636                                       | 95,835,357                               | 61,456,427   | 81,783,492  |

(a) Excludes \$ ..... loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

#### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Effective January 1, 2017, the Company exited the Patient Protection and Affordable Care Act and its related legislation ("ACA") individual and small group exchange markets in Rhode Island (see Note 4).

#### A. Accounting Practices

The statutory basis financial statements of the Company are presented on the basis of accounting practices prescribed and permitted by the Rhode Island Department of Business Regulation ("the Department").

The Department recognizes only statutory accounting practices, prescribed and permitted by the State of Rhode Island, for determining and reporting the financial condition and results of operations of an HMO, for determining its solvency under Rhode Island Insurance Law. The State prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed and permitted by the State of Rhode Island and those prescribed and permitted by the NAIC SAP which materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

|  | SSAP # | F/S Page # | F/S Line # | June 30,<br>2017      | December 31,<br>2016  |
|--|--------|------------|------------|-----------------------|-----------------------|
| Net Income   |        | -          |            |                       |                       |
| <ol> <li>Company state basis (Page 4,<br/>Line 32, Columns 2 &amp; 4)</li> <li>State prescribed practices that is<br/>an increase/(decrease) from</li> </ol> | XXX    | XXX        | ххх        | <u>\$20,066,507</u>   | <u>\$ 12,702,612</u>  |
| NAIC SAP:<br>None  |        |            |            | _                     | _                     |
| (3) State permitted practices that is<br>an increase/(decrease) from<br>NAIC SAP:  |        |            |            |                       |                       |
| None   |        |            |            | -                     | -                     |
| (4) NAIC SAP (1 - 2 - 3 = 4)   | XXX    | XXX        | XXX        | <u>\$ 20,066,507</u>  | <u>\$ 12,702,612</u>  |
| Capital and Surplus  |        |            |            |                       |                       |
| <ul> <li>(5) Company state basis (Page 3,<br/>Line 33, Columns 3 &amp; 4)</li> <li>(6) State prescribed practices that is</li> </ul>                         | xxx    | XXX        | xxx        | <u>\$ 124,501,277</u> | <u>\$ 119,023,095</u> |
| an increase/(decrease) from<br>NAIC SAP:   |        |            |            |                       |                       |
| None   |        |            |            |                       |                       |
| (7) State permitted practices that is<br>an increase/(decrease) from<br>NAIC SAP:  |        |            |            |                       |                       |
| None   |        |            |            |                       | -                     |
| (8) NAIC SAP (5 - 6 - 7 = 8)   | XXX    | XXX        | XXX        | <u>\$ 124,501,277</u> | <u>\$ 119,023,095</u> |

#### B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

#### C. Accounting Policy

(1-5) No significant change.

(6) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total combined investments in mortgage-backed securities cannot exceed more than 30% of total cash and invested assets.

(7–13) No significant change.

**Recently Issued Accounting Standards** — In March 2017, the NAIC revised Statement of Statutory Accounting Principles ("SSAP") No. 35R, *Guaranty Fund and Other Assessments* ("SSAP No. 35R") for the

accounting and disclosure requirements related to the required discounting of liabilities and assets related to assessments from insolvencies of entities that wrote long-term care contracts. This revised guidance is effective for reporting periods after January 1, 2017. The Company adopted the revised accounting and disclosure requirements in 2017 and the impact is not expected to have a material impact to the statutory basis financial statements. The Company reviewed all other recently issued guidance in 2017 and 2016 that has been adopted for 2017 or subsequent years' implementation and has determined that none of the items would have a significant impact to the statutory basis financial statements.

#### D. Going Concern

No significant change.

#### 2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

#### 3. BUSINESS COMBINATIONS AND GOODWILL

A-D. No significant change.

#### 4. DISCONTINUED OPERATIONS

#### A. Discontinued Operation Disposed of or Classified as Held for Sale

(1-4) No significant change.

The Company did not discontinue any operations during 2017 or 2016; however, effective January 1, 2017, the Company did make the decision to exit the ACA individual and small group exchange market. The 2016 ACA individual and small group exchange revenue represented approximately 2% of total direct premiums written as of December 31, 2016.

- B. Change in Plan of Sale of Discontinued Operations Not applicable.
- C. Nature of any Significant Continuing Involvement with Discontinued Operations after Disposal Not applicable.
- **D.** Equity Interest Retained in the Discontinued Operation after Disposal Not applicable.

#### 5. INVESTMENTS AND OTHER INVESTED ASSETS

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

#### B. Debt Restructuring

No significant change.

#### C. Reverse Mortgages

No significant change.

#### D. Loan-Backed Securities

- (1) U.S. government and agency securities and corporate debt securities include loan-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any other-than-temporary impairments ("OTTIs") on loan-backed securities as of June 30, 2017.
- (3) As of June 30, 2017, the Company did not have any loan-backed securities with an OTTI to report by CUSIP.

(4) The following table illustrates the fair value, gross unrealized losses, and length of time that the loan-backed securities have been in a continuous unrealized loss position as of June 30, 2017 and December 31, 2016:

|  | Ju         | ine 30, 2017                     |
|--|------------|----------------------------------|
| The aggregate amount of unrealized losses:<br>1. Less than 12 months<br>2. 12 Months or longer   | \$         | 123,117<br>-                     |
| The aggregate related fair value of securities with unrealized losses:<br>1. Less than 12 months<br>2. 12 Months or longer                           |            | 22,041,599<br>-                  |
|  |            |                                  |
|  | Dece       | mber 31, 2016                    |
| The aggregate amount of unrealized losses:<br>1. Less than 12 months<br>2. 12 Months or longer   | Dece<br>\$ | ember 31, 2016<br>176,843<br>345 |
| <ol> <li>Less than 12 months</li> <li>12 Months or longer</li> <li>The aggregate related fair value of securities with unrealized losses:</li> </ol> |            | 176,843                          |
| 1. Less than 12 months<br>2. 12 Months or longer   |            | 176,843                          |

The Company believes that it will collect all principal and interest due on all investments that have (5) an amortized cost in excess of fair value. The unrealized losses as of June 30, 2017 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

#### E. Repurchase Agreements and/or Securities Lending Transactions

No significant change.

#### F. Real Estate

No significant change.

#### G. Low-Income Housing Tax Credits

No significant change.

#### H. Restricted Assets

No significant change.

#### Ι. Working Capital Finance Investments

Not applicable.

#### J. Offsetting and Netting of Assets and Liabilities

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

#### **K. Structured Notes**

The Company does not have any structured notes.

#### L. 5\* Securities

The Company does not have any investments with an NAIC designation of 5\* as of June 30, 2017 and December 31, 2016.

#### M. Short Sales

Not applicable.

#### N. Prepayment Penalty and Acceleration Fees

Not applicable.

#### 6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A-B. No significant change.

#### 7. INVESTMENT INCOME

A-B. No significant change.

#### 8. DERIVATIVE INSTRUMENTS

**A–F.** No significant change.

#### 9. INCOME TAXES

#### A. Deferred Tax Asset/Liability

The Company's net deferred tax asset decreased \$2,169,314 from December 31, 2016 to June 30, 2017 as a result of the decrease in unearned premiums and the change in nonadmitted assets. The change in net deferred income taxes, excluding the impact of the change in nonadmitted assets, had a corresponding impact on the current federal income tax provision.

#### **B–C.** No significant change.

- D. The ratio between the provision for federal income taxes incurred and net gain before federal income taxes, and net realized capital gains has changed from the prior year primarily as a result of the annual health insurer fee ("HIF") under Section 9010 of the ACA which was included in the statutory basis statement of operations, but was non-deductible for income tax purposes in 2016. Due to the moratorium in 2017, there is no HIF included in the statutory basis statements of operations.
- E-G. No significant change.

#### 10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

#### A-N. Material Related Party Transactions

No significant change.

#### 11. DEBT

**A–B.** No significant change.

# 12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. ("UHS"), which provides services to the Company under the terms of a management agreement.

# 13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

- (1–3) No significant change.
- (4) On June 1, 2017, the Company declared an ordinary cash dividend of \$11,800,000 to the sole shareholder, UHS, and was paid on June 13, 2017. The ordinary dividend complied with the provisions set forth in the statutes of Rhode Island. The dividend was recorded as a reduction to unassigned surplus in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- (5–13) No significant change.

#### 14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

A-E. No significant change.

#### F. All Other Contingencies

The Company has been, or is currently involved, in various governmental investigations, audits and reviews. These include routine, regular and special investigations, audits and reviews by Centers for Medicare and Medicaid Services ("CMS"), state insurance and health and welfare departments and other governmental authorities. Certain aspects of the Company's businesses have been reviewed

or are currently under review, for reasons including compliance with coding and other requirements under the Medicare risk-adjustment model.

On February 14, 2017, the Department of Justice ("DOJ") announced its decision to pursue certain claims within a lawsuit initially asserted against the Company and filed under seal by a whistleblower in 2011. The whistleblower's complaint, which was unsealed on February 15, 2017, alleges that the Company, along with a number of other Medicare Advantage plans, made improper risk adjustment submissions and violated the False Claims Act. On March 24, 2017, the DOJ intervened in a separate lawsuit initially asserted against the Company and filed by a whistleblower in 2009 concerning risk adjustment submissions by Medicare Advantage plans. Both cases are now pending in the U.S. District Court for the Central District of California. DOJ filed its complaint in the two cases in May 2017. The Company cannot reasonably estimate the outcome that may result from these matters given their current posture.

#### 15. LEASES

**A–B.** No significant change.

# 16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1-4) No significant change.

# 17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

- **A.** The Company did not have any transfers of receivables reported as sales as of June 30, 2017 or December 31, 2016.
- **B.** The Company did not have any transfer and servicing of financial assets as of June 30, 2017 or December 31, 2016.
- **C.** No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of June 30, 2017 or the year ended December 31, 2016.

# 18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

**A–B.** No significant change.

#### C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a payable in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of \$ 2,175,278 and \$548,094 as of June 30, 2017 and December 31, 2016, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable of \$646,794 and \$1,083,009 and a payable of \$16,858,775 and \$2,828,158 as of June 30, 2017 and December 31, 2016, respectively, for the Medicare Part D Coverage Gap Discount Program in amounts receivable relating to uninsured plans and liability for amounts held under uninsured plans, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company receives payments from CMS under the ACA Cost Sharing Reduction ("CSR") program designed to reduce copayments, deductibles, and coinsurance for lower-income members. There is no risk to the Company as a result of the CSR program. Overpayments from CMS are reported in liability for amounts held under uninsured plans and underpayments are reported in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has recorded a liability of \$239,238 and \$258,152 for the CSR program as of June 30, 2017 and December 31, 2016, respectively.

#### 19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

#### 20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds, short-term investments and common stocks are based on quoted market prices, where available. The Company obtains one price for each security primarily from a thirdparty pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source; prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

#### A. Fair Value

(1) Fair Value Measurements at Reporting Date

The following table presents information about the Company's financial assets that are measured and reported at fair value at June 30, 2017 and December 31, 2016, in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values:

| Description for Each                 | June 30, 2017               |         |             |             |              |           |  |  |
|--------------------------------------|-----------------------------|---------|-------------|-------------|--------------|-----------|--|--|
| Class of Asset or Liability          | (Le                         | evel 1) | (Level 2)   | (Level 3)   |              | Total     |  |  |
| a. Assets at fair value:             |                             |         |             |             |              |           |  |  |
| Perpetual preferred stock:           |                             |         |             |             |              |           |  |  |
| Industrial and misc                  | \$                          | -       | \$ -        | \$ -        | \$           | -         |  |  |
| Parent, subsidiaries, and affiliates |                             | -       |             |             |              | -         |  |  |
| Total perpetual preferred stocks     |                             | -       |             |             |              | -         |  |  |
| Bonds:                               |                             |         |             |             |              |           |  |  |
| U.S. governments                     |                             | -       | -           | -           |              | -         |  |  |
| Industrial and misc                  |                             | -       | -           | -           |              | -         |  |  |
| Hybrid securities                    |                             | -       | -           | -           |              | -         |  |  |
| Parent, subsidiaries, and affiliates |                             | -       |             |             |              | -         |  |  |
| Total bonds                          |                             | -       |             |             |              | -         |  |  |
| Common stock:                        |                             |         |             |             |              |           |  |  |
| Industrial and misc                  | 19,                         | 159,774 |             | -           | 19           | 9,159,774 |  |  |
| Parent, subsidiaries, and affiliates |                             | -       |             | -           |              | -         |  |  |
| Total common stock                   | 19,                         | 159,774 | -           | -           | 19           | 9,159,774 |  |  |
| Derivative assets:                   |                             |         |             |             |              |           |  |  |
| Interest rate contracts              |                             | -       | -           | -           |              | -         |  |  |
| Foreign exchange contracts           |                             | -       | -           | -           |              | -         |  |  |
| Credit contracts                     |                             | -       | -           | -           |              | -         |  |  |
| Commodity futures contracts          |                             | -       | -           | -           |              | -         |  |  |
| Commodity forward contracts          |                             | -       |             |             |              | -         |  |  |
| Total derivatives                    |                             | -       | -           | -           |              | -         |  |  |
| Total assets at fair value           | <b>\$ 19</b> , <sup>•</sup> | 159,774 | <u>\$ -</u> | \$ -        | <u>\$ 19</u> | 9,159,774 |  |  |
| b. Liabilities at fair value:        |                             |         |             |             |              |           |  |  |
| Derivative liabilities               | \$                          | -       | <u>\$</u> - | \$ -        | \$           | -         |  |  |
| Total liabilities at fair value      | <u>\$</u>                   |         | <u>\$ -</u> | <u>\$ -</u> | \$           | -         |  |  |
|                                      |                             |         |             |             |              |           |  |  |

| Description for Each  | December 31, 2016     |                  |                  |                  |  |  |  |
|---|-----------------------|------------------|------------------|------------------|--|--|--|
| Class of Asset or Liability   | (Level 1)             | (Level 2)        | (Level 3)        | Total            |  |  |  |
| a. Assets at fair value:<br>Perpetual preferred stock:<br>Industrial and misc<br>Parent, subsidiaries, and affiliates   | \$ -<br>-             | \$ -<br>         | \$ -<br>         | \$               |  |  |  |
| Total perpetual preferred stocks  |                       |                  |                  |                  |  |  |  |
| Bonds:<br>U.S. governments<br>Industrial and misc<br>Hybrid securities<br>Parent, subsidiaries, and affiliates  | -<br>-<br>-<br>-      | -<br>-<br>-      | -<br>-<br>-<br>- | -<br>-<br>-      |  |  |  |
| Total bonds   |                       |                  | -                |                  |  |  |  |
| Common stock:<br>Industrial and misc<br>Parent, subsidiaries, and affiliates  | 12,626,791            |                  | -                | 12,626,791       |  |  |  |
| Total common stock  | 12,626,791            |                  |                  | 12,626,791       |  |  |  |
| Derivative assets:<br>Interest rate contracts<br>Foreign exchange contracts<br>Credit contracts<br>Commodity futures contracts<br>Commodity forward contracts | -<br>-<br>-<br>-<br>- | -<br>-<br>-<br>- | -<br>-<br>-<br>- | -<br>-<br>-<br>- |  |  |  |
| Total derivatives   | -                     | -                | -                | -                |  |  |  |
| Total assets at fair value  | \$ 12,626,791         | <u>\$ -</u>      | <u>\$ -</u>      | \$ 12,626,791    |  |  |  |
| b. Liabilities at fair value:<br>Derivative liabilities   | <u>\$-</u>            | <u>\$ -</u>      | <u>\$</u>        | <u>\$ -</u>      |  |  |  |
| Total liabilities at fair value   | \$-                   | <u>\$ -</u>      | <u>\$ -</u>      | <u>\$ -</u>      |  |  |  |

There were no transfers between Levels 1 and 2 during the six months ended June 30, 2017 and the year ended December 31, 2016.

- (2) The Company does not have any financial assets with a fair value hierarchy of Level 3 that were measured and reported at fair value for the six months ended June 30, 2017 and the year ended December 31, 2016.
- (3) Transfers between fair value hierarchy levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs. There were no transfers between Levels 1, 2 or 3 of any financial assets or liabilities during the six months ended June 30, 2017 and the year ended December 31, 2016.
- (4) The Company has no investments reported with a fair value hierarchy of Level 2 or Level 3 as of June 30, 2017 and the year ended December 31, 2016 and therefore have no valuation technique to disclose.
- (5) The Company has no derivative assets and liabilities to disclose.

#### B. Fair Value Combination — Not applicable.

#### C. Aggregate Fair Value Hierarchy

The aggregate fair value by hierarchy of all financial instruments as of June 30, 2017 and December 31, 2016, is presented in the table below:

|  |  |  | June 30, 2017   |   |   |
|--|--|--|---|---|---|
| Types of<br>Financial Investment   | Aggregate<br>Fair Value  | Admitted<br>Assets   | (Level 1)   | (Level 2) (Level 3)   | Not<br>Practicable<br>(Carrying<br>Value) |
| U.S. government and agency securities<br>State and agency municipal securities<br>City and county municipal securities<br>Corporate debt securities<br>Money-market funds<br>Equity (including marketable common stock)<br>Total bonds, short-term investments, and<br>equity (including marketable common stocks) | \$ 68,696,424<br>31,511,280<br>15,834,310<br>91,150,939<br>5,939,567<br>19,159,774<br>\$ 232,292,294 | \$ 69,106,137<br>31,095,342<br>15,552,716<br>90,830,711<br>5,939,567<br>19,159,774<br>\$ 231,684,247 | \$ 36,153,289 \$<br>5,939,567<br>19,159,774\$<br>\$ 61,252,630 \$ | 31,511,280 -<br>15,834,310 -<br>91,150,939 -<br>-                         | \$ -<br>-<br>-<br>-<br>-<br>-<br>-<br>-   |
| equity (including marketable common stocks)  | <u>\$</u> 232,292,294  | <u>\$ 231,004,247</u>  | <u>     becember 31, 201</u>                                      | <u>,,,,,,,,</u>   | <u>ə -</u>                                |
| Types of<br>Financial Investment   | Aggregate<br>Fair Value  | Admitted<br>Assets   | (Level 1)   | (Level 2) (Level 3)   | Not<br>Practicable<br>(Carrying<br>Value) |
| U.S. government and agency securities  | \$ 63.105.089  |  |   |   |   |
| State and agency municipal securities<br>City and county municipal securities<br>Corporate debt securities<br>Money-market funds<br>Equity (including marketable common stock)<br>Total bonds, short-term investments, and   | \$ 03,103,083<br>34,534,207<br>16,356,862<br>85,210,462<br>6,961,423<br>12,626,791                   | \$ 63,641,856<br>34,534,959<br>16,515,879<br>85,135,003<br>6,961,423<br>12,626,791                   | \$ 33,046,518 \$<br>-<br>-<br>6,961,423<br>12,626,791             | 30,058,571 \$ -<br>34,534,207 -<br>16,356,862 -<br>85,210,462 -<br>-<br>- | \$ -<br>-<br>-<br>-<br>-<br>-             |

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy tables above are U.S. Treasury securities of \$36,153,289 and \$33,046,518 as of June 30, 2017 and December 31, 2016, respectively.

There are no commercial paper investments included in corporate debt securities in the fair value hierarchy tables as of June 30, 2017 and December 31, 2016.

#### D. Not Practicable to Estimate Fair Value — Not applicable.

#### 21. OTHER ITEMS

A-H. No significant change.

#### 22. EVENTS SUBSEQUENT

No significant change.

#### 23. REINSURANCE

The Company is subject to the reinsurance provisions pursuant to the ACA for compliant individual policies (see Note 24).

**A–D.** No significant change.

#### 24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

- **A–B.** No significant change.
- C. Pursuant to the ACA, the Company's commercial business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the commercial lines of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the commercial lines of business subject to this retrospectively rated feature was \$6,663,213 and \$18,829,713, representing 1% and 2% of total direct premiums written as of June 30, 2017 and December 31, 2016, respectively.

Pursuant to the ACA, the Company's Medicare business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the Medicare line of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the Medicare line of business subject to this retrospectively rated feature was \$231,126,500 and \$356,610,513, representing 46% and 44% of total direct premiums written as of June 30, 2017 and December 31, 2016, respectively.

The Company has Medicare Part D risk-corridor amounts from CMS which are subject to a retrospectively rated feature related to Part D premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The total amount of Medicare direct premiums written for which a portion is subject to redetermination features were \$11,146,280 and \$17,887,688 representing, 2% and 2% of total direct premiums written for June 30, 2017 and December 31, 2016, respectively.

The Medicaid business contract with the state of Rhode Island includes experience rebates. The rebate period is over the contract period, which is a June 30 year-end. The Company estimates accrued retrospective premium adjustments for its Medicaid business based on the tiered rebate formula provided in the contract. The formula is based on net income before taxes. The amount of net premium income that is subject to the state Medicaid contract retrospective rating feature was \$260,190,321 and \$441,479,339 representing 52% and 54% of total net premium income as of June 30, 2017 and December 31, 2016, respectively.

D. The Company is required to maintain a specific minimum loss ratio on the comprehensive commercial and Medicare line of business. The following table discloses the minimum medical loss ratio rebate liability which is included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus for the six months ended June 30, 2017 and the year ended December 31, 2016:

|   | 1            | 2<br>Small<br>Group | 3<br>Large<br>Group | 4<br>Other<br>Categories | 5              |
|---|--------------|---------------------|---------------------|--------------------------|----------------|
|   | Individual   | Employer            | Employer            | with Rebates             | Total          |
| Prior reporting year                    |              |                     |                     |                          |                |
| (1) Medical loss ratio rebates incurred | \$ (227,218) | \$ -                | \$ -                | \$ (2,688,181)           | \$ (2,915,399) |
| (2) Medical loss ratio rebates paid     | -            | -                   | -                   | -                        | -              |
| (3) Medical loss rebates unpaid         | -            | -                   | -                   | 2,015,575                | 2,015,575      |
| (4) Plus reinsurance assumed amounts    | XXX          | XXX                 | XXX                 | XXX                      | -              |
| (5) Less reinsurance ceded amounts      | XXX          | XXX                 | XXX                 | XXX                      | -              |
| (6) Rebates unpaid net of reinsurance   | XXX          | XXX                 | XXX                 | XXX                      | 2,015,575      |
| Current reporting year-to-date          |              |                     |                     |                          |                |
| (7) Medical loss ratio rebates incurred | -            | -                   | -                   | 4,722,648                | 4,722,648      |
| (8) Medical loss ratio rebates paid     | -            | -                   | -                   | -                        | -              |
| (9) Medical loss rebates unpaid         | -            | -                   | -                   | 6,738,223                | 6,738,223      |
| (10) Plus reinsurance assumed amounts   | XXX          | XXX                 | XXX                 | XXX                      | -              |
| (11) Less reinsurance ceded amounts     | XXX          | XXX                 | XXX                 | XXX                      | -              |
| (12) Rebates unpaid net of reinsurance  | XXX          | XXX                 | XXX                 | XXX                      | 6,738,223      |

#### E. Risk-Sharing Provisions of the Affordable Care Act

(1) The Company has accident and health insurance premiums in 2017 subject to the risk-sharing provisions of the ACA.

The ACA imposed fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs – risk adjustment, reinsurance, and risk corridors.

**Risk Adjustment –** The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered not subject to transitional relief in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

**Reinsurance –** The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program is effective from 2014 through 2016 and applies to all issuers of major medical commercial products and third party administrators. Contributions attributable to enrollees in ACA compliant individual plans, including program administrative costs, are accounted for as ceded premium and payments received are accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury is accounted for as an assessment. Contributions made for enrollees in fully insured plans other than ACA compliant individual plans, including program administrative costs and payments to the U.S. Treasury, are treated as assessments.

**Risk Corridors –** The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applies to Qualified Health Plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk corridors program are accounted for as premium adjustments for retrospectively rated contracts.

(2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations.

| Permanent ACA Risk Adjustment Program   | Ju | une 30, 2017 |
|---|----|--------------|
| <u>Assets</u>   |    |              |
| 1. Premium adjustments receivable due to ACA Risk Adjustment  | \$ | -            |
| Liabilities   |    |              |
| 2. Risk adjustment user fees payable for ACA Risk Adjustment  |    | 11,336       |
| 3. Premium adjustments payable due to ACA Risk Adjustment   |    | 4,994,418    |
| Operations (Revenue & Expense)  |    |              |
| <ol> <li>Reported as revenue in premium for accident and health<br/>contracts (written/collected) due to ACA Risk Adjustment</li> </ol> |    | 269,901      |
| 5. Reported in expenses as ACA risk adjustment user fees  |    | 203,301      |
| (incurred/paid)   |    | 1,680        |
| Transitional ACA Reinsurance Program  |    |              |
| Assets  |    |              |
| 1. Amounts recoverable for claims paid due to ACA Reinsurance   | \$ | 254,955      |
| 2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)  |    | -            |
| 3. Amounts receivable relating to uninsured plans for   |    |              |
| contributions for ACA Reinsurance   |    | -            |
| <u>Liabilities</u>  |    |              |
| <ol> <li>Liabilities for contributions payable due to ACA Reinsurance</li> <li>not reported as ceded premium</li> </ol>                 |    | 20 000       |
| 5. Ceded reinsurance premiums payable due to ACA Reinsurance  |    | 29,889       |
| 6. Liability for amounts held under uninsured plans contributions   |    |              |
| for ACA Reinsurance   |    | -            |
| Operations (Revenue & Expense)  |    |              |
| 7. Ceded reinsurance premiums due to ACA Reinsurance  |    | -            |
| 8. Reinsurance recoveries (income statement) due to ACA   |    |              |
| reinsurance payments or expected payments   |    | 84,611       |
| 9. ACA Reinsurance contributions - not reported as ceded premium  |    | -            |
| Temporary ACA Risk Corridors Program  |    |              |
| Assets  |    |              |
| 1. Accrued retrospective premium due to ACA Risk Corridors  | \$ | -            |
| <u>Liabilities</u>  |    |              |
| 2. Reserve for rate credits or policy experience rating refunds   |    |              |
| due to ACA Risk Corridors   |    | -            |
| Operations (Revenue & Expense)  |    | -            |
| 3. Effect of ACA Risk Corridors on net premium income (paid/received)   |    | 3            |
| 4. Effect of ACA Risk Corridors on change in reserves for rate credits  |    | -            |

(3) The following table is a rollforward of prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances.

|  |   |                     |   |   | Diffe   | rences  | Adj                       | ustments                  |          | Unsettled Bala<br>Reportin                               |  |
|--|---|---------------------|---|---|---|---|---------------------------|---------------------------|----------|--|--|
|  | Accrued During<br>on Business V<br>December 31 of | ,<br>Vritten Before | Current Year<br>Written Before          | Paid as of the<br>on Business<br>e December 31<br>rior Year | Prior Year<br>Accrued Less<br>Payments<br>(Col 1-3) | Prior Year<br>Accrued Less<br>Payments<br>(Col 2-4) | To Prior Year<br>Balances | To Prior Year<br>Balances |          | Cumulative<br>Balance from<br>Prior Years<br>(Col 1-3+7) | Cumulative<br>Balance from<br>Prior Years<br>(Col 2-4+8) |
|  | 1   | 2                   | 3                                       | 4   | 5   | 6   | 7                         | 8                         |          | 9  | 10   |
|  | Receivable  | (Payable)           | Receivable                              | (Payable)   | Receivable  | (Payable)   | Receivable                | (Payable)                 | Ref      | Receivable   | (Payable)  |
| a. Permanent ACA Risk Adjustment                               |   |                     |   |   |   |   |                           |                           |          |  |  |
| Program  |   |                     |   |   |   |   |                           |                           |          |  |  |
| 1. Premium adjustments receivable                              | \$ - \$   |                     | \$-                                     | \$ -  | \$ -  | \$ -  | \$-                       | \$ -                      | A        | \$ -   | \$ -   |
| 2. Premium adjustments (payable)                               | -   | (5,264,319)         | -                                       | -   | -   | (5,264,319)   | -                         | 995,542                   | B        | -  | (4,268,777)  |
| 3. Subtotal ACA Permanent Risk                                 |   | (5.004.040)         |   |   |   | (5.004.040)   |                           | 005 540                   |          |  | (4 000   |
| Adjustment Program   | -   | (5,264,319)         | -                                       | -   | -   | (5,264,319)   | -                         | 995,542                   |          | -  | (4,268,777)  |
| b. Transitional ACA Reinsurance Program                        | 470.007   |                     | ~ |   | 450.044   |   | 404 040                   |                           | ~        | 054.054  |  |
| 1. Amounts recoverable for claims paid                         | 176,697   | -                   | 23,386                                  | -   | 153,311   | -   | 101,643                   | -                         | <u>C</u> | 254,954  | -  |
| 2. Amounts recoverable for claims                              | 17,032  |                     |   |   | 17,032  |   | (17,032)                  | _                         | -        |  |  |
| unpaid (contra liability)<br>3. Amounts receivable relating to | 17,052  | -                   | -                                       | -   | 17,052  | -   | (17,052)                  | -                         | D        | -  | -  |
| 3. Arrbunts receivable relating to<br>uninsured plans          |   |                     |   |   |   |   |                           |                           | E        |  |  |
| <ol> <li>4. Liabilities for contributions payable</li> </ol>   | -   | -                   | -                                       | -   | -   | -   | -                         | -                         | Ē        | -  | -  |
| due to ACA Reinsurance - not                                   |   |                     |   |   |   |   |                           |                           |          |  |  |
| reported as ceded premium                                      | _   | (129,005)           | _                                       | (87,977)  | _   | (41,028)  | _                         | 11,139                    | F        | _  | (29,889)   |
| 5. Ceded reinsurance premiums payable                          | _   | (123,000)           | -                                       | (31,579)  | _   | 11,139  | _                         | (11,139)                  |          |  | (23,003)   |
| 6. Liability for amounts held under                            |   | (20,110)            |   | (01,010)  |   | 11,100  |                           | (11,100)                  | ¥        |  |  |
| uninsured plans  | -   | -                   | -                                       | -   | -   | -   | -                         | -                         | Н        | -  | -  |
| 7. Subtotal ACA Transitional Reinsurance                       |   |                     |   |   |   |   |                           |                           | -        |  |  |
| Program  | 193.729   | (149.445)           | 23,386                                  | (119,556)   | 170,343   | (29,889)  | 84.611                    | -                         |          | 254.954  | (29,889)   |
| c. Temporary ACA Risk Corridors Program                        | ,   | (110,110)           | ,                                       | (110,000)   |   | (,)   | ,                         |                           |          |  | (,)  |
| 1. Accrued retrospective premium                               | -   | -                   | 3                                       | -   | (3)   | -   | 3                         | -                         | T        | -  | -  |
| 2. Reserve for rate credits or policy                          |   |                     |   |   | (-)   |   |                           |                           | -        |  |  |
| experience rating refunds                                      | -   | -                   | -                                       | -   | -   | -   | -                         | -                         | J        | -  | -  |
| 3. Subtotal ACA Risk Corridors Program                         | -   | -                   | 3                                       | -   | (3)   | -   | 3                         | -                         | -        | -  | -  |
| d. Total for ACA Risk Sharing Provisions                       | \$ 193,729 \$                                     | (5,413,764)         | \$ 23,389                               | \$ (119,556)  | \$ 170,340  | \$ (5,294,208)                                      | \$ 84,614                 | \$ 995,542                |          | \$ 254,954   | \$ (4,298,666)   |
|  |   |                     |   |   |   |   |                           |                           |          |  |  |

Explanation of Adjustments

B. The risk adjustment payable as of June 30, 2017 was adjusted based on the final CMS Summary Report on Transitional Reinsurance Payments and the Permanent Risk Adjustment Transfers for the 2016 Benefit Year. The risk adjustment payable as of December 31, 2016 utilized paid daims through October 31, 2016. The adjustment to the December payable balance reflects the true up to final results for the 2016 Benefit Year.

C. The reinsurance receivable as of June 30, 2017 was adjusted based on the final OMS Summary Report on Transitional Reinsurance Payments and the Permanent Risk Adjustment Transfers for the 2016 Benefit Year. The adjustment to the amounts recoverable for paid daims was driven by the true up to the HHS Reinsurance Program coinsurance rate of 52.9%.

D. The adjustment to the amounts recoverable for claims unpaid reflects the termination of the reinsurance program as all recovery amounts are now known.

E. NA

F. Reclassification of amounts reported on Line 5 as of prior year end.

G. Reclassification of amounts reported on Line 4 as of prior year end.

H. N/A

I. N/A

J. N/A

A N/A

#### (4) The following table discloses risk corridor receivables and payables by risk corridor program year.

|   | Acc  |                   | ring th | o Prior          | Poco           | ived or I                         | Daid as | of the          |                           | Differe                                | nces              |                                     |                      | Adjı  | ustme | nts                   |        | Unse          | ttled Bala<br>Reporti                     |                |  |
|---|------|-------------------|---------|------------------|----------------|-----------------------------------|---------|-----------------|---------------------------|--|-------------------|-------------------------------------|----------------------|-------|-------|-----------------------|--------|---------------|---|----------------|--|
|   | Year | on Bus<br>ore Dec | iness \ | Nritten<br>31 of | Curre<br>Writt | ent Year<br>en Befo<br>1 of the l | on Bus  | siness<br>ember | Prio<br>Acc<br>Le<br>Payr | r Year<br>rued<br>ess<br>nents<br>1–3) | Acc<br>Le<br>Payn | Year<br>rued<br>ss<br>nents<br>2–4) | To P<br>Yea<br>Balar | ar    | Ŷ     | Prior<br>ear<br>ances |        | Balar<br>Prio | nulative<br>nce from<br>r Years<br>1–3+7) | Balan<br>Prior | ulative<br>ice from<br>r Years<br>2–4+8) |
|   |      | 1                 |         | 2                |                | 3                                 | 4       | 4               |                           | 5                                      | (                 | 6                                   | 7                    |       |       | 8                     |        |               | 9   |                | 10                                       |
| Risk Corridors Program Year:  | Rec  | eivable           | (Pay    | /able)           | Rec            | eivable                           | (Pay    | able)           | Rece                      | ivable                                 | (Pay              | able)                               | Receiv               | vable | (Pay  | yable)                | Ref    | Rec           | eivable                                   | (Pa            | yable)                                   |
| a. 2014   |      |                   |         |                  |                |                                   |         |                 |                           |  |                   |                                     |                      |       |       |                       |        |               |   |                |  |
| 1. Accrued retrospective premium<br>2. Reserve for rate credits or policy<br>experience rating refunds                      | \$   | -                 | \$      | -                | \$             | 3<br>-                            | \$      | -               | \$                        | (3)<br>-                               | \$                | -                                   | \$                   | 3     | \$    | -                     | A<br>B | \$            | -   | \$             | -  |
| b. 2015   |      |                   |         |                  |                |                                   |         |                 |                           |  |                   |                                     |                      |       |       |                       |        |               |   |                |  |
| <ol> <li>Accrued retrospective premium</li> <li>Reserve for rate credits or policy<br/>experience rating refunds</li> </ol> |      | -                 |         | -                |                | -                                 |         | -               |                           | -                                      |                   | -                                   |                      | -     |       | -                     | C<br>D |               | -   |                | -  |
| c. 2016   |      |                   |         |                  |                |                                   |         |                 |                           |  |                   |                                     |                      |       |       |                       |        |               |   |                |  |
| <ol> <li>Accrued retrospective premium</li> <li>Reserve for rate credits or policy<br/>experience rating refunds</li> </ol> |      | -                 |         | -                |                | -                                 |         | -               |                           | -                                      |                   | -                                   |                      | -     |       | -                     | E<br>F |               | -   |                | -  |
| d. Total for Risk Corridors   | \$   | -                 | \$      | -                | \$             | 3                                 | \$      | -               | \$                        | (3)                                    | \$                | -                                   | \$                   | 3     | \$    | -                     | -      | \$            | -   | \$             | -  |
|   |      |                   |         |                  |                |                                   |         |                 |                           |  |                   |                                     |                      |       |       |                       | -      |               |   |                |  |

Explanation of Adjustments

- A. B. C. D. E. F.
- (5) The following table discloses ACA risk corridor receivable balances by risk corridor program year.

|                                 | 1  | 2  | 3                            | 4   | 5                      | 6                           |
|---------------------------------|--|--|------------------------------|---|------------------------|-----------------------------|
| Risk Corridors<br>Program Year: | Estimated Amount<br>to be Filed or Final<br>Amount Filed with<br>CMS | Non-Accrued<br>Amounts for<br>Impairment or<br>Other Reasons | Amounts received<br>from CMS | Asset Balance<br>(Gross of Non-<br>admissions)<br>(1-2-3) | Non-admitted<br>Amount | Net Admitted Asset<br>(4-5) |
| a. 2014                         | \$ 763   | \$ 645   | \$ 118                       | \$-   | \$-                    | \$-                         |
| b. 2015                         | -  | -  | -                            | -   | -                      | -                           |
| c. 2016                         |  | -  | -                            | -   | -                      | -                           |
| d. Total (a+b+c)                | \$ 763   | \$ 645   | \$ 118                       | \$-   | \$-                    | \$-                         |
|                                 |  |  |                              |   |                        |                             |

#### 25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, health care and other amounts receivable and reinsurance recoverables at June 30, 2017 and December 31, 2016:

|  |                                    | June 30, 2017                     |                       |
|--|------------------------------------|-----------------------------------|-----------------------|
|  | Current Year<br>Incurred<br>Claims | Prior Years<br>Incurred<br>Claims | Total                 |
| Beginning of year claim reserve<br>Paid claims—net of health care receivable                                   | \$-                                | \$ (101,692,162)                  | \$ (101,692,162)      |
| and reinsurance recoveries collected   | 350,553,760                        | 59,153,559                        | 409,707,319           |
| End of year claim reserve  | 98,414,146                         | 6,801,637                         | 105,215,783           |
| Incurred claims excluding the change in health care receivable and reinsurance recoverables as presented below | 448,967,906                        | (35,736,966)                      | 413,230,940           |
| Beginning of year health care receivable<br>and reinsurance recoverables                                       | -                                  | 21,428,587                        | 21,428,587            |
| End of year health care receivable<br>and reinsurance recoverables   | (19,496,417)                       | (6,018,684)                       | (25,515,101)          |
| Total incurred claims  | <u>\$ 429,471,489</u>              | <u>\$ (20,327,063</u> )           | <u>\$ 409,144,426</u> |

|  |                                    | December 31, 2016                 | 3                              |
|--|------------------------------------|-----------------------------------|--------------------------------|
|  | Current Year<br>Incurred<br>Claims | Prior Years<br>Incurred<br>Claims | Total                          |
| Beginning of year claim reserve<br>Paid claims—net of health care receivable                                   | \$-                                | \$ (133,051,111)                  | \$ (133,051,111)               |
| and reinsurance recoveries collected<br>End of year claim reserve  | 662,878,111<br>99,678,833          | 107,178,583<br>2,013,329          | 770,056,694<br>101,692,162     |
| Incurred claims excluding the change in health care receivable and reinsurance recoverables as presented below | 762,556,944                        | (23,859,199)                      | 738,697,745                    |
| Beginning of year health care receivable<br>and reinsurance recoverables<br>End of year health care receivable | -                                  | 25,060,253                        | 25,060,253                     |
| and reinsurance recoverables   | (18,854,038)                       | (2,574,549)                       | (21,428,587)<br>\$ 742,220,411 |
|  | <u>\$ 743,702,906</u>              | <u>\$ (1,373,495)</u>             | <u>\$ 742,329,411</u>          |

The liabilities for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, net of health care receivable and reinsurance recoverables as of December 31, 2016 was \$80,263,575. As of June 30, 2017, \$59,153,559 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of healthcare receivable and reinsurance recoverables are now \$782,953 as a result of re-estimation of unpaid claims. Therefore, there has been \$20,327,063 favorable prior year development since December 31, 2016 to June 30, 2017. The primary drivers consist of favorable development in retroactivity for inpatient, outpatient, physician, and pharmacy claims of \$15,786,645 and favorable development as a result of a change in the provision for adverse deviations in experience of \$4,354,665. At December 31, 2016, the Company recorded \$1,373,495 favorable prior year development related to favorable development as a result of a change in the provision for adverse deviations in experience of \$3,460,394, favorable development of \$232,327 in retroactivity for inpatient, outpatient, physician, and pharmacy claims; partially offset by unfavorable development of \$1,268,913 in capitation and \$1,043,769 in Medicare risk share expenses. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, including the medical loss ratio rebate accruals. Included in this favorable development is the impact related to retrospectively rated policies, which also has a corresponding impact on medical loss ratio rebates. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in unearned premium reserves and reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$20,760,508 and \$35,545,115 for the six months ended June 30, 2017 and the year ended December 31, 2016, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement. The following tables disclose paid claims adjustment expense ("CAE"), incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the six months ended June 30, 2017 and the year ended December 31, 2016:

|  | June 30, 2017                                | Dec | cember 31, 2016                             |
|--|--|-----|---|
| Total claims adjustment expenses incurred<br>Less current year unpaid claims adjustment expenses<br>Add prior year unpaid claims adjustment expenses | \$<br>20,760,508<br>(1,123,848)<br>1,083,143 | \$  | 35,545,115<br>(1,083,143)<br><u>800,248</u> |
| Total claims adjustment expenses paid  | \$<br>20,719,803                             | \$  | 35,262,220                                  |

#### 26. INTERCOMPANY POOLING ARRANGEMENTS

A-G. No significant change.

#### 27. STRUCTURED SETTLEMENTS

**A–B.** No significant change.

#### 28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

**A.** Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions.

Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted and excluded all pharmacy rebates receivable that do not meet the admissibility criteria of SSAP No. 84, *Certain Health Care Receivables and Receivables under Government Insured Plans* ("SSAP No. 84") from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria of SSAP No. 84, the pharmacy rebate transaction history is summarized as follows:

| Quarter    | Estimated<br>Pharmacy<br>Rebates as<br>Reported on<br>Financial<br>Statements | Pharmacy<br>Rebates as<br>Billed or<br>Otherwise<br>Confirmed | Actual<br>Rebates<br>Received<br>within 90<br>Days of<br>Billing | Actual<br>Rebates<br>Received<br>within 91 to<br>180 Days of<br>Billing | Actual Rebates<br>Received More<br>than 180 Days<br>After Billing |
|------------|---|---|--|---|---|
| 6/30/2017  | \$ 9,311,994  | \$ -  | \$-  | \$ -  | \$ -  |
| 3/31/2017  | 8,519,272   | 7,608,466   | 3,649,753  | -   | -   |
| 12/31/2016 | 7,136,929   | 7,116,966   | 4,489,856  | 1,742,228   |   |
| 9/30/2016  | 7,313,263   | 7,263,206   | 4,712,704  | 1,669,956   | 772,311   |
| 6/30/2016  | 7,054,354   | 7,053,160   | 5,098,654  | 1,656,313   | 245,591   |
| 3/31/2016  | 6,173,989   | 6,386,621   | 4,115,438  | 1,903,400   | 200,955   |
| 12/31/2015 | 5,089,774   | 5,127,371   | 3,790,016  | 1,245,072   | 41,191  |
| 9/30/2015  | 5,088,666   | 5,057,824   | 3,927,766  | 617,928   | 486,523   |
| 6/30/2015  | 5,368,266   | 5,776,873   | 3,650,050  | 1,055,516   | 712,466   |
| 3/31/2015  | 4,351,366   | 4,626,594   | 2,779,913  | 916,279   | 664,679   |
| 12/31/2014 | 2,948,805   | 2,886,878   | 1,919,244  | 724,754   | 229,331   |
| 9/30/2014  | 3,165,712   | 3,110,403   | 2,175,217  | 706,815   | 229,575   |

Of the amount reported as health care and other amounts receivable, \$12,977,222 and \$9,028,570 relates to pharmacy rebates receivable as of June 30, 2017 and December 31, 2016, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix.

B. No significant change.

#### 29. PARTICIPATING POLICIES

No significant change.

#### 30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves as of June 30, 2017 or December 31, 2016. The analysis of premium deficiency reserves was completed as of June 30, 2017 and December 31, 2016. The Company did consider anticipated investment income when calculating the premium deficiency reserves.

The following table summarizes the Company's premium deficiency reserves as of June 30, 2017 and December 31, 2016:

|   | June 30, 2017                                  |
|---|--|
| 1. Liability carried for premium deficiency reserves  | \$ -   |
| 2. Date of the most recent evaluation of this liability   | 6/30/2017                                      |
| 3. Was anticipated investment income utilized in this calculation?  | Yes X No                                       |
| <ol> <li>Liability carried for premium deficiency reserves</li> <li>Date of the most recent evaluation of this liability</li> </ol> | <b>December 31, 2016</b><br>\$ -<br>12/31/2016 |
| 3. Was anticipated investment income utilized in this calculation?  | Yes X No                                       |

### 31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

### **GENERAL INTERROGATORIES**

#### **PART 1 - COMMON INTERROGATORIES**

### GENERAL

| 1.1        | Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?  | Yes [ ] No [X]                     |
|------------|--|------------------------------------|
| 1.2        | If yes, has the report been filed with the domiciliary state?  | Yes [ ] No [ ]                     |
| 2.1        | Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?  | Yes [ ] No [X]                     |
| 2.2        | If yes, date of change:  |                                    |
| 3.1        | Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which<br>is an insurer?   | Yes [ X ] No [ ]                   |
| 3.2        | Have there been any substantial changes in the organizational chart since the prior quarter end?   | Yes [X] No []                      |
| 3.3        | If the response to 3.2 is yes, provide a brief description of those changes.<br>April 3, 2017 OptumHealth Holdings, LLC, a Delaware limited liability company, sold 100% of its equity interests in Connextions, Inc., a<br>Florida corporation, and its wholly owned subsidiary Connextions HCI, LLC, a Florida limited liability company, to an outside third party. |                                    |
|            | May 10, 2017 Health Net Insurance of New York, Inc., a New York corporation, merged with and into UnitedHealthcare Insurance Company of New York, Inc., a New York corporation.  |                                    |
|            | May 22, 2017 Collaborative Care Holdings, LLC, a Delaware limited liability company, acquired 80.1% of the membership interests of AHN Target Holdings, LLC, a Delaware limited liability company.   |                                    |
|            | June 8, 2017 OptumInsight, Inc., a Delaware corporation, sold its ownership interests in Health Technology Analysts Pty Limited, an Australia company, to an outside third party.  |                                    |
|            | June 12, 2017 InterCare Health Plans, Inc. a Colorado corporation was dissolved.   |                                    |
|            | June 12, 2017 CNIC Health Administrators, LLC a Colorado limited liability company was dissolved.  |                                    |
|            | June 30, 2017 United HealthCare Services, Inc., a Minnesota corporation, acquired 50% of the membership interests of SharedClarity, LLC, a Delaware limited liability company. As a result, United HealthCare Services, Inc. now owns 100% of the membership interests of SharedClarity, LLC. "  |                                    |
| 4.1        | Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  | Yes [ ] No [ X ]                   |
| 4.2        | If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.   |                                    |
|            | 1     2     3       Name of Entity     NAIC Company Code     State of Domicile   |                                    |
| 5.         | If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-<br>in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [<br>If yes, attach an explanation.  | ] No [ X ] N/A [ ]                 |
| 6.1        | State as of what date the latest financial examination of the reporting entity was made or is being made.  | 12/31/2014                         |
| 6.2        | State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.  | 12/31/2014                         |
| 6.3        | State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).  | 06/06/2016                         |
| 6.4        | By what department or departments?   |                                    |
| 6.5        | Rhode Island Department of Business Regulation<br>Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial<br>statement filed with Departments?  | ] No [ ] N/A [ X ]                 |
| 6.6        |  |                                    |
|            | Have all of the recommendations within the latest financial examination report been complied with?   |                                    |
| 7.1        | Have all of the recommendations within the latest financial examination report been complied with?   |                                    |
| 7.1<br>7.2 | Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or  | ] No [ ] N/A [ X ]                 |
|            | Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  | ] No [ ] N/A [X]<br>Yes [ ] No [X] |
| 7.2        | Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  | ] No [ ] N/A [X]<br>Yes [ ] No [X] |
| 7.2<br>8.1 | Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?  | ] No [ ] N/A [X]<br>Yes [ ] No [X] |

regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1                | 2                      | 3   | 4   | 5    | 6   |
|------------------|------------------------|-----|-----|------|-----|
| Affiliate Name   | Location (City, State) | FRB | OCC | FDIC | SEC |
| Optum Bank, Inc. | Salt Lake City, UT     | NO  | NO  | YES  | NO  |
|                  |                        |     |     |      | ĺ   |

# **GENERAL INTERROGATORIES**

| 9.1          | Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing   |                  |
|--------------|--|------------------|
|              | similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?  | Yes [ X ] No [ ] |
|              | <ul> <li>(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional<br/>relationships;</li> </ul>  |                  |
|              | (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;   |                  |
|              | (c) Compliance with applicable governmental laws, rules and regulations;   |                  |
|              | (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  |                  |
|              | (e) Accountability for adherence to the code.  |                  |
| 9.11         | If the response to 9.1 is No, please explain:  |                  |
| 9.2          | Has the code of ethics for senior managers been amended?   | Yes [ ] No [ X ] |
| 9.21         | If the response to 9.2 is Yes, provide information related to amendment(s).  |                  |
|              |  |                  |
| 9.3          | Have any provisions of the code of ethics been waived for any of the specified officers?   | Yes [ ] No [ X ] |
| 9.31         | If the response to 9.3 is Yes, provide the nature of any waiver(s).  |                  |
|              |  |                  |
|              | FINIANCIAL   |                  |
|              | FINANCIAL  |                  |
| 10.1         | Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?  | Yes [ ] No [X]   |
| 10.2         | If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$   | 0                |
|              |  |                  |
|              |  |                  |
|              | INVESTMENT   |                  |
| 44.4         | Ware any of the steeled hands or other eccess of the reporting antity learned placed under action accomment, or otherwise made available for   |                  |
| 11.1         | Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) | Yes [ ] No [ X ] |
| 11.2         | If yes, give full and complete information relating thereto:   |                  |
|              |  |                  |
| 12.          | Amount of real estate and mortgages held in other invested assets in Schedule BA:  |                  |
| 13.          | Amount of real estate and mortgages held in short-term investments:  |                  |
| 14.1<br>14.2 | Does the reporting entity have any investments in parent, subsidiaries and affiliates?<br>If yes, please complete the following:   | Tes [ ] NO [ Å ] |
| 14.2         | il yes, please complete the following.   | 2                |
|              | Prior Year-End   | Current Quarter  |
|              | Book/Adjusted  | Book/Adjusted    |
|              | Carrying Value   | Carrying Value   |
| 1101         | Pondo (* O   |                  |

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Yes [

Yes [

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] No[X] ] No[]

14.21 Bonds

15.1

15.2

14.22 Preferred Stock

14.23 Common Stock

14.24 Short-Term Investments

14.25 Mortgage Loans on Real Estate14.26 All Other \_\_\_\_\_\_

14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? . If no, attach a description with this statement.

14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .

## **GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$ 0 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0 16.3 Total payable for securities lending reported on the liability page. \$ 0

Yes [ X ] No [ ]

17 Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ....... For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

17 1

| 1                       | 2                                |
|-------------------------|----------------------------------|
| Name of Custodian(s)    | Custodian Address                |
| Bank of New York Mellon |                                  |
| Northern Trust          | 50 S. LaSalle, Chicago, IL 60675 |
|                         |                                  |

For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name. 172 location and a complete explanation

| 1       | 2           | 3                       |
|---------|-------------|-------------------------|
| Name(s) | Location(s) | Complete Explanation(s) |
|         |             |                         |

173 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ] No [ X ] Yes [ 17.4 If yes, give full information relating thereto:

| 1             | 2             | 3              | 4      |
|---------------|---------------|----------------|--------|
| Old Custodian | New Custodian | Date of Change | Reason |
|               |               |                |        |

Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to 17.5 make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1<br>Name of Firm or Individual              | 2<br>Affiliation |
|--|------------------|
|  |                  |
| Internally Managed                           |                  |
| JPMorgan Investment Management Inc.          | U                |
| Deutsche Investment Management Americas Inc. | U                |
| · ·  |                  |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. Yes [X] No [] designated with a "U") manage more than 10% of the reporting entity's assets?

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [ X ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1                    | 2  | 3                             | 4               | 5           |
|----------------------|--|-------------------------------|-----------------|-------------|
|                      |  |                               |                 | Investment  |
|                      |  |                               |                 | Management  |
| Central Registration |  |                               |                 | Agreement   |
| Depository Number    | Name of Firm or Individual                   | Legal Entity Identifier (LEI) | Registered With | (IMA) Filed |
| 107038               | JPMorgan Investment Management Inc.          | NA                            | SEC             | NO          |
|                      | Deutsche Investment Management Americas Inc. |                               |                 |             |
| 104518               |  | CZ83K4EEEX8QVCT3B128          | SEC             | NO          |
|                      |  |                               |                 |             |
|                      |  |                               |                 |             |

Yes [ X ] No [ ] 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?..... 18.2 If no, list exceptions:

## **GENERAL INTERROGATORIES**

### PART 2 - HEALTH

#### 1. Operating Percentages:

|     | 1.1 A&H loss percent  |       |            | 7%  |
|-----|---|-------|------------|-----|
|     | 1.2 A&H cost containment percent  |       |            | 1%  |
|     | 1.3 A&H expense percent excluding cost containment expenses                             |       |            | 2 % |
| 2.1 | Do you act as a custodian for health savings accounts?                                  | Yes [ | ] No [ X ] |     |
| 2.2 | If yes, please provide the amount of custodial funds held as of the reporting date      |       |            |     |
| 2.3 | Do you act as an administrator for health savings accounts?                             | Yes [ | ] No [ X ] |     |
| 2.4 | If yes, please provide the balance of the funds administered as of the reporting date\$ |       |            |     |

# **SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

| NAIC<br>Company ID Effective ID Ef | Showing All New Reinsurance Treaties - Current Year to Date |        |                        |              |                        |                                       |               |                                   |  |  |
|---|---|--------|------------------------|--------------|------------------------|---------------------------------------|---------------|-----------------------------------|--|--|
| Company<br>Code       Dit       Effective<br>Date       Defective<br>Mumber       Effective<br>Date       Reing<br>(Rating<br>Mumber)       Reing<br>Reinsurance<br>(Rating<br>Mumber)       Reinsurance<br>(Rating<br>Mumber)  | 1   | 2      | 3 4                    | 5            | 6                      | 7                                     |               | 9<br>Effective<br>Date of         |  |  |
| Image: Properties of the second se                                   | NAIC<br>Company   | ID     | Effective              | Domiciliary  | Type of<br>Reinsurance |                                       | Reinsurer     | Date of<br>Certified<br>Reinsurer |  |  |
| NONE  | Code  | Number | Date Name of Reinsurer | Jurisdiction | Ceded                  | Type of Reinsurer                     | (1 through 6) | Rating                            |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
| I A A A A A A A A A A A A A A A A A A A   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
| NONE  |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
| Image: Source                                   |   |        |                        |              |                        |                                       |               |                                   |  |  |
| Image: Problem in the second state of the second state                                    |   |        |                        |              |                        |                                       |               |                                   |  |  |
| Image: Problem in the second state of the second state                                    |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
| Image: Problem in the second state of the second state                                    |   |        |                        |              |                        |                                       |               |                                   |  |  |
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|   |   |        |                        |              | +                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              | +                      | · · · · · · · · · · · · · · · · · · · |               | -                                 |  |  |
|   |   |        |                        |              | -                      |                                       |               | -                                 |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |
|   |   |        |                        |              | -                      |                                       |               |                                   |  |  |
|   |   |        |                        |              |                        |                                       |               |                                   |  |  |

# **SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

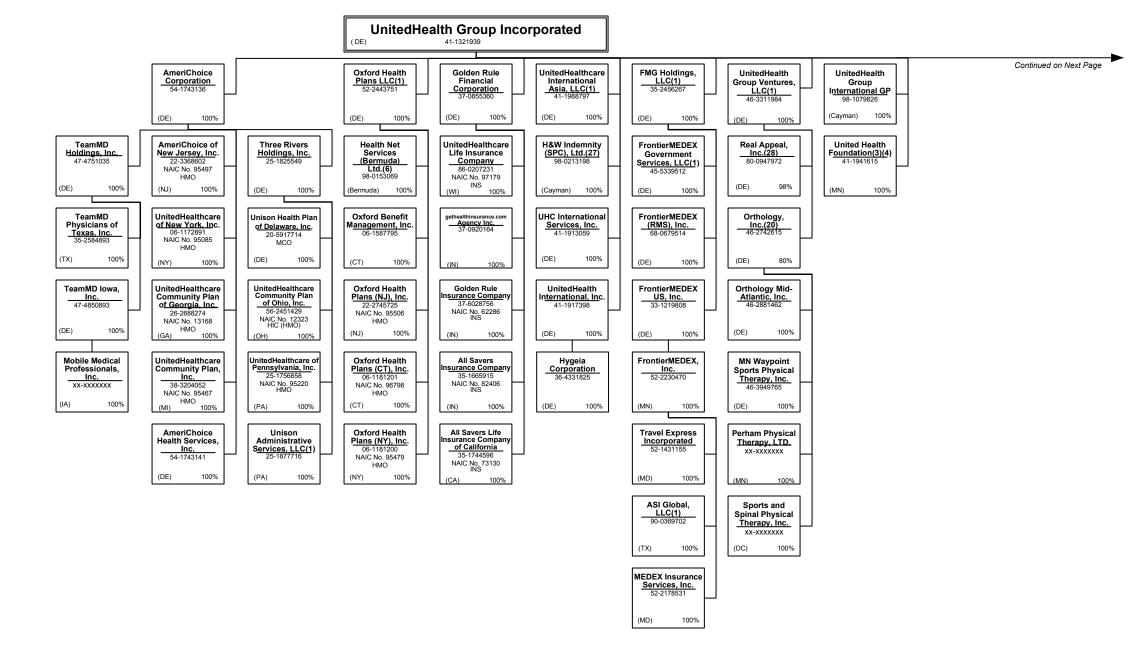
Current Year to Date - Allocated by States and Territories

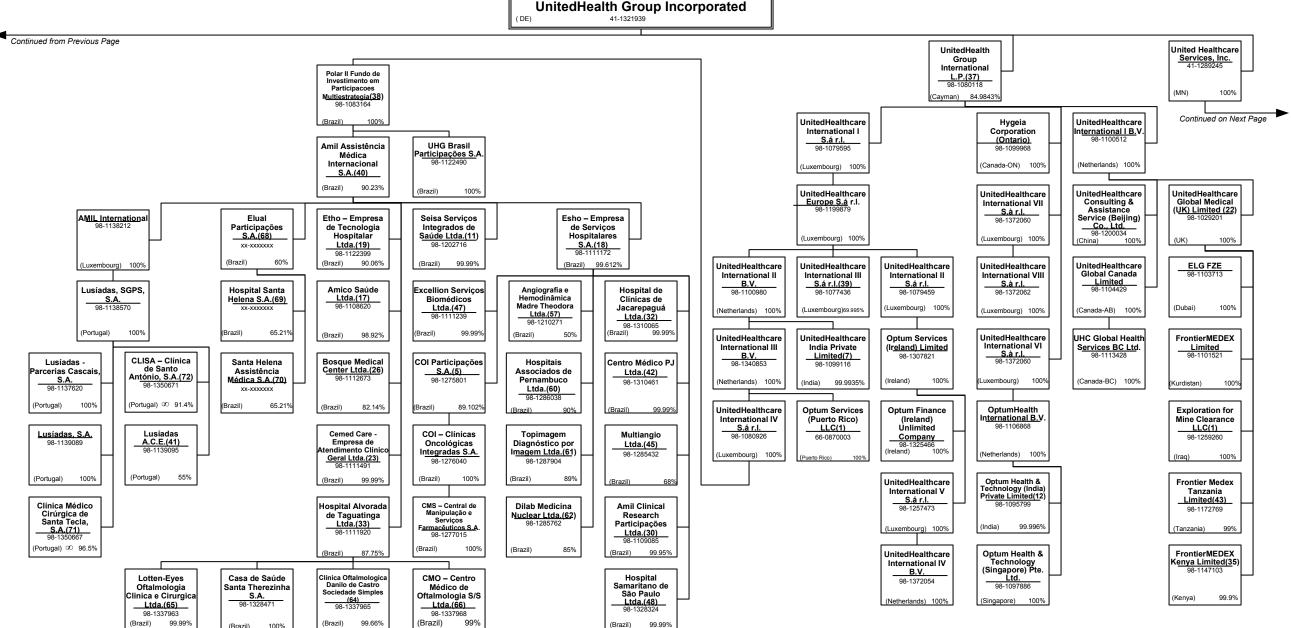
|        |   | ]      | 1                | Direct Business Only |                         |                       |  |                                   |                      |                        |                           |
|--------|---|--------|------------------|----------------------|-------------------------|-----------------------|--|-----------------------------------|----------------------|------------------------|---------------------------|
|        |   |        |                  | 2 3 4 5 6 7 8        |                         |                       |  |                                   |                      | 9                      |                           |
|        |   |        |                  | Accident and         |                         |                       | Federal<br>Employees<br>Health<br>Benefits | Life and<br>Annuity<br>Premiums & | Property/            | Total                  |                           |
|        | States, etc.                              |        | Active<br>Status | Health<br>Premiums   | Medicare<br>Title XVIII | Medicaid<br>Title XIX | Program<br>Premiums                        | Other<br>Considerations           | Casualty<br>Premiums | Columns 2<br>Through 7 | Deposit-Type<br>Contracts |
| 1.     |   | AL     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Alaska                                    |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Arizona                                   |        | NNNNN            |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Arkansas                                  |        | NN<br>N          |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Colorado                                  |        | NNNN             |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Connecticut                               |        | NN<br>N          |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Delaware                                  | -      | NN<br>N          |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | District of Columbia                      |        | NN.              |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Florida                                   |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Georgia                                   |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Hawaii                                    |        | N.               |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 13.    | Idaho I                                   | ID     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 14.    | Illinois                                  | IL     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 15.    | Indiana                                   | IN     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 16.    | lowa                                      | IA     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 17.    | Kansas                                    | KS     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Kentucky                                  |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Louisiana                                 |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Maine                                     |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Maryland                                  |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Massachusetts                             |        | L                | 4,746                | 67,758,550              |                       |  |                                   |                      | 67,763,296             |                           |
|        | Michigan                                  |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Minnesota I                               |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Mississippi I                             | -      | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Missouri                                  | -      | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Montana                                   |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Nebraska I                                |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Nevada                                    |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | New Hampshire                             |        | L                |                      | 20,597,388              |                       |  |                                   |                      | 20,597,388             |                           |
|        | New Jersey                                |        | N<br>N           |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | New Mexico  <br>New York                  |        | N<br>N           |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | North Carolina                            |        | N<br>N           |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | North Dakota                              |        | NI               |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        |   | OH     | NNNNN            |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Oklahoma                                  | -      | NNNNN            |                      |                         |                       |  |                                   |                      |                        |                           |
|        | Oregon                                    |        | NN.              |                      |                         |                       |  |                                   |                      |                        |                           |
|        | Pennsylvania                              | -      |                  |                      |                         |                       |  |                                   |                      |                        |                           |
|        | Rhode Island                              |        | <br>ا            | 6,658,468            |                         |                       |  |                                   |                      |                        |                           |
|        | South Carolina                            |        | N                |                      |                         |                       |  |                                   |                      | 000,424,220            |                           |
|        | South Dakota                              |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Tennessee                                 |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Texas                                     |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Utah I                                    |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Vermont                                   | -      | L                |                      | 6,822,943               |                       |  |                                   |                      | 6,822,943              |                           |
|        | Virginia                                  |        | N                |                      | , ,                     |                       |  |                                   |                      | 0                      |                           |
|        | Washington                                |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | West Virginia                             |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Wisconsin                                 |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Wyoming                                   |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | American Samoa                            |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Guam                                      |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Puerto Rico                               |        | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | U.S. Virgin Islands v                     | VI     | N                |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 56.    | Northern Mariana                          |        | N                |                      |                         |                       |  |                                   |                      | _                      |                           |
| 57.    | Islands I                                 |        | NNNNN            |                      |                         |                       |  |                                   |                      | 0                      |                           |
|        | Canada (<br>Aggregate Other               | CAN    | N                |                      |                         |                       |  |                                   |                      | U                      |                           |
| 50.    | Aliens                                    | от     | XXX              | 0                    | 0                       | 0                     | 0  | 0                                 | 0                    | 0                      | 0                         |
| 59.    | Subtotal                                  |        | XXX              | 6,663,214            | 231,126,500             | 260, 190, 321         | 0  | 0                                 | 0                    |                        | 0                         |
| 60.    | Reporting Entity<br>Contributions for Emp | ployee |                  |                      |                         |                       |  |                                   |                      |                        |                           |
|        | Benefit Plans                             |        | XXX              |                      |                         |                       |  |                                   |                      | 0                      |                           |
| 61.    | Totals (Direct Busines                    |        | (a) 5            | 6,663,214            | 231,126,500             | 260,190,321           | 0  | 0                                 | 0                    | 497,980,035            | 0                         |
|        | DETAILS OF WRITE-                         |        |                  |                      |                         |                       |  |                                   |                      |                        |                           |
| 58001. |   |        | XXX              |                      |                         |                       |  |                                   |                      | +                      | +                         |
| 58002. |   |        | XXX              |                      |                         |                       |  |                                   |                      |                        |                           |
| 58003. | Summary of remaining                      |        | XXX              |                      |                         |                       |  |                                   |                      |                        |                           |
| 00998. | write-ins for Line 58 fro                 |        |                  |                      |                         |                       |  |                                   |                      |                        |                           |
|        | overflow page                             |        | XXX              | 0                    | 0                       | 0                     | 0  | 0                                 | 0                    | 0                      | 0                         |
| 58999. | Totals (Lines 58001 th                    | rough  |                  |                      |                         |                       |  |                                   |                      |                        |                           |
|        | 58003 plus 58998)(Lin                     | ne 58  | 100              | ~                    | ^                       | _                     | _  | _                                 | _                    | _                      | _                         |
|        | above)                                    |        | XXX              | 0                    | 0                       | 0<br>Non dominilad    | 0<br>PRCs: (0) Quali                       | 0                                 | 0                    | 0                      | 0                         |

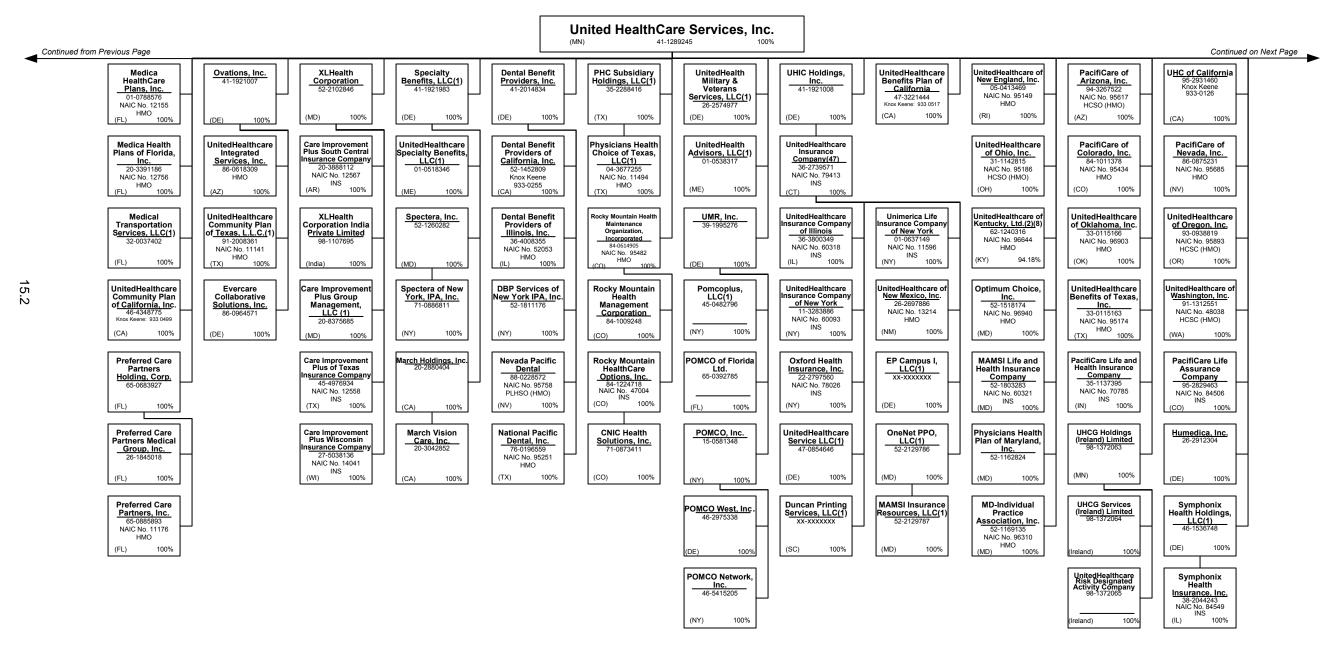
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

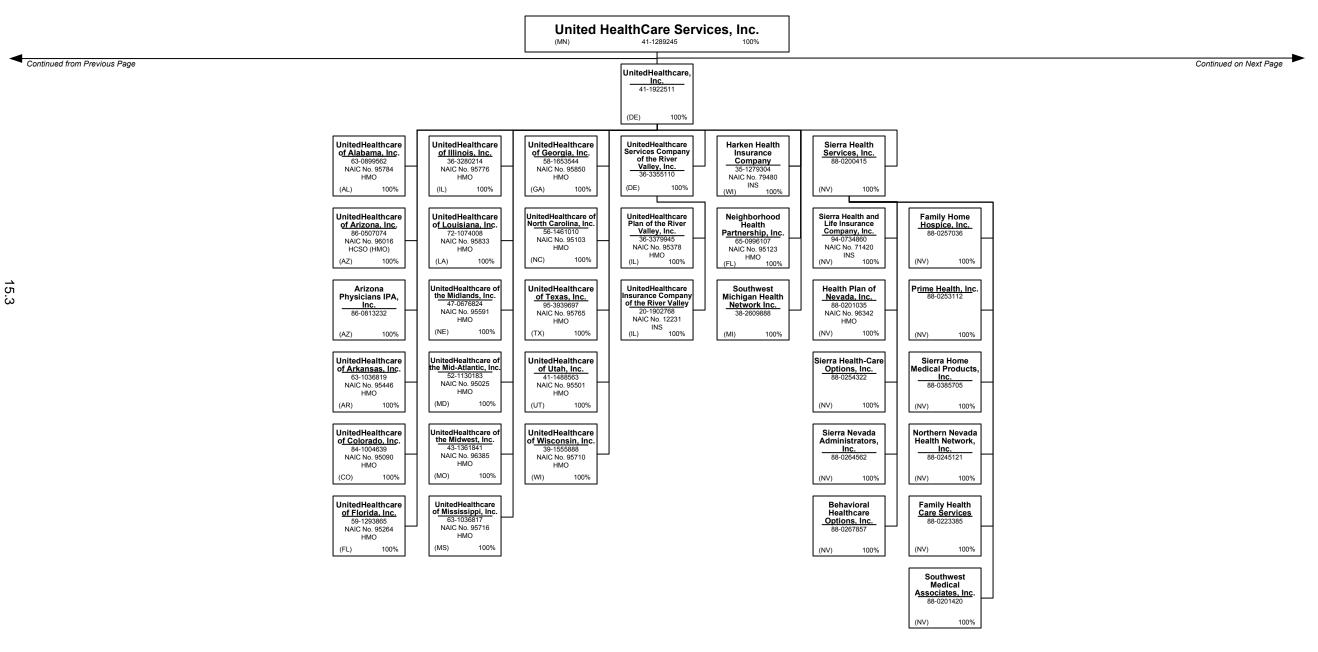
(a) Insert the number of L responses except for Canada and Other Alien.

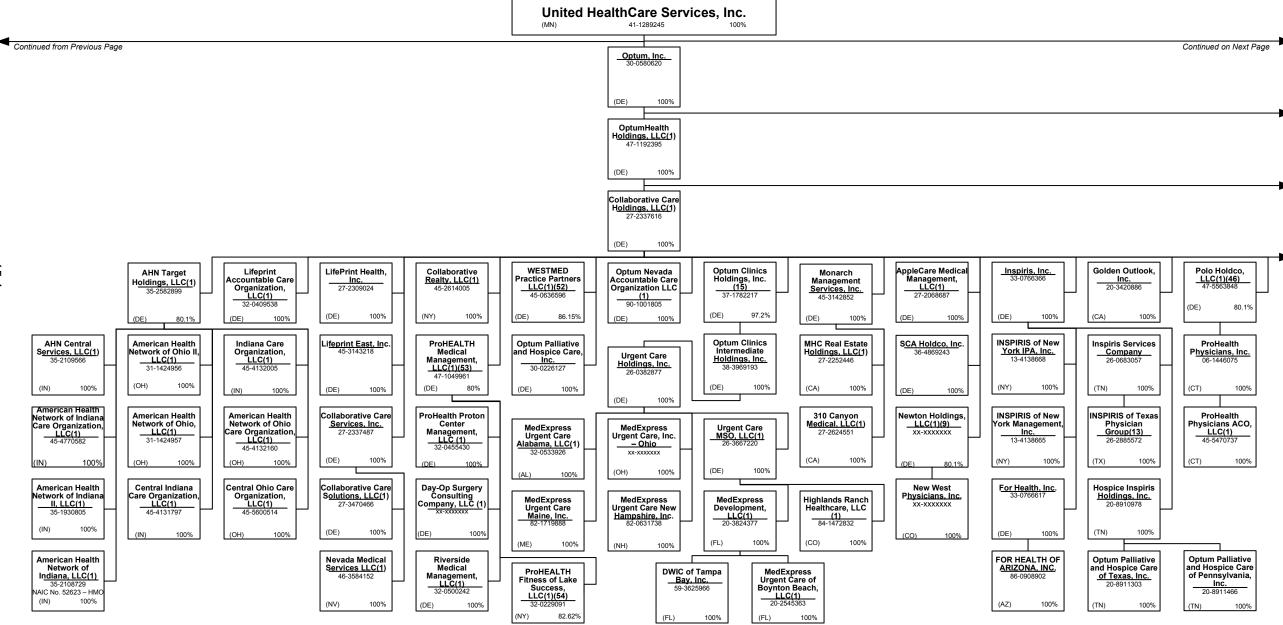
Premiums are allocated by state based on geographic market.

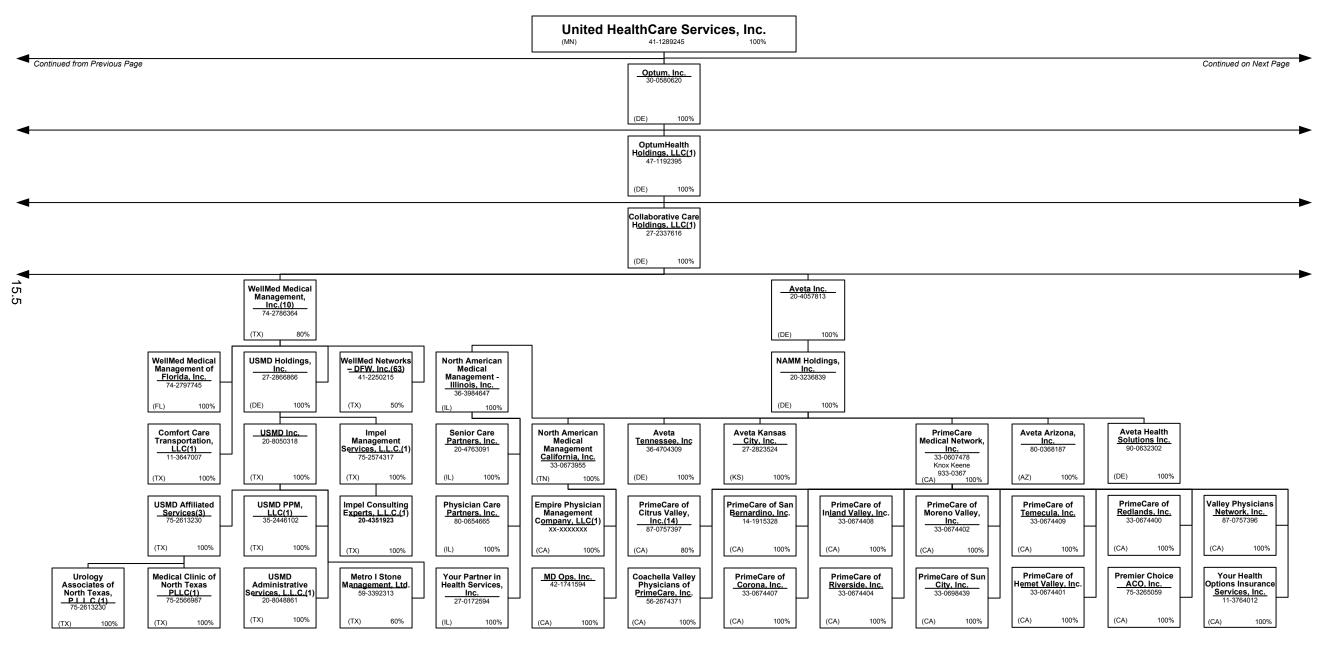


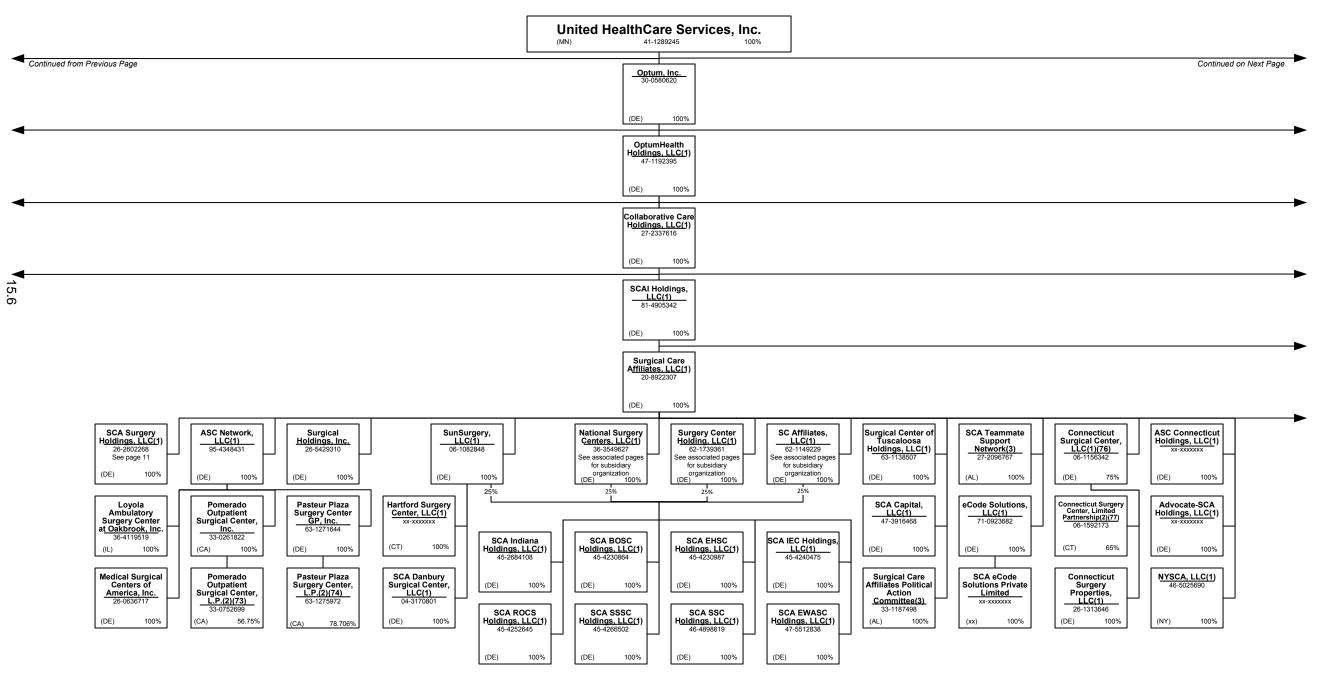


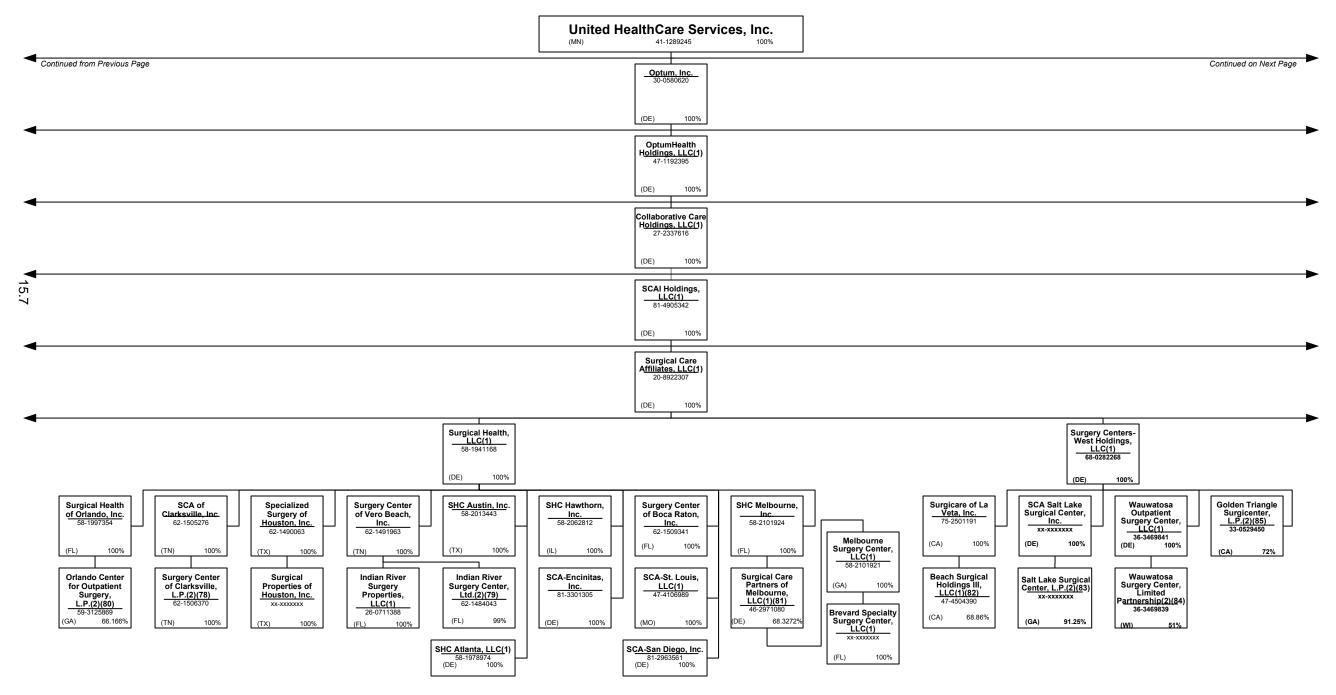


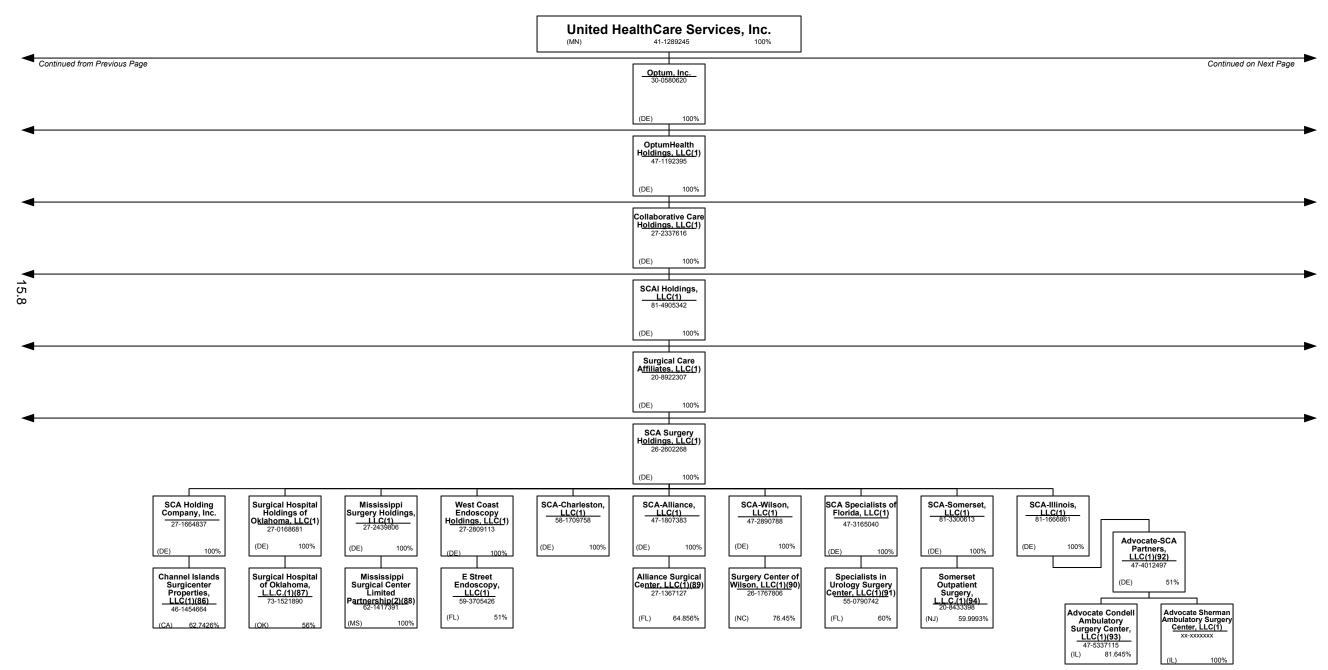


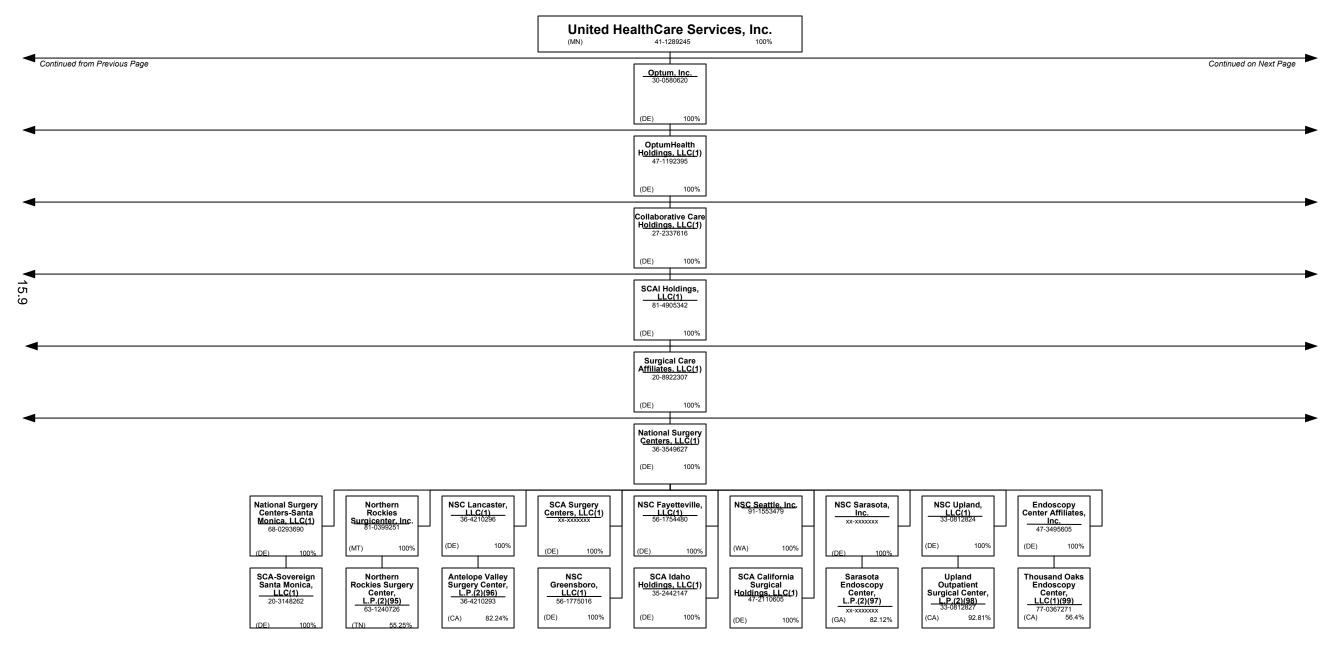


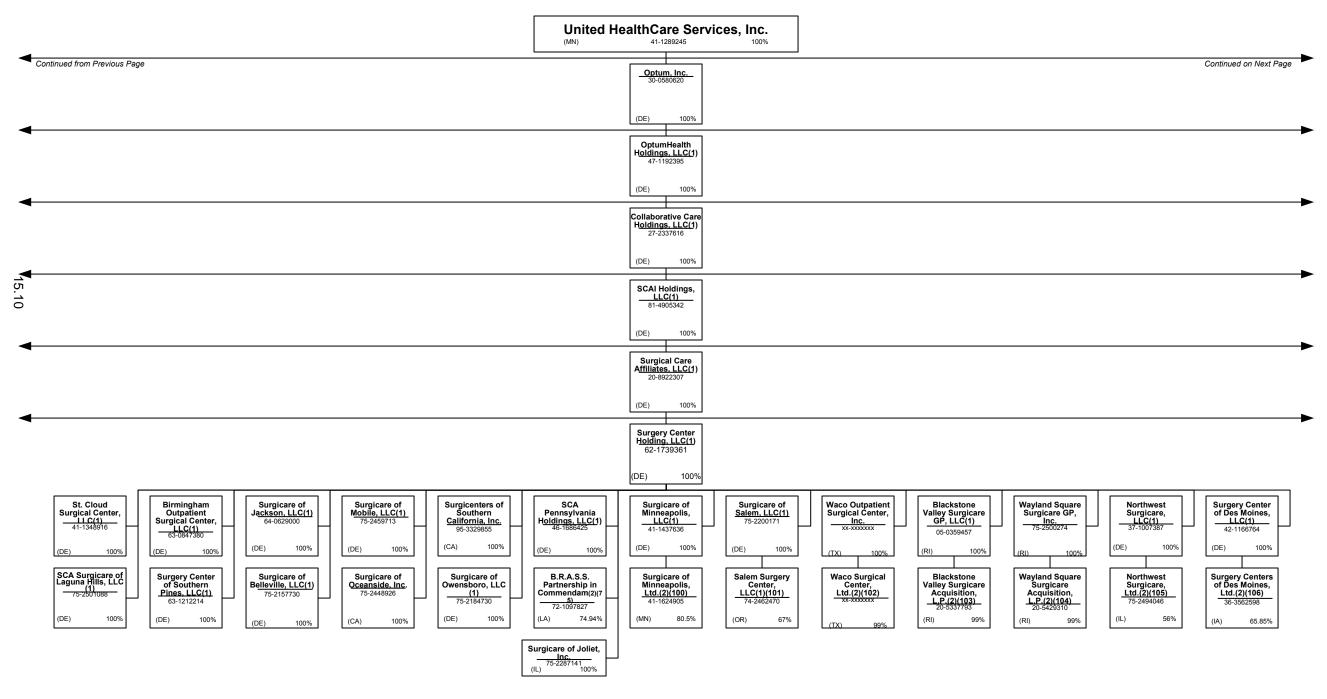


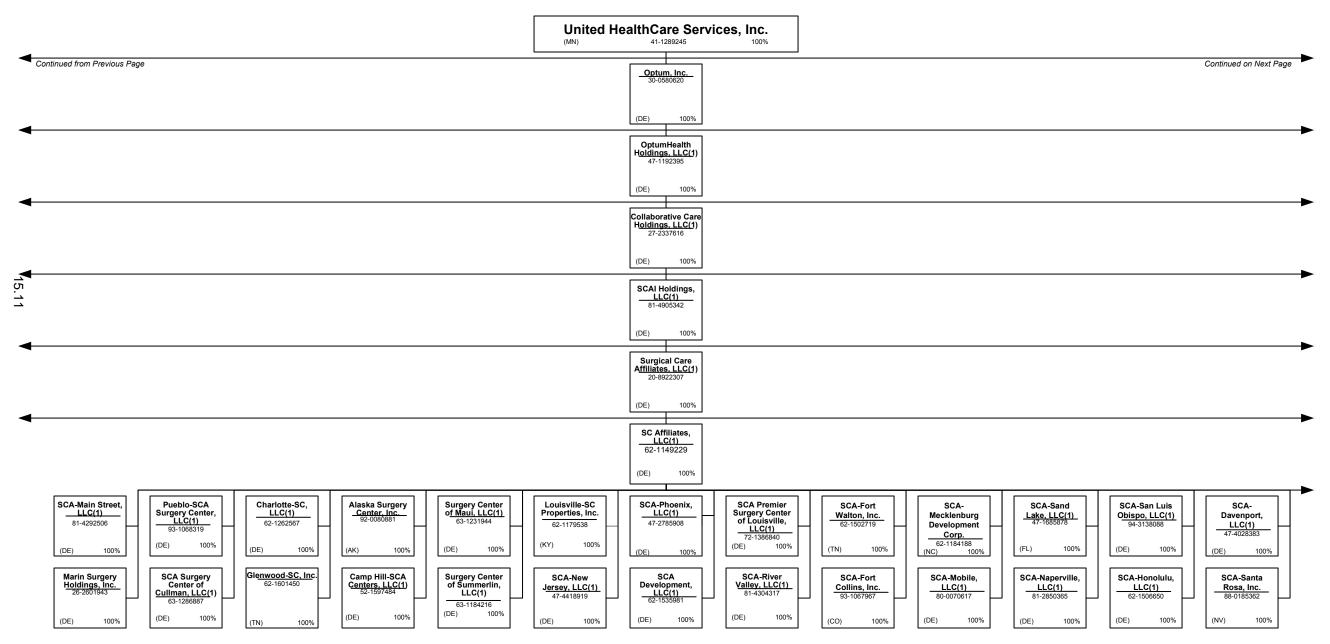


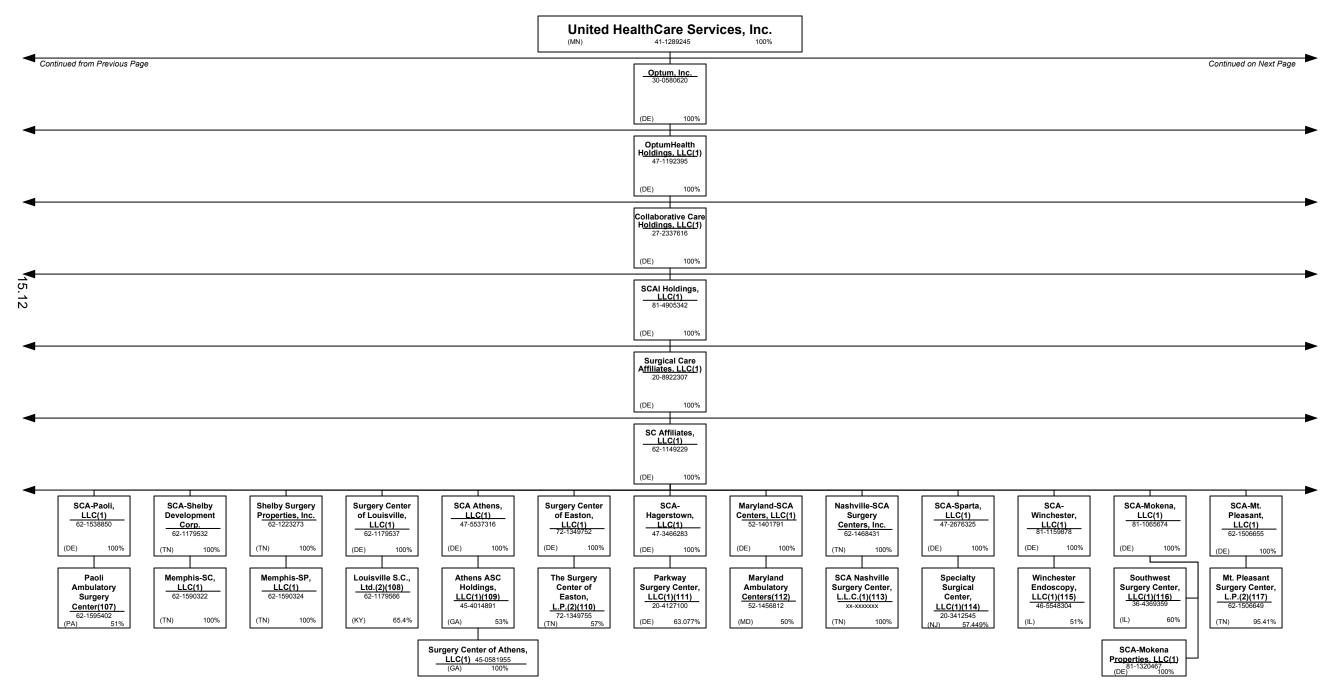


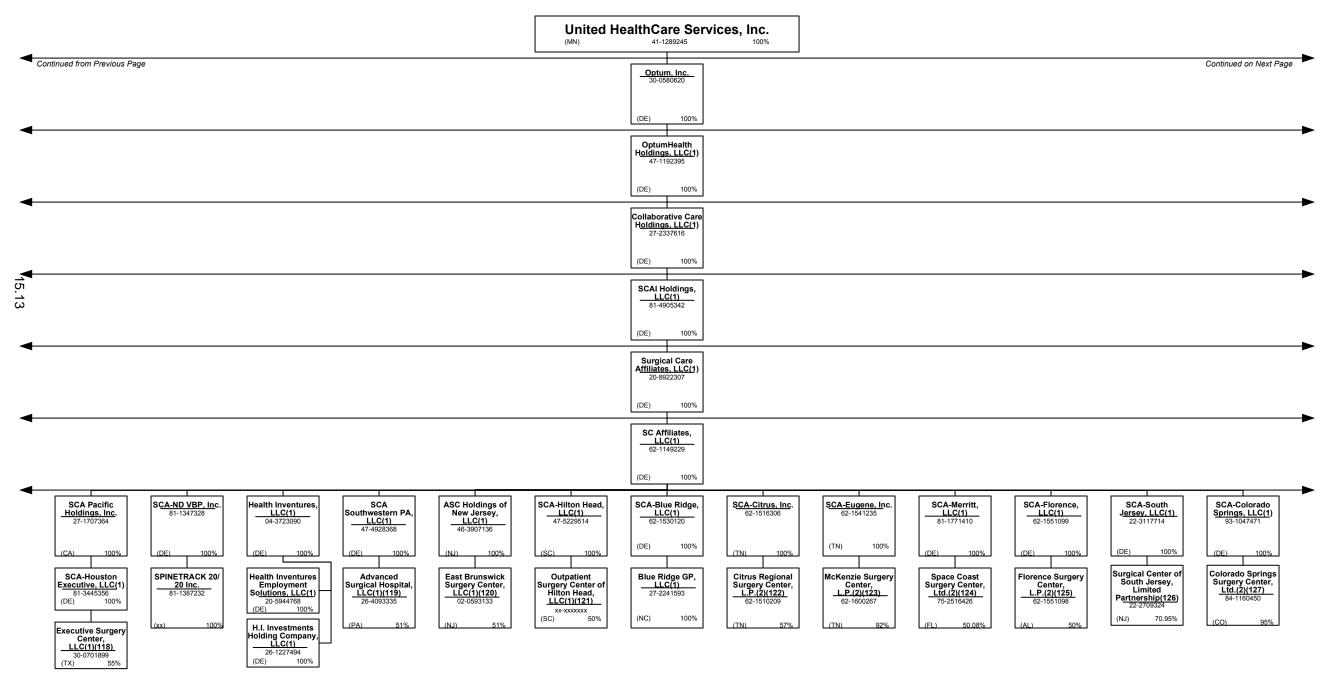


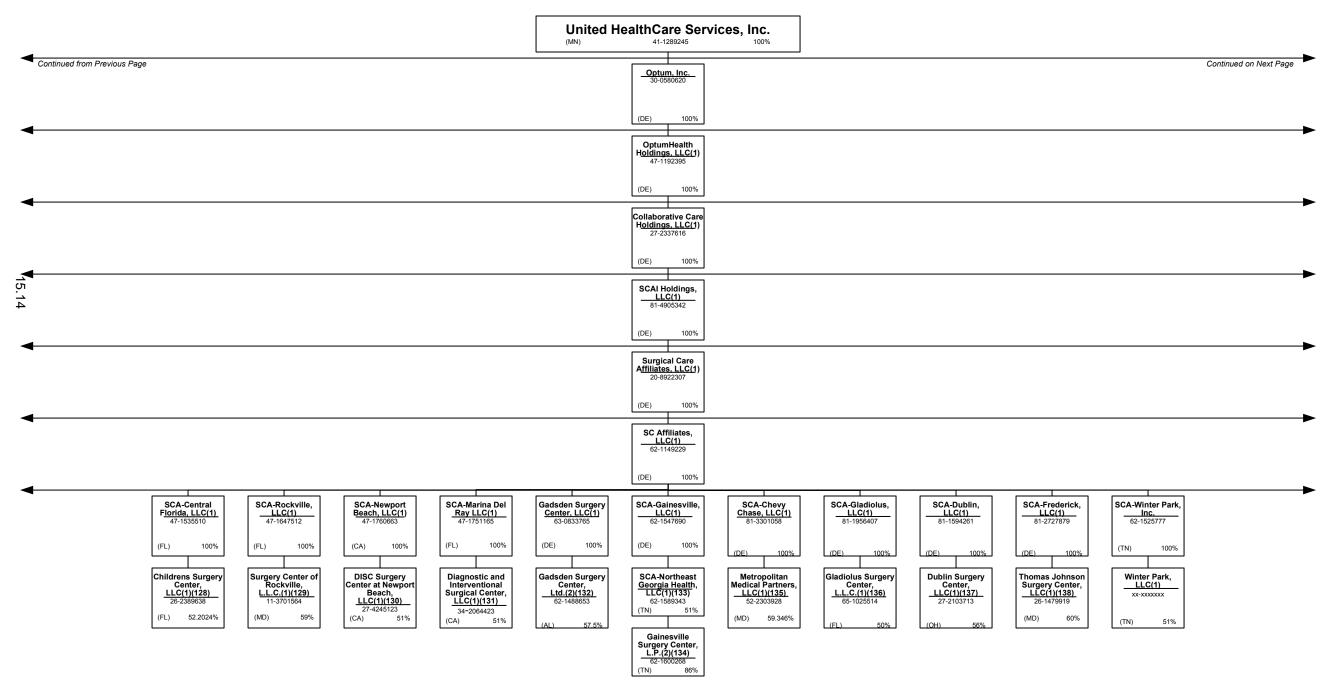


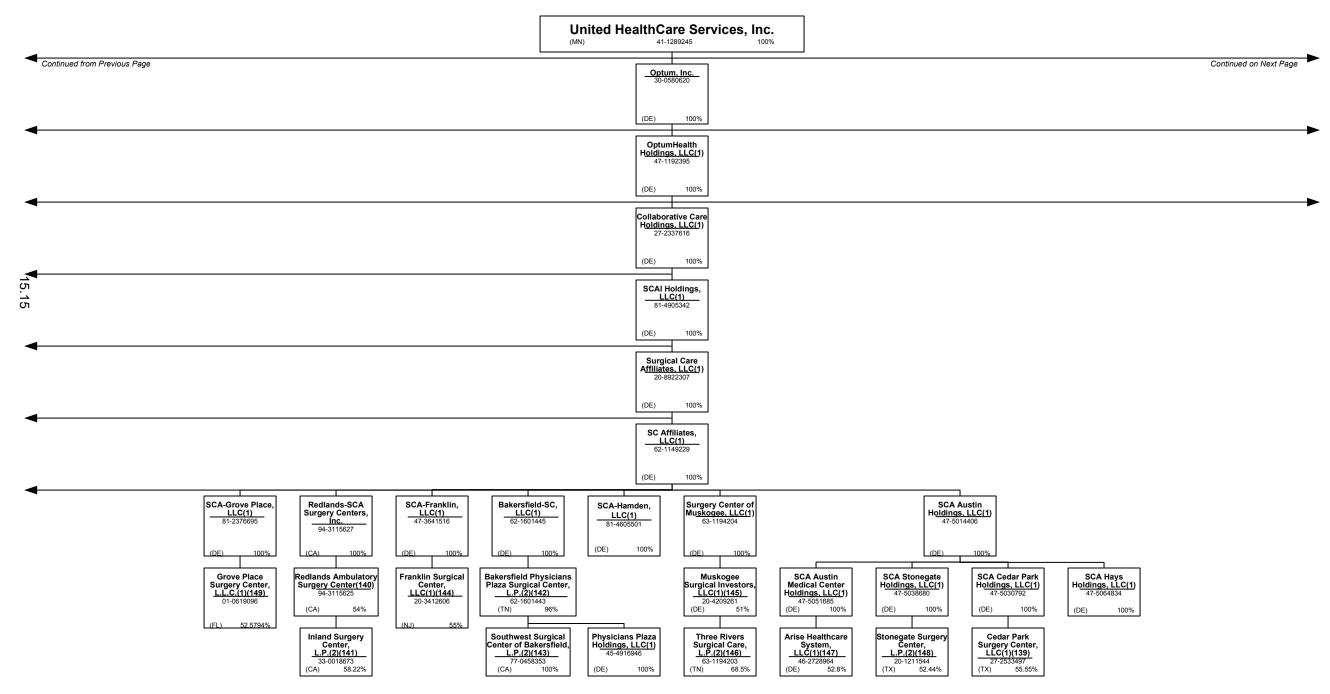


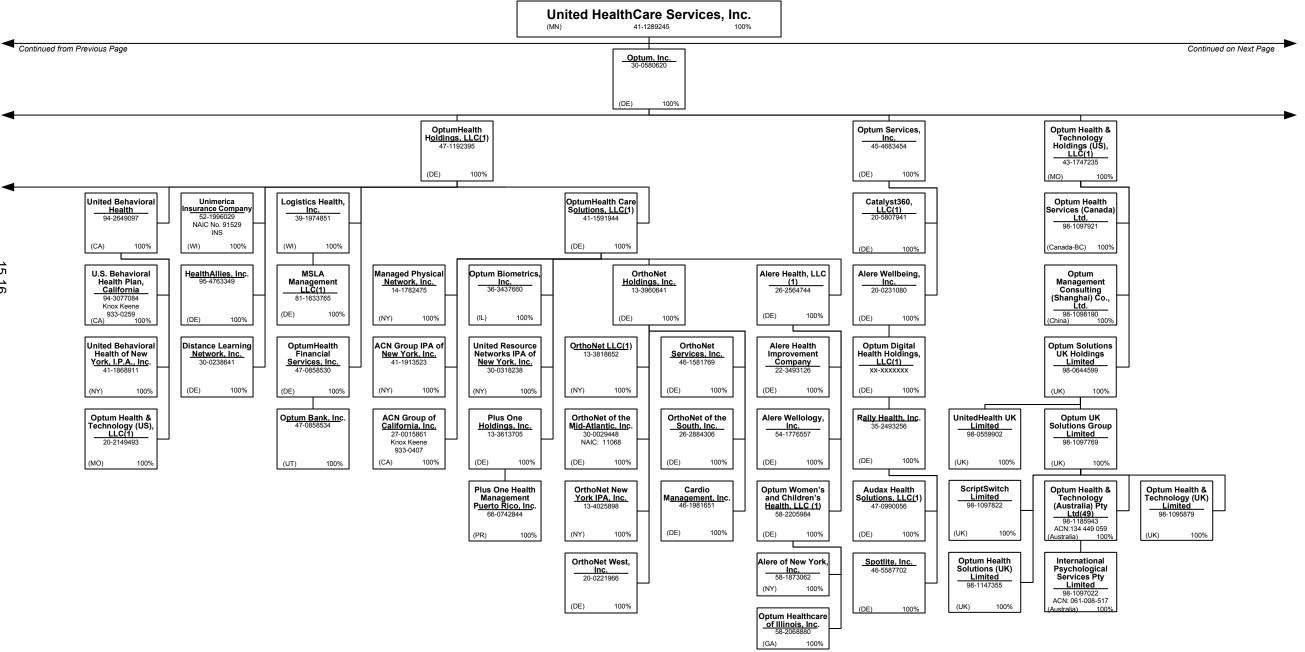


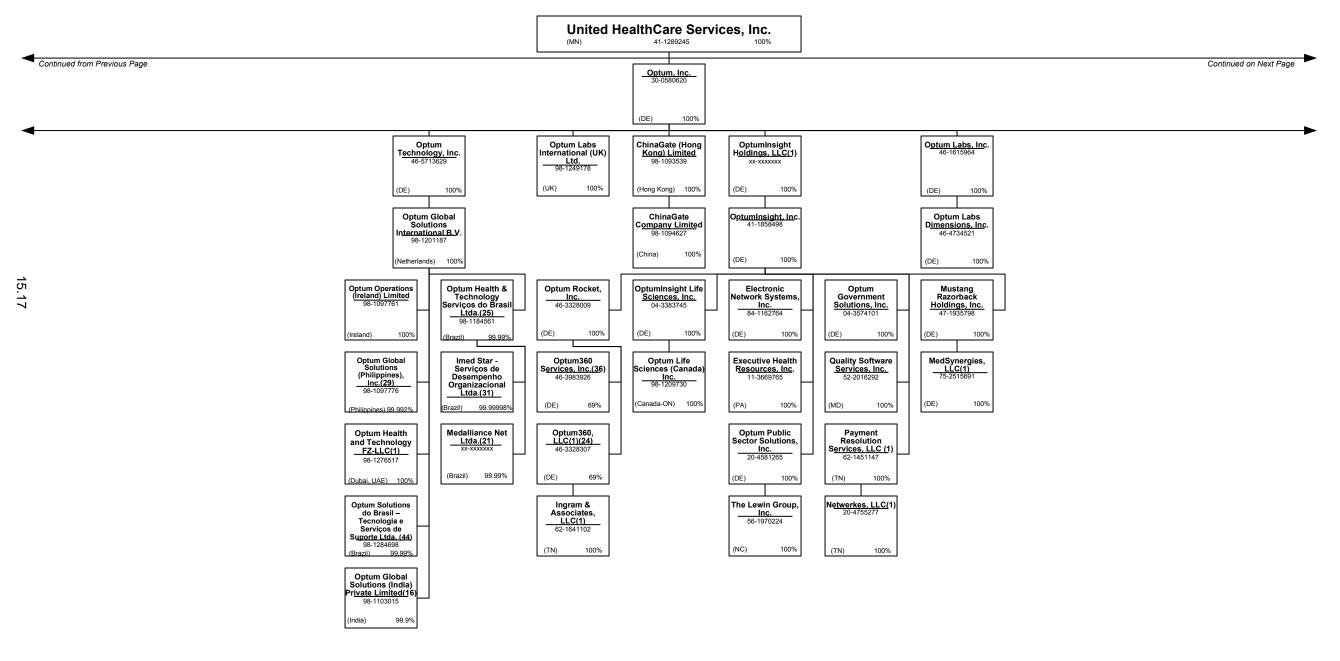


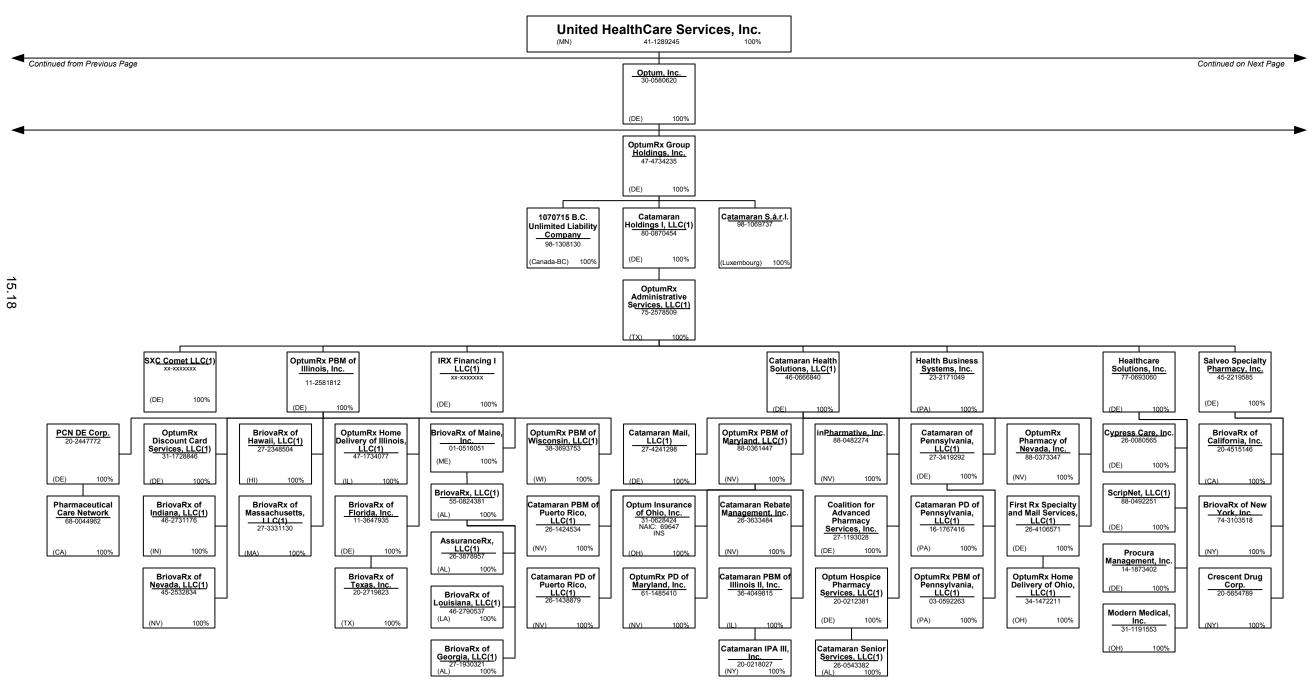


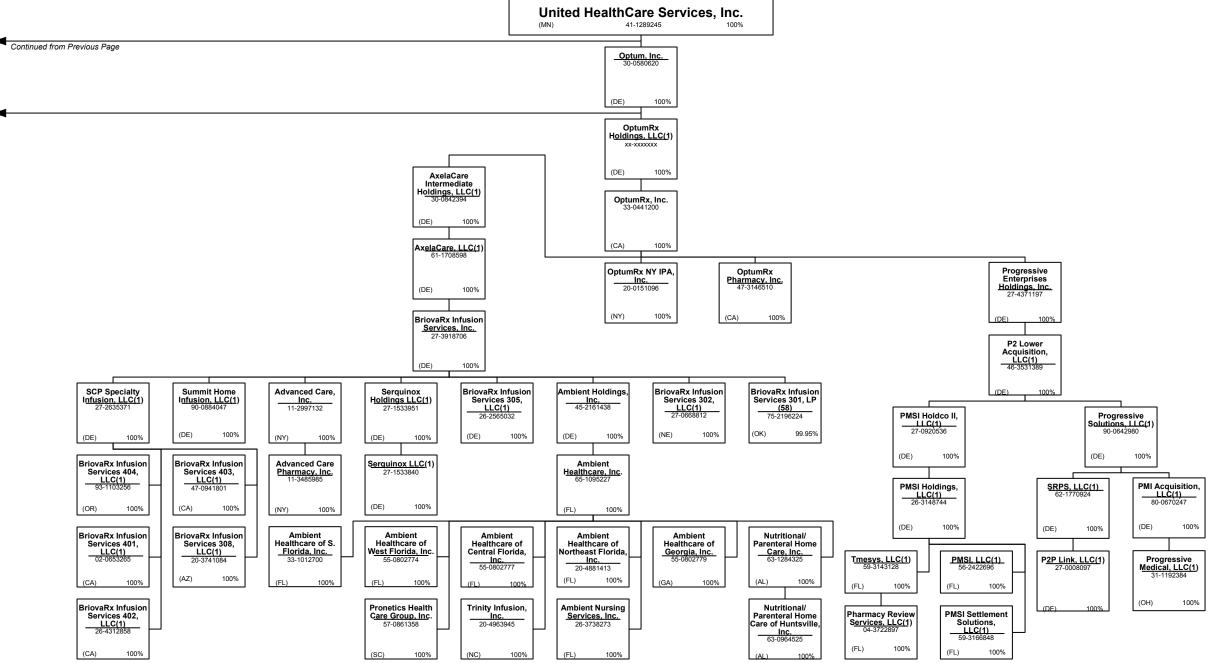












PART 1 - ORGANIZATIONAL CHART

#### **Notes**

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) COI Participações S.A. is 89.10289% owned by Esho Empresa de Serviços Hospitalares S.A. and 10.8971% owned by COIPAR Participações S.A.
- (6) Health Net Services is a company duly incorporated under the laws of Bermuda and holds a Bermuda insurer license.
- (7) UnitedHealthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0065% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Newton Holdings, LLC, is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% is owned by outside shareholders.
- **5** (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- and 20% owned by WMG Healthcare Partners, L.P.
   (11) Seisa Serviços Integrados de Saúde Ltda is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.000007% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
  - (12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
  - (13) INSPIRIS of Texas Physician Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
  - (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
  - (15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
  - (16) Optum Global Solutions (India) Private Limited is 99.9% owned by Optum Global Solutions International B.V. and 0.1% owned by UnitedHealth International, Inc.
  - (17) Amico Saúde Ltda. is 98.927933% owned by Amil Assistência Médica Internacional S.A. and 1.072067% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
  - (18) Esho Empresa de Serviços Hospitalares S.A. is 99.612603% owned by Amil Assistência Médica Internacional S.A.and 0.370368% owned by Treasury Shares and .7409758% owned by external shareholders.
  - (19) Etho Empresa de Technologia Hospitalar Ltda. 90.06% owned by Amil Assistência Médica Internacional S.A. and 9.93837% owned by an external shareholder.
  - (20) Orthology, Inc. is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
  - (21) Medalliance Net Ltda. is owned 99.999985% by Optum Health & Technology Serviços do Brasil Ltda. and 0.000015% by UHG Brasil Participações S.A.
  - (22) Branch offices in Iraq and Uganda.
  - (23) Cemed Care Empressa de Atendimento Clínico Geral Ltda. Is 99.9999995% owned by Amil Assistência Médica Internacional S.A. and 0.000005% owned by Amico Sáude Ltda.
  - (24) Optum 360, LLC is 69% owned by Optum Rocket, Inc; the remaining 31% is owned by external holders.

- (25) Optum Health & Technology Serviços do Brasil Ltda. is 99.9964% owned by Optum Global Solutions International B.V. and .0036% owned by OptumInsight, Inc.
- (26) Bosque Medical Center Ltda. is 82.14% owned by Amil Assistência Médica Internacional S.A. and 17.85306% owned by Esho – Empresa de Servicos Hospitalares S.A.
- (27) H&W Indemnity (SPC), Ltd. is an exempted segregated portfolio company organized under the laws of the Cayman Islands and holds a Cayman insurance license.
- (28) Real Appeal, Inc. is majority-owned by UHG or one of its affiliates and the remaining 2% is owned by Real Appeal Management.
- (29) Optum Global Solutions (Philippines), Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by the company's directors.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Esho Empresa de Serviços Hospitalares S.A. and 0.05% owned by Cemed Care – Empresa de Atendimento Clinico Geral Ltda.
- (31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
- (32) Hospital de Clínicas de Jacarepaguá Ltda. is 99.999998% owned by Esho Empresa de Serviços Hospitalares S.A. and 0.000002% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (33) Hospital Alvorada Taguatinga Ltda.is 87.750185% owned by Amil Assistência Médica Internacional S.A, 12.249815% by Bosque Medical Center Ltda.
- (34) TBD
- (35) FrontierMEDEX Kenya Limited is 99.9% owned by Frontier MEDEX Limited and 0.1% owned by UnitedHealthcare International I B.V.
- (36) Optum360 Services, Inc. is 69% owned by Optum Rocket, Inc. and 31% owned by two external interest holders.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.8145%), Hygeia Corporation (DE) (0.2012%) and UnitedHealth Group Incorporated (84.9843%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International III S.á r.l. is 69.995% owned by UnitedHealthcare Europe S.á r.l. and 30.005% owned by UnitedHealthcare International II S.á r.l.
- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
- (41) Lusíadas A.C.E. is 55% owned by Lusíadas, SGPS, S.A., 10% owned by Lusíadas Parcerias Cascais, S.A., 20% owned by Lusiadas, S.A., 5% owned by Clínica Médico Cirúrgica de Santa Tecla, S.A. and 10% owned by CLISA – Clínica de Santo António, S.A.
- (42) Centro Médico PJ Ltda. is 99.999948% owned by Esho Empresa de Serviços Hospitalares S.A. and 0.0000052% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (43) Frontier Medex Tanzania Limited is 99% owned by Frontier MEDEX Limited. The remaining 1% is owned by a former officer of Frontier MEDEX Limited and is being transferred to UnitedHealthcare International I BV.
- (44) Optum Solutions do Brasil Tecnologia e Serviços de Suporte Ltda., is 99.999998% owned by Optum Global Solutions International B.V.and 0.00002% owned by OptumHealth International B.V.
- (45) Multiangio Ltda. is 68% owned by Esho Empresa de Serviços Hospitalares S.A. and the remaining 32% is owned by external shareholders.
- (46) Polo Holdco, LLC is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% being owned by an outside third party.

- (47) Excellion Serviços Biomédicos Ltda is 99.999997% owned by Esho Empresa de Serviços Hospitalares S.A. and 0.000003% is owned by Cemed Care - Empresa de Atendimento Clínico Geral Ltda.
- (48) Hospital Samaritano de São Paulo Ltda. is 99.9999998% owned by Esho Empresa de Serviços Hospitalares S.A.and the remaining 0.0000002% is owned by Hospital Alvorada Taguatinga Ltda.
- (49) Branch office located in Hong Kong.
- (50) TBD
- (51) TBD
- (52) WESTMED Practice Partners LLC is 86.15% owned by Collaborative Care Holdings, LLC and 13.85% owned by external shareholders.
- (53) ProHEALTH Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
- (54) ProHEALTH Fitness of Lake Success, LLC is 82.62% owned by ProHEALTH Medical Management, LLC and 17.38% by an external shareholder.
- (55) TBD (56) TBD
- (57) Angiografia e Hemodinâmica Madre Theodora Ltda. is 50% owned by Hospital Geral e Maternidade Madre Maria Theodora Ltda. and 50% owned by 28 individual partners.
- (58) BriovaRx Infusion Services 301, LP is 99.95% owned by AxelaCare Holdings, Inc. with the remaining 0.05% interest as a limited partner being held by AxelaCare Health Solutions, LLC.
- (59) TBD
- (60) Hospitais Associados de Pernambuco Ltda. is 90% owned by Esho Empresa de Serviços Hospitalares S.A. and 10% is owned by an external shareholder.
- (61) Topimagem Diagnóstico por Imagem Ltda.is 89% owned by Esho Empresa de Serviços Hospitalares S.A., and the remaining 11% interest is owned by external shareholders.
- (62) Dilab Medicina Nuclear Ltda is 85% owned by Esho Empresa de Serviços Hospitalares S.A. and the remaining 15% is owned by external shareholders.
- (63) WellMed Networks DFW, Inc. is 50% owned by WellMed Networks, Inc. and 50% owned by USMD Inc. WellMed Medical Management, Inc. controls 100% of WellMed Networks DFW.
- (64) Clinica Oftalmologica Danilo de Castro Sociedade Simples is 99.66% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 0.333333% is owned by Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.
- (65) Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda. is 99.99% owned by Hospital Alvorada de Taguatinga Ltda.and the remaining 0.000012% is owned by Esho – Empresa de Serviços Hospitalares S.A.
- (66) CMO Centro Médico de Oftalmologia S/S Ltda. is 99% owned by Hospital Alvorada de Taguatinga Ltda.and the remaining is owned by Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.
- (67) TBD
- (68) Elual Participações S.A. is 60% owned by Amil Assistência Médica Internacional S.A. and 40% by Esho – Empresa de Serviços Hospitalares S.A.
- (69) Hospital Santa Helena S.A. is 65.21% owned by Elual Participações S.A. and 34.79% owned by Esho – Empresa de Serviços Hospitalares S.A.
- (70) Santa Helena Assistência Médica S.A. is 65.21% owned by Elual Participações S.A. and 34.79% owned by Amil Assistência Médica Internacional S.A
- (71) Clínica Médico Cirúrgica de Santa Tecla, S.A. is 96.5% owned by Lusíadas, SGPS, S.A., and the remaining 3.5% is owned by external shareholders.
- (72) CLISA Clínica de Santo António, S.A. is 91.4% owned by Lusíadas, SGPS, S.A. and the remaining 8.6% is owned by external shareholders.

PART 1 - ORGANIZATIONAL CHART

#### Notes

- (73) Pomerado Outpatient Surgical Center, Inc. holds a 50.75% general partnership interest and 6% limited partnership interest in Pomerado Outpatient Surgical Center, L.P.
- (74) Pasteur Plaza Surgery Center GP, Inc. holds a 51% general partnership interest and a 27.706% limited partnership interest in Pasteur Plaza Surgery Center, L.P.
- (75) Surgery Center Holding, LLC holds a 51% general partnership interest and a 23.94% limited partnership interest in B.R.A.S.S. Partnership in Commendam
- (76) The remaining 25% membership interest is owned by Trinity Health-New England, Inc.
   (77) Connecticut Surgical Center, LLC holds a 51% general partnership interest and a 14%
- limited partnership interest in Connecticut Surgery Center, Limited Partnership.(78) SCA of Clarksville, Inc. holds a 51% general partnership interest and a 49% limited partnership interest in Surgery Center of Clarksville, L.P.
- (79) Surgery Center of Vero Beach, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Indian River Surgery Center, Ltd.
- (80) TBD
- (81) TBD
- (82) TBD
- (83) SCA Salt Lake Surgical Center, Inc. holds a 71.25% limited partnership interest and a 20% general partnership interest in Salt Lake Surgical Center, L.P.
- (84) Wauwatosa Outpatient Surgery Center, LLC holds a 51% limited partnership interest in Wauwatosa Surgery Center, Limited Partnership.
- (85) Surgery Centers-West Holdings, LLC holds a 71% limited partnership interest and a 1%
- 2 general partnership interest in Golden Triangle Surgicenter, L.P.
  - (86) TBD
  - (87) TBD
  - (88) Mississippi Surgery Holdings, LLC holds a 41% general partnership interest and a 59% limited partnership interest in Mississippi Surgical Center Limited Partnership.
  - (89) TBD
  - (90) TBD
  - (91) TBD
  - (92) TBD
  - (93) TBD
  - (94) TBD
  - (95) Northern Rockies Surgicenter, Inc. holds a 51% general partnership interest and a 4.25% limited partnership interest in Northern Rockies Surgery Center, L.P.
  - (96) NSC Lancaster, LLC holds a 81.24% limited partnership interest and a 1% general partnership interest in Antelope Valley Surgery Center, L.P.
  - (97) NSC Sarasota, Inc. holds a 81.1% limited partnership interest and a 1.02% general partnership interest in Sarasota Endoscopy Center, L.P.
  - (98) NSC Upland, LLC holds an 80% general partnership interest and a 12.81% limited partnership interest in Upland Outpatient Surgical Center, L.P.
  - (99) TBD
  - (100) Surgicare of Minneapolis, LLC holds a 50% general partnership interest and a 30.5% limited partnership interest in Surgicare of Minneapolis, Ltd., a MN Limited Partnership
  - (101) TBD
  - (102) Waco Outpatient Surgical Center, Inc. holds a 51% general partnership interest and a 48% limited partnership interest in Waco Surgical Center, Ltd.
  - (103) Blackstone Valley Surgicare GP, LLC holds a 40% general partnership interest and a 59% limited partnership interest in Blackstone Valley Surgicare Acquisition, L.P.
  - (104) Wayland Square Surgicare GP, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Wayland Square Surgicare Acquisition, L.P.
  - (105) Northwest Surgicare, LLC holds a 51% general partnership interest and a 5% limited partnership interest in Northwest Surgicare, Ltd., an IL Limited Partnership

(106) Surgery Center of Des Moines, LLC holds a 51% general partnership interest and a 14.85% limited partnership interest in Surgery Centers of Des Moines, Ltd., an IA Limited Partnership

(107) TBD

- (108) Surgery Center of Louisville, LLC holds a 51% general partnership interest and a 14.4% limited partnership interest in Louisville S.C., Ltd.
- (109) TBD
- (110) Surgery Center of Easton, LLC holds a 57% general partnership interest in The Surgery Center of Easton, L.P.
- (111) TBD
- (112) TBD
- (113) The remaining 1% membership interest is owned by SCA Affiliates, LLC
- (114) TBD
- (115) TBD
- (116) TBD
- (117) SCA-Mt. Pleasant, LLC holds a 51% general partnership interest and a 44.41% limited partnership interest in Mt. Pleasant Surgery Center, L.P.
- (118) TBD
- (119) TBD
- (120) TBD
- (121) TBD
- (122) SCA-Citrus, Inc. holds a 52% general partnership interest and a 5% limited partnership interest in Citrus Regional Surgery Center, L.P.
- (123) SCA-Eugene, Inc. holds a 51% general partnership interest and a 41% limited partnership interest in McKenzie Surgery Center, L.P.
- (124) SCA-Merritt, LLC holds a 50.08% general partnership interest in Space Coast Surgery Center, Ltd.
- (125) SCA-Florence, LLC holds a 50% general partnership interest in Florence Surgery Center, L.P.
- (126) SCA-South Jersey, LLC holds a 51% general partnership interest and a 19.95% limited partnership interest in Surgical Center of South Jersey, Limited Partnership. SC Affiliates, LLC also holds a 0.73% limited partnership interest in Surgical Center of South Jersey, Limited Partnership.
- (127) SCA-Colorado Springs, LLC holds a 51% general partnership interest and a 44% limited partnership interest in Colorado Springs Surgery Center, Ltd.
- (128) TBD
- (129) TBD
- (130) TBD
- (131) TBD
- (132) TBD
- (133) Gadsden Surgery Center, LLC holds a 51% general partnershp interest and a 6.5% limited partnership interest in Gadsden Surgery Center, Ltd.
- (134) TBD
- (135) SCA-Northeast Georgia Health, LLC holds a 51% general partnership interest and a 35% limited partnership interest in Gainesville Surgery Center, L.P.
- (136) TBD
- (137) TBD
- (138) TBD
- (139) TBD
- (140) TBD
- (141) SCA Stonegate Holdings, LLC holds a 52% general partnership interest in Stonegate Surgery Center, L.P.

#### (142) TBD

- (143) TBD
- (144) Redlands Ambulatory Surgery Center holds a 51% general partnership interest and a 7.22% limited partnership interest in Inland Surgery Center, L.P. SC Affiliates also holds a 13.03% limited partnership interst in Inland Surgery Center, L.P.
- (145) Bakersfield-SC, LLC holds a 25% general partnership interest and a 71% limited partnership interest in Bakersfield Physicians Plaza Surgical Center, L.P.
- (146) Bakersfield Physicians Plaza Surgical Center, L.P. holds a 99% general partnership interest and Physicians Plaza Holdings, LLC holds a 1% limited partnership interest in Southwest Surgical Center of Bakersfield, L.P.
- (147) TBD
- (148) TBD
- (149) Muskogee Surgical Investors, LLC holds a 51% general partnership interest and a 17.5% limited partnership interest in Three Rivers Surgical Care, L.P.

| r          |                             |         |            |         | r          |                    |   |             |           |   | 1                 | -        |                                 |         |    |
|------------|-----------------------------|---------|------------|---------|------------|--------------------|---|-------------|-----------|---|-------------------|----------|---------------------------------|---------|----|
| 1          | 2                           | 3       | 4          | 5       | 6          | 7                  | 8   | 9           | 10        | 11  | 12                | 13       | 14                              | 15      | 16 |
|            |                             |         |            |         |            |                    |   |             |           |   | Туре              | lf       |                                 |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   | of Control        | Control  |                                 |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   | (Ownership,       | is       |                                 | ls an   |    |
|            |                             |         |            |         |            | Name of Securities |   |             | Relation- |   | Board,            | Owner-   |                                 | SCA     |    |
|            |                             |         |            |         |            |                    |   | <b>D</b>    |           |   |                   |          |                                 |         |    |
|            |                             |         |            |         |            | Exchange           |   | Domi-       | ship      |   | Management,       | ship     |                                 | Filing  |    |
|            |                             | NAIC    |            |         |            | if Publicly Traded | Names of  | ciliary     | to        |   | Attorney-in-Fact, | Provide  |                                 | Re-     |    |
| Group      |                             | Company | ID         | Federal |            | (U.S. or           | Parent, Subsidiaries                                | Loca-       | Reporting | Directly Controlled by                      | Influence,        | Percen-  | Ultimate Controlling            | quired? |    |
|            |                             |         |            |         | 011/       |                    |   |             |           |   |                   |          |                                 |         | *  |
| Code       | Group Name                  | Code    | Number     | RSSD    | CIK        | International)     | Or Affiliates                                       | tion        | Entity    | (Name of Entity/Person)                     | Other)            | tage     | Entity(ies)/Person(s)           | (Y/N)   | ^  |
|            |                             |         | 98-1308130 |         | 0001363851 |                    | 1070715 B.C. Unlimited Liability Company            | CAN         | NI A      | OptumRx Group Holdings, Inc.                | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 27-2624551 |         |            |                    | 310 Canvon Medical, LLC                             | CA          | NIA       | Monarch Management Services. Inc.           | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 41-1913523 |         |            |                    | ACN Group IPA of New York, Inc.                     | NY          |           | OptumHealth Care Solutions, LLC             | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 27-0015861 |         |            | ,                  | ACN Group of California, Inc                        | CA          |           | OptumHealth Care Solutions, LLC             | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 11-3485985 |         |            |                    | Advanced Care Pharmacy, Inc.                        | NY          | NI A      | Advanced Care, Inc.                         | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 11-2997132 |         |            |                    | Advanced Care, Inc.                                 | NY          | NIA       | BriovaRx Infusion Services. Inc.            | Ownership         | _100.000 | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 26-4093335 |         |            |                    | Advanced Surgical Hospital, LLC                     |             | NIA       | SCA Southwestern PA. LLC                    | Ownership         | 51.000   | UnitedHealth Group Incorporated |         | 1  |
|            |                             |         | 20-4030000 |         |            |                    |   |             |           | SOA SOUTHWESTERITTA, LLC                    | ownership         |          | on teulearth droup meorporateu  |         |    |
|            |                             |         |            |         |            |                    | Advocate Condell Ambulatory Surgery Center,         |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 47-5337115 |         |            |                    | LLC   | IL          | NIA       | Advocate-SCA Partners, LLC                  | Ownership         |          | UnitedHealth Group Incorporated | .       |    |
|            |                             |         |            |         |            | 1                  | Advocate Sherman Ambulatory Surgery Center,         |             |           |   |                   | 1        | 1                               | 1       | 1  |
|            |                             | 00000   |            |         |            |                    | LLC   | 1 11        | NIA       | Advocate-SCA Partners, LLC                  | Ownership         | 100,000  | UnitedHealth Group Incorporated | 1       |    |
|            |                             |         |            |         |            |                    |   |             |           |   | Ownership         |          |                                 |         |    |
|            |                             |         |            |         |            |                    | Advocate-SCA Holdings, LLC                          |             |           | Surgical Care Affiliates, LLC               |                   |          | UnitedHealth Group Incorporated |         |    |
|            |                             | 00000   | 47-4012497 |         |            |                    | Advocate-SCA Partners, LLC                          | DE          |           | SCA-III inois, LLC                          | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 35-2109566 |         |            |                    | AHN Central Services, LLC                           | IN          | NIA       | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 35-2582899 |         |            |                    | AHN Target Holdings, LLC                            | DE          |           | Collaborative Care Holdings, LLC            | Ownership         |          | UnitedHealth Group Incorporated |         | 1  |
|            |                             |         | 92-0080881 |         |            |                    | Alaska Surgery Center, Inc.                         | AK          |           | SC Affiliates. LLC                          | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 22-3493126 |         | 0001461390 |                    | Alere Health Improvement Company                    | DE          | NI A      | Alere Health, LLC                           | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 26-2564744 |         | 0001460933 |                    | Alere Health, LLC                                   | DE          | NIA       | OptumHealth Care Solutions, LLC             | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 58-1873062 |         | 0001156286 |                    | Alere of New York, Inc.                             | NY          | NIA       | Optum Women's and Children's Health, LLC    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 20-0231080 |         | 0001355945 |                    | Alere Wellbeing, Inc.                               | DE          | NIA       | Optum Services. Inc.                        | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 54-1776557 |         | 0001460931 |                    | Alere Wellology, Inc                                | DE          |           | Alere Health, LLC                           | Ownership         |          | UnitedHealth Group Incorporated |         |    |
| 0707 Unit  | edHealth Group Incorporated |         | 35-1665915 |         |            |                    | All Savers Insurance Company                        | IN          | IA        | Golden Rule Financial Corporation           | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    | All Savers Life Insurance Company of                |             |           |   |                   |          |                                 |         |    |
| 0707 Unit  | edHealth Group Incorporated | 73130   | 35-1744596 |         |            |                    | California  | CA          | IA        | Golden Rule Financial Corporation           | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 27-1367127 |         |            |                    | Alliance Surgical Center, LLC                       | FL          | NIA       | SCA-Alliance, LLC                           | Ownership         | 64.856   | UnitedHealth Group Incorporated |         | 4  |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 55-0802777 |         |            |                    | Ambient Healthcare of Central Florida, Inc          | FL          | NIA       | Ambient Healthcare, Inc.                    | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 55-0802779 |         |            |                    | Ambient Healthcare of Georgia, Inc.                 | GA          | NIA       | Ambient Healthcare, Inc                     | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    | Ambient Healthcare of Northeast Florida, Inc.       |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 20-4881413 |         |            |                    | Ambrone nour clour of or nor chouse i for fug, ino. |             | NIA       | Ambient Healthcare, Inc.                    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             |         | 33-1012700 |         |            |                    | Ambient Healthcare of S. Florida, Inc               | FL          | NIA       | Ambient Healthcare, Inc                     | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 55-0802774 |         |            |                    | Ambient Healthcare of West Florida, Inc             |             | NI A      | Ambient Healthcare, Inc                     | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             | 00000   | 65-1095227 |         |            |                    | Ambient Healthcare, Inc.                            | FL          | NIA       | Ambient Holdings, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 45-2161438 |         |            |                    | Ambient Holdings, Inc.                              | DE          | NIA       | BriovaRx Infusion Services. Inc.            | Ownership.        | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 26-3738273 |         |            |                    |   | FL          | NIA       | Ambient Healthcare, Inc.                    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 20-3/302/3 |         |            |                    | Ambient Nursing Services, Inc.                      | <sup></sup> | NIA       | Ambrent mealthcare, Inc.                    | ownership         |          | uniteunearth droup incorporated | · [     |    |
|            |                             |         |            |         |            |                    | American Health Network of Indiana Care             | 1           |           |   |                   | 1        |                                 | 1       |    |
|            |                             |         | 45-4770582 |         |            |                    | Organization, LLC                                   | IN          | NI A      | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated | .       |    |
|            |                             |         | 35-1930805 |         |            |                    | American Health Network of Indiana II, LLC          | IN          | NIA       | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated | 1       |    |
|            |                             |         | 35-2108729 |         |            |                    | American Health Network of Indiana. LLC             | IN          | NIA       | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 00-2100/29 |         |            |                    |   | INL         | NIA       | nini iaiyet nututtiya, LLC                  | Owner anth        |          | on teurearth droup meorporated  |         |    |
|            |                             |         |            |         |            |                    | American Health Network of Ohio Care                | 1           |           |   |                   | 1        |                                 | 1       |    |
|            |                             | 00000   | 45-4132160 |         |            |                    | Organization, LLC                                   | OH          | NI A      | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated | .       |    |
|            |                             | 00000   | 31-1424956 |         |            |                    | American Health Network of Ohio II, LLC             | OH          | NIA       | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 31-1424957 |         |            |                    | American Health Network of Ohio, LLC                | OH          |           | AHN Target Holdings, LLC                    | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 54-1743136 |         | 0001122263 |                    |   |             |           |   |                   |          |                                 | · [     |    |
|            |                             |         |            |         | 0001122203 |                    | AmeriChoice Corporation                             | DE          |           | UnitedHealth Group Incorporated             | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|            |                             |         | 54-1743141 |         |            |                    | AmeriChoice Health Services, Inc                    | DE          | NI A      | AmeriChoice Corporation                     | Ownership         |          | UnitedHealth Group Incorporated | .       |    |
| 0707 Un it | edHealth Group Incorporated |         | 22-3368602 |         |            |                    | AmeriChoice of New Jersey, Inc.                     | NJ          | IIA       | AmeriChoice Corporation                     | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            | 1                  |   |             | 1         | Amil Assistência Médica Internacional S.A.  | 1                 | 1        | I                               | 1       |    |
|            |                             | 00000   | 98-1108620 |         |            | 1                  | Amico Saúde Ltda.                                   | BRA         | NIA       |   | Ownership         | 98.879   | UnitedHealth Group Incorporated | 1       | 1  |
|            |                             |         | 30-1100020 |         |            |                    | Amito Saude Lida.                                   | DHA         | NIA       |   | •                 |          | on teunearth group incorporated |         |    |
|            |                             |         |            |         |            |                    |   | 1           |           | Cemed Care - Empresa de Atendimento Clínico |                   | 1        |                                 | 1       |    |
|            |                             |         | 98-1108620 |         |            |                    | Amico Saúde Ltda.                                   | BRA         | NIA       | Geral Ltda.                                 | Ownership         | 1.121    | UnitedHealth Group Incorporated |         |    |
|            |                             |         |            |         |            |                    |   |             |           | Polar II Fundo de Investimento em           |                   |          |                                 |         | 1  |
| 1          |                             | 00000   |            |         | 0001447698 | 1                  | Amil Assistência Médica Internacional S.A.          | BRA         |           | Participações Multiestrategia               | Ownership         | 90.230   | UnitedHealth Group Incorporated | 1       |    |
|            |                             |         |            |         | 000144/090 |                    | Amili Assistencia medica internacional S.A          |             |           |   | owner sinp        |          | on teunearth group incorporated |         |    |
|            |                             |         |            |         |            |                    |   |             |           |   |                   |          |                                 |         |    |
|            |                             | 00000   | 98-1109085 |         |            |                    | Amil Clinical Research Participações Ltda.          | BRA         | NIA       | Esho — Empresa de Serviços Hospitalares     | Ownership         | 99,950   | UnitedHealth Group Incorporated |         |    |

| 1     | 2          | 3       | 4          | 5       | 6          | 7                  | 8   | 9        | 10         | 11  | 12                | 13      | 14                                | 15     | 16    |
|-------|------------|---------|------------|---------|------------|--------------------|---|----------|------------|---|-------------------|---------|-----------------------------------|--------|-------|
|       |            |         |            |         |            |                    |   |          |            |   | Туре              | lf      |                                   |        |       |
|       |            |         |            |         |            |                    |   |          |            |   | of Control        | Control |                                   |        |       |
|       |            |         |            |         |            |                    |   |          |            |   | (Ownership,       | is      |                                   | ls an  | ,     |
|       |            |         |            |         |            | Name of Securities |   |          | Relation-  |   | Board.            | Owner-  |                                   | SCA    |       |
|       |            |         |            |         |            |                    |   | Dami     |            |   | ,                 |         |                                   |        | -     |
|       |            |         |            |         |            | Exchange           |   | Domi-    | ship       |   | Management,       | ship    |                                   | Filing | 5     |
|       |            | NAIC    |            |         |            | if Publicly Traded | Names of  | ciliary  | to         |   | Attorney-in-Fact, | Provide |                                   | Re-    |       |
| Group |            | Company | ID         | Federal |            | (U.S. or           | Parent, Subsidiaries                                    | Loca-    | Reporting  | Directly Controlled by                      | Influence,        | Percen- | Ultimate Controlling              | quired | 1?    |
| Code  | Group Name | Code    | Number     | RSSD    | CIK        | International)     | Or Affiliates   | tion     | Entity     | (Name of Entity/Person)                     | Other)            | tage    | Entity(ies)/Person(s)             | (Y/N)  | ) *   |
|       | •          |         |            |         |            | <i>i</i>           |   |          |            | Cemed Care - Empresa de Atendimento Clínico | )                 | Ť       |                                   |        | ,<br> |
|       |            |         | 98-1109085 |         |            |                    | Amil Clinical Research Participações Ltda               | BRA      | NIA        | Geral Ltda.                                 | Ownership         | 0.050   | UnitedHealth Group Incorporated   |        |       |
|       |            |         |            |         |            |                    | inne official noodaron far trospaşõõo Etaa.             |          |            | Amil Assistência Médica Internacional S.A.  |                   |         |                                   |        |       |
|       |            | 00000   | 98-1138212 |         |            |                    | AMIL International                                      | LUX      | NIA        |   | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 36-4210293 |         |            |                    | Antelope Valley Surgery Center, L.P.                    | CA       | NIA        | NSC Lancaster, LLC                          | Ownership         |         | UnitedHealth Group Incorporated   |        | 3     |
|       |            |         | 27-2068687 |         |            |                    | AppleCare Medical Management, LLC                       | DE       | NIA        | Collaborative Care Holdings, LLC            | Ownership         | 100.000 |                                   |        |       |
|       |            |         | 46-2728964 |         |            |                    | Arise Healthcare System, LLC                            | DE       | NIA        | SCA Austin Medical Center Holdings, LLC     | Ownership         | 52.800  | UnitedHealth Group Incorporated   |        | 1     |
|       |            |         | 86-0813232 |         |            |                    | Arizona Physicians IPA, Inc.                            | AZ       | IA         | UnitedHealthcare. Inc.                      | Ownership         | 100.000 |                                   |        |       |
|       |            |         | 00-0010202 |         |            |                    | ASC Connecticut Holdings, LLC                           |          | NIA        | Surgical Care Affiliates, LLC               | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 46-3907136 |         |            |                    | ASC Holdings of New Jersey, LLC                         | NJ       | NIA        | SC Affiliates, LLC                          | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 95-4348431 |         |            |                    | ASC Network. LLC  | NJ       | NIA        | Surgical Care Affiliates, LLC               | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 90-0369702 |         |            |                    | ASI Global. LLC   | TX       | NIA        | FrontierMEDEX. Inc.                         | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 26-3878957 |         |            |                    | ASI GIODAI, LLC<br>AssuranceBx. LLC                     | AL       | NIA<br>NIA | BriovaRx. LLC                               | Ownership         |         |                                   |        |       |
|       |            |         | 45-4014891 |         |            |                    | AssuranceRx, LLC  | GA       | NIA        | SCA Athens. LLC                             | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 45-4014891 |         | 0001529111 |                    | Athens ASC Holdings, LLC                                | GA<br>DE | NIA<br>NIA | Rally Health. Inc.                          | Ownership         |         |                                   | [      |       |
|       |            |         |            |         | 0001529111 |                    |   |          |            |   |                   |         |                                   |        |       |
|       |            |         | 80-0368187 |         |            |                    | Aveta Arizona, Inc.                                     |          | NI A       | NAMM Holdings, Inc.                         | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 90-0632302 |         |            |                    | Aveta Health Solutions Inc                              | DE       | NI A       | NAMM Holdings, Inc.                         | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 20-4057813 |         | 0001355487 |                    | Aveta Inc.  | DE       | NIA        | Collaborative Care Holdings, LLC            | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 27-2823524 |         |            |                    | Aveta Kansas City, Inc                                  | KS       | NI A       | NAMM Holdings, Inc                          | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 36-4704309 |         |            |                    | Aveta Tennessee, Inc                                    | DE       | NIA        | NAMM Holdings, Inc                          | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 30-0842394 |         |            |                    | AxelaCare Intermediate Holdings, LLC                    | DE       | NI A       | OptumRx, Inc                                | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 61-1708598 |         |            |                    | AxelaCare, LLC  | DE       | NI A       | AxelaCare Intermediate Holdings, LLC        | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            | 00000   | 72-1097827 |         |            |                    | B.R.A.S.S. Partnership in Commendam                     | LA       | NI A       | Surgery Center Holding, LLC                 | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         |            |         |            |                    | Bakersfield Physicians Plaza Surgical Center,           |          |            |   |                   |         |                                   |        |       |
|       |            |         | 62-1601443 |         |            |                    | L.P   | TN       | NI A       | Bakersfield-SC, LLC                         | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 62-1601445 |         |            |                    | Bakersfield-SC, LLC                                     | DE       | NI A       | SC Affiliates, LLC                          | Ownership         | 100.000 | _ UnitedHealth Group Incorporated |        |       |
|       |            |         | 47-4504390 |         |            |                    | Beach Surgical Holdings III, LLC                        | CA       | NI A       | Surgicare of La Veta, Inc                   | Ownership         |         | UnitedHealth Group Incorporated   |        | 1     |
|       |            |         | 88-0267857 |         |            |                    | Behavioral Healthcare Options, Inc.                     | NV       | NI A       | Sierra Health Services, Inc                 | Ownership         | 100.000 | _ UnitedHealth Group Incorporated |        |       |
|       |            |         | 63-0847380 |         |            |                    | Birmingham Outpatient Surgical Center, LLC              | DE       | NI A       | Surgery Center Holding, LLC                 | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         |            |         |            |                    | Blackstone Valley Surgicare Acquisition, L.P.           |          |            |   |                   |         |                                   |        |       |
|       |            |         | 20-5337793 |         |            |                    |   | RI       | NI A       | Blackstone Valley Surgicare GP, LLC         | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            | 00000   | 05-0359457 |         |            |                    | Blackstone Valley Surgicare GP, LLC                     | RI       | NIA        | Surgery Center Holding, LLC                 | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 27-2241593 |         |            |                    | Blue Ridge GP, LLC                                      | NC       | NI A       | SCA-Blue Ridge, LLC                         | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         |            |         |            |                    |   |          |            | Amil Assistência Médica Internacional S.A.  |                   |         |                                   |        |       |
|       |            | 00000   | 98-1112673 |         |            |                    | Bosque Medical Center Ltda.                             | BRA      | NIA        |   | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         |            |         |            |                    |   |          |            | Esho — Empresa de Serviços Hospitalares     |                   |         |                                   |        |       |
|       |            |         | 98-1112673 |         |            |                    | Bosque Medical Center Ltda.                             | BRA      | NIA        | S.A.  | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         |            |         |            |                    | Brevard Specialty Surgery Center, LLC                   | FL       | NIA        | Melbourne Surgery Center, LLC               | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
| 1     |            |         | 75-2196224 |         |            |                    | BriovaRx Infusion Services 301. LP                      | OK       | NIA        | BriovaRx Infusion Services. Inc.            | Ownership         | 99.950  | UnitedHealth Group Incorporated   |        | 38    |
|       |            |         | 27-0668812 |         |            |                    | BriovaRx Infusion Services 302, LLC                     | NE       | NIA        | BriovaRx Infusion Services, Inc.            | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 26-2565032 |         |            |                    | BriovaRx Infusion Services 305, LLC                     | DE       | NIA        | BriovaRx Infusion Services, Inc.            | Ownership         | 100.000 |                                   |        |       |
|       |            |         | 20-3741084 |         |            |                    | BriovaRx Infusion Services 308, LLC                     |          | NIA        | SCP Specialty Infusion, LLC                 | Ownership         |         |                                   |        |       |
|       |            |         | 02-0653265 |         |            |                    | BriovaRx Infusion Services 401, LLC                     |          | NIA        | SCP Specialty Infusion, LLC                 | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 26-4312858 |         |            |                    | BriovaRx Infusion Services 401, LLC                     | CA       | NIA        | SCP Specialty Infusion, LLC                 | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 47-0941801 |         |            |                    | BriovaRx Infusion Services 402, LLC                     | CA       | NIA        | SCP Specialty Infusion, LLC                 | Ownership         | 100.000 |                                   |        |       |
|       |            |         | 93-1103256 |         |            |                    | BriovaRx Infusion Services 403, LLC                     | OR       | NIA        | SCP Specialty Infusion, LLC                 | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 27-3918706 |         | 0001507772 |                    | BriovaRx Infusion Services 404, LLC                     |          | NIA        | AxelaCare, LLC                              | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 27-3918706 |         | 000100/1/2 |                    | BriovaRx Infusion Services, Inc.                        | DE       | NIA        | Salveo Specialty Pharmacy, Inc.             | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 20-4515146 |         |            |                    | BriovaHx of California, Inc<br>BriovaRx of Florida, Inc |          | NIA<br>NIA | OptumRx PBM of Illinois, Inc.               | Ownership         |         |                                   | [      |       |
|       |            |         |            |         |            |                    |   |          |            |   |                   |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 27-1930321 |         |            |                    | BriovaRx of Georgia, LLC                                | GA       |            | BriovaRx, LLC                               | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 27-2348504 |         |            |                    | BriovaRx of Hawaii, LLC                                 | HI       | NIA        | OptumRx PBM of Illinois, Inc.               | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 46-2731176 |         |            |                    | BriovaRx of Indiana, LLC                                | IN       | NI A       | OptumRx PBM of Illinois, Inc.               | Ownership         |         | UnitedHealth Group Incorporated   |        |       |
|       |            |         | 46-2790537 |         |            |                    | BriovaRx of Louisiana, LLC                              | LA       | NIA        | BriovaRx, LLC                               | Ownership         | 100.000 | UnitedHealth Group Incorporated   |        |       |
|       |            | 00000   | 01-0516051 |         | 0001601630 |                    | BriovaRx of Maine, Inc                                  | ME       | NI A       | OptumRx PBM of Illinois, Inc                | Ownership         |         | UnitedHealth Group Incorporated   |        |       |

| 1             | 2                         | 3       | 4            | 5       | 6          | 7                  | 8   | 9        | 10         | 11   | 12                | 13      | 14   | 15      | 16        |
|---------------|---------------------------|---------|--------------|---------|------------|--------------------|---|----------|------------|--|-------------------|---------|--|---------|-----------|
|               | 2                         | 5       | -            | 5       | 0          | '                  | 0   | 3        | 10         |  | Type              | If      | 14   | 15      | 10        |
|               |                           |         |              |         |            |                    |   |          |            |  |                   |         |  |         |           |
|               |                           |         |              |         |            |                    |   |          |            |  | of Control        | Control |  | 1.      |           |
|               |                           |         |              |         |            |                    |   |          |            |  | (Ownership,       | is      |  | ls an   |           |
|               |                           |         |              |         |            | Name of Securities |   |          | Relation-  |  | Board,            | Owner-  |  | SCA     |           |
|               |                           |         |              |         |            | Exchange           |   | Domi-    | ship       |  | Management,       | ship    |  | Filing  |           |
|               |                           | NAIC    |              |         |            | if Publicly Traded | Names of                                      | ciliarv  | to         |  | Attorney-in-Fact, | Provide |  | Re-     |           |
| Group         |                           | Company | ID           | Federal |            | (U.S. or           | Parent, Subsidiaries                          | Loca-    | Reporting  | Directly Controlled by                         | Influence,        | Percen- | Ultimate Controlling   | quired? |           |
| Code          | Group Name                | Code    | Number       | RSSD    | CIK        | International)     | Or Affiliates                                 | tion     | Entity     | (Name of Entity/Person)                        | Other)            | tage    | Entity(ies)/Person(s)  | (Y/N)   | *         |
| Coue          | Gloup Name                | 00000   | 27-3331130   | ROOD    |            | international)     | BriovaRx of Massachusetts. LLC                | MA       | NIA        | OptumRx PBM of Illinois, Inc.                  | Ownership         | 100.000 | UnitedHealth Group Incorporated  | (1/18)  | +         |
|               |                           |         |              |         |            |                    |   |          |            |  |                   |         |  |         |           |
|               |                           | 00000   | 45-2532834   |         |            |                    | BriovaRx of Nevada, LLC                       | NV       | NI A       | OptumRx PBM of Illinois, Inc.                  | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   |              |         |            |                    | BriovaRx of New York, Inc.                    | NY       | NI A       | Salveo Specialty Pharmacy, Inc.                | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 20-2719823   |         |            |                    | BriovaRx of Texas, Inc                        | TX       | NI A       | BriovaRx of Florida, Inc.                      | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 55-0824381   |         | 0001601629 |                    | BriovaRx, LLC                                 | AL       | NIA        | BriovaRx of Maine, Inc.                        | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   |              |         |            |                    | Camp Hill-SCA Centers, LLC                    | DE       | NI A       | SC Affiliates, LLC                             | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 46-1981651   |         |            |                    | Cardio Management, Inc                        | DE       | NIA        | OrthoNet Holdings, Inc                         | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 20-8375685   |         |            |                    | Care Improvement Plus Group Management, LLC . | MD       | NI A       | XLHealth Corporation                           | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    | Care Improvement Plus of Texas Insurance      |          |            |  |                   |         |  |         |           |
| 0707 Un i ted | Health Group Incorporated |         | 45-4976934   |         |            |                    | Company                                       | TX       | IA         | XLHealth Corporation                           | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    | Care Improvement Plus South Central Insurance | 9        |            |  |                   |         |  |         |           |
| 0707 United   | Health Group Incorporated | 12567   | 20-3888112   | 1       | 1          |                    | Company                                       | AR       | IA         | XLHealth Corporation                           | Ownership         | 100.000 | UnitedHealth Group Incorporated  | 1       |           |
|               |                           |         |              |         |            |                    | Care Improvement Plus Wisconsin Insurance     |          |            |  |                   |         | interest and a superinterest and a superintere |         |           |
| 0707 United   | Health Group Incorporated |         | 27-5038136   |         |            |                    | Company                                       |          | ΙΑ         | XLHealth Corporation                           | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               | liearth droup meerperated |         | 98-1328471   |         |            |                    | Casa de Saúde Santa Therezinha S.A.           | BRA      | NIA        | Hospital Alvorada de Taguatinga Ltda.          | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    | Catalvst360. LLC                              | DRA      |            |  |                   |         |  |         |           |
|               |                           | 00000   | . 20-5807941 |         |            |                    |   |          | NIA        | Optum Services, Inc.                           | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 46-0666840   |         | 0001600768 |                    | Catamaran Health Solutions, LLC               | DE       | NI A       | OptumRx Administrative Services, LLC           | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   |              |         | 0001600637 |                    | Catamaran Holdings I, LLC                     | DE       | NI A       | OptumRx Group Holdings, Inc.                   | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | . 20-0218027 |         |            |                    | Catamaran IPA III, Inc                        | NY       | NI A       | Catamaran PBM of Illinois II, Inc              | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 27-4241298   |         |            |                    | Catamaran Mail, LLC                           | DE       | NI A       | Catamaran Health Solutions, LLC                | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 27-3419292   |         |            |                    | Catamaran of Pennsylvania, LLC                | DE       | NI A       | Catamaran Health Solutions, LLC                | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 36-4049815   |         | 0001600742 |                    | Catamaran PBM of Illinois II, Inc.            | IL       | NIA        | OptumRx PBM of Maryland, LLC                   | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 26-1424534   |         |            |                    | Catamaran PBM of Puerto Rico, LLC             | NV       | NI A       | OptumRx PBM of Maryland, LLC                   | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 16-1767416   |         |            |                    | Catamaran PD of Pennsylvania, LLC             | PA       | NIA        | Catamaran of Pennsylvania, LLC                 | Ownership         | 100,000 | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 26-1438879   |         |            |                    | Catamaran PD of Puerto Rico, LLC              | NV       | NIA        | OptumRx PBM of Maryland, LLC                   | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 26-3633484   |         | 0001601643 |                    | Catamaran Rebate Management, Inc.             | NV       | NIA        | OptumRx PBM of Maryland, LLC                   | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 98-1069737   |         | 0001600973 |                    | Catamaran S.á.r.I.                            | LUX      | NI A       | OptumRx Group Holdings, Inc.                   | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 26-0543382   |         | 0001000373 |                    | Catamaran Senior Services, LLC                | AL       | NI A       | Optum Hospice Pharmacy Services, LLC           | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | 27-2533497   |         |            |                    |   | TX       | NIA        | SCA Cedar Park Holdings, LLC                   | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         | . 27-2533497 |         |            |                    | Cedar Park Surgery Center, LLC                | ···· I Å | NIA        |  | Uwnersnip         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    | Cemed Care - Empresa de Atendimento Clínico   |          |            | Amil Assistência Médica Internacional S.A.     |                   |         |  |         |           |
|               |                           | 00000   | 98–1111491   |         |            |                    | Geral Ltda                                    | BRA      | NI A       |  | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    | Cemed Care - Empresa de Atendimento Clínico   | 1        |            |  |                   |         |  | 1       |           |
|               |                           | 00000   | 98-1111491   |         |            |                    | Geral Ltda                                    | BRA      | NI A       | Amico Saúde Ltda                               | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 45-4131797   |         |            |                    | Central Indiana Care Organization, LLC        | IN       | NI A       | AHN Target Holdings, LLC                       | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | 45-5600514   |         |            |                    | Central Ohio Care Organization, LLC           | 0H       | NI A       | AHN Target Holdings, LLC                       | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    |   | 1        |            | Esho — Empresa de Serviços Hospitalares        |                   |         |  | 1       | 1 1       |
|               |                           |         | 98-1310461   |         |            |                    | Centro Médico PJ Ltda.                        | BRA      | NIA        | S.A.   | Ownership         |         | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    |   |          |            | Cemed Care - Empresa de Atendimento Clínico    |                   |         |  |         |           |
|               |                           |         | 98-1310461   | 1       | 1          |                    | Centro Médico PJ Ltda.                        | BRA      | NIA        | Geral Ltda.                                    | Ownership         | 0.000   | UnitedHealth Group Incorporated  | 1       | 1         |
|               |                           |         | 46-1454664   |         |            |                    | Channel Islands Surgicenter Properties, LLC.  | CA       | NIA        | SCA Holding Company. Inc.                      | Ownership         | 62.743  | UnitedHealth Group Incorporated  |         | 1         |
|               |                           |         | 62-1262567   |         |            |                    | Charlotte-SC, LLC                             | DE       | NIA<br>NIA | SCA Holding Company, Inc<br>SC Affiliates, LLC | Ownership         |         | UnitedHealth Group Incorporated  | -       | · [·····] |
|               |                           |         |              |         |            |                    |   |          |            |  |                   |         |  |         |           |
|               |                           | 00000   | . 26-2389638 |         |            |                    | Childrens Surgery Center, LLC                 | FL       | NI A       | SCA-Central Florida, LLC                       | Ownership         |         | UnitedHealth Group Incorporated  | -       | 1         |
|               |                           | 00000   |              |         |            |                    | ChinaGate (Hong Kong) Limited                 | HKG      | NI A       | Optum, Inc.                                    | Ownership         | 100.000 | UnitedHealth Group Incorporated  |         |           |
|               |                           | 00000   | . 98-1094627 |         |            |                    | ChinaGate Company Limited                     | CHN      | NI A       | ChinaGate (Hong Kong) Limited                  | Ownership         | 100.000 | UnitedHealth Group Incorporated  | -       | ·         |
|               |                           | 00000   | 62-1510209   |         |            |                    | Citrus Regional Surgery Center, L.P           | TN       | NI A       | SCA-Citrus, Inc                                | Ownership         |         | UnitedHealth Group Incorporated  |         | 7         |
|               |                           |         |              |         |            |                    | Clínica Médico Cirúrgica de Santa Tecla, S.A. |          |            |  |                   |         |  | 1       | 1         |
|               |                           | 00000   | 98-1350667   |         |            |                    |   | PRT      | NI A       | Lusíadas, SGPS, S.A.                           | Ownership         |         | UnitedHealth Group Incorporated  |         | 1         |
|               |                           |         |              |         |            |                    | Clinica Oftalmologica Danilo de Castro        | 1        |            |  |                   |         |  | 1       |           |
|               |                           | 00000   | 98-1337965   |         |            |                    | Sociedade Simples                             | BRA      | NIA        | Hospital Alvorada de Taguatinga Ltda.          | Ownership         | 99.667  | UnitedHealth Group Incorporated  |         |           |
|               |                           |         |              |         |            |                    | Clinica Oftalmologica Danilo de Castro        |          |            | Lotten-Eves Oftalmologia Clinica e             |                   |         |  |         |           |
|               |                           |         | 98-1337965   |         |            |                    | Sociedade Simples                             | BRA      | NIA        | Cirurgica Ltda.                                | Ownership         | 0.333   | UnitedHealth Group Incorporated  | 1       | 1         |
|               |                           |         | 98-1350671   |         |            |                    | CLISA - Clínica de Santo António, S.A.        | PRT      | NIA        | Lusíadas. SGPS. S.A.                           | Ownership         |         | UnitedHealth Group Incorporated  |         | 1         |
|               |                           |         |              |         |            |                    | CMO — Centro Médico de Oftalmologia S/S Ltda. |          | NI A       | LUSI AUAS, OUFO, O.M                           | owner sintp       |         | on teoreatth droup meorporated   | -       |           |
|               |                           |         |              |         |            | 1                  |   |          |            |  |                   | 1       | 1  |         | 1         |
|               |                           | 00000   | 98-1337968   |         |            |                    | omo officio de officimentogra e/o Etda.       | BRA      | NIA        | Hospital Alvorada de Taguatinga Ltda.          | Ownership         | 99.000  | UnitedHealth Group Incorporated  |         |           |

|       |                                 |         |              |         |     |                    |  |         | -          |   |                        |         |  |         |                                       |
|-------|---------------------------------|---------|--------------|---------|-----|--------------------|--|---------|------------|---|------------------------|---------|--|---------|---------------------------------------|
| 1     | 2                               | 3       | 4            | 5       | 6   | 7                  | 8  | 9       | 10         | 11  | 12                     | 13      | 14   | 15      | 16                                    |
|       |                                 |         |              |         |     |                    |  |         |            |   | Туре                   | lf      |  |         |                                       |
|       |                                 |         |              |         |     |                    |  |         |            |   | of Control             | Control |  |         |                                       |
|       |                                 |         |              |         |     |                    |  |         |            |   | (Ownership,            | is      |  | ls an   |                                       |
|       |                                 |         |              |         |     |                    |  |         | Dataria    |   |                        | -       |  |         |                                       |
|       |                                 |         |              |         |     | Name of Securities |  |         | Relation-  |   | Board,                 | Owner-  |  | SCA     |                                       |
|       |                                 |         |              |         |     | Exchange           |  | Domi-   | ship       |   | Management,            | ship    |  | Filing  |                                       |
|       |                                 | NAIC    |              |         |     | if Publicly Traded | Names of                                       | ciliary | to         |   | Attorney-in-Fact,      | Provide |  | Re-     |                                       |
| Group |                                 | Company | ID           | Federal |     | (U.S. or           | Parent, Subsidiaries                           | Loca-   | Reporting  | Directly Controlled by                      | Influence,             | Percen- | Ultimate Controlling   | quired? | e                                     |
| Code  | Group Name                      | Code    | Number       | RSSD    | CIK | International)     | Or Affiliates                                  | tion    | Entity     | (Name of Entity/Person)                     | Other)                 | tage    | Entity(ies)/Person(s)  | (Y/N)   | *                                     |
| 0000  | eredp Hame                      | 0000    |              |         | 0   | internationaly     | CMO - Centro Médico de Oftalmologia S/S Ltda.  |         | Lindy      | Lotten-Eyes Oftalmologia Clinica e          | 01.101/                |         |  | (1)11/  |                                       |
|       |                                 | 00000   | 98-1337968   |         |     |                    | omo - ocitito medico de ortarmorogia o/o Etda. | BRA     | NIA        | Cirurgica Ltda.                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | . 30-1337300 |         |     |                    | CMS — Central de Manipulação e Serviços        |         |            | COI — Clínicas Oncológicas Integradas S.A.  | ownership              |         |  |         |                                       |
|       |                                 | 00000   | 98-1277015   |         |     |                    | Farmacêuticos S.A.                             | BRA     | NIA        | COT - CHINEAS UNCOTOGICAS INTEGRADAS S.A.   | Ownership              | 100.000 | United last the Cross- Incorrected                                 |         |                                       |
|       |                                 | 00000   | 71-0873411   |         |     |                    | CNIC Health Solutions. Inc.                    | DHA     | NIA        | Rocky Mountain HealthCare Options, Inc      | Ownership<br>Ownership | 100.000 | UnitedHealth Group Incorporated<br>UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | / 1-06/ 3411 |         |     |                    |  |         | NIA        | HOCKY MOUNTAIN HEATTHCATE OPTIONS, INC      | ownership              |         | onitedmeanth group incorporated                                    |         |                                       |
|       |                                 | 00000   | 50 007/07/   |         |     |                    | Coachella Valley Physicians of PrimeCare,      | CA      |            |   | o 1:                   | 100,000 |  |         |                                       |
|       |                                 | 00000   | 56-2674371   |         |     |                    | Inc.   | UA      | NIA        | PrimeCare Medical Network, Inc.             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    | Coalition for Advanced Pharmacy Services,      |         |            |   |                        |         |  |         |                                       |
|       |                                 | 00000   | 27-1193028   |         |     |                    | Inc  | DE      | NIA        | Catamaran Health Solutions, LLC             | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 98-1276040   |         |     |                    | COI — Clínicas Oncológicas Integradas S.A      | BRA     | NI A       | COI Participações S.A.                      | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    |  |         |            | Esho — Empresa de Serviços Hospitalares     |                        |         |  | 1       |                                       |
|       |                                 |         | 98-1275801   |         |     |                    | COI Participações S.A                          | BRA     | NI A       | S.A   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 00000   | 98-1275801   |         |     |                    | COI Participações S.A.                         | BRA     | NI A       | COI Participações S.A.                      | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 00000   | 27-2337616   |         |     |                    | Collaborative Care Holdings, LLC               | DE      | NIA        | OptumHealth Holdings, LLC                   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 00000   | 27-2337487   |         |     |                    | Collaborative Care Services, Inc.              | DE      | NI A       | Collaborative Care Holdings, LLC            | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 27-3470466   |         |     |                    | Collaborative Care Solutions, LLC              | DE      | NIA        | Collaborative Care Services, Inc.           | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 45-2614005   |         |     |                    | Collaborative Realty, LLC                      | NY      | NI A       | Collaborative Care Holdings, LLC            | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 84-1160450   |         |     |                    | Colorado Springs Surgery Center, Ltd.          | CO      | NIA        | SCA-Colorado Springs, LLC                   | Ownership.             | 51.000  | UnitedHealth Group Incorporated                                    |         | 8                                     |
|       |                                 |         | 11-3647007   |         |     |                    | Comfort Care Transportation, LLC               | TX      | NI A       | WellMed Medical Management, Inc.            | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    | Connecticut Surgery Center, Limited            | 1/2     |            | normed modrour management, mot              | owner own p            |         |  |         |                                       |
|       |                                 |         | 06-1592173   |         |     |                    | Partnership                                    | CT      | NIA        | Connecticut Surgical Center, LLC            | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 9                                     |
|       |                                 |         | 26-1313646   |         |     |                    | Connecticut Surgery Properties, LLC            | DE      | NIA        | Connecticut Surgical Center, LLC            | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         | 6                                     |
|       |                                 |         | 06-1156342   |         |     |                    | Connecticut Surgical Center, LLC               | DE      | NIA        | Surgical Care Affiliates, LLC               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 20-5654789   |         |     |                    | Crescent Drug Corp.                            | NY      | NIA.       | Salveo Specialty Pharmacy, Inc.             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    |  |         | NIA<br>NIA |   |                        |         |  |         |                                       |
|       |                                 | 00000   | 26-0080565   |         |     |                    | Cypress Care, Inc.                             | DE      |            | Healthcare Solutions, Inc.                  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 00000   |              |         |     |                    | Day-Op Surgery Consulting Company, LLC         | DE      | NIA        | ProHEALTH Medical Management, LLC           | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 00000   | 52-1811176   |         |     |                    | DBP Services of New York IPA, Inc.             | NY      | NI A       | Dental Benefit Providers, Inc               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    | Dental Benefit Providers of California, Inc.   |         |            |   |                        |         |  |         |                                       |
|       |                                 | 00000   | 52-1452809   |         |     |                    |  | CA      | IA         | Dental Benefit Providers, Inc               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
| 07071 | UnitedHealth Group Incorporated |         | 36-4008355   |         |     |                    | Dental Benefit Providers of Illinois, Inc      | IL      | IA         | Dental Benefit Providers, Inc               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 00000   | 41-2014834   |         |     |                    | Dental Benefit Providers, Inc                  | DE      | NI A       | United HealthCare Services, Inc             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    | Diagnostic and Interventional Surgical         |         |            |   |                        |         |  |         |                                       |
|       |                                 | 00000   | 34-2064423   |         |     |                    | Center, LLC                                    | CA      | NI A       | SCA-Marina Del Ray LLC                      | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    |  |         |            | Esho — Empresa de Serviços Hospitalares     |                        |         |  |         |                                       |
|       |                                 | 00000   | 98-1285762   |         |     |                    | Dilab Medicina Nuclear Ltda.                   | BRA     | NI A       | S.A   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 27-4245123   |         |     |                    | DISC Surgery Center at Newport Beach, LLC      | CA      | NI A       | SCA-Newport Beach, LLC                      | Ownership              | 51.000  | UnitedHealth Group Incorporated                                    |         | 1                                     |
|       |                                 | 00000   | 30-0238641   |         |     |                    | Distance Learning Network, Inc.                | DE      | NI A       | OptumHealth Holdings, LLC                   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 27-2103713   |         |     |                    | Dublin Surgery Center, LLC                     | OH      | NI A       | SCA-Dublin, LLC                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 1                                     |
|       |                                 |         |              |         |     |                    | Duncan Printing Services, LLC                  | SC      | NIA        | UnitedHealthcare Insurance Company          | Ownership.             | 100.000 | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 59-3625966   |         |     |                    | DWIC of Tampa Bay. Inc.                        | FL      | NIA        | MedExpress Development, LLC                 | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 59-3705426   |         |     |                    | E Street Endoscopy, LLC                        | FL      | NIA        | West Coast Endoscopy Holdings, LLC          | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 1                                     |
|       |                                 |         | 02-0593133   |         |     |                    | East Brunswick Surgery Center, LLC             | NJ      | NIA        | ASC Holdings of New Jersey, LLC             | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 1                                     |
|       |                                 |         | 71-0923682   |         |     |                    | eCode Solutions. LLC                           |         | NIA        | Surgical Care Affiliates, LLC               | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         | · · · · · · · · · · · · · · · · · · · |
|       |                                 |         | 84-1162764   |         |     |                    | Electronic Network Systems, Inc.               | DE      | NIA        | OptumInsight, Inc.                          | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 98-1103713   |         |     |                    | ELG FZE  |         | NIA        | FrontierMEDEX Limited                       | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         | 1                                     |
|       |                                 |         |              |         |     |                    |  |         | INI A      | Amil Assistência Médica Internacional S.A.  | Owner Sill P           |         | on reunearth droup moorporated                                     |         |                                       |
|       |                                 | 00000   | 00 1000170   |         |     |                    | Elual Dartiaizaçãos C A                        | DDA     | NLA        | Amiti Assistencia medica internacional S.A. | Ownership              | 60,000  | Unitedlashth Crave Incorporated                                    | 1       |                                       |
|       |                                 | 00000   | 98-1339173   |         |     |                    | Elual Participações S.A.                       | BRA     | NIA        |   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    |  |         |            | Esho — Empresa de Serviços Hospitalares     |                        |         |  | 1       |                                       |
|       |                                 |         | 98-1339173   |         |     |                    | Elual Participações S.A                        | BRA     | NI A       | S.A   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 | 1       |              |         |     |                    |  |         |            | North American Medical Management           |                        |         |  | 1       |                                       |
|       |                                 |         |              |         |     |                    | Empire Physician Management Company, LLC       | CA      | NIA        | California, Inc                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         | 47-3495605   |         |     |                    | Endoscopy Center Affiliates, Inc.              | DE      | NI A       | National Surgery Centers, LLC               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |
|       |                                 |         |              |         |     |                    | EP Campus I. LLC                               |         | NIA        | UnitedHealthcare Insurance Company          | Ownership              |         | UnitedHealth Group Incorporated                                    |         |                                       |

| 1              | 2                               | 3              | 4            | 5              | 6     | 7                  | 8  | 9          | 10         | 11  | 12                     | 13      | 14   | 15      | 16    |
|----------------|---------------------------------|----------------|--------------|----------------|-------|--------------------|--|------------|------------|---|------------------------|---------|--|---------|-------|
| '              | 2                               | Ŭ              | -            | 0              | U     | 1                  | 0  | J          | 10         |   | Type                   | lf      | 17   | 10      | 10    |
|                |                                 |                |              |                |       |                    |  |            |            |   | of Control             | Control |  |         |       |
|                |                                 |                |              |                |       |                    |  |            |            |   | (Ownership,            | is      |  | ls an   |       |
|                |                                 |                |              |                |       | Name of Securities |  |            | Relation-  |   | Board.                 | Owner-  |  | SCA     |       |
|                |                                 |                |              |                |       | Exchange           |  | Domi       |            |   | ,                      |         |  | Filing  |       |
|                |                                 | NAIO           |              |                |       |                    | Newson   | Domi-      | ship       |   | Management,            | ship    |  |         |       |
| <b>•</b> • • • |                                 | NAIC           | 15           | <b>F</b> . 1 1 |       | if Publicly Traded | Names of   | ciliary    | to         |   | Attorney-in-Fact,      | Provide |  | Re-     |       |
| Group          |                                 | Company        | ID           | Federal        | 0.114 | (U.S. or           | Parent, Subsidiaries   | Loca-      | Reporting  | Directly Controlled by  | Influence,             | Percen- | Ultimate Controlling   | quired? |       |
| Code           | Group Name                      | Code           | Number       | RSSD           | CIK   | International)     | Or Affiliates  | tion       | Entity     | (Name of Entity/Person)   | Other)                 | tage    | Entity(ies)/Person(s)  | (Y/N)   | *     |
|                |                                 |                |              |                |       |                    | Esho — Empresa de Serviços Hospitalares S.A.                 |            |            | Amil Assistência Médica Internacional S.A.                        |                        |         |  |         |       |
|                |                                 | 00000          | . 98–1111172 |                |       |                    |  | BRA        | NI A       |   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                |              |                |       |                    | Etho — Empresa de Tecnologia Hospitalar Ltda.                |            |            | Amil Assistência Médica Internacional S.A.                        | <b>a</b>               | ~ ~ ~ ~ |  |         |       |
|                |                                 |                | . 98-1122399 |                |       |                    |  | BRA        | NIA        |   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | . 86-0964571 |                |       |                    | Evercare Collaborative Solutions, Inc.                       | DE         | NIA        | Ovations, Inc.  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | 98-1111239   |                |       |                    | Excellion Serviços Biomédicos Ltda.                          | BRA        | NIA        | Esho — Empresa de Serviços Hospitalares<br>S.A.                   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                |              |                |       |                    | Excertitori Serviços Biomedicos Etua.                        | pn#        | NIA        | Cemed Care - Empresa de Atendimento Clínico                       | owner simp             |         | oniteunearth droup incorporateu                                    |         |       |
|                |                                 | 00000          | 98-1111239   |                |       |                    | Excellion Servicos Biomédicos Ltda.                          | BRA        | NIA        | Geral Ltda.   | Ownership              | 0.000   | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 11-3669765   |                |       |                    | Executive Health Resources, Inc.                             | PA         | NIA        | OptumInsight, Inc.  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 30-0701899   |                |       |                    | Executive Surgery Center, LLC                                |            | NIA        | SCA-Houston Executive. LLC  | Ownership              | 55.000  | UnitedHealth Group Incorporated                                    |         | 1     |
|                |                                 |                | 98-1259260   | 1              |       |                    | Exploration for Mine Clearance LLC                           | IRQ        | NIA        | FrontierNEDEX Limited   | Ownership              |         | UnitedHealth Group Incorporated                                    |         | ····· |
|                |                                 | 00000          | 88-0223385   |                |       |                    | Family Health Care Services                                  | NV         | NIA        | Sierra Health Services. Inc.                                      | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 88-0257036   |                |       |                    | Family Home Hospice, Inc.                                    | NV         | NIA        | Sierra Health Services, Inc.                                      | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 26-4106571   |                |       |                    | First Rx Specialty and Mail Services, LLC                    | DE         | NI A       | Catamaran Health Solutions, LLC                                   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | 62-1551098   |                |       |                    | Florence Surgery Center, L.P.                                | AL         | NIA        | SCA-Florence, LLC   | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 10    |
|                |                                 | 00000          | 35-2456267   |                |       |                    | FMG Holdings, LLC  | DE         | NI A       | UnitedHealth Group Incorporated                                   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | 86-0908902   |                |       |                    | For Health of Arizona, Inc.                                  | AZ         | NIA        | For Health, Inc.  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | 33-0766617   |                |       |                    | For Health, Inc  | DE         | NI A       | Inspiris, Inc.  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 20-3412606   |                |       |                    | Franklin Surgical Center, LLC                                | NJ         | NIA        | SCA-Franklin, LLC   | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 1     |
|                |                                 |                |              |                |       |                    |  |            |            | UnitedHealthcare Global Medical (UK)                              |                        |         |  |         |       |
|                |                                 | 00000          | . 98-1172769 |                |       |                    | Frontier Medex Tanzania Limited                              | TZA        | NI A       | Limited   | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 1     |
|                |                                 | 00000          | . 68-0679514 |                |       |                    | FrontierMEDEX (RMS), Inc                                     | DE         | NI A       | FMG Holdings, LLC   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | . 45-5339512 |                |       |                    | FrontierMEDEX Government Services, LLC                       | DE         | NI A       | FMG Holdings, LLC   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                |              |                |       |                    |  |            |            | UnitedHealthcare Global Medical (UK)                              |                        |         |  |         |       |
|                |                                 | 00000          | . 98-1147103 |                |       |                    | FrontierMEDEX Kenya Limited                                  | KEN        | NIA        | Limited   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | . 98-1147103 |                |       |                    | FrontierMEDEX Kenya Limited                                  | KEN        | NI A       | UnitedHealthcare International   B.V                              | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | 00 1101501   |                |       |                    | FrontierMEDEX Limited  | 100        | NIA        | UnitedHealthcare Global Medical (UK)                              | 0                      | 100,000 | In the disc take On second second and                              |         |       |
|                |                                 | 00000          | 98-1101521   |                |       |                    | FrontierMEDEX Limited<br>FrontierMEDEX US, Inc.              | I RQ<br>DE | NIA<br>NIA | Limited<br>FMG Holdings, LLC                                      | Ownership<br>Ownership |         | UnitedHealth Group Incorporated<br>UnitedHealth Group Incorporated |         |       |
|                |                                 |                | 52-2230470   |                |       |                    | FrontierMEDEX US, Inc.                                       |            | NIA        | FrontierMEDEX US. Inc.  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 63-0833765   |                |       |                    | Gadsden Surgery Center, LLC                                  | DE         | NIA        | SC Affiliates. LLC  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 62-1488653   |                |       |                    | Gadsden Surgery Center, Ltd.                                 | AL         | NIA        | Gadsden Surgery Center, LLC                                       | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 62-1600268   |                |       |                    | Gainesville Surgery Center, L.P.                             |            | NIA        | SCA-Northeast Georgia Health, LLC                                 | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 12    |
|                |                                 | 00000          | 37-0920164   |                |       |                    | gethealthinsurance.com Agency Inc.                           | IN         | NIA        | Golden Rule Financial Corporation                                 | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 65-1025514   |                |       |                    | Gladiolus Surgery Center, L.L.C.                             | FL         | NIA        | SCA-Gladiolus, LLC  | Ownership              | 50.000  | UnitedHealth Group Incorporated                                    |         | 1     |
|                |                                 |                | 62-1601450   |                |       |                    | Glenwood-SC, Inc.  | TN         | NI A       | SC Affiliates, LLC  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 20-3420886   |                |       |                    | Golden Outlook, Inc.   | CA         | NI A       | Collaborative Care Holdings, LLC                                  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | 37-0855360   |                |       |                    | Golden Rule Financial Corporation                            | DE         |            | UnitedHealth Group Incorporated                                   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
| 0707           | UnitedHealth Group Incorporated |                | 37-6028756   | 3057283        |       |                    | Golden Rule Insurance Company                                | IN         |            | Golden Rule Financial Corporation                                 | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                | · · ·                           |                | . 33-0529450 |                |       |                    | Golden Triangle Surgicenter, L.P.                            | CA         | NIA        | Surgery Centers-West Holdings, LLC                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 13    |
|                |                                 | 00000          | 01-0619096   |                |       |                    | Grove Place Surgery Center, L.L.C                            | FL         | NIA        | SCA-Grove Place, LLC  | Ownership              |         | UnitedHealth Group Incorporated                                    |         | 1     |
|                |                                 |                | . 98-0213198 |                |       |                    | H&W Indemnity (SPC), Ltd                                     | CYM        | NIA        | UnitedHealth Group Incorporated                                   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | 26-1227494   |                |       |                    | H.I. Investments Holding Company, LLC                        | DE         | NI A       | Health Inventures, LLC  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                | UnitedHealth Group Incorporated | 79480          | . 35-1279304 |                |       |                    | Harken Health Insurance Company                              | WI         | IA         | UnitedHealthcare, Inc   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          |              |                |       |                    | Hartford Surgery Center, LLC                                 | CT         | NI A       | SunSurgery, LLC   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         | ·     |
|                |                                 |                | . 23-2171049 |                |       |                    | Health Business Systems, Inc.                                | PA         | NIA        | OptumRx Administrative Services, LLC                              | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 |                | . 20-5944768 |                |       |                    | Health Inventures Employment Solutions, LLC .                | DE         | NIA        | Health Inventures, LLC  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | . 04-3723090 |                |       |                    | Health Inventures, LLC                                       | DE         | NIA        | SC Affiliates, LLC  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
| 0707           | In the late Annual Income and I |                | . 98-0153069 |                |       |                    | Health Net Services (Bermuda) Ltd.                           | BMU        | NIA        | Oxford Health Plans LLC   | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
| 0707           | UnitedHealth Group Incorporated | 96342          | . 88-0201035 |                |       |                    | Health Plan of Nevada, Inc.                                  | NV         | IA         | Sierra Health Services, Inc.                                      | Ownership              |         | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000<br>00000 | 95-4763349   |                |       |                    | HealthAllies, Inc<br>Healthcare Solutions, Inc               | DE<br>DE   | NIA<br>NIA | OptumHealth Holdings, LLC<br>OptumRx Administrative Services, LLC | Ownership<br>Ownership |         | UnitedHealth Group Incorporated<br>UnitedHealth Group Incorporated |         |       |
|                |                                 | 00000          |              |                |       |                    | Healthcare Solutions, Inc<br>Highlands Ranch Healthcare. LLC | DE         |            | Urgent Care MSO. LLC  | Ownership<br>Ownership | 100.000 | UnitedHealth Group Incorporated                                    |         |       |
|                |                                 | 00000          | . 84-1472832 |                |       |                    | nightands Hanch HealthCare, LLC                              |            | NI A       | orgent care MSU, LLC  | uwrier snip            |         | Uniteumeatin Group Incorporated                                    |         |       |

|       |            |         |              |         |            |                    |   |         |            |   | ••••                   |         |                                  |         |                                       |
|-------|------------|---------|--------------|---------|------------|--------------------|---|---------|------------|---|------------------------|---------|----------------------------------|---------|---------------------------------------|
| 1     | 2          | 3       | 4            | 5       | 6          | 7                  | 8   | 9       | 10         | 11  | 12                     | 13      | 14                               | 15      | 16                                    |
|       |            |         |              |         |            |                    |   |         |            |   | Туре                   | lf      |                                  |         |                                       |
|       |            |         |              |         |            |                    |   |         |            |   | of Control             | Control |                                  |         |                                       |
|       |            |         |              |         |            |                    |   |         |            |   | (Ownership,            | is      |                                  | ls an   |                                       |
|       |            |         |              |         |            |                    |   |         | Deletion   |   |                        |         |                                  |         |                                       |
|       |            |         |              |         |            | Name of Securities |   | - ·     | Relation-  |   | Board,                 | Owner-  |                                  | SCA     |                                       |
|       |            |         |              |         |            | Exchange           |   | Domi-   | ship       |   | Management,            | ship    |                                  | Filing  |                                       |
|       |            | NAIC    |              |         |            | if Publicly Traded | Names of                                      | ciliary | to         |   | Attorney-in-Fact,      | Provide |                                  | Re-     |                                       |
| Group |            | Company | ID           | Federal |            | (U.S. or           | Parent, Subsidiaries                          | Loca-   | Reporting  | Directly Controlled by                            | Influence,             | Percen- | Ultimate Controlling             | quired? |                                       |
| Code  | Group Name | Code    | Number       | RSSD    | CIK        | International)     | Or Affiliates                                 | tion    | Éntity     | (Name of Entity/Person)                           | Other)                 | tage    | Entity(ies)/Person(s)            | (Y/N)   | *                                     |
|       |            | 00000   | 20-8910978   |         | -          |                    | Hospice Inspiris Holdings, Inc.               | TN      | NIA        | Inspiris. Inc.                                    | Ownership              |         | UnitedHealth Group Incorporated  |         | 1                                     |
|       |            |         |              |         |            |                    |   |         |            | Esho — Empresa de Servicos Hospitalares           | 6 m 6 m p              |         |                                  |         |                                       |
|       |            | 00000   | 98-1286038   |         |            |                    | Hospitais Associados de Pernambuco Ltda.      | BRA     | NIA        | S A   | Ownership              | 90.000  | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    |   |         |            | Amil Assistência Médica Internacional S.A.        | owner antp             |         |                                  |         |                                       |
|       |            | 00000   | 98-1111920   |         |            |                    | Hospital Alvorada de Taguatinga Ltda.         | BRA     | NIA        | Amiti Assistencia medica internacional S.A.       | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 98-1111920   |         |            |                    | Hospital Alvorada de Taguatinga Etda.         | BRA     | NIA        | Bosque Medical Center Ltda.                       | Ownership              | 12.250  | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    | Hospital Alvolaua de laguatiliga Ltua.        |         | NIA        | Esho — Empresa de Serviços Hospitalares           | owner simp             |         | on tedhearth droup moorporated   |         |                                       |
|       |            | 00000   | 98-1310065   |         |            |                    |   | BRA     | NIA        | S.A.  | Ownership              | 100,000 |                                  |         |                                       |
|       |            |         | . 98-1310065 |         |            |                    | Hospital de Clínicas de Jacarepaguá Ltda      | BHA     | NIA        |   | Ownersnip              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 00 4040005   |         |            |                    |   | 004     |            | Cemed Care - Empresa de Atendimento Clínico       | o                      | 0.000   |                                  |         |                                       |
|       |            | 00000   | . 98–1310065 |         |            |                    | Hospital de Clínicas de Jacarepaguá Ltda      | BRA     | NI A       | Geral Ltda.                                       | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    |   |         |            | Esho — Empresa de Serviços Hospitalares           |                        |         |                                  |         |                                       |
|       |            |         | . 98-1328324 |         |            |                    | Hospital Samaritano de São Paulo Ltda.        | BRA     | NI A       | S.A   | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 98-1328324   |         |            |                    | Hospital Samaritano de São Paulo Ltda         | BRA     | NI A       | Hospital Alvorada de Taguatinga Ltda              | Ownership              | Ω.000   | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | . 98–1332673 |         |            |                    | Hospital Santa Helena S.A                     | BRA     | NI A       | Elual Participações S.A.                          | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | L            |         |            |                    |   | 1       |            | Esho — Empresa de Serviços Hospitalares           |                        |         |                                  |         |                                       |
|       |            |         | . 98-1332673 |         |            |                    | Hospital Santa Helena S.A                     | BRA     | NI A       | S.A   | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 26-2912304   |         | 0001441730 |                    | Humedica, Inc                                 | DE      | NI A       | United HealthCare Services, Inc                   | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | . 36-4331825 |         |            |                    | Hygeia Corporation                            | DE      | NI A       | UnitedHealth International, Inc.                  | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 98-1099968   |         |            |                    | Hygeia Corporation                            | CAN     | NI A       | UnitedHealth Group International L.P              | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    | Imed Star — Serviços de Desempenho            |         |            | Optum Health & Technology Serviços do             |                        |         |                                  |         |                                       |
|       |            |         |              |         |            |                    | Organizacional Ltda.                          | BRA     | NI A       | Brasil Ltda.                                      | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    | Imed Star — Serviços de Desempenho            |         |            |   |                        |         |                                  |         |                                       |
|       |            |         |              |         |            |                    | Organizacional Ltda.                          | BRA     | NI A       | UHG Brasil Participações S.A.                     | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 20-4351923   |         |            |                    | Impel Consulting Experts, L.L.C.              | TX      | NIA        | Impel Management Services, L.L.C.                 | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 75-2574317   |         |            |                    | Impel Management Services, L.L.C.             | ТХ      | NIA        | USMD Holdings, Inc.                               | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 62-1484043   |         |            |                    | Indian River Surgery Center, Ltd.             | FL      | NIA        | Surgery Center of Vero Beach, Inc.                | Ownership              | 59.000  | UnitedHealth Group Incorporated  |         | 14                                    |
|       |            |         | 26-0711388   |         |            |                    | Indian River Surgery Properties, LLC.         |         | NIA        | Surgery Center of Vero Beach, Inc.                | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 45-4132005   |         |            |                    | Indiana Care Organization, LLC                | IN      | NIA        | AHN Target Holdings, LLC                          | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 62-1641102   |         |            |                    | Ingram & Associates, LLC                      |         | NIA        | Optum360. LLC                                     | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 33-0018673   |         |            |                    | Inland Surgery Center, L.P.                   | CA      | NIA        | Redlands Ambulatory Surgery Center                | Ownership              | 51.000  | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 88-0482274   |         |            |                    | inPharmative. Inc.                            | NV      | NIA        | Catamaran Health Solutions, LLC                   | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 13-4138668   |         |            |                    | INSPIRIS of New York IPA. Inc.                | NY      | NIA        | Inspiris. Inc.                                    | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 13-4138665   |         |            |                    | INSPIRIS of New York Management, Inc.         | NY      | NIA        | Inspiris, Inc.                                    | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 26-2885572   |         |            |                    | INSPIRIS of Texas Physician Group             | TX      | NIA        | Inspiris, Inc.                                    | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 26-0683057   |         |            |                    | Inspiris Services Company                     | TN      | NIA.       |   | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | 33-0766366   |         |            |                    | Inspiris Services Company                     |         | NIA<br>NIA | Inspiris, Inc<br>Collaborative Care Holdings, LLC | Ownership<br>Ownership | 100.000 | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    |   | vc      | NI A       |   | owner sitth            |         | on reareat in aroup incorporated |         | +                                     |
|       |            | 00000   | 00 1007000   |         |            |                    | International Psychological Services Pty      | 41.55   | AU 4       | Optum Health & Technology (Australia) Pty         | 0                      | 100,000 |                                  |         |                                       |
|       |            |         | 98-1097022   |         |            |                    | Limited                                       | AUS     | NI A       | Ltd   | Ownership              |         | UnitedHealth Group Incorporated  |         | · · · · · · · · · · · · · · · · · · · |
|       |            | 00000   |              |         |            |                    | IRX Financing I LLC                           | DE      | NI A       | OptumRx Administrative Services, LLC              | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              | 1       |            |                    | Lifeprint Accountable Care Organization, LLC  |         |            |   |                        | 100.057 |                                  |         |                                       |
|       |            |         | . 32-0409538 |         |            |                    |   | DE      | NI A       | Collaborative Care Holdings, LLC                  | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 00000   | . 45-3143218 |         |            |                    | Lifeprint East, Inc.                          | DE      | NI A       | Collaborative Care Holdings, LLC                  | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 27-2309024   |         |            |                    | LifePrint Health, Inc                         | DE      | NI A       | Collaborative Care Holdings, LLC                  | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 0000Q   | . 39–1974851 |         |            |                    | Logistics Health, Inc                         | WI      | NI A       | OptumHealth Holdings, LLC                         | Ownership              |         | UnitedHealth Group Incorporated  |         | ·                                     |
|       |            | 1       |              | 1       |            |                    | Lotten-Eyes Oftalmologia Clinica e Cirurgica  |         |            |   |                        |         |                                  |         |                                       |
|       |            |         | . 98-1337963 |         |            |                    | Ltda  | BRA     | NI A       | Hospital Alvorada de Taguatinga Ltda              | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            | 1       |              | 1       |            |                    | Lotten-Eyes Oftalmologia Clinica e Cirurgica  |         |            | Esho — Empresa de Serviços Hospitalares           |                        |         |                                  |         |                                       |
|       |            |         | 98-1337963   |         |            |                    | Ltda.   | BRA     | NI A       | S.A   | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 62-1179566   |         |            |                    | Louisville S.C., Ltd.                         | КҮ      | NIA        | Surgery Center of Louisville, LLC                 | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 62-1179538   |         | 1          |                    | Louisville-SC Properties, Inc.                | КҮ      | NI A       | SC Affiliates, LLC                                | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         |              |         |            |                    | Loyola Ambulatory Surgery Center at Oakbrook, |         |            |   |                        |         |                                  |         |                                       |
|       |            | 00000   | 36-4119519   |         |            |                    | Inc.  | IL      | NIA        | ASC Network, LLC                                  | Ownership              |         | UnitedHealth Group Incorporated  |         |                                       |
|       |            |         | 98-1137620   |         | ,          |                    | Lusíadas - Parcerias Cascais, S.A.            | PRT     | NIA        | Lusíadas, SGPS, S.A.                              | Ownership              | 100.000 | UnitedHealth Group Incorporated  |         | 1                                     |
|       |            | 00000   | 98-1139095   |         |            |                    | Lusíadas A.C.E.                               | PRT     | NIA        | Lusíadas, SGPS, S.A.                              | Ownership              | 70.000  | UnitedHealth Group Incorporated  |         | 1                                     |
|       |            |         |              |         |            |                    | Luoruudo n.V.L.                               |         |            | Luoi uuuo, oui o, o.n.                            | omiorantp              |         |                                  |         | <u>-+</u>                             |

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|       |                                 |         |              |         |     |                    |  |          |           |  | Туре              |          |                                       |         |    |
|       |                                 |         |              |         |     |                    |  |          |           |  | of Control        | Control  |                                       |         |    |
|       |                                 |         |              |         |     |                    |  |          |           |  | (Ownership,       | is       |                                       | ls an   |    |
|       |                                 |         |              |         |     | Name of Securities |  |          | Relation- |  | Board,            | Owner-   |                                       | SCA     |    |
|       |                                 |         |              |         |     | Exchange           |  | Domi-    | ship      |  | Management,       | ship     |                                       | Filing  |    |
|       |                                 | NAIO    |              |         |     |                    | Namaa af                                     |          |           |  |                   |          |                                       |         |    |
| _     |                                 | NAIC    |              |         |     | if Publicly Traded | Names of                                     | ciliary  | to        |  | Attorney-in-Fact, | Provide  |                                       | Re-     |    |
| Group |                                 | Company | ID           | Federal |     | (U.S. or           | Parent, Subsidiaries                         | Loca-    | Reporting | Directly Controlled by                   | Influence,        | Percen-  | Ultimate Controlling                  | quired? |    |
| Code  | Group Name                      | Code    | Number       | RSSD    | CIK | International)     | Or Affiliates                                | tion     | Entity    | (Name of Entity/Person)                  | Other)            | tage     | Entity(ies)/Person(s)                 | (Y/N)   | *  |
|       |                                 | 00000   | 98-1139095   |         |     |                    | Lusíadas A.C.E.                              | PRT      | NIA       | Lusíadas, S.A.                           | Ownership         | 20.000   | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 98-1139095   |         |     |                    | Lusíadas A.C.E.                              | PRT      | NIA       | Lusíadas - Parcerias Cascais, S.A.       | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 98-1139089   |         |     |                    | Lusíadas, S.A.                               | PRT      | NIA       | Lusíadas, SGPS, S.A.                     | Ownership         | 100.000  | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 98-1138570   |         |     |                    | Lusíadas, SGPS, S.A.                         | PRT      | NIA       | Amil International                       | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 52-2129787   |         |     |                    | MAMSI Insurance Resources. LLC               | MD       | NIA       | OneNet PPO. LLC                          | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
| 0707  | UnitedHealth Group Incorporated | 60321   | 52-1803283   |         |     |                    | MAMSI Life and Health Insurance Company      |          | IA        | United HealthCare Services. Inc.         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       | on teulearth droup meorporated  |         | 14-1782475   |         |     |                    | Managed Physical Network, Inc.               | NY       | NIA       | OptumHealth Care Solutions, LLC          | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 20-2880404   |         |     |                    | March Holdings, Inc.                         | CA       | NIA.      | Specialty Benefits, LLC                  | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 20-2000404   |         |     |                    |  |          | NIA       |  | Ownership         |          |                                       |         |    |
|       |                                 | 00000   |              |         |     |                    | March Vision Care, Inc.                      | CA       |           | March Holdings, Inc.                     |                   |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | . 26-2601943 |         |     |                    | Marin Surgery Holdings, Inc.                 | DE       | NIA       | SC Affiliates, LLC                       | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 52-1456812   |         |     |                    | Maryland Ambulatory Centers                  | MD       | NIA       | Maryland-SCA Centers, LLC                | Ownership         |          | UnitedHealth Group Incorporated       |         | 1  |
|       |                                 | 00000   | 52-1401791   |         |     |                    | Maryland-SCA Centers, LLC                    | DE       | NI A      | SC Affiliates, LLC                       | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 62-1600267   |         |     |                    | McKenzie Surgery Center, L.P                 | TN       | NI A      | SCA-Eugene, Inc.                         | Ownership         |          | UnitedHealth Group Incorporated       |         | 17 |
|       |                                 |         |              |         |     |                    |  |          |           | North American Medical Management        |                   |          |                                       |         |    |
|       |                                 | 00000   | 42-1741594   |         |     |                    | MD Ops, Inc                                  | CA       | NI A      | California, Inc                          | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       | UnitedHealth Group Incorporated | 96310   | . 52-1169135 |         |     |                    | MD-Individual Practice Association, Inc      | MD       | IA        | United HealthCare Services, Inc.         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         |              |         |     |                    |  |          |           | Optum Health & Technology Servicos do    |                   |          |                                       |         |    |
|       |                                 |         |              |         |     |                    | Medalliance Net Ltda.                        | BRA      | NIA       | Brasil Ltda.                             | Ownership         | 100.000  | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         |              |         |     |                    | Medalliance Net Ltda.                        | BRA      | NIA       | UHG Brasil Participações S.A.            | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 52-2178531   |         |     |                    | MEDEX Insurance Services. Inc.               | MD       | NIA       | Frontier/MEDEX. Inc.                     | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 20-3824377   |         |     |                    | MedExpress Development, LLC                  | FL       | NIA       | Urgent Care MSO. LLC                     | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 32-0533926   |         |     |                    | MedExpress Urgent Care Alabama, LLC          | AL       | NIA       | Urgent Care Holdings, Inc.               | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 82-1719888   |         |     |                    | MedExpress Urgent Care Maine, Inc            | ∩∟<br>ME | NIA       | Urgent Care Holdings, Inc.               | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 82-0631738   |         |     |                    | MedExpress Urgent Care New Hampshire, Inc.   |          | NIA       | Urgent Care Holdings, Inc.               | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         |              |         |     |                    | MedExpress Urgent Care of Boynton Beach, LLC |          | NIA       | orgent care norunigs, mc.                | ownership         |          |                                       |         |    |
|       |                                 | 00000   | 20-2545363   |         |     |                    | medExpress Urgent Care of Boynton Beach, LLC | ,<br>FL  | NILA      | MedExpress Development, LLC              | Ownership         | 100,000  | In the disc lab. On the second second |         |    |
|       |                                 |         |              |         |     |                    |  |          | NIA       |  |                   |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         |              |         |     |                    | MedExpress Urgent Care, Inc Ohio             | OH       | NIA       | Urgent Care Holdings, Inc.               | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       | UnitedHealth Group Incorporated | 12756   | 20-3391186   |         |     |                    | Medica Health Plans of Florida, Inc.         | FL       | IA        | United HealthCare Services, Inc.         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       | UnitedHealth Group Incorporated | 12155   | 01-0788576   |         |     |                    | Medica HealthCare Plans, Inc                 | FL       | IA        | United HealthCare Services, Inc          | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 75-2566987   |         |     |                    | Medical Clinic of North Texas PLLC           | TX       | NI A      | USMD Affiliated Services                 | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | . 26-0636717 |         |     |                    | Medical Surgical Centers of America, Inc     | DE       | NI A      | ASC Network, LLC                         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 32-0037402   |         |     |                    | Medical Transportation Services, LLC         |          | NI A      | United HealthCare Services, Inc.         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | . 75-2515691 |         |     |                    | MedSynergies, LLC                            | DE       | NI A      | Mustang Razorback Holdings, Inc.         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 58-2101921   |         |     |                    | Melbourne Surgery Center, LLC                | GA       | NIA       | Surgical Care Partners of Melbourne, LLC | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 62-1590322   |         |     |                    | Memphis-SC, LLC                              | TN       | NI A      | SCA-Shelby Development Corp.             | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 62-1590324   |         |     |                    | Memphis-SP, LLC                              |          | NI A      | Shelby Surgery Properties, Inc.          | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 59-3392313   |         |     |                    | Metro I Stone Management, Ltd.               | ТХ       | NIA       | USMD Inc.                                | Ownership.        |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 52-2303928   |         |     |                    | Metropolitan Medical Partners, LLC           | MD       | NIA       | SCA-Chevy Chase, LLC                     | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 27-2252446   |         |     |                    | MHC Real Estate Holdings, LLC                | CA       | NIA       | Monarch Management Services, Inc.        | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 27-2439806   |         |     |                    | Mississippi Surgery Holdings, LLC            |          | NIA       | SCA Surgery Holdings, LLC                | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | . 21-2403000 |         |     |                    | Mississippi Surgical Center Limited          | -  DL    |           | Son Surgery Hordings, LLC                | owner simp        |          | on tealearth droup meorporated        |         |    |
|       |                                 | 00000   | 62-1417391   |         |     |                    | Partnership                                  | MS       | NIA       | Mississippi Surgery Holdings, LLC        | Ownership         |          | UnitedHealth Group Incorporated       | 1       |    |
|       |                                 |         | 46-3949765   |         |     |                    |  | MS<br>   |           | Orthology, Inc.                          |                   |          |                                       |         | 10 |
|       |                                 | 00000   | . 40-3949/05 |         |     |                    | MN Waypoint Sports Physical Therapy, Inc     |          | NIA       |  | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 04 440 1550  | -       |     |                    | Mobile Medical Professionals, Inc.           | IA       | NIA       | TeamMD Iowa, Inc.                        | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 31-1191553   |         |     |                    | Modern Medical, Inc.                         | 0H       | NIA       | Healthcare Solutions, Inc.               | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 45-3142852   |         |     |                    | Monarch Management Services, Inc.            | DE       | NI A      | Collaborative Care Holdings, LLC         | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 81-1633765   |         |     |                    | MSLA Management LLC                          | DE       | NIA       | Logistics Health, Inc.                   | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | . 62-1506649 |         |     |                    | Mt. Pleasant Surgery Center, L.P             | TN       | NI A      | SCA-Mt. Pleasant, LLC                    | Ownership         |          | UnitedHealth Group Incorporated       |         | 19 |
|       |                                 |         |              |         |     |                    |  |          |           | Esho — Empresa de Serviços Hospitalares  |                   |          |                                       | 1       |    |
|       |                                 | 00000   | . 98-1285432 |         |     |                    | Multiangio Ltda                              | BRA      | NI A      | S.A                                      | Ownership         | 68.000   | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         | 20-4209261   |         |     |                    | Muskogee Surgical Investors, LLC             | DE       | NI A      | Surgery Center of Muskogee, LLC          | Ownership         |          | UnitedHealth Group Incorporated       |         | 1  |
|       |                                 | 00000   | 47-1935798   |         |     |                    | Mustang Razorback Holdings, Inc.             | DE       | NI A      | OptumInsight, Inc.                       | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 20-3236839   |         |     |                    | NAMM Holdings, Inc.                          | DE       | NIA       | Aveta Inc.                               | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 | 00000   | 62-1468431   |         |     |                    | Nashville-SCA Surgery Centers, Inc.          | TN       | NIA       | SC Affiliates, LLC                       | Ownership         |          | UnitedHealth Group Incorporated       |         |    |
|       |                                 |         |              |         |     |                    |  |          |           |  |                   |          |                                       |         |    |

| r     |                                 |         |             |         | 1          |                    |   |         |            |  |                        |         |  | 1       |             |
|-------|---------------------------------|---------|-------------|---------|------------|--------------------|---|---------|------------|--|------------------------|---------|--|---------|-------------|
| 1     | 2                               | 3       | 4           | 5       | 6          | 7                  | 8   | 9       | 10         | 11   | 12                     | 13      | 14   | 15      | 16          |
|       |                                 |         |             |         |            |                    |   |         |            |  | Туре                   | lf      |  |         |             |
|       |                                 |         |             |         |            |                    |   |         |            |  | of Control             | Control |  |         |             |
|       |                                 |         |             |         |            |                    |   |         |            |  | (Ownership,            | is      |  | ls an   |             |
|       |                                 |         |             |         |            |                    |   |         |            |  |                        |         |  |         |             |
|       |                                 |         |             |         |            | Name of Securities |   |         | Relation-  |  | Board,                 | Owner-  |  | SCA     |             |
|       |                                 |         |             |         |            | Exchange           |   | Domi-   | ship       |  | Management,            | ship    |  | Filing  |             |
|       |                                 | NAIC    |             |         |            | if Publicly Traded | Names of  | ciliary | to         |  | Attorney-in-Fact,      | Provide |  | Re-     |             |
| Group |                                 | Company | ID          | Federal |            | (U.S. or           | Parent, Subsidiaries  | Loca-   | Reporting  | Directly Controlled by                                       | Influence.             | Percen- | Ultimate Controlling   | auired? | ,           |
| Code  | Oracina Marina                  |         |             | RSSD    | CIK        | International)     | Or Affiliates   |         | Entity     | (Name of Entity/Person)                                      | Other)                 |         | Entity(ies)/Person(s)  | (Y/N)   | *           |
|       | Group Name                      | Code    | Number      | R55D    | CIK        | International)     |   | tion    |            |  | •                      | tage    |  | (Y/N)   |             |
| 0707  | UnitedHealth Group Incorporated | 95251   | 76-0196559  |         |            |                    | National Pacific Dental, Inc.   | TX      | IA         | Dental Benefit Providers, Inc                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 36-3549627  |         |            |                    | National Surgery Centers, LLC   | DE      | NI A       | Surgical Care Affiliates, LLC                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 68-0293690  |         |            |                    | National Surgery Centers-Santa Monica, LLC                                    | DE      | NIA        | National Surgery Centers, LLC                                | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
| 0707  | UnitedHealth Group Incorporated | 95123   | 65-0996107  |         |            |                    | Neighborhood Health Partnership, Inc.   | FL      | IA         | UnitedHealthcare, Inc.                                       | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 20-4755277  |         |            |                    | Netwerkes, LLC  | TN      | NIA        | Payment Resolution Services, LLC                             | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 46-3584152  |         |            |                    | Nevada Medical Services LLC   | NV      | NIA        | Collaborative Care Services, Inc.                            | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
| 0707  | UnitedHealth Group Incorporated | 95758   | 88-0228572  |         |            |                    | Nevada Medical Centrees ELC   | NV      | IA         | Dental Benefit Providers. Inc.                               | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 00-0220372  |         |            |                    | New West Physicians. Inc.   |         | NIA        | Newton Holdings, LLC   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    |   |         |            |  |                        |         |  |         |             |
|       |                                 | 00000   |             |         |            |                    | Newton Holdings, LLC  | DE      | NIA        | Collaborative Care Holdings, LLC                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | North American Medical Management - Illinois,                                 |         |            |  |                        |         |  |         |             |
|       |                                 | 00000   | 36-3984647  |         |            |                    | Inc   | IL      | NI A       | NAMM Holdings, Inc   | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | North American Medical Management California,                                 | 1       |            |  | 1                      |         |  | 1       |             |
|       |                                 | 00000   | 33-0673955  |         |            |                    | Inc   | TN      | NI A       | NAMM Holdings, Inc.  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | .00000  | 88-0245121  |         |            |                    | Northern Nevada Health Network, Inc.  | NV      | NIA        | Sierra Health Services, Inc.                                 | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 63-1240726  |         |            |                    | Northern Rockies Surgery Center, L.P.   |         | NIA        | Northern Rockies Surgicenter, Inc.                           | Ownership              | 51.000  | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 81-0399251  |         |            |                    | Northern Rockies Surgicenter, Inc.  | MT      | NIA        | National Surgery Centers, LLC                                | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 37-1007387  |         |            |                    | Northwest Surgicare, LLC  | DE      | NIA        | Surgery Center Holding, LLC                                  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    |   |         | NIA        | Surgery Center Holding, LLC                                  | Ownersnip              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Northwest Surgicare, Ltd., an IL Limited                                      |         |            |  |                        |         |  |         |             |
|       |                                 | 00000   | 75-2494046  |         |            |                    | Partnership   | IL      | NI A       | Northwest Surgicare, LLC                                     | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   |             |         |            |                    | NSC Fayetteville, LLC   | DE      | NI A       | National Surgery Centers, LLC                                | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 56-1775016  |         |            |                    | NSC Greensboro, LLC   | DE      | NI A       | National Surgery Centers, LLC                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 36-4210296  |         |            |                    | NSC Lancaster, LLC  | DE      | NIA        | National Surgery Centers, LLC                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   |             |         |            |                    | NSC Sarasota, Inc.  | DE      | NI A       | National Surgery Centers, LLC                                | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 91-1553479  |         |            |                    | NSC Seattle. Inc.   | WA      | NIA        | National Surgery Centers, LLC                                | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 33-0812824  |         |            |                    | NSC Upland. LLC   | DE      | NIA        | National Surgery Centers, LLC                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   |             |         |            |                    | Nutritional/Parenteral Home Care of   |         |            | National Surgery Centers, LLC                                | Owner Simp             |         | on teurearth droup meorporated                                     |         |             |
|       |                                 | 00000   | 00.0004505  |         |            |                    |   |         |            |  | o 1.                   | 400,000 |  |         |             |
|       |                                 |         |             |         |            |                    | Huntsville, Inc.  | AL      | NI A       | Nutritional/Parenteral Home Care, Inc                        | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 63-1284325  |         |            |                    | Nutritional/Parenteral Home Care, Inc   | AL      | NIA        | Ambient Healthcare, Inc.                                     | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 46-5025690  |         |            |                    | NYSCA, LLC  | NY      | NI A       | Surgical Care Affiliates, LLC                                | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 52-2129786  |         |            |                    | OneNet PPO, LLC   | MD      | NIA        | UnitedHealthcare Insurance Company                           | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       | UnitedHealth Group Incorporated | 96940   |             |         |            |                    | Optimum Choice, Inc.  | MD      | IA         | United HealthCare Services, Inc.                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 47-0858534  | 3202702 |            |                    | Optum Bank, Inc.  | UT      | NIA        | OptumHealth Financial Services, Inc.                         | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         | 36-3437660  |         |            |                    | Optum Biometrics. Inc.  | IL      | NIA        | OptumHealth Care Solutions, LLC                              | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 37-1782217  |         | 0001641013 |                    | Optum Clinics Holdings, Inc.  | DE      | NIA        | Collaborative Care Holdings, LLC                             | Ownership              | 97.200  | UnitedHealth Group Incorporated                                    |         | 38          |
|       |                                 |         | 38-3969193  |         | 0001041010 |                    |   | DE      | NIA.       | Optum Clinics Holdings, Inc.                                 | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Optum Clinics Intermediate Holdings, Inc                                      |         |            |  |                        |         |  |         |             |
|       |                                 |         | 00.4005.000 |         |            |                    | Optum Digital Health Holdings, LLC  | DE      | NIA        | Alere Wellbeing, Inc.  | Ownership              | 100.000 | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 | 00000   | 98-1325466  |         |            |                    | Optum Finance (Ireland) Unlimited Company                                     | IRL     | NI A       | Optum Services (Ireland) Limited                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Optum Global Solutions (India) Private  |         |            |  |                        |         |  | 1       | 1           |
|       |                                 | 00000   | 98-1103015  |         |            |                    | Limited   | IND     | NI A       | Optum Global Solutions International B.V                     | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Optum Global Solutions (India) Private  |         |            |  |                        |         |  | 1       | 1           |
|       |                                 |         | 98-1103015  |         |            |                    | Limited   | IND     | NIA        | UnitedHealth International, Inc                              | Ownership              | 0.100   | UnitedHealth Group Incorporated                                    | 1       |             |
|       |                                 |         | 98-1097776  |         |            |                    | Optum Global Solutions (Philippines), Inc                                     | PHL     | NIA        | Optum Global Solutions International B.V.                    | Ownership              | 99.992  | UnitedHealth Group Incorporated                                    |         | 2           |
|       |                                 |         | 98-1201187  |         |            |                    | Optum Global Solutions International B.V.                                     |         | NIA        | Optum Technology, Inc.                                       | Ownership              |         | UnitedHealth Group Incorporated                                    |         | ····· - ··· |
|       |                                 |         |             |         |            |                    |   |         |            |  |                        |         |  |         |             |
|       |                                 | 00000   | 04-3574101  |         |            |                    | Optum Government Solutions, Inc.  | DE      | NI A       | OptumInsight, Inc  | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Optum Health & Technology (Australia) Pty Ltd                                 |         |            |  | l                      |         |  | 1       |             |
|       |                                 | 00000   | 98-1185943  |         |            |                    |   | AUS     | NI A       | Optum UK Solutions Group Limited                             | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Optum Health & Technology (India) Private                                     | 1       |            |  | 1                      |         |  | 1       |             |
|       |                                 | 00000   | 98-1095799  |         |            |                    | Limited   | IND     | NI A       | OptumHealth International B.V.                               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
|       |                                 |         |             |         |            |                    | Optum Health & Technology (India) Private                                     |         |            |  |                        |         |  |         |             |
|       |                                 |         | 98-1095799  |         |            |                    | Limited   | IND     | NIA        | United Behavioral Health                                     | Ownership              |         | UnitedHealth Group Incorporated                                    | 1       |             |
|       |                                 |         |             |         |            |                    | Optum Health & Technology (Singapore) Pte.                                    |         |            |  | owner on p             |         | on tourour in or oup moor por atou                                 |         |             |
|       |                                 | 00000   | 00 1007000  |         |            |                    |   | SGP     | NIL A      | Ostumbles the Internetions L.D.V                             | Ownership              | 100,000 | Initalla th Course to constant                                     | 1       |             |
|       |                                 |         | 98-1097886  |         |            |                    | Ltd.  |         | NIA        | OptumHealth International B.V.                               | Ownership              |         | UnitedHealth Group Incorporated                                    |         |             |
| 1     |                                 | 00000   |             |         |            |                    | Optum Health & Technology (UK) Limited<br>Optum Health & Technology (US), LLC | GBR     | NIA<br>NIA | Optum UK Solutions Group Limited<br>United Behavioral Health | Ownership<br>Ownership |         | UnitedHealth Group Incorporated<br>UnitedHealth Group Incorporated |         |             |
|       |                                 | 00000   |             |         |            |                    |   |         |            |  |                        |         |  |         |             |

| 4      | â                               | 0       |              | -       | •          | -                  | 0   | •       | 10         | 44   | 40                | 10      | 4.4  | 45     | 40   |
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|        |                                 |         |              |         |            |                    |   |         |            |  | Туре              | lf      |  |        |      |
|        |                                 |         |              |         |            |                    |   |         |            |  | of Control        | Control |  |        |      |
|        |                                 |         |              |         |            |                    |   |         |            |  | (Ownership,       | is      |  | ls an  |      |
|        |                                 |         |              |         |            | Name of Securities |   |         | Relation-  |  | Board.            | Owner-  |  | SCA    |      |
|        |                                 |         |              |         |            | Exchange           |   | Domi-   | ship       |  | Management,       | ship    |  | Filing |      |
|        |                                 | NAIC    |              |         |            | if Publicly Traded | Names of  | ciliary | to         |  | Attorney-in-Fact, | Provide |  | Re-    | '    |
| 0      |                                 |         | ID           | Fadaral |            |                    |   |         |            | Directly Controlled by                             |                   |         | Litting at a Constantilian   |        | ~    |
| Group  |                                 | Company | ID           | Federal | 0.114      | (U.S. or           | Parent, Subsidiaries                                      | Loca-   | Reporting  | Directly Controlled by                             | Influence,        | Percen- | Ultimate Controlling   | quired |      |
| Code   | Group Name                      | Code    | Number       | RSSD    | CIK        | International)     | Or Affiliates   | tion    | Entity     | (Name of Entity/Person)                            | Other)            | tage    | Entity(ies)/Person(s)  | (Y/N)  | *    |
|        |                                 |         |              |         |            |                    | Optum Health & Technology Holdings (US), LLC              |         |            |  |                   |         |  |        |      |
|        |                                 | 00000   | 43-1747235   |         |            |                    |   | MO      | NI A       | Optum, Inc   | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | Optum Health & Technology Serviços do Brasil              |         |            |  |                   |         |  |        |      |
|        |                                 | 00000   | 98–1184561   |         |            |                    | Ltda.   | BRA     | NI A       | Optum Global Solutions International B.V           | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | Optum Health & Technology Serviços do Brasil              |         |            |  |                   |         |  |        |      |
|        |                                 | 00000   | 98-1184561   |         |            |                    | Ltda.   | BRA     | NI A       | OptumInsight, Inc                                  | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 98-1276517   |         |            |                    | Optum Health and Technology FZ-LLC                        | ARE     | NI A       | Optum Global Solutions International B.V.          | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    |   |         |            | Optum Health & Technology Holdings (US),           |                   |         |  |        |      |
|        |                                 | 00000   | 98-1097921   |         |            |                    | Optum Health Services (Canada) Ltd.                       | CAN     | NIA        | LLC  | Ownership         | 100.000 |  |        |      |
|        |                                 |         | 98-1147355   |         |            |                    | Optum Health Solutions (UK) Limited                       | GBR     | NI A       | Optum UK Solutions Group Limited                   | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 58-2068880   |         | 0001460656 |                    | Optum Healthcare of Illinois, Inc.                        | GA      | NI A       | Optum Women's and Children's Health, LLC           | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 20-0212381   |         |            |                    | Optum Hospice Pharmacy Services, LLC                      | DE      | NI A       | Catamaran Health Solutions, LLC                    | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
| 0707 L | UnitedHealth Group Incorporated |         | 31-0628424   |         |            |                    | Optum Insurance of Ohio, Inc.                             | 0H      | IA         | OptumRx PBM of Maryland, LLC                       | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 46-4734521   |         |            |                    | Optum Labs Dimensions, Inc.                               | DE      | NI A       | Optum Labs, Inc.                                   | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 98-1249178   |         |            |                    | Optum Labs International (UK) Ltd.                        | GBR     | NI A       | Optum, Inc.  | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 46-1615964   |         |            |                    | Optum Labs, Inc.  | DE      | NIA        | Optum, Inc.  | Ownership.        | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 98-1209730   |         |            |                    | Optum Life Sciences (Canada) Inc.                         | CAN     | NI A       | OptumInsight Life Sciences, Inc.                   | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | Optum Management Consulting (Shanghai) Co.,               |         |            | Optum Health & Technology Holdings (US),           |                   |         |  |        |      |
|        |                                 |         | 98-1098190   |         |            |                    | Ltd.  | CHN     | NI A       | LLC  | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | Optum Nevada Accountable Care Organization                |         |            |  |                   |         |  |        |      |
|        |                                 | 00000   | 90-1001805   |         |            |                    |   | DE      | NIA        | Collaborative Care Holdings, LLC                   | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 98-1097761   |         |            |                    | Optum Operations (Ireland) Limited                        | IRL     | NIA        | Optum Global Solutions International B.V.          | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | Optum Palliative and Hospice Care of                      |         |            |  |                   |         |  |        |      |
|        |                                 | 00000   | 20-8911466   |         |            |                    | Pennsylvania, Inc.  | TN      | NIA        | Hospice Inspiris Holdings, Inc.                    | Ownership.        | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | Optum Palliative and Hospice Care of Texas.               |         |            |  | e and entp        |         | en toulourth droup moorporatou                                     |        |      |
|        |                                 |         | 20-8911303   |         |            |                    | Inc.  | TN      | NIA        | Hospice Inspiris Holdings, Inc                     | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 30-0226127   |         |            |                    | Optum Palliative and Hospice Care, Inc.                   | DE      | NIA        | Collaborative Care Holdings, LLC                   | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 20-4581265   |         |            |                    | Optum Public Sector Solutions, Inc.                       | DE      |            | OptumInsight, Inc.                                 | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 46-3328009   |         |            |                    | Optum Rocket. Inc.  | DE      | NIA        | OptumInsight, Inc.                                 | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    | optum nocket, me.   |         |            | UnitedHealthcare International II S.á r.I.         | owner amp         |         |  |        |      |
|        |                                 |         | 98-1307821   |         |            |                    | Optum Services (Ireland) Limited                          | IRL     | NIA        |  | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 66-0870003   |         |            |                    | Optum Services (Puerto Rico) LLC                          |         | NIA        | UnitedHealthcare International III B.V.            | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 45-4683454   |         |            |                    | Optum Services, Inc.                                      | DE      | NIA        | Optum. Inc.  | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | +0-4000404   |         |            |                    | Optum Solutions do Brasil - Tecnologia e                  |         |            | optum, mo.   | omorantp          |         | on touroarti oroup moorporated                                     |        |      |
|        |                                 | 00000   | 98-1284698   |         |            |                    | Serviços de Suporte Ltda.                                 | BRA     | NIA        | Optum Global Solutions International B.V.          | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    | 1      |      |
|        |                                 |         |              |         |            |                    | Optum Solutions do Brasil — Tecnologia e                  |         | NI A       | optum orobal solutions international D.V.          | omilei siri p     |         | on reunearth droup moorporated                                     |        |      |
|        |                                 | 00000   | 98-1284698   |         |            |                    | Servicos de Suporte Ltda.                                 | BRA     | NIA        | OptumHealth International B.V.                     | Ownership         | 0.000   | UnitedHealth Group Incorporated                                    | 1      |      |
|        |                                 |         |              |         |            |                    | Serviços de supur le Liua.                                | pnx     |            | Optum Health & Technology Holdings (US),           | owner ant p       |         | on realed in a oup moorporated                                     |        |      |
|        |                                 | 00000   | 98-0644599   |         |            |                    | Optum Solutions UK Holdings Limited                       | GBR     | NIA        | LLC  | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 46-5713629   |         |            |                    |   | DE      | NIA        | Optum, Inc   | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 98-1097769   |         |            |                    | Optum Technology, Inc<br>Optum UK Solutions Group Limited | UE      | NIA<br>NIA | Optum, Inc.<br>Optum Solutions UK Holdinas Limited | Ownership         | 100.000 | UnitedHealth Group Incorporated<br>UnitedHealth Group Incorporated |        |      |
|        |                                 |         |              |         | 0001460641 |                    |   |         |            | Alere Health, LLC                                  |                   |         |  | - [    |      |
|        |                                 | 00000   |              | 0110004 | 0001400641 |                    | Optum Women's and Children's Health, LLC                  | DE      |            |  | Ownership         |         | UnitedHealth Group Incorporated                                    | [      |      |
|        |                                 |         |              | 3119994 |            |                    | Optum, Inc.   | DE      | NIA        | United HealthCare Services, Inc.                   | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    | [      | ~~~~ |
|        |                                 | 00000   | 46-3983926   |         |            |                    | Optum360 Services, Inc.                                   | DE      | NI A       | Optum Rocket, Inc                                  | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         | 46-3328307   |         |            |                    | Optum360, LLC   | DE      | NIA        | Optum Rocket, Inc.                                 | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 41-1591944   |         |            |                    | OptumHealth Care Solutions, LLC                           | DE      | NI A       | OptumHealth Holdings, LLC                          | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | . 47-0858530 |         |            |                    | OptumHealth Financial Services, Inc.                      | DE      | NIA        | OptumHealth Holdings, LLC                          | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 47-1192395   |         |            |                    | OptumHealth Holdings, LLC                                 | DE      | NI A       | Optum, Inc   | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 |         |              |         |            |                    |   |         |            | UnitedHealthcare International VI S.à r.I.         |                   | 1       |  | 1      |      |
|        |                                 | 00000   | 98-1106868   |         |            |                    | OptumHealth International B.V                             | NLD     | NI A       |  | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   |              |         |            |                    | OptumInsight Holdings, LLC                                | DE      | NI A       | Optum, Inc   | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    |        |      |
|        |                                 | 00000   | 04-3383745   |         |            |                    | OptumInsight Life Sciences, Inc                           | DE      | NI A       | OptumInsight, Inc                                  | Ownership         |         | UnitedHealth Group Incorporated                                    |        |      |
| 1      |                                 | 00000   | 41-1858498   |         |            |                    | OptumInsight, Inc.  | DE      | NIA        | OptumInsight Holdings, LLC                         | Ownership         | 100.000 | UnitedHealth Group Incorporated                                    | . [    |      |
|        |                                 |         |              |         | 0001600762 |                    | OptumRx Administrative Services, LLC                      | ТХ      | NIA        | Catamaran Holdings I. LLC                          | Ownership         |         |  |        |      |

| 1     | 2                               | 3       | 4          | 5       | 6          | 7                  | 0   | 9       | 10        | 11  | 12                | 13       | 14                              | 15      | 16                                    |
|-------|---------------------------------|---------|------------|---------|------------|--------------------|---|---------|-----------|---|-------------------|----------|---------------------------------|---------|---------------------------------------|
|       | 2                               | 3       | 4          | 5       | 0          | 1                  | 0   | 9       | 10        | 11  |                   | IS<br>If | 14                              | 15      | 10                                    |
|       |                                 |         |            |         |            |                    |   |         |           |   | Туре              |          |                                 |         |                                       |
|       |                                 |         |            |         |            |                    |   |         |           |   | of Control        | Control  |                                 |         |                                       |
|       |                                 |         |            |         |            |                    |   |         |           |   | (Ownership,       | is       |                                 | ls an   |                                       |
|       |                                 |         |            |         |            | Name of Securities |   |         | Relation- |   | Board,            | Owner-   |                                 | SCA     |                                       |
|       |                                 |         |            |         |            | Exchange           |   | Domi-   | ship      |   | Management,       | ship     |                                 | Filing  |                                       |
|       |                                 | NAIC    |            |         |            | if Publicly Traded | Names of                                      | ciliary | to        |   | Attorney-in-Fact, | Provide  |                                 | Re-     |                                       |
| Group |                                 | Company | ID         | Federal |            | (U.S. or           | Parent, Subsidiaries                          | Loca-   | Reporting | Directly Controlled by                    | Influence,        | Percen-  | Ultimate Controlling            | auired? |                                       |
| Code  | Group Name                      | Code    | Number     | RSSD    | CIK        | International)     | Or Affiliates                                 | tion    | Entity    | (Name of Entity/Person)                   | Other)            | tage     | Entity(ies)/Person(s)           | (Y/N)   | *                                     |
| Coue  | Gloup Name                      | 00000   | 31-1728846 | ROOD    |            | international)     | OptumRx Discount Card Services, LLC           | DE      | NIA       | OptumRx PBM of Illinois, Inc.             | Ownership         | 100.000  | UnitedHealth Group Incorporated | (1/1)   | +                                     |
|       |                                 |         | 47-4734235 |         |            |                    | OptumRx Group Holdings, Inc.                  | DE      | NIA       | Optum, Inc.                               | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 41-4104200 |         |            |                    | OptumRx Holdings, LLC                         | DE      | NIA       | Optum, Inc.                               | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 47-1734077 |         |            |                    | OptumRx Home Delivery of Illinois, LLC        | IL      | NIA       | OptumRx PBM of Illinois. Inc.             | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 34-1472211 |         |            |                    | OptumRx Home Delivery of Ohio, LLC            | 0H      | NIA       | First Rx Specialty and Mail Services, LLC | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 20-0151096 |         |            |                    | OptumRx NY IPA. Inc.                          | NY      | NIA       | OptumRx. Inc.                             | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 11-2581812 |         | 0001600760 |                    | OptumRx PBM of Illinois, Inc.                 | DE      | NIA       | OptumRx Administrative Services, LLC      | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 88-0361447 |         | 0001000700 |                    | OptumRx PBM of Maryland, LLC                  | NV      | NIA       | Catamaran Health Solutions. LLC           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 03-0592263 |         | 0001601641 |                    | OptumRx PBM of Pennsylvania, LLC              | PA      | NIA       | Catamaran of Pennsylvania, LLC            | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 38-3693753 |         |            |                    | OptumRx PBM of Wisconsin, LLC                 |         | NIA       | OptumRx PBM of Illinois, Inc.             | Ownership         |          | UnitedHealth Group Incorporated |         | 1                                     |
|       |                                 |         | 61-1485410 |         |            |                    | OptumRx PD of Maryland. Inc.                  | NV      | NIA       | OptumRx PBM of Maryland, LLC              | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       | 1                               |         | 88-0373347 |         |            |                    | OptumRx Pharmacy of Nevada, Inc.              | NV      | NIA       | Catamaran Health Solutions, LLC           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 47-3146510 |         |            |                    | OptumRx Pharmacy, Inc.                        | DE      | NIA       | OptumRx. Inc.                             | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
| L     | ]                               |         | 33-0441200 |         |            |                    | OptumRx. Inc.                                 | CA      | NIA       | OptumRx Holdings, LLC                     | Ownership         |          | UnitedHealth Group Incorporated |         | [                                     |
|       | ]                               |         | 59-3125869 |         |            |                    | Orlando Center for Outpatient Surgery, L.P.   | GA      | NIA       | Surgical Health of Orlando. Inc.          | Ownership         |          | UnitedHealth Group Incorporated |         | 1                                     |
|       |                                 |         | 46-2881462 |         |            |                    | Orthology Mid-Atlantic, Inc.                  | DE      | NIA       | Orthology, Inc.                           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 46-2742615 |         |            |                    | Orthology, Inc.                               | DE      | NIA       | UnitedHealth Group Ventures, LLC          | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 13-3960641 |         | 0001342696 |                    | OrthoNet Holdings, Inc.                       | DE      | NIA       | OptumHealth Care Solutions, LLC           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 13-3818652 |         |            |                    | OrthoNet LLC                                  | NY      | NIA       | OrthoNet Holdings, Inc.                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 13-4025898 |         |            |                    | OrthoNet New York IPA. Inc.                   | NY      | NIA       | OrthoNet Holdings, Inc.                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 30-0029448 |         |            |                    | OrthoNet of the Mid-Atlantic, Inc.            | DE      | IA        | OrthoNet Holdings, Inc.                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 26-2884306 |         |            |                    | OrthoNet of the South, Inc.                   | DE      | NIA       | OrthoNet Holdings, Inc.                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 46-1581769 |         |            |                    | OrthoNet Services. Inc.                       | DE      | NI A      | OrthoNet Holdings, Inc.                   | Ownership.        |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 20-0221966 |         |            |                    | OrthoNet West, Inc.                           | DE      | NIA       | OrthoNet Holdings, Inc.                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         |            |         |            |                    | Outpatient Surgery Center of Hilton Head, LLC |         |           |   |                   |          |                                 |         |                                       |
|       |                                 |         |            |         |            |                    |   | SC      | NIA       | SCA-Hilton Head, LLC                      | Ownership         | 50.000   | UnitedHealth Group Incorporated |         | 1 1                                   |
|       |                                 | 00000   | 41-1921007 |         |            |                    | Ovations, Inc.                                | DE      | NI A      | United HealthCare Services, Inc           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 06-1587795 |         |            |                    | Oxford Benefit Management, Inc.               | CT      | NIA       | Oxford Health Plans LLC                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       | UnitedHealth Group Incorporated |         | 22-2797560 |         |            |                    | Oxford Health Insurance, Inc.                 | NY      | IA        | UnitedHealthcare Insurance Company        | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       | UnitedHealth Group Incorporated | 96798   | 06-1181201 |         |            |                    | Oxford Health Plans (CT), Inc.                | CT      | IA        | Oxford Health Plans LLC                   | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |                                       |
|       | UnitedHealth Group Incorporated |         | 22-2745725 |         |            |                    | Oxford Health Plans (NJ), Inc                 | NJ      | IA        | Oxford Health Plans LLC                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
| 0707  | UnitedHealth Group Incorporated |         | 06-1181200 |         |            |                    | Oxford Health Plans (NY), Inc.                | NY      | IA        | Oxford Health Plans LLC                   | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 52-2443751 |         |            |                    | Oxford Health Plans LLC                       | DE      | NI A      | UnitedHealth Group Incorporated           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 46-3531389 |         |            |                    | P2 Lower Acquisition, LLC                     | DE      | NI A      | Progressive Enterprises Holdings, Inc     | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 27-0008097 |         |            |                    | P2P Link, LLC                                 | DE      | NI A      | SRPS, LLC                                 | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         |            |         |            |                    | PacifiCare Life and Health Insurance Company  |         |           |   |                   |          |                                 |         |                                       |
|       | UnitedHealth Group Incorporated |         | 35-1137395 |         |            |                    |   | IN      | IA        | United HealthCare Services, Inc           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       | UnitedHealth Group Incorporated |         | 95-2829463 |         |            |                    | PacifiCare Life Assurance Company             | CO      | IA        | United HealthCare Services, Inc           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       | UnitedHealth Group Incorporated |         | 94-3267522 |         | 0001225819 |                    | PacifiCare of Arizona, Inc.                   | AZ      | IA        | United HealthCare Services, Inc           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       | UnitedHealth Group Incorporated |         | 84-1011378 |         | 0001225822 |                    | PacifiCare of Colorado, Inc.                  | CO      | IA        | United HealthCare Services, Inc.          | Ownership         |          | UnitedHealth Group Incorporated |         | ·                                     |
|       | UnitedHealth Group Incorporated |         | 86-0875231 |         | 0001225835 |                    | PacifiCare of Nevada, Inc.                    | NV      | IA        | United HealthCare Services, Inc.          | Ownership         |          | UnitedHealth Group Incorporated |         | · · · · · · · · · · · · · · · · · · · |
|       |                                 |         | 62-1595402 |         |            |                    | Paoli Ambulatory Surgery Center               |         | NI A      | SCA-Paoli, LLC                            | Ownership         |          | UnitedHealth Group Incorporated |         | ·····! ·····                          |
|       |                                 |         | 20-4127100 |         |            |                    | Parkway Surgery Center, LLC                   | DE      | NIA       | SCA-Hagerstown, LLC                       | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 63-1271644 |         |            |                    | Pasteur Plaza Surgery Center GP, Inc          | DE      | NI A      | ASC Network, LLC                          | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 63-1275972 |         |            |                    | Pasteur Plaza Surgery Center, L.P.            | CA      | NIA       | Pasteur Plaza Surgery Center GP, Inc.     | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 |         | 62-1451147 |         |            |                    | Payment Resolution Services, LLC              | TN      | NI A      | OptumInsight, Inc.                        | Ownership         |          | UnitedHealth Group Incorporated |         | ·                                     |
|       |                                 |         | 75-3265056 |         |            |                    | PCCCV, Inc.                                   | CA      | NIA       | PrimeCare Medical Network, Inc.           | Ownership         |          | UnitedHealth Group Incorporated |         | ·                                     |
|       |                                 |         | 20-2447772 |         |            |                    | PCN DE Corp.                                  | DE      | NI A      | OptumRx PBM of Illinois, Inc              | Ownership         |          | UnitedHealth Group Incorporated |         | ·                                     |
|       |                                 | 00000   |            |         |            |                    | Perham Physical Therapy, LTD.                 | MN      | NIA       | Orthology, Inc.                           | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 68-0044962 |         |            |                    | Pharmaceutical Care Network                   | CA      | NIA       | PCN DE Corp.                              | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 04-3722897 |         |            |                    | Pharmacy Review Services, LLC                 | FL      | NIA       | Tmesys, LLC                               | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 35-2288416 |         |            |                    | PHC Subsidiary Holdings, LLC                  | TX      | NI A      | United HealthCare Services, Inc.          | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |
|       |                                 | 00000   | 00.0054005 |         |            |                    | Diversision Over Destroy                      |         | ALL A     | North American Medical Management -       | 0                 | 100,000  |                                 |         |                                       |
|       |                                 | 00000   | 80-0654665 |         |            |                    | Physician Care Partners, Inc.                 | IL      | NI A      | Illinois, Inc.                            | Ownership         |          | UnitedHealth Group Incorporated |         |                                       |

|       |                                 |         |                          | 1       |     | 1                  | l .  |         |           |  |                   | 1       |                  |                    | -       |         |
|-------|---------------------------------|---------|--------------------------|---------|-----|--------------------|--|---------|-----------|--|-------------------|---------|------------------|--------------------|---------|---------|
| 1     | 2                               | 3       | 4                        | 5       | 6   | 7                  | 8  | 9       | 10        | 11   | 12                | 13      |                  | 14                 | 15      | 16      |
|       |                                 |         |                          |         |     |                    |  |         |           |  | Туре              | lf      |                  |                    |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  | of Control        | Control |                  |                    |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  |                   |         |                  |                    |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  | (Ownership,       | is      |                  |                    | ls an   |         |
|       |                                 |         |                          |         |     | Name of Securities |  |         | Relation- |  | Board.            | Owner-  |                  |                    | SCA     |         |
|       |                                 |         |                          |         |     | Exchange           |  | Domi-   | ship      |  | Management,       | ship    |                  |                    | Filing  |         |
|       |                                 |         |                          |         |     |                    |  | -       | - 1-      |  |                   |         |                  |                    | 5       |         |
|       |                                 | NAIC    |                          |         |     | if Publicly Traded | Names of                                     | ciliary | to        |  | Attorney-in-Fact, | Provide |                  |                    | Re-     |         |
| Group |                                 | Company | ID                       | Federal |     | (U.S. or           | Parent, Subsidiaries                         | Loca-   | Reporting | Directly Controlled by                     | Influence,        | Percen- | Ultim            | nate Controlling   | quired? | ?       |
| Code  | Group Name                      | Code    | Number                   | RSSD    | CIK | International)     | Or Affiliates                                | tion    | Éntity    | (Name of Entity/Person)                    | Other)            | tage    | Entity           | v(ies)/Person(s)   | (Y/N)   | *       |
|       | UnitedHealth Group Incorporated |         | 04-3677255               | ROOD    | 011 | internationaly     | Physicians Health Choice of Texas, LLC       |         |           | PHC Subsidiary Holdings, LLC               | /                 |         |                  | Group Incorporated | (1/14)  |         |
|       | UnitedHealth Group Incorporated |         |                          |         |     |                    |  | TX      | IA        |  | Ownership         |         |                  |                    |         |         |
|       |                                 | 00000   | 52-1162824               |         |     |                    | Physicians Health Plan of Maryland, Inc      | MD      | NI A      | United HealthCare Services, Inc            | Ownership         |         | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           | Bakersfield Physicians Plaza Surgical      |                   |         |                  |                    |         |         |
|       |                                 |         | 45-4916946               |         |     |                    | Physicians Plaza Holdings, LLC               | DE      | NIA       | Center, L.P.                               | Ownership         | 100.000 | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    | Plus One Health Management Puerto Rico, Inc. |         |           |  |                   |         |                  |                    |         |         |
|       |                                 |         | 66-0742844               |         |     |                    | This one nearth management ruerte mee, me.   | PR      | NIA       | Dive One Heldinge Ine                      | Ownership.        | 100.000 | المتغمط المماغلة | Crave Incorrected  |         |         |
|       |                                 |         |                          |         |     |                    |  | PK      |           | Plus One Holdings, Inc.                    |                   |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 13-3613705               |         |     |                    | Plus One Holdings, Inc                       | DE      | NIA       | OptumHealth Care Solutions, LLC            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 80-0670247               |         |     |                    | PMI Acquisition, LLC                         | DE      | NI A      | Progressive Solutions, LLC                 | Ownership         |         | UnitedHealth     | Group Incorporated |         |         |
|       |                                 | 00000   | 27-0920536               |         |     |                    | PMSI Holdco II, LLC                          | DE      | NIA       | P2 Lower Acquisition, LLC                  | Ownership         | 100.000 | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 26-3148744               |         |     |                    | PMSI Holdings, LLC                           | DE      | NIA       | PMSI Holdco II. LLC                        | Ownership.        | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 59-3166848               |         |     |                    | PMSI Settlement Solutions, LLC               |         | NIA       | PMSI Holdings, LLC                         | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    | PMS1, LLC                                    | FL      |           | PMSI Holdings, LLC                         |                   | 100.000 |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 56-2422696               |         |     |                    |  | -  FL   | NIA       |  | Ownership         |         | UnitedHealth     | uroup incorporated |         |         |
|       |                                 |         |                          | 1       |     |                    | Polar II Fundo de Investimento em            |         |           | UnitedHealthcare International IV S.á r.l. |                   |         |                  |                    |         |         |
|       |                                 | 00000   | 98-1083164               |         |     |                    | Participações Multiestrategia                | BRA     | NI A      |  | Ownership         |         | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 47-5563848               |         |     | ·                  | Polo Holdco, LLC                             | DE      | NI A      | Collaborative Care Holdings, LLC           | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 |         | 46-5415205               |         |     |                    | POMCO Network, Inc.                          | NY      | NIA       | POMCO. Inc.                                | Ownership         | 100.000 |                  | Group Incorporated | 1       |         |
|       |                                 |         | 65-0392785               |         |     |                    | POMCO of Florida Ltd., Inc.                  | FL      | NIA       | UMR. Inc.                                  | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  |                   |         |                  |                    |         |         |
|       |                                 | 00000   | 46-2975338               |         |     |                    | POMCO West, Inc.                             | DE      | NI A      | POMCO, Inc.                                | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 15-0581348               |         |     |                    | POMCO, Inc                                   | NY      | NI A      | UMR, Inc                                   | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 45-0482796               |         |     |                    | Pomcoplus, LLC                               | NY      | NIA       | UMR. Inc.                                  | Ownership.        | 100.000 | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 33-0261822               |         |     |                    | Pomerado Outpatient Surgical Center, Inc     | CA      | NIA       | ASC Network, LLC                           | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 33-0752699               |         |     |                    | Pomerado Outpatient Surgical Center, L.P.    |         | NIA       | Pomerado Outpatient Surgical Center, Inc.  | Ownership         | 50.750  |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  |                   |         |                  |                    |         | 22      |
|       |                                 | 00000   | 65-0683927               |         |     |                    | Preferred Care Partners Holding, Corp        | FL      | NI A      | United HealthCare Services, Inc            | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 26-1845018               |         |     |                    | Preferred Care Partners Medical Group, Inc.  | FL      | NIA       | Preferred Care Partners Holding, Corp      | Ownership         |         |                  | Group Incorporated |         |         |
|       | UnitedHealth Group Incorporated |         | 65-0885893               |         |     |                    | Preferred Care Partners, Inc.                |         | IA        | Preferred Care Partners Holding, Corp      | Ownership         | 100.000 | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 75-3265059               |         |     |                    | Premier Choice ACO, Inc.                     | CA      | NIA       | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 88-0253112               |         |     |                    | Prime Health, Inc.                           | NV      | NIA       | Sierra Health Services, Inc.               | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 33-0607478               |         |     |                    | PrimeCare Medical Network. Inc.              |         |           | NAMM Holdings, Inc.                        |                   |         |                  |                    |         |         |
|       |                                 | 00000   |                          |         |     |                    |  |         |           |  | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 87-0757397               |         |     |                    | PrimeCare of Citrus Valley, Inc              | CA      | NI A      | PrimeCare Medical Network, Inc             | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 33-0674407               |         |     |                    | PrimeCare of Corona, Inc.                    | CA      | NI A      | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 | UnitedHealth     | Group Incorporated |         |         |
|       |                                 | 00000   | 33-0674401               |         |     |                    | PrimeCare of Hemet Valley, Inc.              | CA      | NIA       | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 33-0674408               |         |     |                    | PrimeCare of Inland Valley, Inc.             | CA      | NIA       | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 33-0674402               |         |     |                    | PrimeCare of Moreno Valley, Inc.             |         | NIA       | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  |                   |         |                  |                    |         |         |
|       |                                 | 00000   | 33-0674400               |         |     |                    | PrimeCare of Redlands, Inc.                  | CA      | NIA       | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 33-0674404               |         |     |                    | PrimeCare of Riverside, Inc                  | CA      | NI A      | PrimeCare Medical Network, Inc             | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 14-1915328               |         |     |                    | PrimeCare of San Bernardino, Inc.            | CA      | NI A      | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 33-0698439               |         |     |                    | PrimeCare of Sun City, Inc.                  | CA      | NIA       | PrimeCare Medical Network, Inc.            | Ownership.        |         | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 33-0674409               |         |     |                    | PrimeCare of Temecula, Inc.                  |         | NIA       | PrimeCare Medical Network, Inc.            | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 14-1873402               |         |     |                    | Procura Management, Inc.                     |         | NIA       | Healthcare Solutions, Inc.                 | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  |                   |         |                  |                    |         |         |
|       |                                 | 00000   | 27-4371197               |         |     |                    | Progressive Enterprises Holdings, Inc        | DE      | NI A      | OptumRx, Inc.                              | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 31-1192384               |         |     |                    | Progressive Medical, LLC                     | OH      | NI A      | PMI Acquisition, LLC                       | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 90-0642980               |         |     |                    | Progressive Solutions, LLC                   | DE      | NI A      | P2 Lower Acquisition, LLC                  | Ownership         |         | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 32-0229091               |         |     |                    | ProHEALTH Fitness of Lake Success, LLC       | NY      | NIA       | ProHEALTH Medical Management, LLC          | Ownership         | 82.620  |                  | Group Incorporated |         |         |
|       |                                 |         | 47-1049961               |         |     |                    | ProHEALTH Medical Management, LLC            | DE      | NIA       | Collaborative Care Holdings, LLC           | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  |                   |         |                  |                    |         |         |
|       |                                 | 00000   | 45-5470737               |         |     |                    | ProHealth Physicians ACO, LLC                |         | NIA       | ProHealth Physicians, Inc.                 | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 06-1446075               |         |     |                    | ProHealth Physicians, Inc                    | CT      | NI A      | Polo Holdco, LLC                           | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 32-0455430               |         |     |                    | ProHealth Proton Center Management, LLC      | DE      | NIA       | ProHEALTH Medical Management, LLC          | Ownership         |         | UnitedHealth     | Group Incorporated |         |         |
|       |                                 |         | 57-0861358               |         |     | ·                  | Pronetics Health Care Group, Inc.            | SC      | NIA       | Ambient Healthcare, Inc.                   | Ownership         | 100.000 | UnitedHealth     | Group Incorporated |         |         |
|       |                                 | 00000   | 93-1068319               |         |     |                    | Pueblo-SCA Surgery Center, LLC               |         | NIA       | SC Affiliates, LLC                         | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | 52-2016292               |         |     |                    | Quality Software Services. Inc.              |         | NIA       | OptumInsight, Inc.                         |                   | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         |                          |         |     |                    |  |         |           |  | Ownership         |         |                  |                    |         |         |
|       |                                 | 00000   | 35-2493256               |         |     |                    | Rally Health, Inc                            | DE      | NI A      | Optum Digital Health Holdings, LLC         | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 | 00000   | 80-0947972               |         |     |                    | Real Appeal, Inc.                            | DE      | NI A      | UnitedHealth Group Ventures, LLC           | Ownership         |         |                  | Group Incorporated |         |         |
|       |                                 |         | 94-3115625               |         |     |                    | Redlands Ambulatory Surgery Center           | CA      | NIA       | Redlands-SCA Surgery Centers, Inc.         | Ownership         | 54.000  |                  | Group Incorporated |         | 1       |
|       |                                 | 00000   | 94-3115627               |         |     |                    | Redlands-SCA Surgery Centers. Inc.           | CA      | NIA       | SC Affiliates. LLC                         | Ownership         | 100.000 |                  | Group Incorporated |         |         |
|       |                                 |         | - <del>34</del> -311302/ |         |     |                    | Incuranua-out ourgery centers, mc.           | UM      | NI A      | JU AIIIIIales, LLU                         | UNITED SITTP.     |         | uniteuneaith     | uroup moorporated  |         | <u></u> |

|                 |                                   | -       |            | - 1     | -          |                    | •   | -       |            |  |                   | 1.5      |                                 |         |    |
|-----------------|-----------------------------------|---------|------------|---------|------------|--------------------|---|---------|------------|--|-------------------|----------|---------------------------------|---------|----|
| 1               | 2                                 | 3       | 4          | 5       | 6          | 7                  | 8   | 9       | 10         | 11   | 12                | 13       | 14                              | 15      | 16 |
|                 |                                   |         |            |         |            |                    |   |         |            |  | Туре              | lf       |                                 |         |    |
|                 |                                   |         |            |         |            |                    |   |         |            |  | of Control        | Control  |                                 |         |    |
|                 |                                   |         |            |         |            |                    |   |         |            |  | (Ownership,       | is       |                                 | ls an   |    |
|                 |                                   |         |            |         |            | Nome of Convrition |   |         | Deletion   |  |                   | Owner-   |                                 |         |    |
|                 |                                   |         |            |         |            | Name of Securities |   | - ·     | Relation-  |  | Board,            |          |                                 | SCA     |    |
|                 |                                   |         |            |         |            | Exchange           |   | Domi-   | ship       |  | Management,       | ship     |                                 | Filing  |    |
|                 |                                   | NAIC    |            |         |            | if Publicly Traded | Names of  | ciliary | to         |  | Attorney-in-Fact, | Provide  |                                 | Re-     |    |
| Group           |                                   | Company | ID         | Federal |            | (U.S. or           | Parent, Subsidiaries                            | Loca-   | Reporting  | Directly Controlled by                     | Influence,        | Percen-  | Ultimate Controlling            | quired? | ?  |
| Code            | Group Name                        | Code    | Number     | RSSD    | CIK        | International)     | Or Affiliates                                   | tion    | Éntity     | (Name of Entity/Person)                    | Other)            | tage     | Entity(ies)/Person(s)           | (Y/N)   | *  |
| 0000            | eredp Hame                        |         | 32-0500242 |         | 0          | internationaly     | Riverside Medical Management, LLC               | DE      | NIA        | ProHEALTH Medical Management, LLC          | Ownership         |          | UnitedHealth Group Incorporated | ()      |    |
|                 |                                   |         | 02 0000242 |         |            |                    | Rocky Mountain Health Maintenance               |         |            | Troilenent medical management, LEO         | owner antp        |          |                                 |         |    |
| 0707 Un         | itedHealth Group Incorporated     | 95482   | 84-0614905 |         |            |                    | Organization, Incorporated                      | CO      | IA         | United HealthCare Services, Inc.           | Ownership         | 100,000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 04 0014000 |         |            |                    | Rocky Mountain Health Management Corporation    |         |            | Rocky Mountain Health Maintenance          | owner arrp        |          |                                 |         |    |
|                 |                                   | 00000   | 84-1009248 |         |            |                    | nocky woulltalli nearth wallagement corporation | CO      | NIA        | Organization, Incorporated                 | Ownership         | 100,000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 04-1009240 |         |            |                    |   |         | NIA        |  | ownership         |          | Unitedmeatth Group Incorporated |         |    |
| 0707            | the all has been been and the set | 47004   | 04 1004710 |         |            |                    | Dealer Normata in Use 1460ana O. Aliana Inc.    | 00      | IA         | Rocky Mountain Health Maintenance          | 0                 | 100,000  |                                 |         |    |
| <u>0</u> 707 Un | iitedHealth Group Incorporated    |         | 84-1224718 |         |            |                    | Rocky Mountain HealthCare Options, Inc.         | CO      |            | Organization, Incorporated                 | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   | 74-2462470 |         |            |                    | Salem Surgery Center, LLC                       | OR      | NIA        | Surgicare of Salem, LLC                    | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   |            |         |            |                    | Salt Lake Surgical Center, L.P                  | GA      | NIA        | SCA Salt Lake Surgical Center, Inc.        | Ownership         | 71.250   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-2219585 |         | 0001522802 |                    | Salveo Specialty Pharmacy, Inc.                 | DE      | NI A       | OptumRx Administrative Services, LLC       | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   |            |         |            |                    | Santa Helena Assistência Médica S.A             | BRA     | NIA        | Elual Participações S.A.                   | Ownership         | 65.210   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         |            |         |            |                    |   |         |            | Amil Assistência Médica Internacional S.A. |                   |          |                                 |         |    |
|                 |                                   | 00000   |            |         |            |                    | Santa Helena Assistência Médica S.A             | BRA     | NI A       |  | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   |            |         |            |                    | Sarasota Endoscopy Center, L.P                  | GA      | NI A       | NSC Sarasota, Inc.                         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 62-1149229 |         |            |                    | SC Affiliates, LLC                              | DE      | NI A       | Surgical Care Affiliates, LLC              | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5537316 |         |            |                    | SCA Athens, LLC                                 | DE      | NI A       | SC Affiliates, LLC                         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5014406 |         |            |                    | SCA Austin Holdings, LLC                        | DE      | NI A       | SC Affiliates, LLC                         | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5051685 |         |            |                    | SCA Austin Medical Center Holdings, LLC         | DE      | NI A       | SCA Austin Holdings, LLC                   | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   | 45-4230864 |         |            |                    | SCA BOSC Holdings, LLC                          | DE      | NI A       | National Surgery Centers, LLC              | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230864 |         |            |                    | SCA BOSC Holdings, LLC                          | DE      | NIA        | SC Affiliates, LLC                         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230864 |         |            |                    | SCA BOSC Holdings, LLC                          | DE      | NIA        | SunSurgery, LLC                            | Ownership         | 25.000   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230864 |         |            |                    | SCA BOSC Holdings, LLC                          | DE      | NIA        | Surgery Center Holding, LLC                | Ownership         | 25.000   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-2110605 |         |            |                    | SCA California Surgical Holdings, LLC           | DE      | NIA        | National Surgery Centers, LLC              | Ownership         | _100.000 | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-3916468 |         |            |                    | SCA Capital. LLC                                | DE      | NIA        | Surgical Care Affiliates, LLC              | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5030792 |         |            |                    | SCA Cedar Park Holdings, LLC                    | DE      | NIA        | SCA Austin Holdings, LLC                   | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 04-3170801 |         |            |                    | SCA Danbury Surgical Center, LLC                | DE      | NI A       | SunSurgery, LLC                            | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 62-1535981 |         |            |                    | SCA Development, LLC                            | DE      | NIA        | SC Affiliates, LLC                         | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 98-1372069 |         |            |                    | SCA eCode Solutions Private Limited             | IND     | NI A       | eCode Solutions, LLC                       | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230987 |         |            |                    | SCA EHSC Holdings, LLC                          | DE      | NIA.       | National Surgery Centers, LLC              | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230987 |         |            |                    | SCA EHSC Holdings, LLC                          | DE      | NIA        | SC Affiliates, LLC                         | Ownership         | 25.000   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230987 |         |            |                    | SCA EHSC Holdings, LLC                          | DE      | NIA        | SunSurgery, LLC                            | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230987 |         |            |                    | SCA EHSC Holdings, LLC                          | DE      | NIA        | Surgery Center Holding, LLC                | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4230967 |         |            |                    | SCA ENSC Holdings, LLC                          | DE      | NIA        | National Surgery Centers, LLC              | Ownership         | 25.000   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5512838 |         |            |                    | SCA EWASC Holdings, LLC                         | DE      | NIA<br>NIA | SC Affiliates, LLC                         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5512838 |         |            |                    | SCA EWASC Holdings, LLC                         |         |            |  |                   |          |                                 |         |    |
|                 |                                   |         |            |         |            |                    |   |         | NIA        | SunSurgery, LLC                            | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5512838 |         |            |                    | SCA EWASC Holdings, LLC                         | DE      | NIA        | Surgery Center Holding, LLC                | Ownership         | 25.000   | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 47-5064834 |         |            |                    | SCA Hays Holdings, LLC                          | DE      | NIA        | SCA Austin Holdings, LLC                   | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 36-4869243 |         |            |                    | SCA HoldCo, Inc.                                | DE      | NIA        | Collaborative Care Holdings, LLC           | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 27-1664837 |         |            |                    | SCA Holding Company, Inc.                       | DE      | NIA        | SCA Surgery Holdings, LLC                  | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 35-2442147 |         |            |                    | SCA Idaho Holdings, LLC                         | DE      | NI A       | National Surgery Centers, LLC              | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4240475 |         |            |                    | SCA IEC Holdings, LLC                           | DE      | NIA        | National Surgery Centers, LLC              | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4240475 |         |            |                    | SCA IEC Holdings, LLC                           | DE      | NI A       | SC Affiliates, LLC                         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4240475 |         |            |                    | SCA IEC Holdings, LLC                           | DE      | NIA        | SunSurgery, LLC                            | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-4240475 |         |            |                    | SCA IEC Holdings, LLC                           | DE      | NI A       | Surgery Center Holding, LLC                | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-2684108 |         |            |                    | SCA Indiana Holdings, LLC                       | DE      | NI A       | National Surgery Centers, LLC              | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-2684108 |         |            |                    | SCA Indiana Holdings, LLC                       | DE      | NI A       | SC Affiliates, LLC                         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-2684108 |         |            |                    | SCA Indiana Holdings, LLC                       | DE      | NIA        | SunSurgery, LLC                            | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 45-2684108 |         |            |                    | SCA Indiana Holdings, LLC                       | DE      | NI A       | Surgery Center Holding, LLC                | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   |            |         |            |                    | SCA Nashville Surgery Center, L.L.C.            | TN      | NIA        | Nashville-SCA Surgery Centers, Inc         | Ownership         |          | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   |            |         |            |                    | SCA Nashville Surgery Center, L.L.C.            | TN      | NI A       | SC Affiliates, LLC                         | Ownership         | 1.000    | UnitedHealth Group Incorporated |         |    |
|                 |                                   | 00000   | 62-1505276 |         |            |                    | SCA of Clarksville, Inc.                        | TN      | NIA        | Surgical Health, LLC                       | Ownership         |          | UnitedHealth Group Incorporated |         |    |
| I 1             |                                   |         | 27-1707364 |         |            |                    | SCA Pacific Holdings, Inc.                      | CA      | NIA        | SC Affiliates, LLC                         | Ownership         | 100.000  | UnitedHealth Group Incorporated |         |    |
|                 |                                   |         | 46-1686425 |         |            |                    | SCA Pennsylvania Holdings, LLC                  | DE.     | NIA        | Surgery Center Holding, LLC                | Ownership         |          | UnitedHealth Group Incorporated |         |    |

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|-------|------------|---------|------------|---------------------------------------|-----|--------------------|---|----------|-----------|------------------------------------|-------------------|---------|---------------------------------|---------|---------------------------------------|
|       |            | -       |            | -                                     | -   |                    | -   | -        |           |                                    | Туре              | lf      |                                 | -       |                                       |
|       |            |         |            |                                       |     |                    |   |          |           |                                    | of Control        |         |                                 |         | 1                                     |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   | Control |                                 |         | 1                                     |
|       |            |         |            |                                       |     |                    |   |          |           |                                    | (Ownership,       | is      |                                 | ls an   | 1                                     |
|       |            |         |            |                                       |     | Name of Securities |   |          | Relation- |                                    | Board.            | Owner-  |                                 | SCA     | 1                                     |
|       |            |         |            |                                       |     |                    |   | Domi-    | ship      |                                    | ,                 |         |                                 | Filing  | 1                                     |
|       |            |         |            |                                       |     | Exchange           |   |          |           |                                    | Management,       | ship    |                                 |         | 1                                     |
|       |            | NAIC    |            |                                       |     | if Publicly Traded | Names of                                    | ciliary  | to        |                                    | Attorney-in-Fact, | Provide |                                 | Re-     | 1 1                                   |
| Group |            | Company | ID         | Federal                               |     | (U.S. or           | Parent, Subsidiaries                        | Loca-    | Reporting | Directly Controlled by             | Influence.        | Percen- | Ultimate Controlling            | auired? |                                       |
| Code  | Group Name | Code    | Number     | RSSD                                  | CIK | International)     | Or Affiliates                               | tion     | Entity    | (Name of Entity/Person)            | Other)            | tage    | Entity(ies)/Person(s)           | (Y/N)   | *                                     |
| Coue  | Croup Name | Coue    | Number     | ROOD                                  | OIN | international)     |   |          | Linuty    | (Name of Entity/r erson)           | Ouler)            | laye    |                                 | (1/1)   |                                       |
|       |            |         |            |                                       |     |                    | SCA Premier Surgery Center of Louisville, L |          |           |                                    |                   |         |                                 |         | 1                                     |
|       |            | 00000   | 72-1386840 |                                       |     |                    |   | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 45-4252645 |                                       |     |                    | SCA ROCS Holdings, LLC                      | DE       | NIA       | National Surgery Centers, LLC      | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 45-4252645 |                                       |     |                    | SCA ROCS Holdings, LLC                      | DE       | NIA.      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            | 00000   | 45-4252645 |                                       |     |                    | SCA ROCS Holdings, LLC                      | DE       | NIA       | SunSurgery, LLC                    | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 45-4252645 |                                       |     |                    |   | DE       | NIA       |                                    |                   |         |                                 |         |                                       |
|       |            |         | 45-4252645 |                                       |     |                    | SCA ROCS Holdings, LLC                      |          |           | Surgery Center Holding, LLC        | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   |            |                                       |     |                    | SCA Salt Lake Surgical Center, Inc.         | DE       | NIA       | Surgery Centers-West Holdings, LLC | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 47-4928368 |                                       |     |                    | SCA Southwestern PA, LLC                    | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 47-3165040 |                                       |     |                    | SCA Specialists of Florida, LLC             | DE       | NIA       | SCA Surgery Holdings, LLC          | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1 1                                   |
|       |            |         | 46-4898819 |                                       |     |                    | SCA SSC Holdings, LLC                       | DE       | NIA       | National Surgery Centers, LLC      | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            | 00000   | 46-4898819 |                                       |     |                    | SCA SSC Holdings, LLC                       | DL       | NIA       | SC Affiliates. LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         |            | ·                                     |     |                    |   |          |           |                                    | •                 |         |                                 |         |                                       |
|       |            | 00000   | 46-4898819 |                                       |     |                    | SCA SSC Holdings, LLC                       | DE       | NI A      | SunSurgery, LLC                    | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 46-4898819 | .                                     |     |                    | SCA SSC Holdings, LLC                       | DE       | NI A      | Surgery Center Holding, LLC        | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 45-4266502 |                                       |     |                    | SCA SSSC Holdings, LLC                      | DE       | NIA       | National Surgery Centers, LLC      | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 45-4266502 |                                       |     |                    | SCA SSSC Holdings, LLC                      |          | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            |         | 45-4266502 |                                       |     |                    | SCA SSSC Holdings, LLC                      | DE       | NIA       | SunSurgery, LLC                    | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   |         |                                 |         |                                       |
|       |            | 00000   | 45-4266502 |                                       |     |                    | SCA SSSC Holdings, LLC                      | DE       | NI A      | Surgery Center Holding, LLC        | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 47-5038680 |                                       |     |                    | SCA Stonegate Holdings, LLC                 | DE       | NIA       | SCA Austin Holdings, LLC           | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 63-1286887 |                                       |     |                    | SCA Surgery Center of Cullman, LLC          | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | .   !                                 |
|       |            | 00000   |            |                                       |     |                    | SCA Surgery Centers, LLC                    | DE       | NIA       | National Surgery Centers, LLC      | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 26-2602268 |                                       |     |                    | SCA Surgery Holdings, LLC                   |          | NIA       | Surgical Care Affiliates, LLC      | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   |         |                                 |         |                                       |
|       |            | 00000   | 75-2501088 |                                       |     |                    | SCA Surgicare of Laguna Hills, LLC          | DE       | NI A      | Surgery Center Holding, LLC        | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 27-2096767 |                                       |     |                    | SCA Teammate Support Network                | AL       | NI A      | Surgical Care Affiliates, LLC      | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 47-1807383 |                                       |     |                    | SCA-Alliance, LLC                           | DE       | NIA       | SCA Surgery Holdings, LLC          | Ownership         | 100.000 | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 62-1530120 |                                       |     |                    | SCA-Blue Ridge, LLC                         | DE       | NIA       | SC Affiliates. LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 47-1535510 |                                       |     |                    | SCA-Central Florida, LLC                    | FL       | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   |         |                                 |         |                                       |
|       |            |         | 58-1709758 |                                       |     |                    | SCA-Charleston, LLC                         | DE       | NI A      | SCA Surgery Holdings, LLC          | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 81-3301058 |                                       |     |                    | SCA-Chevy Chase, LLC                        | DE       | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 62-1516306 |                                       |     |                    | SCA-Citrus, Inc                             | TN       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 93-1047471 |                                       |     |                    | SCA-Colorado Springs, LLC                   | DE       | NIA       | SC Affiliates, LLC                 | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1 1                                   |
|       |            |         | 47-4028383 |                                       |     |                    | SCA-Davenport, LLC                          |          | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            |         | 81-1594261 |                                       |     |                    | SCA-Dublin, LLC                             |          | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   |         |                                 |         |                                       |
|       |            |         | 81-3301305 |                                       |     |                    | SCA-Encinitas, Inc                          | DE       | NI A      | Surgical Health, LLC               | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 62-1541235 |                                       |     |                    | SCA-Eugene, Inc.                            | TN       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 62-1551099 |                                       |     |                    | SCA-Florence, LLC                           | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 93-1067967 |                                       |     |                    | SCA-Fort Collins, Inc.                      | CO       | NIA       | SC Affiliates, LLC                 | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1                                     |
|       |            |         | 62-1502719 |                                       |     |                    | SCA-Fort Walton, Inc.                       |          | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | · · · · · · · · · · · · · · · · · · · |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   |         |                                 |         |                                       |
|       |            | 00000   | 47-3641516 | ·                                     |     |                    | SCA-Franklin, LLC                           | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | ·                                     |
|       |            |         | 81-2727879 |                                       |     |                    | SCA-Frederick, LLC                          | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 62-1547690 |                                       |     |                    | SCA-Gainesville, LLC                        | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 81-1956407 |                                       |     |                    | SCA-Gladiolus, LLC                          | DE       | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 81-2376695 |                                       |     |                    | SCA-Grove Place. LLC                        |          | NIA       | SC Affiliates. LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            |         |            | · · · · · · · · · · · · · · · · · · · |     |                    | SCA-Bagerstown, LLC                         |          | NIA       | SC Affiliates, LLC                 | Ownership         |         |                                 |         | · · · · · · · · · · · · · · · · · · · |
|       |            |         | 47-3466283 |                                       |     |                    |   | DE       |           |                                    |                   |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 81-4605501 | ·                                     |     |                    | SCA-Hamden, LLC                             | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 47-5229514 |                                       |     |                    | SCA-Hilton Head, LLC                        | SC       | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 62-1506650 |                                       |     |                    | SCA-Honolulu, LLC                           | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 81-3445356 |                                       |     |                    | SCA-Houston Executive, LLC                  | DE       | NIA       | SCA Pacific Holdings, Inc.         | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            |         | 81-4905342 |                                       |     |                    | SCAI Holdings, LLC                          |          | NIA       | Collaborative Care Holdings, LLC   | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         |            |                                       |     |                    |   |          |           |                                    |                   |         |                                 |         |                                       |
|       |            |         | 81-1666861 |                                       |     |                    | SCA-IIIinois, LLC                           | DE       | NIA       | SCA Surgery Holdings, LLC          | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 81-4292506 |                                       |     |                    | SCA-Main Street, LLC                        | DE       | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 47-1751165 |                                       |     |                    | SCA-Marina Del Ray LLC                      |          | NI A      | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            | 00000   | 62-1184188 |                                       |     |                    | SCA-Mecklenburg Development Corp.           | NC       | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         | 1                                     |
|       |            |         | 81-1771410 |                                       |     |                    | SCA-Merritt. LLC                            | DE       | NIA       | SC Affiliates, LLC                 | Ownership         |         | UnitedHealth Group Incorporated |         |                                       |
|       |            |         | 80-0070617 |                                       |     |                    | SCA-Mobile. LLC                             | DE<br>DE | NIA       | SC Affiliates, LLC                 | Ownership         |         |                                 |         |                                       |
|       |            |         | 80-00/061/ |                                       |     | 1                  | LSU8-MODILE IIC                             | . DE     | NIA       | SU ATTILIATES, LLU                 | uwnership         | 100.000 | UnitedHealth Group Incorporated |         |                                       |

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|            |                              |         |             |         |            |                    |   |         |           |   | of Control                            | Control |                                    |         |           |
|            |                              |         |             |         |            |                    |   |         |           |   | (Ownership,                           | is      |                                    | ls an   |           |
|            |                              |         |             |         |            | Name of Securities |   |         | Relation- |   | Board,                                | Owner-  |                                    | SCA     |           |
|            |                              |         |             |         |            |                    |   | - ·     |           |   | · · · · · · · · · · · · · · · · · · · |         |                                    |         |           |
|            |                              |         |             |         |            | Exchange           |   | Domi-   | ship      |   | Management,                           | ship    |                                    | Filing  |           |
|            |                              | NAIC    |             |         |            | if Publicly Traded | Names of                                  | ciliary | to        |   | Attorney-in-Fact,                     | Provide |                                    | Re-     |           |
| Group      |                              | Company | ID          | Federal |            | (U.Ś. or           | Parent, Subsidiaries                      | Loca-   | Reporting | Directly Controlled by                      | Influence.                            | Percen- | Ultimate Controlling               | auired? |           |
| Code       | Group Name                   | Code    | Number      | RSSD    | CIK        | International)     | Or Affiliates                             | tion    | Entity    | (Name of Entity/Person)                     | Other)                                | tage    | Entity(ies)/Person(s)              | (Y/N)   | *         |
| Coue       | Oloup Name                   |         |             | ROOD    | OIIX       | International)     |   |         | NIA       |   | ,                                     |         |                                    | (1/1)   | -         |
|            |                              |         | 81-1320467  |         |            |                    | SCA-Mokena Properties, LLC                | DE      |           | SCA-Mokena, LLC                             | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-1065674  |         |            |                    | SCA-Mokena, LLC                           | DE      | NI A      | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 62-1506655  |         |            |                    | SCA-Mt. Pleasant, LLC                     | DE      | NI A      | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-2850365  |         |            |                    | SCA-Naperville, LLC                       | DE      | NI A      | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-1347328  |         |            |                    | SCA-ND VBP, Inc.                          | DE      | NI A      | SC Affiliates, LLC                          | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              | 00000   | 47-4418919  |         |            |                    | SCA-New Jersev. LLC                       | DE      | NIA       | SC Affiliates, LLC                          | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 47-1760663  |         |            |                    | SCA-Newport Beach, LLC                    | CA      | NIA       | SC Affiliates, LLC                          | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 62-1589343  |         |            |                    | SCA-Northeast Georgia Health, LLC         | TN      | NIA       | SCA-Gainesville, LLC                        | Ownership                             | 51.000  | UnitedHealth Group Incorporated    |         | 1         |
|            |                              |         | 62-1538850  |         |            |                    | SCA-Paoli, LLC                            |         | NIA       | SCA-damesvirie, LLC                         | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    |   |         | NIA       |   | Ownership                             |         |                                    |         |           |
|            |                              |         | 47-2785908  |         |            |                    | SCA-Phoenix, LLC                          | DE      |           | SC Affiliates, LLC                          |                                       |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-4304317  |         |            |                    | SCA-River Valley, LLC                     | DE      | NIA       | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    | .       | ·         |
|            |                              |         | 47-1647512  |         |            |                    | SCA-Rockville, LLC                        | FL      | NI A      | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-2963561  |         |            |                    | SCA-San Diego, Inc.                       | DE      | NI A      | Surgical Health, LLC                        | Ownership                             | 100.000 | UnitedHealth Group Incorporated    | .       |           |
|            |                              |         | 94-3138088  |         |            |                    | SCA-San Luis Obispo, LLC                  | DE      | NI A      | SC Affiliates, LLC                          | Ownership.                            | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              | 00000   | 47-1685878  |         |            |                    | SCA-Sand Lake. LLC                        | FL      | NI A.     | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 88-0185362  |         |            |                    | SCA-Santa Rosa, Inc.                      | NV      | NIA       | SC Affiliates, LLC                          | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 62-1179532  |         |            |                    | SCA-Shelby Development Corp.              |         | NIA       | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-3300613  |         |            |                    | SCA-Somerset, LLC                         |         | NIA       | SCA Surgery Holdings, LLC                   | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    |   |         |           |   |                                       |         |                                    |         |           |
|            |                              | 00000   | 22-3117714  |         |            |                    | SCA-South Jersey, LLC                     | DE      | NI A      | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    |   |         |           | National Surgery Centers-Santa Monica, LLC  |                                       |         |                                    |         |           |
|            |                              |         | 20-3148262  |         |            |                    | SCA-Sovereign Santa Monica, LLC           | DE      | NI A      |   | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 47-2676325  |         |            |                    | SCA-Sparta, LLC                           | DE      | NIA       | SC Affiliates, LLC                          | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 47-4106989  |         |            |                    | SCA-St. Louis, LLC                        | MO      | NI A.     | Surgical Health, LLC                        | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 47-2890788  |         |            |                    | SCA-Wilson, LLC                           | DE      | NIA       | SCA Surgery Holdings, LLC                   | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 81-1159878  |         |            |                    | SCA-Winchester, LLC                       | DE      | NIA       | SC Affiliates. LLC                          | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 62-1525777  |         |            |                    | SCA-Winter Park, Inc.                     | TN      | NIA       | SC Affiliates, LLC                          | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    |   |         | NIA       |   | Ownership                             | 100.000 |                                    |         |           |
|            |                              |         | 27-2635371  |         |            |                    | SCP Specialty Infusion, LLC               | DE      |           | BriovaRx Infusion Services, Inc.            |                                       |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 88-0492251  |         |            |                    | ScripNet, LLC                             | DE      | NIA       | Healthcare Solutions, Inc.                  | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 98-1097822  |         |            |                    | ScriptSwitch Limited                      | GBR     | NI A      | Optum UK Solutions Group Limited            | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    |   |         |           | Amil Assistência Médica Internacional S.A.  |                                       |         |                                    |         |           |
|            |                              |         | 98-1202716  |         |            |                    | Seisa Serviços Integrados de Saúde Ltda   | BRA     | NIA       |   | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    | , .                                       |         |           | Cemed Care - Empresa de Atendimento Clínico |                                       |         |                                    |         |           |
|            |                              | 00000   | 98-1202716  |         |            |                    | Seisa Serviços Integrados de Saúde Ltda.  | BRA     | NIA       | Geral Ltda.                                 | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 50 12027 10 |         |            |                    | ocraa ocrargos integrados de oadde Etda   |         |           | North American Medical Management -         | owner arrp                            |         |                                    |         |           |
|            |                              | .00000  | 20-4763091  |         |            |                    | Senior Care Partners, Inc.                | IL      | NIA       | Illinois, Inc.                              | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 20-4/63091  |         | 0001487203 |                    |   |         | NIA       |   |                                       |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         | 0001487203 |                    | Serquinox Holdings LLC                    |         |           | BriovaRx Infusion Services, Inc.            | Ownership                             |         |                                    |         |           |
|            |                              |         | 27-1533840  |         |            |                    | Serquinox LLC                             | DE      | NI A      | Serquinox Holdings LLC                      | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         |             |         |            |                    | SharedClarity, LLC                        | DE      | NI A      | United HealthCare Services, Inc             | Ownership                             |         | UnitedHealth Group Incorporated    | .       | ·         |
|            |                              |         | 58-1978974  |         |            |                    | SHC Atlanta, LLC                          | DE      | NI A      | Surgical Health, LLC                        | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 58-2013443  |         |            |                    | SHC Austin, Inc.                          | TX      | NI A      | Surgical Health, LLC                        | Ownership                             |         | UnitedHealth Group Incorporated    | .       |           |
|            |                              |         | 58-2062812  |         |            |                    | SHC Hawthorn. Inc.                        | IL      | NIA       | Surgical Health, LLC                        | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 58-2101924  |         |            |                    | SHC Melbourne. Inc.                       |         | NIA       | Surgical Health, LLC                        | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 62-1223273  |         |            |                    | Shelby Surgery Properties, Inc.           | TN      | NIA       | SC Affiliates. LLC                          | Ownership.                            |         | UnitedHealth Group Incorporated    |         | 1         |
|            |                              |         | 02-1220210  |         |            |                    | Sierra Health and Life Insurance Company. |         |           | OV ATTITIALES, LLV                          |                                       |         | on concentri uroup moorporateu     |         |           |
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| 0707 Un it | tedHealth Group Incorporated |         | 94-0734860  |         |            |                    | Inc.                                      | NV      | IA        | Sierra Health Services, Inc.                | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 88-0200415  |         | 0000754009 |                    | Sierra Health Services, Inc               | NV      | NI A      | UnitedHealthcare, Inc.                      | Ownership                             |         | UnitedHealth Group Incorporated    |         | ·         |
|            |                              |         | 88-0254322  |         |            |                    | Sierra Health-Care Options, Inc           | NV      | NI A      | Sierra Health Services, Inc                 | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 88-0385705  |         |            |                    | Sierra Home Medical Products, Inc         | NV      | NI A      | Sierra Health Services, Inc                 | Ownership                             |         | UnitedHealth Group Incorporated    | .       |           |
|            |                              |         | 88-0264562  |         |            |                    | Sierra Nevada Administrators, Inc.        | NV      | NI A      | Sierra Health Services, Inc.                | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
| [          |                              |         | 20-8433398  |         | l          |                    | Somerset Outpatient Surgery, L.L.C.       | NJ      | NIA       | SCA-Somerset. LLC                           | Ownership                             | 59.999  | UnitedHealth Group Incorporated    |         | .l1       |
|            |                              |         | 88-0201420  |         |            |                    | Southwest Medical Associates, Inc.        | NV      | NIA       | Sierra Health Services, Inc.                | Ownership                             |         | UnitedHealth Group Incorporated    |         |           |
|            |                              |         | 38-2609888  |         |            |                    | Southwest Michigan Health Network Inc.    | MI      | NIA       | UnitedHealthcare. Inc.                      | Ownership                             | 100.000 | UnitedHealth Group Incorporated    |         | [         |
|            |                              |         | 36-4369359  |         |            |                    | Southwest Surgery Center, LLC             | IL      | NIA       | SCA-Mokena, LLC                             | Ownership                             | 60.000  | UnitedHealth Group Incorporated    |         | 1         |
|            |                              |         | 00-4009009  |         |            |                    | Southwest ourgery center, LLC             | J IL    | NIN       | JUA-WUNCHA, LLU                             | Umilei Sili P                         | 00.000  | John teurearth droup meorporated   |         | . <u></u> |

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|-------|------------|---------|--------------------------|---------|-----|--------------------|--|----------|------------|---|------------------------|-----------|--|---------|-----------|
|       |            |         |                          |         |     |                    |  |          |            |   | Туре                   | lf        |  |         |           |
|       |            |         |                          |         |     |                    |  |          |            |   | of Control             | Control   |  |         |           |
|       |            |         |                          |         |     |                    |  |          |            |   | (Ownership,            | is        |  | ls an   |           |
|       |            |         |                          |         |     | Name of Securities |  |          | Relation-  |   | Board.                 | Owner-    |  | SCA     |           |
|       |            |         |                          |         |     | Exchange           |  | Domi-    | ship       |   | Management,            | ship      |  | Filing  |           |
|       |            | NAIC    |                          |         |     | if Publicly Traded | Names of   | ciliary  | to         |   | Attorney-in-Fact,      | Provide   |  | Re-     |           |
| Group |            | Company | ID                       | Federal |     | (U.S. or           | Parent, Subsidiaries   | Loca-    | Reporting  | Directly Controlled by  | Influence.             | Percen-   | Ultimate Controlling   | auired? | ,         |
| Code  | Group Name | Code    | Number                   | RSSD    | CIK | International)     | Or Affiliates  | tion     | Entity     | (Name of Entity/Person)   | Other)                 | tage      | Entity(ies)/Person(s)  | (Y/N)   | *         |
| Coue  | Oloup Name | Code    | Number                   | ROOD    | OIX | international)     | Southwest Surgical Center of Bakersfield.                          | uon      | Linuty     | Bakersfield Physicians Plaza Surgical                             | Ottler)                | lage      |  | (1/1)   | +         |
|       |            |         | 77-0458353               |         |     |                    | L.P.   |          | NI A       | Center, L.P.  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 75-2516426               |         |     |                    | Space Coast Surgery Center, Ltd.                                   |          | NIA        | SCA-Merritt, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 55-0790742               |         |     |                    | Specialists in Urology Surgery Center, LLC                         | FL       | NIA        | SCA Specialists of Florida, LLC                                   | Ownership              |           | JnitedHealth Group Incorporated                                    |         | 1         |
|       |            |         | 62-1490063               |         |     |                    | Specialized Surgery of Houston, Inc.                               | TX       | NIA.       | Surgical Health. LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 41-1921983               |         |     |                    | Specialty Benefits, LLC  | DE       | NIA        | United HealthCare Services. Inc.                                  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 20-3412545               |         |     |                    | Specialty Surgical Center, LLC                                     | NJ       | NIA.       | SCA-Sparta, LLC   | Ownership              |           | JnitedHealth Group Incorporated                                    |         | 1         |
|       |            |         | 71-0886811               |         |     |                    | Spectera of New York, IPA, Inc.                                    | NY       | NIA        | Spectera, Inc.  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 52-1260282               |         |     |                    | Spectera, Inc.   | MD       | NIA        | Specialty Benefits, LLC   | Ownership              | 100.000 l | UnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 81-1387232               |         |     |                    | SPINETRACK 20/20 Inc.  | CA       | NIA        | SCA-ND VBP, Inc.  | Ownership              |           | UnitedHealth Group Incorporated                                    |         |           |
|       |            |         |                          |         |     |                    | Sports and Spinal Physical Therapy, Inc                            | DC       | NIA        | Orthology, Inc.   | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 46-5587702               |         |     |                    | Spotlite, Inc  | DE       | NIA        | Rally Health, Inc   | Ownership              |           | JnitedHealth Group Incorporated                                    | [       |           |
|       |            |         | 62-1770924               |         |     |                    | SRPS, LLC  | DE       | NI A       | Progressive Solutions, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 41-1348916               |         |     |                    | St. Cloud Surgical Center, LLC                                     | DE       | NIA        | Surgery Center Holding, LLC                                       | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 20-1211544               |         |     |                    | Stonegate Surgery Center, L.P.                                     | TX       | NI A       | SCA Stonegate Holdings, LLC                                       | Ownership              |           | UnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 90-0884047               |         |     |                    | Summit Home Infusion, LLC  | DE       | NIA        | BriovaRx Infusion Services, Inc.                                  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 06-1082848               |         |     |                    | SunSurgery, LLC  | DE       | NI A       | Surgical Care Affiliates, LLC                                     | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 62-1739361               |         |     |                    | Surgery Center Holding, LLC  | DE       | NIA        | Surgical Care Affiliates, LLC                                     | Ownership              |           | InitedHealth Group Incorporated                                    |         |           |
|       |            |         | 45-0581955               |         |     |                    | Surgery Center of Athens, LLC                                      | GA       | NIA        | Athens ASC Holdings, LLC  | Ownership              |           | InitedHealth Group Incorporated                                    |         |           |
|       |            |         | 62-1509341               |         |     |                    | Surgery Center of Boca Raton, Inc.                                 | FL       | NIA        | Surgical Health, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 62-1506370               |         |     |                    | Surgery Center of Clarksville, L.P.                                | TN       | NIA        | SCA of Clarksville, Inc.  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 63-1214140<br>42-1166764 |         |     |                    | Surgery Center of Colorado Springs, LLC                            | DE       | NIA        | SC Affiliates, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 72-1349752               |         |     |                    | Surgery Center of Des Moines, LLC<br>Surgery Center of Easton, LLC | DE<br>DE | NIA<br>NIA | Surgery Center Holding, LLC<br>SC Affiliates, LLC                 | Ownership<br>Ownership |           | JnitedHealth Group Incorporated<br>JnitedHealth Group Incorporated |         |           |
|       |            |         | 62-1179537               |         |     |                    | Surgery Center of Louisville, LLC                                  | DE       | NIA        | SC Affiliates, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 63-1231944               |         |     |                    | Surgery Center of Maui, LLC  | DE       | NIA        | SC Affiliates, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 63-1194204               |         |     |                    | Surgery Center of Muskogee, LLC                                    | DE       | NIA        | SC Affiliates, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 11-3701564               |         |     |                    | Surgery Center of Rockville, L.L.C.                                | MD       | NIA        | SCA-Rockville, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         | 1         |
|       |            |         | 63-1212214               |         |     |                    | Surgery Center of Southern Pines, LLC                              | DE       | NIA        | Surgery Center Holding, LLC                                       | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 63-1184216               |         |     |                    | Surgery Center of Summerlin, LLC                                   | DE.      | NIA.       | SC Affiliates, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 62-1491963               |         |     |                    | Surgery Center of Vero Beach, Inc.                                 | TN       | NIA        | Surgical Health, LLC  | Ownership.             |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 26-1767806               |         |     |                    | Surgery Center of Wilson, LLC                                      | NC       | NIA.       | SCA-Wilson, LLC   | Ownership              |           | JnitedHealth Group Incorporated                                    |         | 1         |
|       |            |         | 36-3562598               |         |     |                    | Surgery Centers of Des Moines, Ltd.                                | IA       | NI A       | Surgery Center of Des Moines, LLC                                 | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 68-0282268               |         |     |                    | Surgery Centers-West Holdings, LLC                                 | DE       | NIA        | Surgical Care Affiliates, LLC                                     | Ownership              | 100.000 l | UnitedHealth Group Incorporated                                    |         |           |
|       |            |         |                          |         |     |                    | Surgical Care Affiliates Political Action                          |          |            |   |                        |           |  |         |           |
|       |            |         | 33-1187498               |         |     |                    | Committee  | AL       | NIA        | Surgical Care Affiliates, LLC                                     | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 20-8922307               |         |     |                    | Surgical Care Affiliates, LLC                                      | DE       | NIA        | SCAI Holdings, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 46-2971080               |         |     |                    | Surgical Care Partners of Melbourne, LLC                           | DE       | NI A       | SHC Melbourne, Inc  | Ownership              | 68.327 l  | JnitedHealth Group Incorporated                                    |         |           |
|       |            |         |                          |         |     |                    | Surgical Center of South Jersey, Limited                           |          |            |   |                        |           |  |         |           |
|       |            |         | 22-2709324               |         |     |                    | Partnership  | NJ       | NI A       | SCA-South Jersey, LLC   | Ownership              |           | JnitedHealth Group Incorporated                                    | [       |           |
|       |            |         | 63-1138507               |         |     |                    | Surgical Center of Tuscaloosa Holdings, LLC _                      | AL       | NIA        | Surgical Care Affiliates, LLC                                     | Ownership              |           | JnitedHealth Group Incorporated                                    | [       |           |
|       |            |         | 58-1997354               |         |     |                    | Surgical Health of Orlando, Inc                                    | FL       | NI A       | Surgical Health, LLC  | Ownership              |           | JnitedHealth Group Incorporated                                    | [       |           |
|       |            |         | 58-1941168               |         |     |                    | Surgical Health, LLC   | DE       | NIA        | Surgical Care Affiliates, LLC                                     | Ownership              |           | JnitedHealth Group Incorporated                                    | [       |           |
|       |            |         | 26-5429310               |         |     |                    | Surgical Holdings, Inc.  | DE       | NIA        | Surgical Care Affiliates, LLC                                     | Ownership              |           | InitedHealth Group Incorporated                                    | [       | +         |
|       |            |         | 27-0168681               |         |     |                    | Surgical Hospital Holdings of Oklahoma, LLC _                      | DE       | NIA        | SCA Surgery Holdings, LLC   | Ownership              | l00.000 l | JnitedHealth Group Incorporated                                    | [       |           |
|       |            | 00000   | 70 1501000               |         |     |                    | Ormericant that its Lat Obtainers 1.7.0                            | 01/      | NILA       | Surgical Hospital Holdings of Oklahoma, LLC                       | 0                      | 50,000    | hitedlarith Constants  | 1       |           |
|       |            |         | 73-1521890               |         |     |                    | Surgical Hospital of Oklahoma, L.L.C.                              | 0K       | NIA        | O seistiged Oursens of Unseter 1                                  | Ownership              |           | JnitedHealth Group Incorporated                                    | [       | · []      |
|       |            |         | 75 0157700               |         |     |                    | Surgical Properties of Houston, Inc.                               | TX       | NIA        | Specialized Surgery of Houston, Inc.                              | Ownership              |           | JnitedHealth Group Incorporated                                    |         | +         |
|       |            |         | 75-2157730               |         |     |                    | Surgicare of Belleville, LLC                                       | DE       | NIA        | Surgery Center Holding, LLC                                       | Ownership              |           | JnitedHealth Group Incorporated                                    |         |           |
| ·     |            |         | 64-0629000<br>75-2287141 |         |     |                    | Surgicare of Jackson, LLC  |          | NI A       | Surgery Center Holding, LLC                                       | Ownership              |           | UnitedHealth Group Incorporated                                    |         |           |
|       |            |         | 75-228/141               |         |     |                    | Surgicare of Joliet, Inc<br>Surgicare of La Veta. Inc              | IL<br>CA | NIA<br>NIA | Surgery Center Holding, LLC<br>Surgery Centers-West Holdings, LLC | Ownership<br>Ownership |           | JnitedHealth Group Incorporated<br>JnitedHealth Group Incorporated |         |           |
|       |            |         | 41-1437636               |         |     |                    | Surgicare of La Vela, Inc.   | DE       | NIA<br>NIA | Surgery Center Holding, LLC                                       | Ownersnip<br>Ownership |           | JnitedHealth Group Incorporated                                    |         |           |
| [     |            |         | 41-143/636               |         |     |                    | Surgicare of Minneapolis, Ltd.                                     |          | NIA<br>NIA | Surgicare of Minneapolis, LLC                                     | Ownership              |           | JnitedHealth Group Incorporated                                    | [       | 31        |
|       |            |         | + I- IU243UU             |         |     |                    | jourgroare or minicaports, Llu.                                    | mt       | NIA        | ourgroate of millicapolls, LLo                                    | omiol allip            |           | Sin comeantin or oup micorporated                                  |         | - <u></u> |

| 4     | 2                               | 2       | 4            | E       | 6          | 7                       | 0   | 0       | 10        | 11                                      | 10                | 13        | 14                              | 15      | 16 |
|-------|---------------------------------|---------|--------------|---------|------------|-------------------------|---|---------|-----------|---|-------------------|-----------|---------------------------------|---------|----|
| 1     | 2                               | 3       | 4            | 5       | 6          | 1                       | 8   | 9       | 10        | 11                                      | 12                |           | 14                              | 15      | 16 |
|       |                                 |         |              |         |            |                         |   |         |           |   | Туре              | lf        |                                 |         |    |
|       |                                 |         |              |         |            |                         |   |         |           |   | of Control        | Control   |                                 |         |    |
|       |                                 |         |              |         |            |                         |   |         |           |   | (Ownership,       | is        |                                 | ls an   |    |
|       |                                 |         |              |         |            | Name of Securities      |   |         | Relation- |   | Board,            | Owner-    |                                 | SCA     |    |
|       |                                 |         |              |         |            |                         |   | Dami    |           |   |                   |           |                                 |         |    |
|       |                                 |         |              |         |            | Exchange                |   | Domi-   |           |   | Management,       | ship      |                                 | Filing  |    |
|       |                                 | NAIC    |              |         |            | if Publicly Traded      | Names of                                      | ciliary | to        |   | Attorney-in-Fact, | Provide   |                                 | Re-     |    |
| Group |                                 | Company | ID           | Federal |            | (U.S. or                | Parent, Subsidiaries                          | Loca-   | Reporting | Directly Controlled by                  | Influence,        | Percen-   | Ultimate Controlling            | quired? |    |
| Code  | Group Name                      | Code    | Number       | RSSD    | CIK        | International)          | Or Affiliates                                 | tion    | Éntity    | (Name of Entity/Person)                 | Other)            | tage      | Entity(ies)/Person(s)           | '(Y/N)  | *  |
|       |                                 | 00000   | 75-2459713   |         | •          |                         | Surgicare of Mobile, LLC                      |         |           | Surgery Center Holding, LLC             | Ownership         |           | InitedHealth Group Incorporated | ()      | 1  |
|       |                                 |         | 75-2448926   |         |            |                         | Surgicare of Oceanside, Inc.                  | CA      |           | Surgery Center Holding, LLC             | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 75-2184730   |         |            |                         | Surgicare of Owensboro, LLC                   | DE      |           | Surgery Center Holding, LLC             | Ownership         |           | JnitedHealth Group Incorporated |         |    |
|       |                                 |         |              |         |            |                         |   |         |           |   |                   |           |                                 |         |    |
|       |                                 |         |              |         |            |                         | Surgicare of Salem, LLC                       |         |           | Surgery Center Holding, LLC             | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 95-3329855   |         |            |                         | Surgicenters of Southern California, Inc      | CA      |           | Surgery Center Holding, LLC             | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   |              |         |            |                         | SXC Comet LLC                                 | DE      |           | OptumRx Administrative Services, LLC    | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 46-1536748   |         |            |                         | Symphonix Health Holdings, LLC                | DE      |           | United HealthCare Services, Inc         | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       | UnitedHealth Group Incorporated | 84549   | 38-2044243   |         |            |                         | Symphonix Health Insurance, Inc               | IL      | IA        | Symphonix Health Holdings, LLC          | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 47-4751035   |         |            |                         | TeamMD Holdings, Inc.                         | DE      | NIA       | AmeriChoice Corporation                 | Ownership         | 100.000 l | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 47-4850893   |         |            |                         | TeamMD lowa, Inc                              | DE      | NI A      | TeamMD Holdings, Inc.                   | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 35-2584893   |         |            |                         | TeamMD Physicians of Texas, Inc.              | TX      | NI A.     | TeamMD Holdings, Inc.                   | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 56-1970224   |         | 0001284028 |                         | The Lewin Group, Inc.                         | NC      |           | Optum Public Sector Solutions, Inc.     | Ownership.        |           | InitedHealth Group Incorporated | 1       |    |
|       |                                 |         | 72-1349755   |         |            |                         | The Surgery Center of Easton, L.P.            | TN      |           | Surgery Center of Easton, LLC           | Ownership         |           | InitedHealth Group Incorporated |         | 32 |
|       |                                 |         | 26-1479919   |         |            |                         | Thomas Johnson Surgery Center, LLC            | MD      |           | SCA-Frederick, LLC                      | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 77-0367271   |         |            |                         | Thousand Oaks Endoscopy Center, LLC           | CA      |           | Endoscopy Center Affiliates. Inc.       | Ownership         |           | JnitedHealth Group Incorporated |         |    |
|       | 1                               |         |              |         |            |                         |   |         |           |   |                   |           |                                 |         | 1  |
|       |                                 |         |              |         |            |                         | Three Rivers Holdings, Inc.                   | DE      |           | AmeriChoice Corporation                 | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 63-1194203   |         |            |                         | Three Rivers Surgical Care, L.P               | TN      |           | Muskogee Surgical Investors, LLC        | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 59–3143128   |         |            |                         | Tmesys, LLC                                   | FL      |           | PMSI Holdings, LLC                      | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 |         |              |         |            |                         |   |         |           | Esho — Empresa de Serviços Hospitalares |                   |           |                                 |         |    |
|       |                                 | 00000   | 98-1287904   |         |            |                         | Topimagem Diagnóstico por Imagem Ltda         | BRA     |           | S.A                                     | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 52-1431155   |         |            |                         | Travel Express Incorporated                   | MD      | NI A      | FrontierMEDEX, Inc.                     | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 20-4963945   |         |            |                         | Trinity Infusion, Inc.                        | NC      | NI A      | Ambient Healthcare, Inc.                | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 94-3077084   |         |            |                         | U.S. Behavioral Health Plan. California       | CA      | IA        | United Behavioral Health                | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 98-1113428   |         |            |                         | UHC Global Health Services BC Ltd.            | CAN     |           | UnitedHealthcare Global Canada Limited  | Ownership         |           | JnitedHealth Group Incorporated |         |    |
|       |                                 |         | 41-1913059   |         |            |                         | UHC International Services, Inc.              | DE      |           | UnitedHealth Group Incorporated         | 0wnership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 95-2931460   |         | 0001225831 |                         | UHC of California                             | CA      |           | United HealthCare Services, Inc.        | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 98-1372063   |         | 0001223031 |                         | UHCG Holdings (Ireland) Limited               | IRL     |           | United HealthCare Services, Inc         | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 98-1372063   |         |            |                         | UHCG Services (Ireland) Limited               | IRL     |           |   | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         | 98-13/2064   |         |            |                         | UHUG Services (Tretand) Limited               |         |           | UHCG Holdings (Ireland) Limited         | Uwnersnip         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 |         |              |         |            |                         |   |         |           | Polar II Fundo de Investimento em       |                   |           |                                 |         |    |
|       |                                 |         | 98-1122490   |         |            |                         | UHG Brasil Participações S.A                  | BRA     |           | Participações Multiestrategia           | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 41-1921008   |         |            |                         | UHIC Holdings, Inc                            | DE      |           | United HealthCare Services, Inc         | Ownership         |           | JnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 39-1995276   |         |            |                         | UMR, Inc                                      | DE      |           | United HealthCare Services, Inc.        | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       | UnitedHealth Group Incorporated |         | 52-1996029   |         |            |                         | Unimerica Insurance Company                   | WI      | IA        | OptumHealth Holdings, LLC               | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 |         |              |         |            |                         | Unimerica Life Insurance Company of New York  |         |           |   |                   |           |                                 |         |    |
| 0707  | UnitedHealth Group Incorporated | 11596   | 01-0637149   |         |            |                         |   | NY      | IA        | UnitedHealthcare Insurance Company      | Ownership         | 100.000   | UnitedHealth Group Incorporated |         |    |
|       |                                 |         | 25-1877716   |         |            |                         | Unison Administrative Services, LLC           | PA      |           | Three Rivers Holdings, Inc.             | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       | ]                               |         | 20-5917714   |         |            |                         | Unison Health Plan of Delaware. Inc.          |         |           | Three Rivers Holdings, Inc.             | Ownership.        |           | InitedHealth Group Incorporated | 1       | T  |
|       |                                 |         | 94-2649097   |         |            |                         | United Behavioral Health                      | CA      |           | OptumHealth Holdings, LLC               | Ownership         |           | InitedHealth Group Incorporated |         |    |
|       |                                 | 00000   |              |         |            |                         | United Behavioral Health of New York, I.P.A., |         |           | optumical til notulitys, LLC            | omilei siii p     |           | mitourearth uroup moorporateu   |         | 1  |
|       |                                 | 00000   | 41 1000011   | 1       |            |                         |   | NY      | NLA       | Ibited Debeviewal Health                | Ownershie         | 100,000   | hitadilaalth Cuaus Inaansanates | 1       |    |
|       |                                 | 00000   | . 41-1868911 |         |            |                         | Inc.  |         |           | United Behavioral Health                | Ownership         |           | UnitedHealth Group Incorporated |         | +  |
|       |                                 |         | 41-1941615   |         |            |                         | United Health Foundation                      | MN      |           | UnitedHealth Group Incorporated         | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 41-1289245   | 3410132 | 0001310133 |                         | United HealthCare Services, Inc.              | MN      | UDP       | UnitedHealth Group Incorporated         | Ownership         | 100.000 l | InitedHealth Group Incorporated |         |    |
|       |                                 |         |              | 1       |            |                         | United Resource Networks IPA of New York,     |         |           |   |                   |           |                                 | 1       |    |
|       |                                 | 00000   | 30-0318238   |         |            |                         | Inc   | NY      |           | OptumHealth Care Solutions, LLC         | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 01-0538317   |         |            |                         | UnitedHealth Advisors, LLC                    | ME      |           | United HealthCare Services, Inc.        | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 | 00000   | 41-1321939   | 4665014 | 0000731766 | New York Stock Exchange | UnitedHealth Group Incorporated               | DE      | UIP       |   |                   |           |                                 |         |    |
|       |                                 |         | 98-1079826   |         |            |                         | UnitedHealth Group International GP           | CYM     | NI A      | UnitedHealth Group Incorporated         | Ownership         |           | UnitedHealth Group Incorporated |         |    |
|       |                                 |         | 98-1080118   |         |            |                         | UnitedHealth Group International L.P.         | CYM.    |           | UnitedHealth Group International GP     | Ownership         |           | JnitedHealth Group Incorporated |         |    |
|       | ]                               |         | 98-1080118   |         |            |                         | UnitedHealth Group International L.P.         | CYM     |           | FMG Holdings, LLC                       | Ownership         |           | InitedHealth Group Incorporated |         | 1  |
|       |                                 |         | 98-1080118   |         |            |                         | UnitedHealth Group International L.P.         | CYM.    |           | Hygeia Corporation                      | Ownership         |           | InitedHealth Group Incorporated |         | 1  |
|       |                                 | 00000   | 46-3311984   |         |            |                         | UnitedHealth Group Ventures. LLC              |         |           | UnitedHealth Group Incorporated         | Ownership         |           | InitedHealth Group Incorporated |         | 1  |
|       |                                 |         | 41-1917398   |         |            |                         |   | DE      |           |   |                   |           |                                 |         |    |
|       |                                 | 00000   |              |         |            |                         | UnitedHealth International, Inc.              | UE      | NI A      | UnitedHealth Group Incorporated         | Ownership         |           | UnitedHealth Group Incorporated |         |    |
| 1     |                                 | 00000   |              |         |            |                         | UnitedHealth Military & Veterans Services,    |         |           |   |                   |           |                                 | 1       | 1  |
|       |                                 |         | 26-2574977   | 1       | 1          | 1                       |   | DE      | NIA       | United HealthCare Services, Inc.        | Ownership.        | 100.000   | InitedHealth Group Incorporated | 1       |    |

| 1      | 2                               | 3       | 4            | 5       | 6 /                                   | 8   | 9       | 10        | 11  | 12                | 13       | 14                                 | 15      | 16 |
|--------|---------------------------------|---------|--------------|---------|---------------------------------------|---|---------|-----------|---|-------------------|----------|------------------------------------|---------|----|
|        |                                 |         |              |         |                                       |   |         |           |   |                   |          |                                    |         |    |
|        |                                 |         |              |         |                                       |   |         |           |   | Type              | lf       |                                    |         |    |
|        |                                 |         |              |         |                                       |   |         |           |   | of Control        | Control  |                                    |         |    |
|        |                                 |         |              |         |                                       |   |         |           |   |                   |          |                                    | la an   |    |
|        |                                 |         |              |         |                                       |   |         |           |   | (Ownership,       | is       |                                    | ls an   |    |
|        |                                 |         |              |         | Name of Securities                    |   |         | Relation- |   | Board,            | Owner-   |                                    | SCA     |    |
|        |                                 |         |              |         | Exchange                              |   | Domi-   | ship      |   | Management,       | ship     |                                    | Filing  |    |
|        |                                 | NAIC    |              |         | if Publicly Traded                    | Names of                                      | ciliary | to        |   | Attorney-in-Fact, | Provide  |                                    | Re-     |    |
| ~      |                                 |         |              |         |                                       |   |         |           |   |                   |          |                                    |         |    |
| Group  |                                 | Company | ID           | Federal | (U.S. or                              | Parent, Subsidiaries                          | Loca-   | Reporting | Directly Controlled by                      | Influence,        | Percen-  | Ultimate Controlling               | quired? |    |
| Code   | Group Name                      | Code    | Number       | RSSD    | CIK International)                    | Or Affiliates                                 | tion    | Entity    | (Name of Entity/Person)                     | Other)            | tage     | Entity(ies)/Person(s)              | (Y/N)   | *  |
|        | •                               | 00000   | 98-0559902   |         | , , , , , , , , , , , , , , , , , , , | UnitedHealth UK Limited                       | GBR     | NIA       | Optum Solutions UK Holdings Limited         | Ownership         | 100,000  | UnitedHealth Group Incorporated    |         |    |
| 0707 U | UnitedHealth Group Incorporated |         | 33-0115163   |         | 0001225840                            | UnitedHealthcare Benefits of Texas, Inc       | ТХ      | ΙΑ        | United HealthCare Services, Inc.            | Ownership.        | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        | on teareatti aroup meorporatea  |         |              |         | 0001220040                            | UnitedHealthcare Benefits Plan of California  | ۱۸      |           | officed field filloare betwices, file.      | owner simp        |          | on realeanth or oup meet por a rea |         |    |
|        |                                 |         |              |         |                                       | Unitedhealthcare benefits Fian of California  |         |           |   |                   |          |                                    |         |    |
|        |                                 | 00000   | 47-3221444   |         |                                       |   | CA      | IA        | United HealthCare Services, Inc             | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Community Plan of            |         |           |   |                   |          |                                    |         |    |
|        |                                 | 00000   | 46-4348775   |         |                                       | California, Inc.                              | CA      | IA        | United HealthCare Services, Inc.            | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Community Plan of Georgia,   |         |           |   |                   |          |                                    |         |    |
| 0707 U | UnitedHealth Group Incorporated | 13168   | 26-2688274   |         |                                       | Inc   | GA      | IA        | AmeriChoice Corporation                     | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 20 2000211   |         |                                       | UnitedHealthcare Community Plan of Ohio, Inc. |         |           |   |                   |          |                                    |         |    |
| 0707   |                                 | 10000   | 56-2451429   |         |                                       | on techeartheare community rian of onto, me.  | 011     |           | TI DI ULUI I                                |                   | 100.000  |                                    |         |    |
| 0707 U | UnitedHealth Group Incorporated |         | 00-240 1429  |         |                                       |   | 0H      | IA        | Three Rivers Holdings, Inc                  | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Community Plan of Texas,     | _       | 1 .       |   |                   | 1        |                                    |         |    |
|        | UnitedHealth Group Incorporated | 11141   | 91-2008361   |         |                                       | L.L.C   | TX      | IA        | Ovations, Inc                               | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
| 0707 U | UnitedHealth Group Incorporated |         | 38-3204052   |         |                                       | UnitedHealthcare Community Plan, Inc.         | MI      | IA        | AmeriChoice Corporation                     | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Consulting & Assistance      |         |           | · · · · · · · · · · · · · · · · · · ·       |                   | 1        |                                    |         | 1  |
|        |                                 |         | 98-1200034   |         |                                       | Service (Beijing) Co., Ltd.                   | CHN     | NIA       | UnitedHealthcare International   B.V        | Ownership         | _100.000 | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1199879   |         |                                       | UnitedHealthcare Europe S.á r.I.              | LUX     | NIA       | UnitedHealthcare International   S.á r.l.   | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       |   |         |           |   |                   |          |                                    |         |    |
|        |                                 | 00000   |              |         |                                       | UnitedHealthcare Global Canada Limited        | CAN     | NI A      | UnitedHealthcare International   B.V        | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Global Medical (UK) Limited  |         |           |   |                   |          |                                    |         |    |
|        |                                 | 00000   | 98-1029201   |         |                                       |   | GBR     | NI A      | UnitedHealthcare International   B.V.       | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1099116   |         |                                       | UnitedHealthcare India Private Limited        | IND     | NIA       | UnitedHealthcare International II B.V.      | Ownership.        | 99.994   | UnitedHealth Group Incorporated    |         |    |
|        |                                 | 00000   | 98-1099116   |         |                                       | UnitedHealthcare India Private Limited        | IND     | NIA       | UnitedHealth International, Inc.            | Ownership         | 0.007    | .UnitedHealth Group Incorporated   |         |    |
| 0707U  | UnitedHealth Group Incorporated |         | 36-2739571   |         |                                       | UnitedHealthcare Insurance Company            | CT      |           | UHIC Holdings, Inc.                         | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        | oniteunearth droup incorporateu |         | . 30-2/393/1 |         |                                       |   |         |           | unic norunigs, mc.                          | ownership         |          | on teunearth droup moorporated     |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Insurance Company of         |         |           |   |                   |          |                                    |         |    |
| 0707 U | UnitedHealth Group Incorporated | 60318   | 36-3800349   |         |                                       | Illinois                                      | IL      | IA        | UnitedHealthcare Insurance Company          | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Insurance Company of New     |         |           |   |                   |          |                                    |         |    |
| 0707 l | UnitedHealth Group Incorporated |         | 11-3283886   |         |                                       | York  | NY      | IA        | UnitedHealthcare Insurance Company          | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       | UnitedHealthcare Insurance Company of the     |         |           | UnitedHealthcare Services Company of the    |                   |          |                                    |         |    |
| 0707 U | UnitedHealth Group Incorporated | 12231   | 20-1902768   |         |                                       | River Vallev                                  | П       | 14        | River Valley, Inc.                          | Ownership         | 100,000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 86-0618309   |         |                                       | UnitedHealthcare Integrated Services, Inc     | AZ      | ΙΑ        | Ovations, Inc.                              | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       |   |         |           |   |                   |          |                                    |         |    |
|        |                                 | 00000   | 41-1988797   |         |                                       | UnitedHealthcare International Asia, LLC      | DE      | NIA       | UnitedHealth Group Incorporated             | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 | 00000   | 98-1100512   |         |                                       | UnitedHealthcare International   B.V          | NLD     | NI A      | UnitedHealth Group International L.P        | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 | 00000   | 98-1079595   |         |                                       | UnitedHealthcare International   S.á r.I      | LUX     | NIA       | UnitedHealth Group International L.P.       | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 | 00000   | 98-1100980   |         |                                       | UnitedHealthcare International II B.V.        | NLD     | NI A      | UnitedHealthcare Europe S.á r.I.            | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1079459   |         |                                       | UnitedHealthcare International II S.á r.I     | LUX     | NIA       | UnitedHealthcare Europe S.á r.l.            | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 | 00000   | 98-1340853   |         |                                       | UnitedHealthcare International III B.V.       | NLD     | NIA       | UnitedHealthcare International II B.V.      | Ownership.        | 100.000  | UnitedHealth Group Incorporated    |         | 1  |
|        |                                 |         | 98-1077436   |         |                                       | UnitedHealthcare International III S.á r.l.   | LUX     | NIA       | UnitedHealthcare Europe S.á r.l.            | Ownership         | 69.999   | UnitedHealth Group Incorporated    |         | 1  |
|        |                                 |         |              |         |                                       | on tourour moute international fir 0.a l.l.   |         |           | UnitedHealthcare International II S.á r.I.  | owner on the      |          |                                    |         |    |
|        |                                 | 00000   | 00 1077400   |         |                                       |   | 1111    | ALC A     | on teuneartheare international if S.a.F.I.  | 0                 | 00.001   |                                    |         | 1  |
|        |                                 | 00000   |              |         |                                       | UnitedHealthcare International III S.á r.I    | LUX     | NI A      |   | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1372054   |         |                                       | UnitedHealthcare International IV B.V.        | NLD     | NIA       | UnitedHealthcare International V S.á r.l    | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1080926   |         |                                       | UnitedHealthcare International IV S.á r.I     | LUX     | NI A      | UnitedHealthcare International III B.V      | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1257473   |         |                                       | UnitedHealthcare International V S.á r.I      | LUX     | NIA       | Optum Services (Ireland) Limited            | Ownership.        | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1372058   |         |                                       | UnitedHealthcare International VI S.à r.I     | LUX     | NIA       | UnitedHealth Group International L.P.       | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         | 98-1372060   |         |                                       | UnitedHealthcare International VII S.à r.I.   | LUX     | NIA       | UnitedHealth Group International L.P.       | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         | 1  |
|        |                                 |         |              |         |                                       |   |         |           |   | owner antp        |          |                                    |         |    |
|        |                                 | 00000   | 00 4070000   |         |                                       | UnitedHealthcare International VIII S.à r.l.  | 11.57   |           | UnitedHealthcare International VII S.à r.I. |                   | 100.000  |                                    |         |    |
|        |                                 | 00000   | 98-1372062   |         |                                       |   | LUX     | NI A      |   | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        | UnitedHealth Group Incorporated | 97179   | 86-0207231   |         |                                       | UnitedHealthcare Life Insurance Company       | WI      | IA        | Golden Rule Financial Corporation           | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
| 0707 U | UnitedHealth Group Incorporated |         | 63-0899562   |         |                                       | UnitedHealthcare of Alabama, Inc.             | AL      | IIA       | UnitedHealthcare, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        | UnitedHealth Group Incorporated | 96016   | 86-0507074   |         |                                       | UnitedHealthcare of Arizona, Inc.             | AZ      | IA        | UnitedHealthcare, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated    | 1       |    |
|        | UnitedHealth Group Incorporated | 95446   | 63-1036819   |         |                                       | UnitedHealthcare of Arkansas, Inc.            | AR      | IA        | UnitedHealthcare, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         | T  |
|        | UnitedHealth Group Incorporated |         | 84-1004639   |         |                                       | UnitedHealthcare of Colorado. Inc.            | CO      | IA        | UnitedHealthcare, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        |                                 |         |              |         |                                       |   |         |           |   |                   |          |                                    |         |    |
|        | UnitedHealth Group Incorporated |         | 59-1293865   |         |                                       | UnitedHealthcare of Florida, Inc.             | FL      | IA        | UnitedHealthcare, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        | UnitedHealth Group Incorporated |         | 58-1653544   |         |                                       | UnitedHealthcare of Georgia, Inc              | GA      | IA        | UnitedHealthcare, Inc                       | Ownership         |          | UnitedHealth Group Incorporated    |         |    |
| 0707 U | UnitedHealth Group Incorporated | 95776   | 36-3280214   |         |                                       | UnitedHealthcare of Illinois, Inc.            | IL      | IIA       | UnitedHealthcare, Inc.                      | Ownership         | 100.000  | UnitedHealth Group Incorporated    |         |    |
|        | UnitedHealth Group Incorporated | 96644   | 62-1240316   |         |                                       | UnitedHealthcare of Kentucky, Ltd.            | KY      | IA        | United HealthCare Services, Inc.            | Ownership         | 94.180   | UnitedHealth Group Incorporated    |         | 41 |

|            |                             |         |            |         | 6          | -                  |   | -         |           |   |                   |         |                                 | I 1     |     |
|------------|-----------------------------|---------|------------|---------|------------|--------------------|---|-----------|-----------|---|-------------------|---------|---------------------------------|---------|-----|
| 1          | 2                           | 3       | 4          | 5       | 6          | 7                  | 8   | 9         | 10        | 11  | 12                | 13      | 14                              | 15      | 16  |
|            |                             |         |            |         |            |                    |   |           |           |   | Туре              | lf      |                                 |         | 1   |
|            |                             |         |            |         |            |                    |   |           |           |   | of Control        | Control |                                 |         | 1   |
|            |                             |         |            |         |            |                    |   |           |           |   | (Ownership,       | is      |                                 | ls an   | 1   |
|            |                             |         |            |         |            |                    |   |           |           |   |                   | -       |                                 |         | 1   |
|            |                             |         |            |         |            | Name of Securities |   |           | Relation- |   | Board,            | Owner-  |                                 | SCA     | 1   |
|            |                             |         |            |         |            | Exchange           |   | Domi-     | ship      |   | Management,       | ship    |                                 | Filing  | 1   |
|            |                             | NAIC    |            |         |            | if Publicly Traded | Names of                                      | ciliary   | to        |   | Attorney-in-Fact, | Provide |                                 | Re-     | 1   |
| Group      |                             | Company | ID         | Federal |            | (U.S. or           | Parent, Subsidiaries                          | Loca-     | Reporting | Directly Controlled by                    | Influence,        | Percen- | Ultimate Controlling            | quired? | 1   |
|            | Oracina Marria              |         |            |         |            |                    |   |           |           |   |                   |         |                                 |         | · • |
| Code       | Group Name                  | Code    | Number     | RSSD    | CIK        | International)     | Or Affiliates                                 | tion      | Entity    | (Name of Entity/Person)                   | Other)            | tage    | Entity(ies)/Person(s)           | (Y/N)   | Ļ   |
|            | edHealth Group Incorporated |         | 62-1240316 |         |            |                    | UnitedHealthcare of Kentucky, Ltd             | KY        |           | UnitedHealthcare, Inc                     | Ownership         | 5.820   | UnitedHealth Group Incorporated |         | 41  |
| 0707 Un it | edHealth Group Incorporated |         | 72-1074008 |         |            |                    | UnitedHealthcare of Louisiana, Inc.           | LA        | IIA       | UnitedHealthcare, Inc.                    | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1   |
| 0707 Unit  | edHealth Group Incorporated | 95716   | 63-1036817 |         |            |                    | UnitedHealthcare of Mississippi, Inc.         | MS        | IA        | UnitedHealthcare. Inc.                    | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1   |
|            | edHealth Group Incorporated |         | 05-0413469 |         |            |                    | UnitedHealthcare of New England, Inc.         | RI        |           | United HealthCare Services, Inc           | Ownership         |         | UnitedHealth Group Incorporated |         | [   |
|            | edHealth Group Incorporated |         | 26-2697886 |         |            |                    | UnitedHealthcare of New Mexico, Inc.          | NM        |           | UnitedHealthcare Insurance Company        | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1   |
|            | edHealth Group Incorporated |         | 06-1172891 |         |            |                    |   | NY        |           | AmeriChoice Corporation                   | Ownership         |         | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         |            |         |            |                    | UnitedHealthcare of New York, Inc.            |           |           |   |                   |         |                                 |         |     |
|            | edHealth Group Incorporated |         | 56-1461010 |         |            |                    | UnitedHealthcare of North Carolina, Inc       | NC        |           | UnitedHealthcare, Inc                     | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            | edHealth Group Incorporated |         | 31-1142815 |         |            |                    | UnitedHealthcare of Ohio, Inc                 | 0H        |           | United HealthCare Services, Inc           | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            | edHealth Group Incorporated |         | 33-0115166 |         |            |                    | UnitedHealthcare of Oklahoma, Inc             | 0K        |           | United HealthCare Services, Inc           | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            | edHealth Group Incorporated |         | 93-0938819 |         | 0001225824 |                    | UnitedHealthcare of Oregon, Inc               | OR        |           | United HealthCare Services, Inc           | Ownership         |         | UnitedHealth Group Incorporated |         |     |
| 0707Unit   | edHealth Group Incorporated |         | 25-1756858 |         |            |                    | UnitedHealthcare of Pennsylvania, Inc.        | PA        | IA        | Three Rivers Holdings, Inc.               | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | I   |
|            | edHealth Group Incorporated |         | 95-3939697 |         |            |                    | UnitedHealthcare of Texas. Inc.               | TX        | IA        | UnitedHealthcare. Inc.                    | Ownership.        |         | UnitedHealth Group Incorporated |         | 1   |
|            | edHealth Group Incorporated |         | 52-1130183 |         |            |                    | UnitedHealthcare of the Mid-Atlantic. Inc     | MD        |           | UnitedHealthcare, Inc.                    | Ownership         |         | UnitedHealth Group Incorporated |         | [   |
|            | edHealth Group Incorporated |         | 47-0676824 |         |            |                    | UnitedHealthcare of the Midlands, Inc.        | NE        |           | UnitedHealthcare, Inc.                    | Ownership         |         | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         |            |         |            |                    |   |           |           |   |                   |         |                                 |         |     |
|            | edHealth Group Incorporated |         | 43-1361841 |         |            |                    | UnitedHealthcare of the Midwest, Inc          | MO        |           | UnitedHealthcare, Inc                     | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            | edHealth Group Incorporated |         | 41-1488563 |         |            |                    | UnitedHealthcare of Utah, Inc                 | UT        |           | UnitedHealthcare, Inc                     | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            | edHealth Group Incorporated |         | 91-1312551 |         | 0001225843 |                    | UnitedHealthcare of Washington, Inc           | WA        |           | United HealthCare Services, Inc           | Ownership         |         | UnitedHealth Group Incorporated |         | l   |
| 0707 Unit  | edHealth Group Incorporated |         | 39-1555888 |         |            |                    | UnitedHealthcare of Wisconsin, Inc.           | WI        | IA        | UnitedHealthcare, Inc.                    | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | l   |
|            |                             |         |            |         |            |                    | UnitedHealthcare Plan of the River Valley.    |           |           | UnitedHealthcare Services Company of the  |                   |         |                                 |         | 1   |
| 0707 Unit  | edHealth Group Incorporated | 95378   | 36-3379945 |         |            |                    | Inc.  | Ш         |           | River Valley. Inc.                        | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         | 00 0010010 |         |            |                    | UnitedHealthcare Risk Designated Activity     |           |           |   |                   |         |                                 |         |     |
|            |                             | 00000   | 98-1372065 |         |            |                    | Company                                       | IRL       | NIA       | UHCG Holdings (Ireland) Limited           | Ownership.        | 100.000 | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         |            |         |            |                    |   | IRL<br>DE |           |   |                   |         |                                 |         |     |
|            |                             |         | 47-0854646 |         |            |                    | UnitedHealthcare Service LLC                  | DE        | NIA       | UnitedHealthcare Insurance Company        | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         |            |         |            |                    | UnitedHealthcare Services Company of the      |           |           |   |                   |         |                                 |         | 1   |
|            |                             |         | 36-3355110 |         |            |                    | River Valley, Inc                             | DE        |           | UnitedHealthcare, Inc                     | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 01-0518346 |         |            |                    | UnitedHealthcare Specialty Benefits, LLC      | NE        | NIA       | Specialty Benefits, LLC                   | Ownership         | 100.000 | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 41-1922511 |         |            |                    | UnitedHealthcare. Inc.                        | DE        | NI A      | United HealthCare Services, Inc.          | Ownership         | 100.000 | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         | 33-0812827 |         |            |                    | Upland Outpatient Surgical Center, L.P.       | CA        |           | NSC Upland, LLC                           | Ownership         |         | UnitedHealth Group Incorporated |         | .34 |
|            |                             |         | 26-0382877 |         | 0001453198 |                    | Urgent Care Holdings, Inc.                    | DE        | NIA       | Optum Clinics Intermediate Holdings, Inc. | Ownership         |         | UnitedHealth Group Incorporated |         | [   |
|            |                             |         | 26-3667220 |         | 0001400100 |                    | Urgent Care MSO, LLC                          | DE        |           | Urgent Care Holdings, Inc.                | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 75-2613230 |         |            |                    |   | TX        |           | USMD Affiliated Services                  | Ownership         |         | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         |            |         |            |                    | Urology Associates of North Texas, P.L.L.C    |           |           |   |                   |         |                                 |         |     |
|            |                             |         | 20-8048861 |         |            |                    | USMD Administrative Services, L.L.C.          | TX        | NIA       | USMD Inc.                                 | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 27-2956222 |         |            |                    | USMD Affiliated Services                      | TX        | NI A      | USMD Inc                                  | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 27-2866866 |         | 0001507881 |                    | USMD Holdings, Inc                            | DE        | NI A      | WellMed Medical Management, Inc           | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 20-8050318 |         | 0001404693 |                    | USMD Inc.                                     | TX        |           | USMD Holdings, Inc                        | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 35-2446102 |         |            |                    | USMD PPM, LLC                                 | TX        | NIA       | USMD Inc.                                 | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 87-0757396 |         |            |                    | Valley Physicians Network, Inc.               | CA        | NIA       | PrimeCare Medical Network, Inc.           | Ownership         |         | UnitedHealth Group Incorporated |         | l   |
|            |                             |         |            |         |            |                    | Waco Outpatient Surgical Center, Inc.         | TX        |           | Surgery Center Holding, LLC               | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         |            |         |            |                    | Waco Surgical Center, Ltd.                    | TX        |           | Waco Outpatient Surgical Center, Inc.     | Ownership         |         | UnitedHealth Group Incorporated |         | .35 |
|            |                             |         | 36-3469841 |         |            |                    |   | DE        |           | Surgery Centers-West Holdings, LLC        | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | JU-J409841 |         |            |                    | Wauwatosa Outpatient Surgery Center, LLC      |           | NI A      | ourgery centers-west Moldings, LLC        | ownership         |         | Unitednearth Group Incorporated |         | i   |
|            |                             |         |            |         |            |                    | Wauwatosa Surgery Center, Limited Partnership |           |           |   |                   |         |                                 |         | 1   |
|            |                             |         | 36-3469839 |         |            |                    |   |           | NI A      | Wauwatosa Outpatient Surgery Center, LLC  | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 20-5429310 |         |            |                    | Wayland Square Surgicare Acquisition, L.P     | RI        | NI A      | Wayland Square Surgicare GP, Inc          | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             | 00000   | 75-2500274 |         |            |                    | Wayland Square Surgicare GP, Inc.             | RI        | NI A      | Surgery Center Holding, LLC               | Owner ship        | 100.000 | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 74-2797745 |         |            |                    | WellMed Medical Management of Florida, Inc.   | FL        |           | WellMed Medical Management, Inc.          | Ownership         |         | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         | 74-2786364 |         |            |                    | WellMed Medical Management. Inc.              | TX        |           | Collaborative Care Holdings. LLC          | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 41-2250215 |         |            |                    | WellMed Networks - DFW, Inc.                  | TX        |           | WellMed Medical Management, Inc.          | Ownership         |         | UnitedHealth Group Incorporated |         | 38  |
|            |                             |         | 27-2809113 |         |            |                    | West Coast Endoscopy Holdings, LLC            | DE        |           |   | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         |            |         |            |                    |   |           |           | SCA Surgery Holdings, LLC                 |                   |         |                                 |         | 00  |
|            |                             |         | 45-0636596 |         |            |                    | WESTMED Practice Partners LLC                 | DE        |           | Collaborative Care Holdings, LLC          | Ownership         |         | UnitedHealth Group Incorporated |         |     |
|            |                             |         | 46-5548304 |         |            |                    | Winchester Endoscopy, LLC                     | IL        |           | SCA-Winchester, LLC                       | Ownership         |         | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         | 62-1587564 |         |            |                    | Winter Park, LLC                              | TN        | NIA       | SCA-Winter Park, Inc                      | Ownership         | 51.000  | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         | 52-2102846 |         | 0001314524 |                    | XLHealth Corporation                          | MD        | NI A      | United HealthCare Services, Inc           | Owner ship        |         | UnitedHealth Group Incorporated |         | l   |
|            |                             | 00000   | 98-1107695 |         |            | I                  | XLHealth Corporation India Private Limited    | IND       | NIA       | XLHealth Corporation                      | Ownership         |         | UnitedHealth Group Incorporated |         | 1   |
|            |                             |         |            |         |            |                    |   |           |           |   | P                 | 1       |                                 |         |     |

| 1     | 2          | 3       | 4          | 5       | 6   | 7                  | 8   | 9       | 10        | 11                                  | 12                | 13      | 14                              | 15      | 16 |
|-------|------------|---------|------------|---------|-----|--------------------|---|---------|-----------|-------------------------------------|-------------------|---------|---------------------------------|---------|----|
|       |            |         |            |         |     |                    |   |         |           |                                     | Туре              | lf      |                                 |         |    |
|       |            |         |            |         |     |                    |   |         |           |                                     | of Control        | Control |                                 |         |    |
|       |            |         |            |         |     |                    |   |         |           |                                     | (Ownership,       | is      |                                 | ls an   |    |
|       |            |         |            |         |     | Name of Securities |   |         | Relation- |                                     | Board,            | Owner-  |                                 | SCA     |    |
|       |            |         |            |         |     | Exchange           |   | Domi-   | ship      |                                     | Management,       | ship    |                                 | Filing  |    |
|       |            | NAIC    |            |         |     | if Publicly Traded | Names of                                    | ciliary | to        |                                     | Attorney-in-Fact, | Provide |                                 | Re-     |    |
| Group |            | Company | ID         | Federal |     | (U.S. or           | Parent, Subsidiaries                        | Loca-   | Reporting | Directly Controlled by              | Influence,        | Percen- | Ultimate Controlling            | quired? |    |
| Code  | Group Name | Code    | Number     | RSSD    | CIK | International)     | Or Affiliates                               | tion    | Entity    | (Name of Entity/Person)             | Other)            | tage    | Entity(ies)/Person(s)           | (Y/N)   | *  |
|       |            |         |            |         |     |                    | Your Health Options Insurance Services, Inc |         |           |                                     |                   |         |                                 |         |    |
|       |            | 00000   | 11-3764012 |         |     |                    |   | CA      |           |                                     | Ownership         |         | UnitedHealth Group Incorporated |         |    |
|       |            | 00000   | 07 0470504 |         |     |                    |   |         |           | North American Medical Management - |                   | 400,000 |                                 |         |    |
|       |            | 00000   | 27-0172594 |         |     |                    | Your Partner in Health Services, Inc        | IL      | NI A      | Illinois, Inc                       | Ownership         |         | UnitedHealth Group Incorporated |         |    |
|       |            |         |            |         |     |                    |   |         |           |                                     |                   |         |                                 |         |    |

| Asterisk | Explanation   |
|----------|---|
| 1        | TBD - the minority owners will be disclosed in a future reporting period.   |
| 2        | The remaining percentage is owned by former controlling shareholders or current officers or directors of the company.   |
| 3        | NSC Lancaster, LLC holds a 81.24% limited partnership interest and a 1% general partnership interest in Antelope Valley Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 4        | Surgery Center Holding, LLC holds a 51% general partnership interest and a 23.94% limited partnership interest in B.R.A.S.S. Partnership in Commendam. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 5        | Bakersfield-SC, LLC holds a 25% general partnership interest and a 71% limited partnership interest in Bakersfield Physicians Plaza Surgical Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 6        | Blackstone Valley Surgicare GP, LLC holds a 40% general partnership interest and a 59% limited partnership interest in Blackstone Valley Surgicare Acquisition, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 7        | SCA-Citrus, Inc. holds a 52% general partnership interest and a 5% limited partnership interest in Citrus Regional Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 8        | SCA-Colorado Springs, LLC holds a 51% general partnership interest and a 44% limited partnership interest in Colorado Springs Surgery Center, Ltd. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 9        | Connecticut Surgical Center, LLC holds a 51% general partnership interest and a 14% limited partnership interest in Connecticut Surgery Center, Limited Partnership. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 10       | SCA-Florence, LLC holds a 50% general partnership interest in Florence Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 11       | Gadsden Surgery Center, LLC holds a 51% general partnershp interest and a 6.5% limited partnership interest in Gadsden Surgery Center, Ltd. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 12       | SCA-Northeast Georgia Health, LLC holds a 51% general partnership interest and a 35% limited partnership interest in Gainesville Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 13       | Surgery Centers-West Holdings, LLC holds a 71% limited partnership interest and a 1% general partnership interest in Golden Triangle Surgicenter, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
|          | Surgery Center of Vero Beach, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Indian River Surgery Center, Ltd. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 15       | Redlands Ambulatory Surgery Center holds a 51% general partnership interest and a 7.22% limited partnership interest in Inland Surgery Center, L.P. SC Affiliates also holds a 13.03% limited partnership interest in Inland Surgery Center, L.P.   |
|          | in a future reporting period.   |
| 16       | Surgery Center of Louisville, LLC holds a 51% general partnership interest and a 14.4% limited partnership interest in Louisville S.C., Ltd. The remaining percentage is TBD and will be discolused in a future reporting period.   |
|          | SCA-Eugene, Inc. holds a 51% general partnership interest and a 41% limited partnership interest in McKenzie Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 18       | Mississippi Surgery Holdings, LLC holds a 41% general partnership interest and a 59% limited partnership interest in Mississippi Surgical Center Limited Partnership. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 19       | SCA-Mt. Pleasant, LLC holds a 51% general partnership interest and a 44.41% limited partnership interest in Mt. Pleasant Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 20       | Northwest Surgicare, LLC holds a 51% general partnership interest and a 5% limited partnership interest in Northwest Surgicare, Ltd., an IL Limited Partnership. The remaining percentage is TBD and will be discolused in a future reporting period.   |
|          | Pasteur Plaza Surgery Center GP. Inc. holds a 51% general partnership interest and a 27.70% limited partnership interest in Pasteur Plaza Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 22       | Pomerado Outpatient Surgical Center, Inc. holds a 50.75% general partnership interest and 6% limited partnership interest in Pomerado Outpatient Surgical Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 23       | SCA Salt Lake Surgical Center, Inc. holds a 71.25% limited partnership interest and a 20% general partnership interest in Salt Lake Surgical Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
|          | NSC Sarasota, Inc. holds a 81.1% limited partnership interest and a 1.02% general partnership interest in Sarasota Endoscopy Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
|          | Bakersfield Physicians Plaza Surgical Center, L.P. holds a 99% general partnership interest and Physicians Plaza Holdings, LLC holds a 1% limited partnership interest in Southwest Surgical Center of Bakersfield, L.P. The remaining percentage is TBD and will be discolused in a future reporting |
|          | period.   |
| 26       | SCA-Merritt, LLC holds a 50.08% general partnership interest in Space Coast Surgery Center, Ltd. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 27       | SCA Stonegate Holdings, LLC holds a 52% general partnership interest in Stonegate Surgery Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 28       | SCA of Clarksville, Inc. holds a 51% general partnership interest and a 49% limited partnership interest in Surgery Center of Clarksville, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 29       | Surgery Center of Des Moines, LLC holds a 51% general partnership interest and a 14.85% limited partnership interest in Surgery Centers of Des Moines, Ltd., an IA Limited Partnership. The remaining percentage is TBD and will be discolused in a future reporting period.                          |
|          | SCA-South Jersey, LLC holds a 51% general partnership interest and a 19.95% limited partnership interest in Surgical Center of South Jersey, Limited Partnership. SC Affiliates, LLC also holds a 0.73% limited partnership interest in Surgical Center of South Jersey, Limited Partnership.         |
|          | remaining percentage is TBD and will be discolused in a future reporting period.  |
|          | Surgicare of Minneapolis, LLC holds a 50% general partnership interest and a 30.5% limited partnership interest in Surgicare of Minneapolis, Ltd., a MN Limited Partnership. The remaining percentage is TBD and will be discolused in a future reporting period.                                     |
| 32       | Surgery Center of Easton, LLC holds a 57% general partnership interest in The Surgery Center of Easton, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
|          | Muskogee Surgical Investors, LLC holds a 51% general partnership interest and a 17.5% limited partnership interest in Three Rivers Surgical Care, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
|          | NSC Upland, LLC holds an 80% general partnership interest and a 12.81% limited partnership interest in Upland Outpatient Surgical Center, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.   |
| 35       | Waco Outpatient Surgical Center, Inc. holds a 51% general partnership interest and a 48% limited partnership interest in Waco Surgical Center, Ltd. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 36       | Wauwatosa Outpatient Surgery Center, LLC holds a 51% limited partnership interest in Wauwatosa Surgery Center, Limited Partnership. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 37       | Wayland Square Surgicare GP, Inc. holds a 40% general partnership interest and a 59% limited partnership interest in Wayland Square Surgicare Acquisition, L.P. The remaining percentage is TBD and will be discolused in a future reporting period.  |
| 38       | The remaining percentage is owned by a non-affiliated entity.   |
|          |   |

| Asterisk | Explanation  |
|----------|--|
| 39       | The remaining percentages are owned by external shareholders and Treasury Shares.  |
| 40       | The remaining percentage is owned by external shareholders. Corporate secretarial services for this entity are the responsibility of the portfolio company.  |
| 41       | The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthCare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.82%. |
|          |  |

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? ...

NO

- Explanation:
- 1. No business written
- Bar Code:
- 1. Medicare Part D Coverage Supplement [Document Identifier 365]



#### SCHEDULE A - VERIFICATION Real Estate

|     |   | 1            | 2                |
|-----|---|--------------|------------------|
|     |   |              | Prior Year Ended |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value, December 31 of prior year                           |              |                  |
| 2.  | Cost of acquired:   |              |                  |
|     | 2.1 Actual cost at time of acquisition  |              |                  |
|     | 2.2 Additional investment made after acquisition                                  |              |                  |
| 3.  | Current year change in encumbrances   |              |                  |
| 4.  | Total gain (loss) on disposals  |              |                  |
| 5.  | Deduct amounts received on disposals  |              |                  |
| 6.  | Total foreign exchange change in book/adjusted rying                              |              |                  |
| 7.  | Deduct current year's other than temporary impairment recognized                  |              |                  |
| 8.  | Deduct current year's depreciation  |              |                  |
| 9.  | Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) |              |                  |
| 10. | Deduct total nonadmitted amounts  |              |                  |
| 11. | Statement value at end of current period (Line 9 minus Line 10)                   |              |                  |

#### SCHEDULE B - VERIFICATION Mortgage Loans

|     | Moligage Loans   |              |                  |
|-----|--|--------------|------------------|
|     |  | 1            | 2                |
|     |  |              | Prior Year Ended |
|     |  | Year to Date | December 31      |
| 1.  | Book value/recorded investment excluding accrued interest, December 31 of prior year   |              |                  |
| 2.  | Cost of acquired:  |              |                  |
|     | 2.1 Actual cost at time of acquisition   |              |                  |
|     | 2.2 Additional investment made after acquisition   |              |                  |
| 3.  | Capitalized deferred interest and other  |              |                  |
| 4.  | Accrual of discount  |              |                  |
| 5.  | Unrealized valuation increase (decrease)   |              |                  |
| 6.  | Total gain (loss) on disposals   |              |                  |
| 7.  | Deduct amounts received on disposals   |              |                  |
| 8.  | Deduct amortization of premium and mortgage in test plan and comitme test an |              |                  |
| 9.  | Total foreign exchange change in book value/recorded involument exchange accrued interest  |              |                  |
| 10. | Deduct current year's other than temporary impairment recognized   |              |                  |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)  |              |                  |
| 12. | Total valuation allowance  |              |                  |
| 13. | Subtotal (Line 11 plus Line 12)  |              |                  |
| 14. | Deduct total nonadmitted amounts   |              |                  |
| 15. | Statement value at end of current period (Line 13 minus Line 14)   |              |                  |

# SCHEDULE BA - VERIFICATION

|     | Other Long-Term Invested Assets  | 1            | 2                |
|-----|--|--------------|------------------|
|     |  |              | Prior Year Ended |
|     |  | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value, December 31 of prior year                            |              |                  |
| 2.  | Cost of acquired:  |              |                  |
|     | 2.1 Actual cost at time of acquisition   |              |                  |
|     | 2.2 Additional investment made after acquisition                                   |              |                  |
| 3.  | Capitalized deferred interest and other  |              |                  |
| 4.  | Accrual of discount  |              |                  |
| 5.  | Unrealized valuation increase (decrease)   |              |                  |
| 6.  | Total gain (loss) on disposals   |              |                  |
| 7.  | Deduct amounts received on disposals   |              |                  |
| 8.  | Deduct amortization of premium and depreciation                                    |              |                  |
| 9.  | Total foreign exchange change in book/adjusted carrying value                      |              |                  |
| 10. | Deduct current year's other than temporary impairment recognized                   |              |                  |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) |              |                  |
| 12. | Deduct total nonadmitted amounts   |              |                  |
| 13. | Statement value at end of current period (Line 11 minus Line 12)                   |              |                  |

## **SCHEDULE D - VERIFICATION**

Bonds and Stocks

|     |   | 1            | 2                |
|-----|---|--------------|------------------|
|     |   |              | Prior Year Ended |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value of bonds and stocks, December 31 of prior year     |              |                  |
| 2.  | Cost of bonds and stocks acquired   |              |                  |
| 3.  | Accrual of discount   |              |                  |
| 4.  | Unrealized valuation increase (decrease)  |              |                  |
| 5.  | Total gain (loss) on disposals  |              | 1,296,636        |
| 6.  | Deduct consideration for bonds and stocks disposed of                           |              |                  |
| 7.  | Deduct amortization of premium  |              | 1,219,519        |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   |              |                  |
| 9.  | Deduct current year's other than temporary impairment recognized                |              |                  |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 225,744,673  |                  |
| 11. | Deduct total nonadmitted amounts  |              |                  |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | 225,744,673  | 212,454,482      |

### **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

|                                     | e Current Quarter for<br>1      | 2               | 3               | 4                    | 5                               | 6                               | 7                               | 8                               |
|-------------------------------------|---------------------------------|-----------------|-----------------|----------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
|                                     | Book/Adjusted<br>Carrying Value | Acquisitions    | Dispositions    | Non-Trading Activity | Book/Adjusted<br>Carrying Value | Book/Adjusted<br>Carrying Value | Book/Adjusted<br>Carrying Value | Book/Adjusted<br>Carrying Value |
|                                     | Beginning                       | During          | Dispositions    | During Activity      | End of                          | End of                          | End of                          | December 31                     |
| NAIC Designation                    | of Current Quarter              | Current Quarter | Current Quarter | Current Quarter      | First Quarter                   | Second Quarter                  | Third Quarter                   | Prior Year                      |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
| BONDS                               |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
| 1. NAIC 1 (a)                       | 207,843,972                     |                 |                 | (281,462)            |                                 |                                 | 0                               |                                 |
| 2. NAIC 2 (a)                       |                                 | 0               |                 | (58)                 |                                 |                                 | 0                               |                                 |
| 3. NAIC 3 (a)                       |                                 |                 | 0               |                      |                                 |                                 | 0                               |                                 |
|                                     | 0                               |                 |                 |                      | 0                               | 0                               |                                 |                                 |
| 4. NAIC 4 (a)                       | 0                               |                 |                 |                      |                                 | 0                               |                                 |                                 |
| 5. NAIC 5 (a)                       | 0                               |                 |                 |                      | 0                               | 0                               |                                 |                                 |
| 6. NAIC 6 (a)                       | 0                               |                 |                 |                      | 0                               | 0                               |                                 |                                 |
| 7. Total Bonds                      | 210,998,297                     | 23,423,856      | 21,616,160      | (281,520)            | 210,998,297                     | 212,524,473                     | 0                               | 209,786,015                     |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
| PREFERRED STOCK                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
|                                     |                                 |                 |                 |                      |                                 |                                 |                                 |                                 |
| 8. NAIC 1                           | 0                               |                 |                 |                      | 0                               | 0                               |                                 | 0                               |
| 9. NAIC 2                           |                                 |                 |                 |                      | 0                               | 0                               |                                 | 0                               |
|                                     |                                 |                 |                 |                      |                                 | 0                               |                                 | 0                               |
| 10. NAIC 3                          |                                 |                 |                 |                      | 0                               | 0                               |                                 | 0                               |
| 11. NAIC 4                          | 0                               |                 |                 |                      | 0                               | 0                               |                                 | 0                               |
| 12. NAIC 5                          | 0                               |                 |                 |                      | 0                               | 0                               |                                 | 0                               |
| 13. NAIC 6                          | 0                               |                 |                 |                      | 0                               | 0                               |                                 | 0                               |
| 14. Total Preferred Stock           | 0                               | 0               | 0               | 0                    | 0                               | 0                               | 0                               | 0                               |
| 15. Total Bonds and Preferred Stock | 210,998,297                     | 23,423,856      | 21,616,160      | (281,520)            | 210,998,297                     | 212,524,473                     | 0                               | 209,786,015                     |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

## **SCHEDULE DA - PART 1**

|                | Short-Te                        | erm Investments |             |                                    |                                  |
|----------------|---------------------------------|-----------------|-------------|------------------------------------|----------------------------------|
|                | 1                               | 2               | 3           | 4                                  | 5<br>Paid for                    |
|                | Book/Adjusted<br>Carrying Value | Par Value       | Actual Cost | Interest Collected<br>Year-to-Date | Accrued Interest<br>Year-to-Date |
| 9199999 Totals | 5,939,567                       | XXX             | 5,939,567   | 4,931                              | 0                                |

#### SCHEDULE DA - VERIFICATION Short-Term Investments

|     | Short-renn investments  | 1              | 2                               |
|-----|---|----------------|---------------------------------|
|     |   | Year To Date   | Prior Year Ended<br>December 31 |
| 1.  | Book/adjusted carrying value, December 31 of prior year                         | 6,961,423      |                                 |
| 2.  | Cost of short-term investments acquired   | 19 , 155 , 173 |                                 |
| 3.  | Accrual of discount   |                |                                 |
| 4.  | Unrealized valuation increase (decrease)  |                |                                 |
| 5.  | Total gain (loss) on disposals  |                |                                 |
| 6.  | Deduct consideration received on disposals                                      |                |                                 |
| 7.  | Deduct amortization of premium  |                |                                 |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   |                |                                 |
| 9.  | Deduct current year's other than temporary impairment recognized                |                |                                 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 5,939,567      | 6,961,423                       |
| 11. | Deduct total nonadmitted amounts  |                |                                 |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | 5,939,567      | 6,961,423                       |

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards **NONE** 

Schedule DB - Part B - Verification - Futures Contracts **NONE** 

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open **NONE** 

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE** 

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

# ΝΟΝΕ

#### SCHEDULE E - VERIFICATION (Cash Equivalents)

|     | (Cash Equivalents)  | 1            | 2                               |
|-----|---|--------------|---------------------------------|
|     |   | Year To Date | Prior Year Ended<br>December 31 |
| 1.  | Book/adjusted carrying value, December 31 of prior year                         | 2,996,895    |                                 |
| 2.  | Cost of cash equivalents acquired   |              | 2,996,182                       |
| 3.  | Accrual of discount   | 3, 105       |                                 |
| 4.  | Unrealized valuation increase (decrease)  |              |                                 |
| 5.  | Total gain (loss) on disposals  |              |                                 |
| 6.  | Deduct consideration received on disposals                                      |              |                                 |
| 7.  | Deduct amortization of premium  |              |                                 |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   |              |                                 |
| 9.  | Deduct current year's other than temporary impairment recognized                |              |                                 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 0            | 2,996,895                       |
| 11. | Deduct total nonadmitted amounts  |              |                                 |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | 0            | 2,996,895                       |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

## ΝΟΝΕ

Schedule A - Part 3 - Real Estate Disposed **NONE** 

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made **NONE** 

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid **NONE** 

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made **NONE** 

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

## ΝΟΝΕ

### **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

|   |           |            |                                    |                 | -             | <b>^</b>   | •                                       | 10   |
|---|-----------|------------|------------------------------------|-----------------|---------------|------------|---|--|
| 1 2   | 3         | 4          | 5                                  | 6               | 7             | 8          | 9                                       | 10   |
|   |           |            |                                    |                 |               |            |   | NAIC Desig-  |
|   |           |            |                                    |                 |               |            |   | nation or  |
|   |           |            |                                    | Number of       |               |            | Paid for Accrued                        | Market   |
| CUSIP   |           | Date       |                                    | Shares of       |               |            | Interest and                            | Indicator  |
| Identification Description  | Foreign   | Acquired   | Name of Vendor                     | Stock           | Actual Cost   | Par Value  | Dividends                               | (a)  |
| 912828-XV-7   | 1 Uleight |            | Northern Trust                     | SLOCK           | 2,992,393     | 3.000.000  |   | (a)  |
|   |           |            | Northern Trust                     |                 |               |            |   |  |
| 0599999. Subtotal - Bonds - U.S. Governments                                    |           |            |                                    |                 | 2,992,393     | 3,000,000  | 0                                       | XXX  |
| 3138AW-NM-1 FNMA Pool AJ4895 MBS 4.000% 01/01/42                                |           | 06/27/2017 | Barclays Capital Inc               |                 |               | 1,643,997  |   |  |
| 3138ER-2F-6 FNMA Pool AL9773 MBS 3.000% 01/01/47                                |           |            | JP Morgan Chase                    |                 |               |            |   |  |
| 3138WH-KJ-0 FNMA Pool AS7496 MBS 3.000% 07/01/46                                |           |            | Merrill Lynch                      |                 | 1,038,901     | 1,042,894  |   |  |
| 3138WH-LN-0 FNMA Pool AS7532 MBS 3.000% 07/01/46                                |           |            | Merrill Lynch                      |                 |               |            |   |  |
| 3199999. Subtotal - Bonds - U.S. Special Revenues                               |           |            |                                    |                 | 4,523,242     | 4,431,272  | 7,845                                   |  |
| 0258M0-EK-1 AMERICAN EXPRESS Corp Note Call 1.875% 05/03/19                     |           |            | Goldman Sachs                      |                 |               |            | v                                       | 1FE  |
| 03065G-AD-2 AmeriCredit Auto AMCAR 2017-2 A3 ABS 1.980% 12/20/21                |           | 05/09/2017 | DOMINION Securities                |                 |               |            |   | 1FE  |
| 12515D-AN-4   |           | 04/28/2017 | CitiGroup                          |                 |               |            | 808                                     | 1FE  |
| 17305E-GB-5 CITIBANK CREDIT CCCIT 2017-A3 A3 ABS 1.920% 04/07/22                |           | 04/04/2017 | CitiGroup                          |                 |               | 1,000,000  | 0                                       | 1FE  |
| 24703F-AC-0 Dell Equipment F DEFT 2017-1 A3 ABS Prv Plc 2.140% 04/22/22         |           | 04/25/2017 | Merrill Lynch                      |                 |               |            | 0                                       | 1FE  |
| 36254A-AD-6 GM Financial Sec GMCAR 2017-1A A3 ABS Prv Pic 1.780% 10/18/21       |           | 04/03/2017 | JP Morgan Chase                    |                 |               |            | 0                                       | 1FE  |
| 38013M-AB-2 GM Financial Aut GMALT 2017-2 A2A ABS 1.720% 01/21/20               |           | 06/07/2017 | CitiGroup                          |                 |               |            | 0                                       | 1FE  |
| 532457-BQ-0ELI LILLY & CO Corp Note MW 2.350% 05/15/22                          |           | 05/04/2017 | JP Morgan Chase                    |                 |               |            | 0                                       | 1FE  |
| 61744Y-AH-1 MORGAN STANLEY Corp Note Non Call 2.750% 05/19/22                   |           |            | Norgan Stanley                     |                 |               |            | 0                                       | 1FE  |
| 713448-DT-2 PEPSICO INC Corp Note Call MW 2.250% 05/02/22                       |           | 04/27/2017 | JP Morgan Chase                    |                 |               |            | 0                                       | 1FE  |
| 747525-AP-8 QUALCOMM INC Corp Note MW 2.100% 05/20/20                           |           |            | Goldman Sachs Asset Managment      |                 |               |            | 0                                       | 1FE  |
| 904764-AX-5 UNILEVER CAPITAL Corp Note Call MW 2.600% 05/05/24                  |           |            | Norgan Stanley                     |                 |               |            | 0                                       | 1FE  |
| 913017-CQ-0 UNITED TECH CORP Corp Note Call MW 2.300% 05/04/22                  |           | 05/01/2017 | Nerrill Lynch                      |                 |               |            | 0                                       | 1FE  |
| 009279-AA-8 AIRBUS SE Corp Note Call MW Prv Pic 3.150% 04/10/27                 | D         |            | JP Morgan Chase                    |                 |               |            | 0                                       | 1FE  |
| 50184N-AM-4 LCM LTD Partnership LCM 15A AR CLO Prv Pic 2.396% 07/20/30          | D         |            | Merrill Lynch                      |                 |               |            | 0                                       | 1FE  |
| 64130C-AE-2   | U         |            | Merrill Lynch                      | -               |               |            |   | <sup>11</sup> Bernersensensensensensensensensensensensensens |
| 92912V-AN-5   | D         |            | JP Morgan Chase<br>JP Morgan Chase |                 |               |            | 0                                       | 1FE  |
|   | U         |            | J <sup>P</sup> Morgan Unase        |                 |               |            |   | 11 E   |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)         |           |            |                                    |                 | 8,612,742     | 8,607,000  | 808                                     |  |
| 8399997. Total - Bonds - Part 3   |           |            |                                    |                 | 16,128,377    | 16,038,272 | 8,653                                   |  |
| 8399998. Total - Bonds - Part 5   |           |            |                                    |                 | XXX           | XXX        | XXX                                     | XXX  |
| 8399999. Total - Bonds  |           |            |                                    |                 | 16.128.377    | 16.038.272 | 8,653                                   | XXX  |
| 8999997. Total - Preferred Stocks - Part 3                                      |           |            |                                    |                 | 0             | XXX        | 0,000                                   | XXX  |
| 8999998. Total - Preferred Stocks - Part 5                                      |           |            |                                    |                 | XXX           | XXX        | XXX                                     | XXX  |
| 8999999. Total - Preferred Stocks   |           |            |                                    |                 | 0             | XXX        | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | XXX  |
| 000000-00-0   |           | 06/30/2017 | JP Morgan Pool                     | 229.140.436.960 | 229, 140, 437 | 7000       | 0                                       | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                      |
| 9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) |           |            |                                    |                 | 229, 140, 437 | XXX        | 0                                       | XXX  |
| 9799997. Total - Common Stocks - Part 3   |           |            |                                    |                 | 229, 140, 437 | XXX        | 0                                       | XXX  |
| 9799998. Total - Common Stocks - Part 5   |           |            |                                    |                 | XXX           | XXX        | XXX                                     | XXX  |
| 9799999. Total - Common Stocks  |           |            |                                    |                 | 229.140.437   | XXX        | ~~~~                                    | XXX  |
| 9899999. Total - Common Stocks<br>9899999. Total - Preferred and Common Stocks  |           |            |                                    |                 |               | XXX        | 0                                       | XXX  |
| 9999999 - Totals  |           |            |                                    |                 | 229, 140, 437 | XXX        | 0                                       |  |
| 9999999 - I Otals   |           |            |                                    |                 | 245,268,814   | 777        | 8,653                                   | 777  |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

### SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

|             |   |        |             |                                       | 1         | пу-тепп во | nds and Sto |           |             |            |            | Ū.          |              |           |           |           |           |            | T          |              |         |
|-------------|---|--------|-------------|---------------------------------------|-----------|------------|-------------|-----------|-------------|------------|------------|-------------|--------------|-----------|-----------|-----------|-----------|------------|------------|--------------|---------|
| 1           | 2   | 3      | 4           | 5                                     | 6         | 7          | 8           | 9         | 10          | Ch         | ange In Bo | ok/Adjusted | Carrying Val | lue       | 16        | 17        | 18        | 19         | 20         | 21           | 22      |
|             |   |        |             |                                       |           |            |             |           |             | 11         | 12         | 13          | 14           | 15        |           |           |           |            |            |              |         |
|             |   |        |             |                                       |           |            |             |           |             |            |            |             | Total        | Total     |           |           |           |            |            |              | NAIC    |
|             |   |        |             |                                       |           |            |             |           |             |            |            |             |              |           |           |           |           |            |            |              |         |
|             |   |        |             |                                       |           |            |             |           |             |            |            | Current     | Change in    | Foreign   |           |           |           |            |            |              | Desig-  |
|             |   |        |             |                                       |           |            |             |           |             |            |            | Year's      | Book/        | Exchange  | Book/     |           |           |            | Bond       |              | nation  |
|             |   |        |             |                                       |           |            |             |           | Prior Year  |            | Current    | Other Than  | Adjusted     | Change in | Adjusted  | Foreign   |           |            | Interest/  | Stated       | or      |
|             |   |        |             |                                       |           |            |             |           |             |            |            |             | -            | •         |           |           | Dealized  |            |            |              | -       |
|             |   |        |             |                                       |           |            |             |           | Book/       | Unrealized | Year's     | Temporary   | Carrying     | Book      | Carrying  | Exchange  |           |            | Stock      | Con-         | Market  |
| CUSIP       |   |        |             |                                       | Number of |            |             |           | Adjusted    | Valuation  | (Amor-     | Impairment  | t Value      | /Adjusted | Value at  | Gain      | Gain      | Total Gain | Dividends  | tractual     | In-     |
| Ident-      |   | For-   | Disposal    | Name                                  | Shares of | Consid-    |             | Actual    | Carrying    | Increase/  | tization)/ | Recog-      | (11 + 12 -   | Carrying  | Disposal  | (Loss) on | (Loss) on | (Loss) on  | Received   | Maturity     | dicator |
|             | Description                                 | -      |             |                                       |           |            | Der Velue   |           |             |            |            | 0           | `            |           |           |           |           |            |            |              |         |
| ification   | Description                                 | eign   | Date        | of Purchaser                          | Stock     | eration    | Par Value   | Cost      | Value       | (Decrease) | Accretion  | nized       | 13)          | Value     | Date      | Disposal  | Disposal  | Disposal   | DuringYear | Date         | (a)     |
|             | Manitoba Canada Corp Note Non Call 1.300%   |        |             |                                       |           |            |             |           |             |            |            |             |              |           |           |           |           |            |            |              |         |
| 563469-TU-9 | 04/03/17                                    | A      | .04/03/2017 | Maturity                              |           |            |             |           |             | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          |            | 04/03/2017   | 1FE     |
| 1099999. 5  | Subtotal - Bonds - All Other Governme       | ents   |             |                                       |           | 390.000    | 390.000     | 389,216   | 389,960     | 0          | 40         | 0           | 40           | 0         | 390,000   | 0         | 0         | 0          | 2.535      | XXX          | XXX     |
| 681712-PE-6 | OMAHA NE GO Non Call 5.250% 04/01/17        |        | .04/01/2017 | Maturity                              |           | 2,000,000  | 2,000,000   | 2,238,620 | 2,007,281   | 0          | (7,281)    | 0           | (7,281)      | 0         | 2,000,000 | 0         | 0         | 0          |            | 04/01/2017   | 1FE     |
|             | Subtotal - Bonds - U.S. Political Subdiv    |        |             | ,                                     | 000001000 | 2,000,000  | 2,000,000   | 2.238.620 | 2.007.281   |            |            | 0           |              | 0         | 2,000,000 | 0         | 0         | 0          | 52.500     | XXX          | XXX     |
|             |   | lsions |             |                                       | ssessions | , ,        |             |           | , ,         | 0          | (7,281)    | 0           | (7,281)      | 0         | 1 1       | 0         | 0         | U          |            |              |         |
| 31283K-4T-2 | FHLMC Pool G11734 MBS 5.000% 05/01/20       |        | .06/01/2017 | Paydown                               |           | 7,643      |             |           | 7,640       | 0          | 4          | 0           | 4            | 0         | 7 ,643    | 0         | 0         | 0          |            | 05/01/2020   | 1       |
| 31283K-6J-2 | FHLMC Pool G11773 MBS 5.000% 10/01/20       |        | .06/01/2017 | Paydown                               |           | 3,785      | 3,785       | 3,759     | 3,770       | 0          |            | 0           |              | 0         | 3,785     | 0         | 0         | 0          | 79         | 10/01/2020   | 1       |
| 3128MJ-UX-6 | FHLMC Pool G08597 MBS 3.500% 07/01/44       |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (474)      | 0           | (474)        | 0         |           | 0         | 0         | 0          |            | 07/01/2044   | 1       |
| 3128MM-AT-0 | FHLMC Pool G18017 MBS 5.500% 10/01/19       |        | .06/01/2017 | Paydown                               |           |            |             | 3,309     | 3,231       | 0          | (31)       | 0           | (31)         | 0         |           | 0         | 0         | 0          | 72         | 10/01/2019   | 1       |
| 3128MM-K2-8 | FHLMC Pool G18312 MBS 4.000% 06/01/24       |        | .06/01/2017 | Paydown                               |           |            | 28, 124     |           |             | 0          | (1,518)    | 0           | (1,518)      | 0         |           | 0         | 0         | 0          |            | 06/01/2024   | 1       |
| 312972-CL-4 | FHLMC Pool B19075 MBS 5.000% 04/01/20       |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          |            | 04/01/2020   | 1       |
|             | FHLMC Pool B19396 MBS 5.000% 05/01/20       |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | 2          | 0           | 2            | 0         | 5,008     | 0         | 0         | 0          |            | 05/01/2020   | 1       |
|             | FNMA FNA 2013-M4 ASQ2 CMBS 1.451% 02/25/18  |        |             | .,                                    |           |            |             |           |             |            |            |             |              |           |           |           |           |            |            | [            |         |
| 3136AC-3C-3 |   |        | .06/01/2017 | Paydown                               |           |            |             | 48,309    |             | 0          | (39)       | 0           | (39)         | n         |           | n         | 0         | n          | 299        |              | 1       |
|             | FNMA 2013-15 QD CMO 3.000% 12/25/38         |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (494)      | 0           | (494)        | 0         |           | 0         | 0         | 0          | 284        | 12/25/2038   | 1       |
|             | FNMA 2013-36 AG CMO 3.000% 12/25/36         |        | .06/01/2017 | Pavdown                               |           |            |             |           |             | 0          |            | 0           |              |           |           | 0         | 0         | 0          | 292        | 12/25/2036   | 1       |
|             |   |        |             | .,                                    |           |            |             |           |             | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          |            |              | 1       |
|             | FNMA Pool 255453 MBS 5.000% 11/01/19        |        | .06/01/2017 | Paydown                               |           |            | 6,870       |           | 6,961       | 0          | (91)       | 0           | (91)         | 0         |           | 0         | 0         | 0          |            | 11/01/2019   | I       |
| 31371M-CA-3 | FNMA Pool 255765 MBS 5.000% 07/01/20        |        | .06/01/2017 | Paydown                               |           | 1,451      | 1,451       | 1,431     | 1,440       | 0          |            | 0           | 11           | 0         | 1,451     | 0         | 0         | 0          |            | 07/01/2020   | 1       |
| 31376K-A5-5 | FNMA Pool 357428 MBS 4.500% 09/01/18        |        | .06/01/2017 | Paydown                               |           | 4,278      | 4,278       | 4,570     | 4,353       | 0          | (75)       | 0           | (75)         | 0         | 4,278     | 0         | 0         | 0          | 80         | 09/01/2018   | 1       |
| 31385X-BN-6 | FNMA Pool 555445 MBS 5.000% 05/01/18        |        | .06/01/2017 | Paydown                               |           |            |             | 45,755    |             | 0          | (565)      | 0           | (565)        | 0         |           | 0         | 0         | 0          |            | 05/01/2018   | 1       |
| 3138A5-ZL-9 | FNMA Pool AH4346 MBS 3.500% 02/01/26        |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (1,551)    | 0           | (1,551)      | 0         |           | 0         | 0         | 0          |            | 02/01/2026   | 1       |
|             | FNMA Pool AH4509 MBS 3.500% 01/01/26        |        | .06/01/2017 | Paydown                               |           |            | 23,792      |           |             | 0          | ( 185 )    | 0           | (185)        | 0         | 23,792    | 0         | 0         | 0          |            | . 01/01/2026 | 1       |
|             | FNMA Pool AJ7689 MBS 4.000% 12/01/41        |        | .06/01/2017 | Paydown                               |           |            |             | 41,496    |             | 0          | (1,650)    | 0           | (1,650)      | 0         |           | 0         | 0         | 0          | 656        |              | 1       |
| 3138EH-BN-1 | FNMA Pool AL0944 MBS 3.000% 11/01/26        |        | .06/01/2017 | Paydown                               |           |            |             | 51,592    |             | 0          | (1,425)    | 0           | (1,425)      | 0         |           | 0         | 0         | 0          | 625        |              | 1       |
|             | FNMA Pool AL2495 MBS 4.000% 03/01/42        |        | .06/01/2017 | Paydown                               |           | .67.907    | 67.907      |           |             | 0          | (4,297)    | 0           | (4,297)      | 0         | 67.907    | 0         | 0         | 0          | 1 101      | 03/01/2042   | 1       |
|             | FNMA Pool AL3471 MBS 4.000% 12/01/42        |        | .06/01/2017 | Paydown                               |           |            |             | 62,573    |             | 0          | (3,654)    | 0           | (3,654)      | 0         |           | 0         | 0         | 0          | 1,017      | 12/01/2042   | 1       |
| 3138EQ-FH-0 | FNMA Pool AL7367 MBS 4.500% 12/01/42        |        | .06/01/2017 |                                       |           |            |             | 83,175    |             | 0          | (6,277)    | 0           | (6,277)      | 0         | 76.759    | 0         | 0         | 0          | 1,492      | 01/01/2042   | 1       |
|             |   |        |             | Paydown                               |           |            |             |           |             | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          | 1,492      |              | l       |
| 3138ER-2F-6 | FNMA Pool AL9773 MBS 3.000% 01/01/47        |        | .06/01/2017 | Paydown                               |           | 2,722      | 2,722       | 2,737     | 0           | 0          | (15)       | 0           | (15)         | 0         | 2,722     | 0         | 0         | 0          |            | 01/01/2047   | 1       |
|             | FNMA Pool AL9506 MBS 3.000% 11/01/46        |        | .06/01/2017 | Paydown                               |           |            |             | 8,761     | ٥           | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          |            | 11/01/2046   | 1       |
|             | FNMA Pool AQ4429 MBS 3.500% 11/01/42        |        | .06/01/2017 | Paydown                               |           | 4,110      | 4, 110      | 4,322     | 4,316       | 0          | (206)      | 0           | (206)        | 0         | 4,110     | 0         | 0         | 0          | 59         | 11/01/2042   | 1       |
| 3138WH-KJ-0 | FNMA Pool AS7496 MBS 3.000% 07/01/46        |        | .06/01/2017 | Paydown                               |           | 4,691      | 4,691       | 4,674     | 0           | 0          |            | 0           |              | 0         | 4,691     | 0         | 0         | 0          |            | 07/01/2046   | 1       |
| 3138WH-LN-0 | FNMA Pool AS7532 MBS 3.000% 07/01/46        |        | .06/01/2017 | Paydown                               |           |            | 5,592       | 5,571     | D           | 0          | 21         | 0           | 21           | 0         | 5, 592    | 0         | 0         | 0          | 14         | 07/01/2046   | 1       |
| 3138X0-MT-2 | FNMA Pool AU1269 MBS 3.000% 07/01/28        |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (1,331)    | 0           | (1,331)      | 0         |           | 0         | 0         | 0          |            | 07/01/2028   | 1       |
| 3138YV-6F-1 | FNMA Pool AZ4469 MBS 3.500% 08/01/45        |        | 06/01/2017  | Paydown                               |           | 49,277     | 49,277      |           |             |            | (1,721)    | 0           | (1,721)      | 0         | 49,277    | 0         |           |            |            |              | 1       |
| 31405K-BZ-3 | FNMA Pool 791256 MBS 5.500% 09/01/19        |        | 06/01/2017  | Pavdown                               |           | 5,724      | 5,724       | 5.863     | 5.755       | 0          | (31)       | 0           | (31)         | 0         | 5.724     | 0         | 0         | 0          | 131        | .09/01/2019  | 1       |
| 31407M-P3-3 | FNMA Pool 834842 MBS 5,000% 12/01/20        |        | .06/01/2017 | Paydown                               |           |            |             | 8,604     |             | 0          | 58         | 0           |              | 0         |           | 0         | 0         | 0          | 196        | 12/01/2020   | 1       |
|             | FNMA Pool 835470 MBS 5.000% 09/01/20        |        | .06/01/2017 | Paydown                               |           |            |             |           |             | n          |            | <u>ہ</u>    |              | n         | 2.489     | ۰<br>۱    | ۰<br>۱    | ۰<br>۱     | 53         |              | 1       |
|             | FNMA Pool 849421 MBS 5.000% 09/01/20        |        | .06/01/2017 | Pavdown                               |           | 3.979      |             |           | 3.953       | 0          |            | 0           |              |           | 3.979     |           |           | 0<br>^     |            | 11/01/2020   | 1       |
| 3140FB-EF-3 | FNMA Pool BD3733 MBS 3.500% 11/01/20        |        | .06/01/2017 | .,                                    |           | 26.806     |             |           |             | 0          | (1,473)    | 0           | (1,473)      | 0         |           | 0         | 0         | 0          | 408        | 08/01/2020   | 1       |
|             |   |        |             | Paydown                               |           |            |             |           |             | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          |            |              | I       |
| 31410K-N6-7 | FNMA Pool 889713 MBS 5.000% 06/01/21        |        | .06/01/2017 | Paydown                               |           |            | 14,534      | 14,515    |             | 0          |            | 0           |              | 0         |           | 0         | 0         | 0          |            | 06/01/2021   | l       |
| 31410L-C5-9 | FNMA Pool 890292 MBS 4.500% 01/01/41        |        | .06/01/2017 | Paydown                               |           |            | 14, 116     |           |             | 0          | (1, 124)   | 0           | (1,124)      | 0         | 14,116    | 0         | 0         | 0          |            | 01/01/2041   | l       |
| 31416W-T3-6 | FNMA Pool AB1469 MBS 4.500% 09/01/40        |        | .06/01/2017 | Paydown                               |           | 10,917     | 10,917      |           |             | 0          | (984)      | 0           | (984)        | 0         | 10,917    | 0         | 0         | 0          |            | 09/01/2040   | 1       |
| 31416W-ZW-5 | FNMA Pool AB1656 MBS 4.000% 10/01/40        |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (1,600)    | 0           | (1,600)      | 0         |           | 0         | 0         | 0          |            | . 10/01/2040 | 1       |
| 31417B-HL-4 | FNMA Pool AB4734 MBS 3.000% 03/01/27        |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (2,077)    | 0           | (2,077)      | 0         |           | 0         | 0         | 0          |            | 03/01/2027   | 1       |
| 31418P-S7-1 | FNMA Pool AD2341 MBS 4.500% 03/01/40        | .      | .06/01/2017 | Paydown                               |           |            | 16,849      |           |             | 0          | (1,139)    | 0           | (1, 139)     | 0         |           | 0         | 0         | 0          |            | 03/01/2040   | 1       |
| 31419A-U8-8 | FNMA Pool AE0606 MBS 4.000% 11/01/40        | .      | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (2,779)    | 0           | (2,779)      | 0         |           | 0         | 0         | 0          |            | 11/01/2040   | 1       |
| 31419B-PY-5 | FNMA Pool AE1338 MBS 3.500% 08/01/25        |        | 06/01/2017  | Paydown                               |           |            |             |           |             | 0          | (43)       | 0           | (43)         | 0         |           | 0         | 0         | 0          |            | 08/01/2025   | 1       |
|             | FNMA Pool AE2759 MBS 3.500% 08/01/26        |        | .06/01/2017 | Paydown                               |           |            |             |           |             | 0          | (111)      | 0           | (111)        | 0         |           | 0         | 0         |            |            |              | 1       |
|             | MISSOURI ST HIGH Rev Bond Cont Call 5.250%  |        |             | · · · · · · · · · · · · · · · · · · · |           |            |             | 0,110     |             |            | ()         |             | ()           |           |           |           |           |            |            |              |         |
| 60636W-KV-6 | 05/01/19                                    |        | .05/01/2017 | Call 100.0000                         |           | 1,100,000  | 1,100,000   | 1,275,945 | 1, 107, 938 | 0          | (7,938)    | ٥           | (7,938)      | n         | 1,100,000 | n         | n         | n          |            |              | 1FE     |
|             | Subtotal - Bonds - U.S. Special Reven       |        |             | 100.0000                              |           | , ,        |             |           | , ,         | · · · ·    | , , , , ,  |             |              |           | 1 1       | 0         |           | · · ·      | 43.824     | XXX          | XXX     |
| 2199998.5   |   | ues    |             |                                       |           | 2,058,159  | 2,058,159   | 2,279,002 | 2,081,568   | 0          | (45,150)   | 0           | (45, 150)    | 0         | 2,058,159 | 0         | 0         | 0          | 43,824     | ~~~          | ~~~     |
|             | ALLY AUTO RECEIV ALLYA 2015-1 A3 ABS 1.390% |        |             |                                       |           |            |             |           |             |            |            | 1           | 1            |           |           |           |           |            |            |              |         |
| 02006Y-AC-9 | 09/16/19                                    |        | .06/15/2017 | Paydown                               |           | 60,660     |             |           |             | 0          |            | 0           | 3            | 0         |           | 0         | 0         | 0          |            | 09/16/2019   | 1FE     |
|             | AMERICAN EXPRESS AMXCA 2014-2 A ABS 1.260%  |        |             |                                       |           |            |             |           |             |            |            | 1           | 1            |           |           |           |           |            |            | 1            |         |
| 02582J-GS-3 | 01/15/20                                    |        | .06/15/2017 | Paydown                               |           |            |             |           |             | 0          | 1          | 0           | 1            | 0         |           | 0         | 0         | 0          |            | 01/15/2020   | 1FE     |
|             | AMERICREDIT AUTO AMCAR 2015-3 A3 ABS 1.540% |        |             |                                       |           |            |             |           |             | 1          |            |             |              |           |           |           |           |            |            | 1            | Ī       |
| 03065N-AD-7 | 03/09/20                                    |        | 06/08/2017  | Paydown                               |           | 63,919     | 63,919      | 63,910    | 63,915      |            |            | 0           |              | 0         | 63,919    | 0         | 0         | 0          |            | 03/09/2020   | 1FE     |
|             |   |        |             |                                       |           |            |             |           |             |            |            |             |              |           |           |           |           |            |            |              |         |

### **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| · · ·              |  |        |                | -              |                 |             |           | · · · · · ·                         |                |            |            | 0          |             |           | 4.0         | 4-        | 10         | 10         |   |                |          |
|--------------------|--|--------|----------------|----------------|-----------------|-------------|-----------|-------------------------------------|----------------|------------|------------|------------|-------------|-----------|-------------|-----------|------------|------------|---|----------------|----------|
| 1                  | 2  | 3      | 4              | 5              | 6               | 7           | 8         | 9                                   | 10             |            | 0          |            | Carrying Va |           | 16          | 17        | 18         | 19         | 20                                      | 21             | 22       |
|                    |  |        |                |                |                 |             |           |                                     |                | 11         | 12         | 13         | 14          | 15        |             |           |            |            |   |                |          |
|                    |  |        |                |                |                 |             |           |                                     |                |            |            |            | Total       | Total     |             |           |            |            |   |                | NAIC     |
|                    |  |        |                |                |                 |             |           |                                     |                |            |            | Current    | Change in   | Foreign   |             |           |            |            |   |                | Desig-   |
|                    |  |        |                |                |                 |             |           |                                     |                |            |            | Year's     | Book/       | Exchange  | Book/       |           |            |            | Bond                                    |                | nation   |
|                    |  |        |                |                |                 |             |           |                                     | Prior Year     |            | Current    | Other Than | Adjusted    | Change in | Adjusted    | Foreign   |            |            | Interest/                               | Stated         | or       |
|                    |  |        |                |                |                 |             |           |                                     | Book/          | Unrealized | Year's     | Temporary  | Carrying    | Book      | Carrying    | Exchange  | Realized   |            | Stock                                   | Con-           | Market   |
| CUSIP              |  |        |                |                | Number of       |             |           |                                     | Adjusted       | Valuation  | (Amor-     | Impairment |             | /Adjusted | Value at    | Gain      | Gain       | Total Gain | Dividends                               | tractual       | In-      |
| Ident-             |  | For-   | Disposal       | Name           | Shares of       | Consid-     |           | Actual                              | Carrying       | Increase/  | tization)/ | Recog-     | (11 + 12 -  | Carrying  | Disposal    | (Loss) on | (Loss) on  | (Loss) on  | Received                                | Maturity       | dicator  |
| ification          | Description                                | eign   |                | of Purchaser   | Stock           | eration     | Par Value | Cost                                | Value          | (Decrease) | Accretion  | nized      | 13)         | Value     | Date        | Disposal  | Disposal   |            | DuringYear                              | Date           | (a)      |
|                    | BERKSHIRE HATH Corp Note MW 15BP 1.600%    |        |                |                |                 |             |           |                                     |                |            |            |            |             |           |             |           |            |            |   |                |          |
| 084664-BS-9        |  |        | 05/15/2017     | Maturity       |                 |             |           |                                     |                | 0          | (576)      | 0          | (576)       | 0         |             | 0         | 0          | 0          |   | 05/15/2017     | . 1FE    |
|                    | CNH EQUIPMENT TR CNH 2015-C A2A ABS 1.100% | 1      |                |                |                 |             |           |                                     |                |            |            | 1 .        |             |           |             |           |            |            |   |                |          |
| 12593N-AB-3        |  |        | 06/15/2017     | Paydown        |                 | 448, 109    |           |                                     |                | ·0         |            | ·0         |             | 0         |             | 0         | 0          | 0          | 2,082                                   | . 12/17/2018 . | 1+E      |
| 161571-GW-8        | CHAIT 2017-A2 A CHAIT 2015-A3 A ABS 1.409% |        | 04/17/2017     | Development    |                 |             |           |                                     |                | 0          | 0          | 0          | 0           | 0         |             | 0         | 0          | 0          | 1 770                                   |                | 100      |
|                    | JP MORGAN CHASE JPMCC 2007-CB20 A4 CMBS    |        | 04/17/2017     | Paydown        |                 |             |           |                                     |                | 0          | 0          | 0          | 0           | 0         |             | 0         | 0          | 0          | 1,770                                   |                | IFE      |
|                    | 5.794% 02/12/51                            |        | 06/01/2017     | Pavdown        |                 | 264.041     | 264.041   | 255.295                             | 262,572        | 0          | 1,469      | 0          | 1,469       | 0         | 264.041     | 0         | 0          | 0          | 7 008                                   | 02/12/2051     | 1FM      |
|                    | UNITED TECH CORP Corp Note MW 15BP 1.800%  |        |                |                |                 | 201,011     | 201,011   | 200,200                             |                |            |            |            |             |           |             |           |            |            | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |                |          |
| 913017-BU-2        | 06/01/17                                   |        | 06/01/2017     | Maturity       |                 |             |           |                                     |                | 0          |            | 0          |             | 0         |             | 0         | 0          | 0          |   | 06/01/2017     | 1FE      |
|                    | Xtra Finance Corp Corp Note Cont Call      |        |                | ·              |                 |             |           |                                     |                |            |            |            |             |           |             |           |            |            |   |                |          |
|                    | 5.150% 04/01/17                            |        | 04/01/2017     | Maturity       |                 |             |           |                                     | <u>499,939</u> | 0          | 61         | 0          | 61          | 0         |             | 0         | 0          | 0          |   | 04/01/2017     | . 1FE    |
|                    | Atrium CDO Corp ATRM 11A A1 CLO Prv Pic    | _      |                |                |                 |             |           |                                     |                |            |            |            |             |           |             |           |            |            |   |                |          |
|                    | 2.593% 10/23/25                            | D      | 04/06/2017     |                |                 |             |           |                                     |                | 0          | 0          | 0          | 0           | 0         |             | 0         | 0          | 0          | 5,450                                   |                |          |
|                    | ubtotal - Bonds - Industrial and Misce     | ellane | ous (Unaffilia | ated)          |                 | 3,311,729   | 3,311,729 | 3,307,892                           | 3,310,733      | 0          | 996        | -          | 996         | -         | 3,311,729   | 0         | 0          | 0          | 37,434                                  | XXX            | XXX      |
|                    | otal - Bonds - Part 4                      |        |                |                |                 | 7,759,888   | 7,759,888 | 8,214,730                           | 7,789,542      | 0          | (51,395)   |            | (51,395)    |           | 7,759,888   | 0         | 0          | 0          | 136,293                                 | XXX            | XXX      |
|                    | otal - Bonds - Part 5                      |        |                |                |                 | XXX         | XXX       | XXX                                 | XXX            | XXX        | XXX        | XXX        | XXX         | XXX       | XXX         | XXX       | XXX        | XXX        | XXX                                     | XXX            | XXX      |
| 8399999. T         | otal - Bonds                               |        |                |                |                 | 7,759,888   | 7,759,888 | 8,214,730                           | 7,789,542      | 0          | (51,395)   | ) 0        | (51,395)    | 0         | 7,759,888   | 0         | 0          | 0          | 136,293                                 | XXX            | XXX      |
| 8999997. T         | otal - Preferred Stocks - Part 4           |        |                |                |                 | 0           | XXX       | 0                                   | 0              | 0          | 0          | 0          | 0           | 0         | 0           | 0         | 0          | 0          | 0                                       | XXX            | XXX      |
| 8999998. T         | otal - Preferred Stocks - Part 5           |        |                |                |                 | XXX         | XXX       | XXX                                 | XXX            | XXX        | XXX        | XXX        | XXX         | XXX       | XXX         | XXX       | XXX        | XXX        | XXX                                     | XXX            | XXX      |
| 8999999. T         | otal - Preferred Stocks                    |        |                |                |                 | 0           | XXX       | 0                                   | 0              | 0          | 0          | 0          | 0           | 0         | 0           | 0         | 0          | 0          | 0                                       | XXX            | XXX      |
|                    | UHC Liquidity Pool Pro Rata Share Portion  |        |                | JP Morgan Pool |                 |             |           | 261,204,910                         |                |            | 0          | 0          | 0           | 0         | 261,204,910 | 0         |            | 0          |   |                | L        |
|                    | ubtotal - Common Stocks - Industrial       | and M  |                |                |                 | 261,204,910 | XXX       | 261,204,910                         | 0              | 0          | 0          | 0          | 0           | 0         | 261,204,910 | 0         | 0          | 0          | 76,140                                  | XXX            | XXX      |
| 9799997. T         | otal - Common Stocks - Part 4              |        |                |                |                 | 261,204,910 | XXX       | 261,204,910                         | 0              | 0          | 0          | 0          | 0           | 0         | 261,204,910 | 0         | 0          | 0          | 76.140                                  | XXX            | XXX      |
|                    | otal - Common Stocks - Part 5              |        |                |                |                 | XXX         | XXX       | XXX                                 | XXX            | XXX        | XXX        | XXX        | XXX         | XXX       | XXX         | XXX       | XXX        | XXX        | XXX                                     | XXX            | XXX      |
| 9799999. T         | otal - Common Stocks                       |        |                |                |                 | 261,204,910 | XXX       | 261,204,910                         | 0              | 0          | 0          | 0          | 0           | 0         | 261,204,910 | 0         | 0          | 0          | 76,140                                  | XXX            | XXX      |
|                    | otal - Preferred and Common Stocks         |        |                |                |                 | 261,204,910 | XXX       | 261,204,910                         | 0              | 0          | 0          | 0          | 0           | 0         | 261,204,910 | 0         | 0          | 0          | 76.140                                  | XXX            | XXX      |
| 9999999 -          |  |        |                |                |                 | 268,964,798 | XXX       | 269,419,640                         | 7,789,542      | ů<br>0     | (51, 395)  | 0          | (51,395)    | 0         | 268,964,798 | 0         | 0          | ů ů        | 212,433                                 | XXX            | XXX      |
| (a) <b>Famalla</b> | ommon stock bearing the NAIC mark          |        |                |                | of every leaves |             |           | , , , , , , , , , , , , , , , , , , | .,,,,,,,,,     | . <u> </u> | (01,000)   | · · · ·    | (01,000)    | · · · ·   | ,001,100    | · · ·     | . <u> </u> |            | 212,100                                 |                | <u> </u> |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open **NONE** 

> Schedule DB - Part B - Section 1 - Futures Contracts Open **NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made **NONE** 

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open **NONE** 

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By **NONE** 

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To **NONE** 

> Schedule DL - Part 1 - Reinvested Collateral Assets Owned **NONE**

> Schedule DL - Part 2 - Reinvested Collateral Assets Owned

## NONE

| SCH  | IED        | -          |                  | RT 1 - C       | ASH         | 0   |             |             |
|--|------------|------------|------------------|----------------|-------------|---|-------------|-------------|
| 1  | 2          | 3          | End Depository 4 | 5<br>5         |             | lance at End of Eac<br>uring Current Quarte |             | 9           |
| Dentsiture   | Orda       | Rate of    |                  | at Current     | 6           | 7   | 8           | *           |
| Depository   |            | Interest   | Quarter          | Statement Date | First Month | Second Month                                | Third Month |             |
| JP Morgan Chase New York, NY<br>Bank of America Charlotte, NC  |            |            |                  |                |             | (1,532,790)                                 |             |             |
| Bank of NY Mellon         New York, NY           0199998. Deposits in         depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories | xxx        | xxx        |                  |                | 813         |   | 712         | xxx.<br>xxx |
| 0199999. Totals - Open Depositories<br>0299998. Deposits in depositories that do not<br>exceed the allowable limit in any one depository (See<br>instructions) - Suspended Depositories        | XXX<br>XXX | XXX<br>XXX | 0                | 0              | (1,257,247) | (1,032,452)                                 | (939,444)   | XXX<br>XXX  |
| 0299999. Totals - Suspended Depositories   | XXX        | XXX        | 0                | 0              | 0           | 0   | 0           | XXX         |
| 0399999. Total Cash on Deposit   | XXX        | XXX        | 0                | 0              | (1,257,247) | (1,032,452)                                 | (939,444)   |             |
| 0499999. Cash in Company's Office  | XXX        |            | XXX              | XXX            |             |   |             | XXX         |
|  |            |            |                  |                |             |   |             |             |
| 0599999. Total - Cash  | XXX        | XXX        | 0                | 0              | (1,257,247) | (1,032,452)                                 | (939,444)   | xxx         |

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter

# ΝΟΝΕ