



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

Medical Malpractice Joint Underwriting Association of Rhode Island

NAIC Group Code _____ (Current) _____ (Prior) NAIC Company Code 13101 Employer's ID Number 51-0140354

Organized under the Laws of Rhode Island, State of Domicile or Part of Entry RI

Country of Domicile United States of America

Incorporated/Organized 08/16/1975 Commenced Business 07/01/1975

Statutory Home Office One Turks Head Place Providence, RI, US 02903
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office One Turks Head Place Providence, RI, US 02903
(Street and Number) (City or Town, State, Country and Zip Code)
401-369-8240 (Area Code) (Telephone Number)

Mail Address One Turks Head Place Providence, RI, US 02903
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records One Turks Head Place Providence, RI, US 02903
(Street and Number) (City or Town, State, Country and Zip Code)
401-369-8240 (Area Code) (Telephone Number)

Internet Website Address http://rhodeislandjua.com/

Statutory Statement Contact Melissa Menard 401-369-8245
(Name) (Area Code) (Telephone Number)
mmenard@beechercarlson.com 401-369-8241
(E-mail Address) (FAX Number)

OFFICERS

Vice Chair Timothy Knapp Assistant Secretary Melissa Menard #
Chair Earl Cottam Jr. Secretary James Pascalides DPM

OTHER

DIRECTORS OR TRUSTEES

| | | |
|--------------------------|-----------------------------|--------------------------------|
| <u>Adam Robitaille #</u> | <u>James Pascalides DPM</u> | <u>Earl Cottam Jr.</u> |
| <u>Timothy Knapp</u> | <u>Don Bekini</u> | <u>Barbara M Cavicchio DDS</u> |
| <u>Newell Ward</u> | <u>Jennifer Morrison</u> | <u>Virginia Burke</u> |
| <u>Eric Paynter</u> | <u>Laurie Kuiper</u> | |

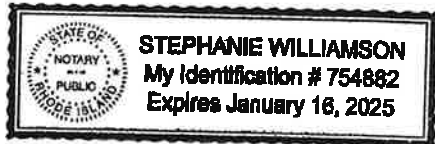
State of Rhode Island SS:
County of Kent

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Timothy Knapp Vice Chair
Earl Cottam Jr. Chair
Melissa Menard Assistant Secretary

Subscribed and sworn to before me this 4 day of November
Stephanie Williamson

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number
2. Date filed
3. Number of pages attached





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(Name) (Area Code) (Telephone Number)
mmenard@beechercarlson.com 401-369-8241
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| <u>Timothy Knapp</u> | <u>Don Baldini</u> | <u>Barbara M Cavicchio DDS</u> |
| <u>Newell Warde</u> | <u>Jennifer Morrison</u> | <u>Virginia Burke</u> |
| <u>Eric Payntor</u> | <u>Laurie Kuiper</u> | |

State of Rhode Island SS:
County of Providence

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Timothy Knapp Earl Cottam Jr. Melissa Menard
Vice Chair Chair Assistant Secretary

Subscribed and sworn to before me this _____ day of _____

a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|--|------------------------|-------------------------|---|---|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds | 88,538,194 | | 88,538,194 | 89,151,406 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | | | 0 | 0 |
| 2.2 Common stocks | | | 0 | 0 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | 0 | 0 |
| 3.2 Other than first liens | | | 0 | 0 |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$0 encumbrances) | | | 0 | 0 |
| 4.2 Properties held for the production of income (less \$0 encumbrances) | | | 0 | 0 |
| 4.3 Properties held for sale (less \$0 encumbrances) | | | 0 | 0 |
| 5. Cash (\$317,698), cash equivalents (\$890,691) and short-term investments (\$0) | 1,208,388 | | 1,208,388 | 1,070,659 |
| 6. Contract loans (including \$0 premium notes) | | | 0 | 0 |
| 7. Derivatives | | | 0 | 0 |
| 8. Other invested assets | 73,849,067 | | 73,849,067 | 69,652,886 |
| 9. Receivables for securities | | | 0 | 0 |
| 10. Securities lending reinvested collateral assets | | | 0 | 0 |
| 11. Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 163,595,649 | 0 | 163,595,649 | 159,874,951 |
| 13. Title plants less \$0 charged off (for Title insurers only) | | | 0 | 0 |
| 14. Investment income due and accrued | 1,051,462 | | 1,051,462 | 1,272,773 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | (166,501) | | (166,501) | (94,314) |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums) | 209,639 | | 209,639 | 146,974 |
| 15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0) | | | 0 | 0 |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | | | 0 | 0 |
| 16.2 Funds held by or deposited with reinsured companies | | | 0 | 0 |
| 16.3 Other amounts receivable under reinsurance contracts | | | 0 | 0 |
| 17. Amounts receivable relating to uninsured plans | | | 0 | 0 |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | 186,658 | | 186,658 | 0 |
| 18.2 Net deferred tax asset | | | 0 | 0 |
| 19. Guaranty funds receivable or on deposit | | | 0 | 0 |
| 20. Electronic data processing equipment and software | | | 0 | 0 |
| 21. Furniture and equipment, including health care delivery assets (\$0) | | | 0 | 0 |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates | | | 0 | 0 |
| 23. Receivables from parent, subsidiaries and affiliates | | | 0 | 0 |
| 24. Health care (\$0) and other amounts receivable | | | 0 | 0 |
| 25. Aggregate write-ins for other than invested assets | 1,212 | 0 | 1,212 | 9,193 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) | 164,878,119 | 0 | 164,878,119 | 161,209,577 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | 0 | 0 |
| 28. Total (Lines 26 and 27) | 164,878,119 | 0 | 164,878,119 | 161,209,577 |
| DETAILS OF WRITE-INS | | | | |
| 1101. | | | | |
| 1102. | | | | |
| 1103. | | | | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) | 0 | 0 | 0 | 0 |
| 2501. Miscellaneous Accounts Receivable | 1,212 | | 1,212 | 3,783 |
| 2502. Prepaid Losses | | | 0 | 0 |
| 2503. Prepaid premium tax | | | 0 | 5,410 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 | 0 | 0 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 1,212 | 0 | 1,212 | 9,193 |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island
LIABILITIES, SURPLUS AND OTHER FUNDS

| | 1 Current Statement Date | 2 December 31, Prior Year |
|--|--------------------------------|---------------------------------|
| 1. Losses (current accident year \$1,830,902) | 17,040,734 | 18,550,205 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses | | 0 |
| 3. Loss adjustment expenses | 6,136,647 | 6,452,963 |
| 4. Commissions payable, contingent commissions and other similar charges | 78,973 | 76,673 |
| 5. Other expenses (excluding taxes, licenses and fees) | 224,623 | 164,735 |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes) | 1,916 | |
| 7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses)) | | 351,945 |
| 7.2 Net deferred tax liability | 1,149,564 | 794,463 |
| 8. Borrowed money \$ and interest thereon \$ | | |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$0 for medical loss ratio rebate per the Public Health Service Act) | 2,203,440 | 2,028,336 |
| 10. Advance premium | 166,019 | 452,881 |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders | | |
| 11.2 Policyholders | | |
| 12. Ceded reinsurance premiums payable (net of ceding commissions) | | 0 |
| 13. Funds held by company under reinsurance treaties | | 0 |
| 14. Amounts withheld or retained by company for account of others | 533,942 | 672,923 |
| 15. Remittances and items not allocated | | |
| 16. Provision for reinsurance (including \$ certified) | | 0 |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates | | |
| 18. Drafts outstanding | | |
| 19. Payable to parent, subsidiaries and affiliates | | |
| 20. Derivatives | 0 | 0 |
| 21. Payable for securities | | |
| 22. Payable for securities lending | | |
| 23. Liability for amounts held under uninsured plans | | |
| 24. Capital notes \$ and interest thereon \$ | | |
| 25. Aggregate write-ins for liabilities | 888,908 | 5,132 |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) | 28,424,766 | 29,550,256 |
| 27. Protected cell liabilities | | |
| 28. Total liabilities (Lines 26 and 27) | 28,424,766 | 29,550,256 |
| 29. Aggregate write-ins for special surplus funds | 0 | 0 |
| 30. Common capital stock | | |
| 31. Preferred capital stock | | |
| 32. Aggregate write-ins for other than special surplus funds | 0 | 0 |
| 33. Surplus notes | | 0 |
| 34. Gross paid in and contributed surplus | | |
| 35. Unassigned funds (surplus) | 136,453,353 | 131,659,321 |
| 36. Less treasury stock, at cost: | | |
| 36.1 shares common (value included in Line 30 \$) | | |
| 36.2 shares preferred (value included in Line 31 \$) | | |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36) | 136,453,353 | 131,659,321 |
| 38. Totals (Page 2, Line 28, Col. 3) | 164,878,119 | 161,209,577 |
| DETAILS OF WRITE-INS | | |
| 2501. Unearned Finance Charge | | 0 |
| 2502. Premium Deficiency Reserve | | 0 |
| 2503. Losses Payable | 888,908 | 5,132 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 888,908 | 5,132 |
| 2901. | | |
| 2902. | | |
| 2903. | | |
| 2998. Summary of remaining write-ins for Line 29 from overflow page | 0 | 0 |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) | 0 | 0 |
| 3201. | | |
| 3202. | | |
| 3203. | | |
| 3298. Summary of remaining write-ins for Line 32 from overflow page | 0 | 0 |
| 3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above) | 0 | 0 |

STATEMENT OF INCOME

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct (written \$ 1,703,239) | 1,528,135 | 1,445,500 | 1,882,793 |
| 1.2 Assumed (written \$) | | | |
| 1.3 Ceded (written \$) | | | |
| 1.4 Net (written \$ 1,703,239) | 1,528,135 | 1,445,500 | 1,882,793 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year \$ 1,830,902): | | | |
| 2.1 Direct | (326,596) | (908,042) | (2,063,070) |
| 2.2 Assumed | | | |
| 2.3 Ceded | | | |
| 2.4 Net | (326,596) | (908,042) | (2,063,070) |
| 3. Loss adjustment expenses incurred | 98,707 | (291,899) | (428,457) |
| 4. Other underwriting expenses incurred | 1,466,725 | 1,248,930 | 1,681,324 |
| 5. Aggregate write-ins for underwriting deductions | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5) | 1,238,836 | 48,989 | (810,203) |
| 7. Net income of protected cells | | | |
| 8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7) | 289,299 | 1,396,511 | 2,692,996 |
| INVESTMENT INCOME | | | |
| 9. Net investment income earned | 3,096,715 | 3,504,917 | 4,646,818 |
| 10. Net realized capital gains (losses) less capital gains tax of \$ 409,374 | 1,540,025 | 960,526 | 1,523,994 |
| 11. Net investment gain (loss) (Lines 9 + 10) | 4,636,740 | 4,465,443 | 6,170,812 |
| OTHER INCOME | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$) | 0 | 0 | 0 |
| 13. Finance and service charges not included in premiums | 19,760 | 18,054 | 20,201 |
| 14. Aggregate write-ins for miscellaneous income | (564,020) | (571,000) | (571,000) |
| 15. Total other income (Lines 12 through 14) | (544,260) | (552,946) | (550,799) |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15) | 4,381,779 | 5,309,008 | 8,313,009 |
| 17. Dividends to policyholders | | | |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) | 4,381,779 | 5,309,008 | 8,313,009 |
| 19. Federal and foreign income taxes incurred | 372,023 | 765,240 | 1,331,200 |
| 20. Net income (Line 18 minus Line 19)(to Line 22) | 4,009,756 | 4,543,768 | 6,981,809 |
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 21. Surplus as regards policyholders, December 31 prior year | 131,659,321 | 123,365,118 | 123,365,118 |
| 22. Net income (from Line 20) | 4,009,756 | 4,543,768 | 6,981,809 |
| 23. Net transfers (to) from Protected Cell accounts | | | |
| 24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 239,269 | 900,108 | (252,549) | 1,271,119 |
| 25. Change in net unrealized foreign exchange capital gain (loss) | | | |
| 26. Change in net deferred income tax | (115,832) | (36,322) | 41,275 |
| 27. Change in nonadmitted assets | | | 0 |
| 28. Change in provision for reinsurance | | | 0 |
| 29. Change in surplus notes | | | |
| 30. Surplus (contributed to) withdrawn from protected cells | | | |
| 31. Cumulative effect of changes in accounting principles | | | |
| 32. Capital changes: | | | |
| 32.1 Paid in | | | |
| 32.2 Transferred from surplus (Stock Dividend) | | | |
| 32.3 Transferred to surplus | | | |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in | 0 | 0 | 0 |
| 33.2 Transferred to capital (Stock Dividend) | | | |
| 33.3 Transferred from capital | | | |
| 34. Net remittances from or (to) Home Office | | | |
| 35. Dividends to stockholders | | | 0 |
| 36. Change in treasury stock | | | 0 |
| 37. Aggregate write-ins for gains and losses in surplus | 0 | 0 | 0 |
| 38. Change in surplus as regards policyholders (Lines 22 through 37) | 4,794,032 | 4,254,897 | 8,294,203 |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) | 136,453,353 | 127,620,015 | 131,659,321 |
| DETAILS OF WRITE-INS | | | |
| 0501. Change in Premium Deficiency Reserve | | 0 | 0 |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) | 0 | 0 | 0 |
| 1401. Gain or loss on retroactive reinsurance | (564,020) | (571,000) | (571,000) |
| 1402. | | | |
| 1403. | | | |
| 1498. Summary of remaining write-ins for Line 14 from overflow page | 0 | 0 | 0 |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) | (564,020) | (571,000) | (571,000) |
| 3701. | | | |
| 3702. | | | |
| 3703. | | | |
| 3798. Summary of remaining write-ins for Line 37 from overflow page | 0 | 0 | 0 |
| 3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above) | 0 | 0 | 0 |

CASH FLOW

| | 1 Current Year To Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| Cash from Operations | | | |
| 1. Premiums collected net of reinsurance | 1,425,899 | 1,718,052 | 2,417,012 |
| 2. Net investment income | 3,741,561 | 3,999,813 | 5,209,518 |
| 3. Miscellaneous income | (675,260) | (846,901) | (842,017) |
| 4. Total (Lines 1 to 3) | 4,492,200 | 4,870,964 | 6,784,513 |
| 5. Benefit and loss related payments | 714,122 | 378,355 | 637,128 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | 0 | 0 | 0 |
| 7. Commissions, expenses paid and aggregate write-ins for deductions | 1,402,620 | 1,922,343 | 2,269,093 |
| 8. Dividends paid to policyholders | 0 | 0 | 0 |
| 9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses) | 1,320,001 | 604,804 | 1,410,000 |
| 10. Total (Lines 5 through 9) | 3,436,743 | 2,905,502 | 4,316,221 |
| 11. Net cash from operations (Line 4 minus Line 10) | 1,055,457 | 1,965,462 | 2,468,292 |
| Cash from Investments | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds | 11,248,791 | 12,232,112 | 16,649,934 |
| 12.2 Stocks | 0 | 0 | 0 |
| 12.3 Mortgage loans | 0 | 0 | 0 |
| 12.4 Real estate | 0 | 0 | 0 |
| 12.5 Other invested assets | 0 | 0 | 0 |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | 0 | 0 | 0 |
| 12.7 Miscellaneous proceeds | 0 | 3,992 | 3,992 |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 11,248,791 | 12,236,104 | 16,653,926 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds | 10,944,464 | 6,846,043 | 17,761,604 |
| 13.2 Stocks | 0 | 0 | 0 |
| 13.3 Mortgage loans | 0 | 0 | 0 |
| 13.4 Real estate | 0 | 0 | 0 |
| 13.5 Other invested assets | 0 | 0 | 0 |
| 13.6 Miscellaneous applications | 1,222,055 | 7,741,156 | 1,468,660 |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) | 12,166,519 | 14,587,199 | 19,230,264 |
| 14. Net increase (or decrease) in contract loans and premium notes | 0 | 0 | 0 |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (917,728) | (2,351,095) | (2,576,338) |
| Cash from Financing and Miscellaneous Sources | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes | 0 | 0 | 0 |
| 16.2 Capital and paid in surplus, less treasury stock | 0 | 0 | 0 |
| 16.3 Borrowed funds | 0 | 0 | 0 |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities | 0 | 0 | 0 |
| 16.5 Dividends to stockholders | 0 | 0 | 0 |
| 16.6 Other cash provided (applied) | 0 | 0 | 0 |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | 0 | 0 | 0 |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | 137,729 | (385,633) | (108,046) |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year | 1,070,659 | 1,178,705 | 1,178,705 |
| 19.2 End of period (Line 18 plus Line 19.1) | 1,208,388 | 793,072 | 1,070,659 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

Medical Malpractice Joint Underwriting Association of Rhode Island ("the MMJUA") is a joint underwriting association created by the state of Rhode Island. The MMJUA was originally created in accordance with RI Gen. Law 42-35-3 by the adoption of Regulation 230-RICR-20-10-1 (formerly Insurance Regulation 21) by the Department of Business Regulation (the "Department") effective June 16, 1975. Subsequently, legislation was enacted which authorized the Department to promulgate regulations relating to medical malpractice insurance and validated Regulation 230-RICR-20-10-1. It was the intent of the Department that the MMJUA provides a continuing stable facility for medical malpractice insurance. Under the original plan of operation (approved June 25, 1975) and as amended and approved by the department on November 10, 2003, the MMJUA was created to provide medical malpractice insurance for physicians, hospitals and other health care providers, on a self-supporting basis.

The MMJUA is authorized to issue medical malpractice policies on a "claims made" or "occurrence" basis with limits not to exceed \$1,000,000 for each medical incident under one policy and in the aggregate of \$3,000,000 under one policy in any one year. The MMJUA is also authorized to underwrite incidental coverage's for any health care provider that is also covered by the MMJUA's medical malpractice, with limits of \$1,000,000 per incident and \$1,000,000 aggregate under a one year policy. Additionally, the MMJUA is authorized to provide Commercial General Liability coverage to the health care providers with limits of \$1,000,000 per incident and \$2,000,000 aggregate under a one year policy. All policies are on an annual basis and shall be subject to the Group Retrospective Rating Plan and Stabilization Reserve Fund as authorized by Regulation 230-RICR-20-10-1.

The Group Retrospective Rating Plan and stabilization reserve fund are described under Note 24.

| | SSAP # | F/S Page | F/S Line # | 2021 | 2020 |
|---|--------|----------|------------|----------------|----------------|
| NET INCOME | | | | | |
| (1) The Company state basis (Page 4, Line 20, Columns 1 & 3) | XXX | XXX | XXX | \$ 4,009,756 | \$ 6,981,809 |
| (2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP | | | | \$ | \$ |
| (3) State Permitted Practice that are an increase/(decrease) from NAIC SAP | | | | \$ | \$ |
| (4) NAIC SAP (1 – 2 – 3 = 4) | XXX | XXX | XXX | \$ 4,009,756 | \$ 6,981,809 |
| SURPLUS | | | | | |
| (5) The Company state basis (Page 3, line 37, Columns 1 & 2) | XXX | XXX | XXX | \$ 136,453,353 | \$ 131,659,321 |
| (6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP | | | | \$ | \$ |
| (7) State Permitted Practice that are an increase/(decrease) from NAIC SAP | | | | \$ | \$ |
| (8) NAIC SAP (5 – 6 – 7 = 8) | XXX | XXX | XXX | \$ 136,453,353 | \$ 131,659,321 |

The accompanying financial statements of the MMJUA have been prepared in conformity with accounting practices prescribed or permitted by the Department. Prescribed accounting practices include state laws, regulations and general administrative rules applicable to insurance companies domiciled in the State of Rhode Island; National Association of Insurance Commissioners' ("NAIC") Annual Statement Instructions; the NAIC Accounting Practices and Procedures Manual; the Purposes and Procedures and Securities Valuation Manuals of the NAIC Securities Valuation Office; NAIC official proceedings; and the NAIC Examiner's and Market Conduct Handbooks. Permitted statutory accounting practices encompass all accounting practices not so prescribed.

The Department requires insurance companies domiciled in the State of Rhode Island to prepare their statutory financial statements in accordance with the NAIC Accounting Practices and Procedures Manual and subject to Rhode Island Department of Business Regulation Gen. Law 42-35-3.

B. Use of Estimates in the Preparation of the Financial Statement

The preparation of financial statements in accordance with statutory accounting practices requires management to make estimates and assumptions that affect the reported amounts of admitted assets and liabilities and the disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

C. Accounting Policy

The Company uses the following accounting policies:

(1) Basis for Short-Term Investments

Short-term investments are stated at amortized cost.

(2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method

Bonds generally are stated at amortized cost, except for bonds that are rated by the NAIC as a class 3 - 6 which are reported at the lower of amortized cost or fair market value. Amortization is calculated using the scientific constant yield to worst method.

(3) Basis for Common Stocks

The MMJUA holds no investments in common stocks.

(4) Basis for Preferred Stocks

The MMJUA holds no investments in preferred stocks.

(5) Basis for Mortgage Loans

The MMJUA holds no investments in first lien mortgage loans on real estate.

(6) Basis for Loan-Backed Securities and Adjustment Methodology

Investment grade loan-backed securities are stated at amortized value. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized value or fair value.

NOTES TO FINANCIAL STATEMENTS

- (7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities

The MMJUA has no subsidiaries.

- (8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities

The MMJUA holds no interest in joint ventures or partnerships.

- (9) Accounting Policies for Derivatives

The MMJUA does not invest in derivative instruments.

- (10) Anticipated Investment Income Used in Premium Deficiency Calculation

The MMJUA does not have a premium deficiency reserve.

- (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses

The reserve for unpaid losses and loss adjustment expenses represents the estimated unpaid ultimate liability for claims reported to the MMJUA plus claims incurred but not yet reported and the related estimated loss adjustment expenses. In establishing this reserve, the MMJUA utilizes the findings of an independent consulting actuary. The reserves for unpaid losses and loss adjustment expenses are estimated using individual case basis valuations and statistical analyses. Those estimates are subject to the effects of trends in loss severity and frequency.

- (12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period

The MMJUA does not have a capitalization policy.

- (13) Method Used to Estimate Pharmaceutical Rebate Receivables

Not applicable as the MMJUA does not write major medical insurance with prescription drug coverage.

D. Going Concern

None

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

Not applicable

Note 4 – Discontinued Operations

Not applicable

Note 5 – Investments

No significant changes

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

Note 7 – Investment Income

- A. The bases, by category of investment income, for excluding (nonadmitting) and investment income due and accrued:

The company does not admit investment income due and accrued if amounts are over 90 days past due (180 days for mortgage loans).

- B. The total amount excluded:

Not applicable

Note 8 – Derivative Instruments

Not applicable

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

Not applicable

Note 11 – Debt

Not applicable

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not applicable

NOTES TO FINANCIAL STATEMENTS

Note 13 – Capital and Surplus, Shareholder’s Dividend Restrictions and Quasi-Reorganizations

Not applicable

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

Not applicable

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

Not applicable

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

| Name and Address of Managing General Agent or Third Party Administrator | FEIN Number | Exclusive Contract | Types of Business Written | Types of Authority Granted | Total Direct Premiums Written/Produced By |
|---|-------------|--------------------|--|----------------------------|---|
| Beecher Carlson Insurance Services LLC | 95-3679538 | YES | Medical Malpractice, General Liability | C, CA, R, B, P, U | \$ 1,703,239 |
| Total | XXX | XXX | XXX | XXX | \$ 1,703,239 |

Note 20 – Fair Value Measurements

A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

The Company has categorized its assets and liabilities that are measured at fair value into the three-level fair value hierarchy. The three-level fair value hierarchy is based on the degree of subjective inherent in the valuation method by which fair value was determined. The three levels are defined as follows.

Level 1- Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Association has the ability to access.

Level 2 – Inputs to the valuation methodology include:

- Quoted process for similar assets or liabilities in active markets;
- Quoted prices for identical or similar assets or liabilities in inactive markets;
- Inputs other than quoted prices that are observable for the asset or liability; or
- Inputs that are derived principally from or corroborated by observable market data by correlation or other means.

Level 3 – Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3 as stated in paragraph 3 below.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

The Company has no assets or liabilities measured at fair value in the Level 3 category.

(3) Policies when Transfers Between Levels are Recognized

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred into or out of Level 3. During the current year, no transfers into or out of Level 3 were required.

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

The Company measures items at Level 2 on a recurring basis. The estimated fair values of some of these items were determined by independent pricing services using observable inputs. Others were based on quotes from markets which were not considered actively traded.

(5) Fair Value Disclosures

Not applicable

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not applicable

NOTES TO FINANCIAL STATEMENTS

C. Fair Value Level

The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in Note 20A.

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
|---|----------------------|-----------------|---------------|---------------|-----------|-----------------------|----------------------------------|
| Bonds | \$ 93,948,726 | \$ 88,538,194 | \$ - | \$ 93,948,726 | \$ | \$ | \$ |
| Cash, cash equivalents and short-term investments | \$ 1,208,388 | \$ 1,208,388 | \$ 1,208,388 | \$ - | \$ | \$ | \$ |
| Other Invested Assets | \$ 77,088,910 | \$ 73,849,067 | \$ 25,691,663 | \$ 51,397,247 | \$ | \$ | \$ |

D. Not Practicable to Estimate Fair Value

Not applicable

E. NAV Practical Expedient Investments

Not applicable

Note 21 – Other Items

A. Unusual or Infrequent Items

Not applicable

B. Troubled Debt Restructuring Debtors

Not applicable

C. Other Disclosures

In December 2019, a novel strain of coronavirus surfaced in Wuhan, China, and has spread around the world, with resulting business and social disruption. The coronavirus was declared a Public Health Emergency of International Concern by the World Health Organization on January 30, 2020. The operations and business results of the Company could be materially adversely affected. The extent to which the coronavirus (or any other disease or epidemic) impacts business activity or investment results will depend on future developments, which are highly uncertain and cannot be predicted, including new information which may emerge concerning the severity of the coronavirus and the actions required to contain the coronavirus or treat its impact, among others.

D. Business Interruption Insurance Recoveries

Not applicable

E. State Transferable and Non-transferable Tax Credits

Not applicable

F. Subprime-Mortgage-Related Risk Exposure

Not applicable

G. Insurance-Linked Securities (ILS) Contracts

Not applicable

H. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable

Note 22 – Events Subsequent

Subsequent events have been considered through November 12, 2021 for these statutory financial statements which are to be issued on November 15, 2021.

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

No significant changes

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

There was favorable development of \$3.0 million attributable to insured events of prior years. The reason for the favorable change relates to claims settling for less than originally expected.

B. Information about Significant Changes in Methodologies and Assumptions

There have been no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

NOTES TO FINANCIAL STATEMENTS

Note 26 – Intercompany Pooling Arrangements

Not applicable

Note 27 – Structured Settlements

No significant changes

Note 28 – Health Care Receivables

Not applicable

Note 29 – Participating Policies

Not applicable

Note 30 – Premium Deficiency Reserves

Not applicable

Note 31 – High Deductibles

Not applicable

Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid LAE

Not applicable

Note 33 – Asbestos/Environmental Reserves

Not applicable

Note 34 – Subscriber Savings Accounts

Not applicable

Note 35 – Multiple Peril Crop Insurance

Not applicable

Note 36 – Financial Guaranty Insurance

Not applicable

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No [X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [] No [X]
 If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
 If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1 Name of Entity | 2 NAIC Company Code | 3 State of Domicile |
|---------------------|------------------------|------------------------|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
 If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2019
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2019
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/21/2021
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1 Affiliate Name | 2 Location (City, State) | 3 FRB | 4 OCC | 5 FDIC | 6 SEC |
|---------------------|-----------------------------|----------|----------|-----------|----------|
| | | | | | |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island
GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:

| | 1 | 2 |
|---|---|--|
| | Prior Year-End Book/Adjusted Carrying Value | Current Quarter Book/Adjusted Carrying Value |
| 14.21 Bonds | \$ 0 | \$ 0 |
| 14.22 Preferred Stock | \$ 0 | \$ 0 |
| 14.23 Common Stock | \$ 0 | \$ 0 |
| 14.24 Short-Term Investments | \$ 0 | \$ 0 |
| 14.25 Mortgage Loans on Real Estate | \$ 0 | \$ 0 |
| 14.26 All Other | \$ 0 | \$ 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ 0 | \$ 0 |

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.3 Total payable for securities lending reported on the liability page. \$ 0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|------------------------------------|---|
| The Washington Trust Company | 23 Broad Street, Westerly, RI 02891 |

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|--------------------|---------------------|-------------|
| | | | |

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1 Name of Firm or Individual | 2 Affiliation |
|---------------------------------|------------------|
| Conning, Inc. | U..... |

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|---|---------------------------------|------------------------------------|----------------------|--|
| 107423 | Conning, Inc. | 549300Z0G14KK37BDV40 | SEC | DS..... |

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 b. Issuer or obligor is current on all contracted interest and principal payments.
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
 Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
 a. The security was purchased prior to January 1, 2018.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
 Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
 a. The shares were purchased prior to January 1, 2019.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 d. The fund only or predominantly holds bonds in its portfolio.
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island
GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

| | | | TOTAL DISCOUNT | | | | DISCOUNT TAKEN DURING PERIOD | | | |
|------------------|------------------|---------------|----------------|------------|------|-------|------------------------------|------------|------|-------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Line of Business | Maximum Interest | Discount Rate | Unpaid Losses | Unpaid LAE | IBNR | TOTAL | Unpaid Losses | Unpaid LAE | IBNR | TOTAL |
| TOTAL | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

5. Operating Percentages:

5.1 A&H loss percent %

5.2 A&H cost containment percent %

5.3 A&H expense percent excluding cost containment expenses %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [] No [X]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No [X]

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

| 1 NAIC Company Code | 2 ID Number | 3 Name of Reinsurer | 4 Domiciliary Jurisdiction | 5 Type of Reinsurer | 6 Certified Reinsurer Rating (1 through 6) | 7 Effective Date of Certified Reinsurer Rating |
|------------------------------|-------------------|------------------------|----------------------------------|------------------------|--|---|
| NONE | | | | | | |

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

| States, etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|--|------------------------------|------------------------------|----------------------------|--|----------------------------|------------------------------|----------------------------|
| | | 2 Current Year To Date | 3 Prior Year To Date | 4 Current Year To Date | 5 Prior Year To Date | 6 Current Year To Date | 7 Prior Year To Date |
| 1. Alabama AL | N | | 0 | | 0 | | 0 |
| 2. Alaska AK | N | | 0 | | 0 | | 0 |
| 3. Arizona AZ | N | | 0 | | 0 | | 0 |
| 4. Arkansas AR | N | | 0 | | 0 | | 0 |
| 5. California CA | N | | 0 | | 0 | | 0 |
| 6. Colorado CO | N | | 0 | | 0 | | 0 |
| 7. Connecticut CT | N | | 0 | | 0 | | 0 |
| 8. Delaware DE | N | | 0 | | 0 | | 0 |
| 9. District of Columbia DC | N | | 0 | | 0 | | 0 |
| 10. Florida FL | N | | 0 | | 0 | | 0 |
| 11. Georgia GA | N | | 0 | | 0 | | 0 |
| 12. Hawaii HI | N | | 0 | | 0 | | 0 |
| 13. Idaho ID | N | | 0 | | 0 | | 0 |
| 14. Illinois IL | N | | 0 | | 0 | | 0 |
| 15. Indiana IN | N | | 0 | | 0 | | 0 |
| 16. Iowa IA | N | | 0 | | 0 | | 0 |
| 17. Kansas KS | N | | 0 | | 0 | | 0 |
| 18. Kentucky KY | N | | 0 | | 0 | | 0 |
| 19. Louisiana LA | N | | 0 | | 0 | | 0 |
| 20. Maine ME | N | | 0 | | 0 | | 0 |
| 21. Maryland MD | N | | 0 | | 0 | | 0 |
| 22. Massachusetts MA | N | | 0 | | 0 | | 0 |
| 23. Michigan MI | N | | 0 | | 0 | | 0 |
| 24. Minnesota MN | N | | 0 | | 0 | | 0 |
| 25. Mississippi MS | N | | 0 | | 0 | | 0 |
| 26. Missouri MO | N | | 0 | | 0 | | 0 |
| 27. Montana MT | N | | 0 | | 0 | | 0 |
| 28. Nebraska NE | N | | 0 | | 0 | | 0 |
| 29. Nevada NV | N | | 0 | | 0 | | 0 |
| 30. New Hampshire NH | N | | 0 | | 0 | | 0 |
| 31. New Jersey NJ | N | | 0 | | 0 | | 0 |
| 32. New Mexico NM | N | | 0 | | 0 | | 0 |
| 33. New York NY | N | | 0 | | 0 | | 0 |
| 34. North Carolina NC | N | | 0 | | 0 | | 0 |
| 35. North Dakota ND | N | | 0 | | 0 | | 0 |
| 36. Ohio OH | N | | 0 | | 0 | | 0 |
| 37. Oklahoma OK | N | | 0 | | 0 | | 0 |
| 38. Oregon OR | N | | 0 | | 0 | | 0 |
| 39. Pennsylvania PA | N | | 0 | | 0 | | 0 |
| 40. Rhode Island RI | L | 1,703,239 | 1,667,401 | 1,182,876 | 378,356 | 17,040,733 | 19,716,191 |
| 41. South Carolina SC | N | | 0 | | 0 | | 0 |
| 42. South Dakota SD | N | | 0 | | 0 | | 0 |
| 43. Tennessee TN | N | | 0 | | 0 | | 0 |
| 44. Texas TX | N | | 0 | | 0 | | 0 |
| 45. Utah UT | N | | 0 | | 0 | | 0 |
| 46. Vermont VT | N | | 0 | | 0 | | 0 |
| 47. Virginia VA | N | | 0 | | 0 | | 0 |
| 48. Washington WA | N | | 0 | | 0 | | 0 |
| 49. West Virginia WV | N | | 0 | | 0 | | 0 |
| 50. Wisconsin WI | N | | 0 | | 0 | | 0 |
| 51. Wyoming WY | N | | 0 | | 0 | | 0 |
| 52. American Samoa AS | N | | 0 | | 0 | | 0 |
| 53. Guam GU | N | | 0 | | 0 | | 0 |
| 54. Puerto Rico PR | N | | 0 | | 0 | | 0 |
| 55. U.S. Virgin Islands VI | N | | 0 | | 0 | | 0 |
| 56. Northern Mariana Islands MP | N | | 0 | | 0 | | 0 |
| 57. Canada CAN | N | | 0 | | 0 | | 0 |
| 58. Aggregate Other Alien OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals | XXX | 1,703,239 | 1,667,401 | 1,182,876 | 378,356 | 17,040,733 | 19,716,191 |
| DETAILS OF WRITE-INS | | | | | | | |
| 58001. | XXX | | | | | | |
| 58002. | XXX | | | | | | |
| 58003. | XXX | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 |

(a) Active Status Counts:

| | | | |
|--|---|--|----|
| L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG | 1 | R - Registered - Non-domiciled RRGs | 0 |
| E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI) | 0 | Q - Qualified - Qualified or accredited reinsurer | 0 |
| D - Domestic Surplus Lines Insurer (DSLII) - Reporting entities authorized to write surplus lines in the state of domicile | 0 | N - None of the above - Not allowed to write business in the state | 56 |

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Schedule Y - Part 1A - Detail of Insurance Holding Company System

N O N E

Schedule Y - Part 1A - Explanations

N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

PART 1 - LOSS EXPERIENCE

| Line of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|---|--------------------------------|--------------------------------|--------------------------------|--|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire | | | 0.0 | 0.0 |
| 2. Allied Lines | | | 0.0 | 0.0 |
| 3. Farmowners multiple peril | | | 0.0 | 0.0 |
| 4. Homeowners multiple peril | | | 0.0 | 0.0 |
| 5. Commercial multiple peril | | | 0.0 | 0.0 |
| 6. Mortgage guaranty | | | 0.0 | 0.0 |
| 8. Ocean marine | | | 0.0 | 0.0 |
| 9. Inland marine | | | 0.0 | 0.0 |
| 10. Financial guaranty | | | 0.0 | 0.0 |
| 11.1 Medical professional liability - occurrence | 773,085 | (1,293,917) | (167.4) | (135.7) |
| 11.2 Medical professional liability - claims-made | 434,152 | 1,019,921 | 234.9 | (2.2) |
| 12. Earthquake | | | 0.0 | 0.0 |
| 13. Group accident and health | | | 0.0 | 0.0 |
| 14. Credit accident and health | | | 0.0 | 0.0 |
| 15. Other accident and health | | | 0.0 | 0.0 |
| 16. Workers' compensation | | | 0.0 | 0.0 |
| 17.1 Other liability - occurrence | 320,898 | (52,600) | (16.4) | 55.0 |
| 17.2 Other liability - claims-made | | | 0.0 | 0.0 |
| 17.3 Excess workers' compensation | | | 0.0 | 0.0 |
| 18.1 Products liability - occurrence | | | 0.0 | 0.0 |
| 18.2 Products liability - claims-made | | | 0.0 | 0.0 |
| 19.1,19.2 Private passenger auto liability | | | 0.0 | 0.0 |
| 19.3,19.4 Commercial auto liability | | | 0.0 | 0.0 |
| 21. Auto physical damage | | | 0.0 | 0.0 |
| 22. Aircraft (all perils) | | | 0.0 | 0.0 |
| 23. Fidelity | | | 0.0 | 0.0 |
| 24. Surety | | | 0.0 | 0.0 |
| 26. Burglary and theft | | | 0.0 | 0.0 |
| 27. Boiler and machinery | | | 0.0 | 0.0 |
| 28. Credit | | | 0.0 | 0.0 |
| 29. International | | | 0.0 | 0.0 |
| 30. Warranty | | | 0.0 | 0.0 |
| 31. Reinsurance - Nonproportional Assumed Property | XXX | XXX | XXX | XXX |
| 32. Reinsurance - Nonproportional Assumed Liability | XXX | XXX | XXX | XXX |
| 33. Reinsurance - Nonproportional Assumed Financial Lines | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | 0 | 0 | 0.0 | 0.0 |
| 35. Totals | 1,528,135 | (326,596) | (21.4) | (62.8) |
| DETAILS OF WRITE-INS | | | | |
| 3401. | | | | |
| 3402. | | | | |
| 3403. | | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | 0 | 0 | 0.0 | |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) | 0 | 0 | 0.0 | 0.0 |

PART 2 - DIRECT PREMIUMS WRITTEN

| Line of Business | 1 | 2 | 3 |
|---|-----------------|----------------------|-------------------------|
| | Current Quarter | Current Year to Date | Prior Year Year to Date |
| 1. Fire | 0 | | 0 |
| 2. Allied Lines | 0 | | 0 |
| 3. Farmowners multiple peril | 0 | | 0 |
| 4. Homeowners multiple peril | 0 | | 0 |
| 5. Commercial multiple peril | 0 | | 0 |
| 6. Mortgage guaranty | 0 | | 0 |
| 8. Ocean marine | 0 | | 0 |
| 9. Inland marine | 0 | | 0 |
| 10. Financial guaranty | 0 | | 0 |
| 11.1 Medical professional liability - occurrence | 832,829 | 832,829 | 846,972 |
| 11.2 Medical professional liability - claims-made | 510,794 | 510,794 | 633,265 |
| 12. Earthquake | 0 | | 0 |
| 13. Group accident and health | 0 | | 0 |
| 14. Credit accident and health | 0 | | 0 |
| 15. Other accident and health | 0 | | 0 |
| 16. Workers' compensation | 0 | | 0 |
| 17.1 Other liability - occurrence | 359,616 | 359,616 | 187,164 |
| 17.2 Other liability - claims-made | 0 | | 0 |
| 17.3 Excess workers' compensation | 0 | | 0 |
| 18.1 Products liability - occurrence | 0 | | 0 |
| 18.2 Products liability - claims-made | 0 | | 0 |
| 19.1,19.2 Private passenger auto liability | 0 | | 0 |
| 19.3,19.4 Commercial auto liability | 0 | | 0 |
| 21. Auto physical damage | 0 | | 0 |
| 22. Aircraft (all perils) | 0 | | 0 |
| 23. Fidelity | 0 | | 0 |
| 24. Surety | 0 | | 0 |
| 26. Burglary and theft | 0 | | 0 |
| 27. Boiler and machinery | 0 | | 0 |
| 28. Credit | 0 | | 0 |
| 29. International | 0 | | 0 |
| 30. Warranty | 0 | | 0 |
| 31. Reinsurance - Nonproportional Assumed Property | XXX | XXX | XXX |
| 32. Reinsurance - Nonproportional Assumed Liability | XXX | XXX | XXX |
| 33. Reinsurance - Nonproportional Assumed Financial Lines | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | 0 | 0 | 0 |
| 35. Totals | 1,703,239 | 1,703,239 | 1,667,401 |
| DETAILS OF WRITE-INS | | | |
| 3401. | | | |
| 3402. | | | |
| 3403. | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | 0 | 0 | 0 |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) | 0 | 0 | 0 |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|--|---|---|--|--|--|--|---|--|--------------------------------------|---|---|--|---|
| Years in Which Losses Occurred | Prior Year-End Known Case Loss and LAE Reserves | Prior Year-End IBNR Loss and LAE Reserves | Total Prior Year-End Loss and LAE Reserves (Cols. 1+2) | 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End | 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End | Total 2021 Loss and LAE Payments (Cols. 4+5) | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End | Q.S. Date IBNR Loss and LAE Reserves | Total Q.S. Loss and LAE Reserves (Cols.7+8+9) | Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1) | Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2) | Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12) |
| 1. 2018 + Prior | 9,923 | 8,737 | 18,660 | 1,487 | 0 | 1,487 | 7,432 | 0 | 6,297 | 13,729 | (1,004) | (2,440) | (3,444) |
| 2. 2019 | 812 | 2,408 | 3,220 | 78 | 0 | 78 | 1,782 | 0 | 2,131 | 3,913 | 1,048 | (277) | 771 |
| 3. Subtotals 2019 + Prior | 10,735 | 11,145 | 21,880 | 1,565 | 0 | 1,565 | 9,214 | 0 | 8,428 | 17,642 | 44 | (2,717) | (2,673) |
| 4. 2020 | 336 | 2,787 | 3,123 | 30 | 0 | 30 | 280 | 325 | 2,115 | 2,720 | (26) | (347) | (373) |
| 5. Subtotals 2020 + Prior | 11,071 | 13,932 | 25,003 | 1,595 | 0 | 1,595 | 9,494 | 325 | 10,543 | 20,362 | 18 | (3,064) | (3,046) |
| 6. 2021 | XXX | XXX | XXX | XXX | 3 | 3 | XXX | 314 | 2,501 | 2,815 | XXX | XXX | XXX |
| 7. Totals | 11,071 | 13,932 | 25,003 | 1,595 | 3 | 1,598 | 9,494 | 639 | 13,044 | 23,177 | 18 | (3,064) | (3,046) |
| 8. Prior Year-End Surplus As Regards Policyholders | 131,659 | | | | | | | | | | Col. 11, Line 7 As % of Col. 1 Line 7 | Col. 12, Line 7 As % of Col. 2 Line 7 | Col. 13, Line 7 As % of Col. 3 Line 7 |
| | | | | | | | | | | | 1. 0.2 | 2. (22.0) | 3. (12.2) |
| | | | | | | | | | | | | | Col. 13, Line 7 As a % of Col. 1 Line 8 |
| | | | | | | | | | | | | | 4. (2.3) |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

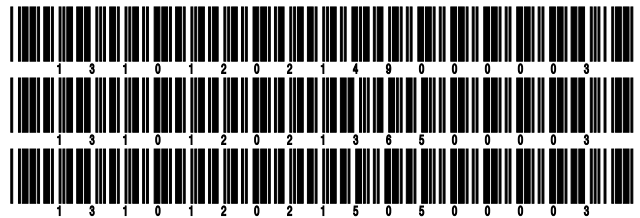
| | Response |
|--|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | YES |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |

Explanations:

- 1.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Current year change in encumbrances | | |
| 4. Total gain (loss) on disposals | | |
| 5. Deduct amounts received on disposals | | |
| 6. Total foreign exchange change in book/adjusted carrying value | | |
| 7. Deduct current year's other than temporary impairment recognized | | |
| 8. Deduct current year's depreciation | | |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) | | |
| 10. Deduct total nonadmitted amounts | | |
| 11. Statement value at end of current period (Line 9 minus Line 10) | | |

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees | | |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest | | |
| 10. Deduct current year's other than temporary impairment recognized | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. Total valuation allowance | | |
| 13. Subtotal (Line 11 plus Line 12) | | |
| 14. Deduct total nonadmitted amounts | | |
| 15. Statement value at end of current period (Line 13 minus Line 14) | | |

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 69,652,886 | 64,772,187 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | 0 |
| 2.2 Additional investment made after acquisition | | 0 |
| 3. Capitalized deferred interest and other | 3,163,665 | 3,413,340 |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | 1,139,377 | 1,609,012 |
| 6. Total gain (loss) on disposals | | 0 |
| 7. Deduct amounts received on disposals | | 0 |
| 8. Deduct amortization of premium and depreciation | 106,861 | 141,653 |
| 9. Total foreign exchange change in book/adjusted carrying value | | 0 |
| 10. Deduct current year's other than temporary impairment recognized | | 0 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 73,849,067 | 69,652,886 |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 73,849,067 | 69,652,886 |

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 89,151,406 | 88,468,445 |
| 2. Cost of bonds and stocks acquired | 10,944,464 | 17,761,604 |
| 3. Accrual of discount | 30,720 | 37,371 |
| 4. Unrealized valuation increase (decrease) | | 0 |
| 5. Total gain (loss) on disposals | 7,789 | (15,559) |
| 6. Deduct consideration for bonds and stocks disposed of | 11,282,713 | 16,745,281 |
| 7. Deduct amortization of premium | 347,394 | 450,521 |
| 8. Total foreign exchange change in book/adjusted carrying value | | 0 |
| 9. Deduct current year's other than temporary impairment recognized | | 0 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees | 33,922 | 95,347 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) | 88,538,194 | 89,151,406 |
| 12. Deduct total nonadmitted amounts | | 0 |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 88,538,194 | 89,151,406 |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|-------------------------------------|---|--|--|--|---|--|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 69,954,704 | 1,335,247 | 2,936,121 | 102,939 | 70,372,154 | 69,954,704 | 68,456,769 | 71,098,415 |
| 2. NAIC 2 (a) | 18,974,713 | 1,012,018 | 0 | (200,306) | 18,512,726 | 18,974,713 | 19,786,425 | 17,757,991 |
| 3. NAIC 3 (a) | 295,000 | 0 | 0 | 0 | 295,000 | 295,000 | 295,000 | 295,000 |
| 4. NAIC 4 (a) | 0 | | | | | | 0 | 0 |
| 5. NAIC 5 (a) | 0 | | | | | | 0 | 0 |
| 6. NAIC 6 (a) | 0 | | | | | | 0 | 0 |
| 7. Total Bonds | 89,224,417 | 2,347,265 | 2,936,121 | (97,367) | 89,179,880 | 89,224,417 | 88,538,194 | 89,151,406 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | 0 | | | | | | 0 | |
| 9. NAIC 2 | 0 | | | | | | 0 | |
| 10. NAIC 3 | 0 | | | | | | 0 | |
| 11. NAIC 4 | 0 | | | | | | 0 | |
| 12. NAIC 5 | 0 | | | | | | 0 | |
| 13. NAIC 6 | 0 | | | | | | 0 | |
| 14. Total Preferred Stock | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock | 89,224,417 | 2,347,265 | 2,936,121 | (97,367) | 89,179,880 | 89,224,417 | 88,538,194 | 89,151,406 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S102

SCHEDULE DA - PART 1

Short-Term Investments

| | 1 | 2 | 3 | 4 | 5 |
|----------------|---------------------------------|-----------|-------------|------------------------------------|--|
| | Book/Adjusted Carrying Value | Par Value | Actual Cost | Interest Collected Year-to-Date | Paid for Accrued Interest Year-to-Date |
| 9199999 Totals | | XXX | | | |

SCHEDULE DA - VERIFICATION

Short-Term Investments

| | 1 | 2 |
|---|--------------|---------------------------------|
| | Year To Date | Prior Year Ended December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year | 0 | 0 |
| 2. Cost of short-term investments acquired | | 0 |
| 3. Accrual of discount | | 0 |
| 4. Unrealized valuation increase (decrease) | | 0 |
| 5. Total gain (loss) on disposals | | 0 |
| 6. Deduct consideration received on disposals | | 0 |
| 7. Deduct amortization of premium | | 0 |
| 8. Total foreign exchange change in book/adjusted carrying value | | 0 |
| 9. Deduct current year's other than temporary impairment recognized | | 0 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 0 | 0 |
| 11. Deduct total nonadmitted amounts | | 0 |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 0 | 0 |

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

| | 1 | 2 |
|---|--------------|---------------------------------|
| | Year To Date | Prior Year Ended December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year | 314,604 | 81,886 |
| 2. Cost of cash equivalents acquired | 6,026,102 | 9,134,725 |
| 3. Accrual of discount | 0 | 19 |
| 4. Unrealized valuation increase (decrease) | 0 | 0 |
| 5. Total gain (loss) on disposals | 0 | 0 |
| 6. Deduct consideration received on disposals | 5,450,015 | 8,902,026 |
| 7. Deduct amortization of premium | 0 | 0 |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 890,691 | 314,604 |
| 11. Deduct total nonadmitted amounts | 0 | 0 |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 890,691 | 314,604 |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1 CUSIP Identification | 2 Name or Description | Location | | 5 Name of Vendor or General Partner | 6 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol | 7 Date Originally Acquired | 8 Type and Strategy | 9 Actual Cost at Time of Acquisition | 10 Additional Investment Made After Acquisition | 11 Amount of Encumbrances | 12 Commitment for Additional Investment | 13 Percentage of Ownership |
|-------------------------------|--------------------------|-----------|------------|---|---|-------------------------------------|------------------------------|---|--|---------------------------------|---|----------------------------------|
| | | 3 City | 4 State | | | | | | | | | |
| 4899999. Total - Unaffiliated | | | | | | | | 0 | 0 | 0 | 0 | XXX |
| 4999999. Total - Affiliated | | | | | | | | 0 | 0 | 0 | 0 | XXX |
| 5099999 - Totals | | | | | | | | 0 | 0 | 0 | 0 | XXX |

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

| 1 CUSIP Identification | 2 Name or Description | Location | | 5 Name of Purchaser or Nature of Disposal | 6 Date Originally Acquired | 7 Disposal Date | 8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year | Change in Book/Adjusted Carrying Value | | | | | | 15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal | 16 Consid- eration | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Invest- ment Income | |
|-------------------------------|--------------------------|-----------|------------|---|-------------------------------------|-----------------------|--|---|--|---|--|--|---|---|--------------------------|---|--|---|---------------------------------|---|
| | | 3 City | 4 State | | | | | 9 Unrealized Valuation Increase (De- crease) | 10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion | 11 Current Year's Other Than Temporary Impair- ment Recog- nized | 12 Capital- ized Deferred Interest and Other | 13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12) | 14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value | | | | | | | |
| 4899999. Total - Unaffiliated | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4999999. Total - Affiliated | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5099999 - Totals | | | | | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol |
|---|------------------------|--------------|-----------------------|------------------------------------|--------------------------------------|------------------|----------------|--|--|
| 00914A-AQ-5 | AIR LEASE CORP | | .08/11/2021 | WELLS FARGO SECURITIES LLC | | 737,423 | 750,000 | .0 | 2.B FE |
| 46654A-AC-3 | JPMIT 2021-10 A3 | | .07/23/2021 | J.P. MORGAN | | 596,060 | 585,000 | 1,178 | 1.A FE |
| 960413-AX-0 | WESTLAKE CHEMICAL CORP | | .08/05/2021 | DEUTSCHE BANK | | 274,596 | 275,000 | .0 | 2.B FE |
| 046353-AV-0 | ASTRAZENECA PLC | C. | .07/27/2021 | JANE STREET EXECUTION SERVICES LLC | | 739,185 | 750,000 | 1,619 | 1.G FE |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | | 2,347,264 | 2,360,000 | 2,797 | XXX |
| 8399997. Total - Bonds - Part 3 | | | | | | 2,347,264 | 2,360,000 | 2,797 | XXX |
| 8399998. Total - Bonds - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 8399999. Total - Bonds | | | | | | 2,347,264 | 2,360,000 | 2,797 | XXX |
| 8999997. Total - Preferred Stocks - Part 3 | | | | | | 0 | XXX | 0 | XXX |
| 8999998. Total - Preferred Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 8999999. Total - Preferred Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9799997. Total - Common Stocks - Part 3 | | | | | | 0 | XXX | 0 | XXX |
| 9799998. Total - Common Stocks - Part 5 | | | | | | XXX | XXX | XXX | XXX |
| 9799999. Total - Common Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9899999. Total - Preferred and Common Stocks | | | | | | 0 | XXX | 0 | XXX |
| 9999999 - Totals | | | | | | 2,347,264 | XXX | 2,797 | XXX |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 | |
|----------------------|---|--------------|------------------|-----------------------------|---------------------------------|--------------------|-----------|----------------|--|--|--|---|---|---|---|--|---|-------------------------------------|---|--|--|--------|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | | |
| CUSIP Identification | Description | For- eign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consid- eration | Par Value | Actual Cost | Prior Year Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amor- tization)/ Accretion | Current Year's Other Than Temporary Impairment Recogn- ized | Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/ Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Con- tractual Maturity Date | NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol | |
| 36202E-UM-9 | 62 4188 | | 09/01/2021 | MBS PAYMENT | | .3 | .3 | .3 | .3 | .0 | .0 | .0 | .0 | .0 | .3 | .0 | .0 | .0 | .0 | .0 | 07/20/2038 | 1.A |
| 36205K-WE-8 | GN 393145 | | 09/01/2021 | MBS PAYMENT | | 245 | 245 | 244 | 244 | .0 | .1 | .0 | .1 | .0 | 245 | .0 | .0 | .0 | .0 | .11 | 05/15/2024 | 1.A |
| 36208C-7L-5 | GN 447399 | | 09/01/2021 | MBS PAYMENT | | 137 | 137 | 139 | 137 | .0 | .0 | .0 | .0 | .0 | 137 | .0 | .0 | .0 | .0 | .7 | 07/15/2027 | 1.A |
| 912828-YJ-3 | US TREASURY N/B | | 08/12/2021 | J.P. MORGAN | | 300,598 | 300,000 | 299,379 | 299,762 | .0 | .196 | .0 | .196 | .0 | 299,958 | .0 | .640 | .640 | .3,910 | 09/30/2021 | 1.A | |
| 0599999 | Subtotal - Bonds - U.S. Governments | | | | | 300,983 | 300,385 | 299,765 | 300,146 | 0 | 197 | 0 | 197 | 0 | 300,343 | 0 | 640 | 640 | 3,928 | XXX | XXX | |
| 882722-XY-2 | TEXAS ST | | 08/15/2021 | CALLED BY ISSUER AT 100.000 | | 500,000 | 500,000 | 544,765 | 503,078 | .0 | (3,078) | .0 | (3,078) | .0 | 500,000 | .0 | .0 | .0 | .0 | 26,042 | 08/01/2029 | 1.A FE |
| 1799999 | Subtotal - Bonds - U.S. States, Territories and Possessions | | | | | 500,000 | 500,000 | 544,765 | 503,078 | 0 | (3,078) | 0 | (3,078) | 0 | 500,000 | 0 | 0 | 0 | 0 | 26,042 | XXX | XXX |
| 3128K6-7K-0 | FG A46298 | | 09/01/2021 | MBS PAYMENT | | 119 | 119 | 120 | 120 | .0 | .0 | .0 | .0 | .0 | 119 | .0 | .0 | .0 | .4 | 07/01/2035 | 1.A | |
| 3128KV-MN-2 | FG A64865 | | 09/01/2021 | MBS PAYMENT | | 249 | 249 | 251 | 249 | .0 | .0 | .0 | .0 | .0 | 249 | .0 | .0 | .0 | .71 | 08/01/2037 | 1.A | |
| 3128M4-4Q-3 | FG G03231 | | 09/01/2021 | MBS PAYMENT | | 1,909 | 1,909 | 1,881 | 1,905 | .0 | .4 | .0 | .4 | .0 | 1,909 | .0 | .0 | .0 | .15 | 02/01/2038 | 1.A | |
| 3128M5-UZ-1 | FG G03900 | | 09/01/2021 | MBS PAYMENT | | 442 | 442 | 439 | 442 | .0 | .0 | .0 | .0 | .0 | 442 | .0 | .0 | .0 | .120 | 01/01/2040 | 1.A | |
| 3128M7-XB-7 | FG G05774 | | 09/01/2021 | MBS PAYMENT | | 3,561 | 3,561 | 3,782 | 3,599 | .0 | (38) | .0 | (38) | .0 | 3,561 | .0 | .0 | .0 | .0 | 120 | 01/01/2040 | 1.A |
| 3128M8-AZ-7 | FG G06024 | | 09/01/2021 | MBS PAYMENT | | 568 | 568 | 599 | 574 | .0 | (6) | .0 | (6) | .0 | 568 | .0 | .0 | .0 | .127 | 08/01/2040 | 1.A | |
| 3128ME-3F-6 | FG G15998 | | 09/01/2021 | MBS PAYMENT | | 7,623 | 7,623 | 7,639 | 7,625 | .0 | (2) | .0 | (2) | .0 | 7,623 | .0 | .0 | .0 | .17 | 01/01/2032 | 1.A | |
| 3128MJ-R3-6 | FG G08505 | | 09/01/2021 | MBS PAYMENT | | 20,104 | 20,104 | 21,106 | 20,349 | .0 | (245) | .0 | (245) | .0 | 20,104 | .0 | .0 | .0 | .402 | 09/01/2042 | 1.A | |
| 3128MJ-U3-2 | FG G08601 | | 09/01/2021 | MBS PAYMENT | | 4,976 | 4,976 | 5,322 | 5,102 | .0 | (126) | .0 | (126) | .0 | 4,976 | .0 | .0 | .0 | .132 | 08/01/2044 | 1.A | |
| 3128MJ-X4-7 | FG G08698 | | 09/01/2021 | MBS PAYMENT | | 7,449 | 7,449 | 7,776 | 7,582 | .0 | (132) | .0 | (132) | .0 | 7,449 | .0 | .0 | .0 | .72 | 03/01/2046 | 1.A | |
| 3128MJ-X5-4 | FG G08699 | | 09/01/2021 | MBS PAYMENT | | 7,217 | 7,217 | 7,746 | 7,405 | .0 | (188) | .0 | (188) | .0 | 7,217 | .0 | .0 | .0 | .190 | 03/01/2046 | 1.A | |
| 3128MJ-YM-6 | FG G08715 | | 09/01/2021 | MBS PAYMENT | | 7,688 | 7,688 | 7,998 | 7,794 | .0 | (105) | .0 | (105) | .0 | 7,688 | .0 | .0 | .0 | .153 | 08/01/2046 | 1.A | |
| 3128MJ-ZH-6 | FG G08743 | | 09/01/2021 | MBS PAYMENT | | 8,801 | 8,801 | 9,264 | 8,941 | .0 | (141) | .0 | (141) | .0 | 8,801 | .0 | .0 | .0 | .233 | 01/01/2047 | 1.A | |
| 3128MJ-ZM-5 | FG G08747 | | 09/01/2021 | MBS PAYMENT | | 18,901 | 18,901 | 18,672 | 18,842 | .0 | .60 | .0 | .60 | .0 | 18,901 | .0 | .0 | .0 | .376 | 02/01/2047 | 1.A | |
| 3128MM-VZ-3 | FG G18631 | | 09/01/2021 | MBS PAYMENT | | 12,097 | 12,097 | 12,126 | 12,101 | .0 | (5) | .0 | (5) | .0 | 12,097 | .0 | .0 | .0 | .202 | 02/01/2032 | 1.A | |
| 3128MM-WJ-8 | FG G18648 | | 09/01/2021 | MBS PAYMENT | | 19,137 | 19,137 | 20,016 | 19,355 | .0 | (218) | .0 | (218) | .0 | 19,137 | .0 | .0 | .0 | .450 | 06/01/2032 | 1.A | |
| 3128MM-WS-8 | FG G18656 | | 09/01/2021 | MBS PAYMENT | | 16,064 | 16,064 | 16,445 | 16,145 | .0 | (81) | .0 | (81) | .0 | 16,064 | .0 | .0 | .0 | .376 | 08/01/2032 | 1.A | |
| 3128PQ-ZH-7 | FG J11644 | | 09/01/2021 | MBS PAYMENT | | 1,447 | 1,447 | 1,473 | 1,451 | .0 | (4) | .0 | (4) | .0 | 1,447 | .0 | .0 | .0 | .39 | 02/01/2025 | 1.A | |
| 3128PY-JD-7 | FG J18360 | | 09/01/2021 | MBS PAYMENT | | 5,201 | 5,201 | 5,378 | 5,231 | .0 | (30) | .0 | (30) | .0 | 5,201 | .0 | .0 | .0 | .105 | 03/01/2027 | 1.A | |
| 3129ZH-VU-5 | FG C01527 | | 09/01/2021 | MBS PAYMENT | | 1,612 | 1,612 | 1,641 | 1,617 | .0 | (5) | .0 | (5) | .0 | 1,612 | .0 | .0 | .0 | .59 | 04/01/2033 | 1.A | |
| 3129ZL-KQ-7 | FG C03903 | | 09/01/2021 | MBS PAYMENT | | 8,811 | 8,811 | 8,815 | 8,811 | .0 | .0 | .0 | .0 | .0 | 8,811 | .0 | .0 | .0 | .213 | 04/01/2042 | 1.A | |
| 3129ZL-L6-0 | FG C03949 | | 09/01/2021 | MBS PAYMENT | | 5,351 | 5,351 | 5,489 | 5,374 | .0 | (22) | .0 | (22) | .0 | 5,351 | .0 | .0 | .0 | .118 | 05/01/2042 | 1.A | |
| 312940-2H-1 | FG A92576 | | 09/01/2021 | MBS PAYMENT | | 3,349 | 3,349 | 3,439 | 3,372 | .0 | (23) | .0 | (23) | .0 | 3,349 | .0 | .0 | .0 | .100 | 07/01/2040 | 1.A | |
| 312941-NJ-2 | FG A93093 | | 09/01/2021 | MBS PAYMENT | | 3,895 | 3,895 | 4,098 | 3,927 | .0 | (31) | .0 | (31) | .0 | 3,895 | .0 | .0 | .0 | .116 | 07/01/2040 | 1.A | |
| 312942-NF-8 | FG A93990 | | 09/01/2021 | MBS PAYMENT | | 3,162 | 3,162 | 3,271 | 3,191 | .0 | (29) | .0 | (29) | .0 | 3,162 | .0 | .0 | .0 | .83 | 09/01/2040 | 1.A | |
| 31294M-DW-8 | FG E02817 | | 09/01/2021 | MBS PAYMENT | | 2,759 | 2,759 | 2,686 | 2,746 | .0 | .13 | .0 | .13 | .0 | 2,759 | .0 | .0 | .0 | .54 | 01/01/2026 | 1.A | |
| 31296M-PA-1 | FG A13117 | | 09/01/2021 | MBS PAYMENT | | 879 | 879 | 869 | 877 | .0 | .2 | .0 | .2 | .0 | 879 | .0 | .0 | .0 | .29 | 09/01/2033 | 1.A | |
| 31296Q-4R-8 | FG A16232 | | 09/01/2021 | MBS PAYMENT | | 535 | 535 | 546 | 536 | .0 | (1) | .0 | (1) | .0 | 535 | .0 | .0 | .0 | .20 | 11/01/2033 | 1.A | |
| 3132GL-VB-7 | FG G05410 | | 09/01/2021 | MBS PAYMENT | | 6,031 | 6,031 | 6,031 | 6,031 | .0 | .0 | .0 | .0 | .0 | 6,031 | .0 | .0 | .0 | .149 | 01/01/2042 | 1.A | |
| 3132J2-5H-2 | FG K90848 | | 09/01/2021 | MBS PAYMENT | | 6,633 | 6,633 | 6,888 | 6,674 | .0 | (41) | .0 | (41) | .0 | 6,633 | .0 | .0 | .0 | .139 | 07/01/2033 | 1.A | |
| 31335A-QK-7 | FG G60458 | | 09/01/2021 | MBS PAYMENT | | 9,943 | 9,943 | 10,464 | 10,098 | .0 | (154) | .0 | (154) | .0 | 9,943 | .0 | .0 | .0 | .233 | 01/01/2044 | 1.A | |
| 31335A-UL-0 | FG G60587 | | 09/01/2021 | MBS PAYMENT | | 2,756 | 2,756 | 2,948 | 2,809 | .0 | (53) | .0 | (53) | .0 | 2,756 | .0 | .0 | .0 | .73 | 02/01/2046 | 1.A | |
| 31335H-SU-3 | FG C90859 | | 09/01/2021 | MBS PAYMENT | | 1,287 | 1,287 | 1,323 | 1,294 | .0 | (7) | .0 | (7) | .0 | 1,287 | .0 | .0 | .0 | .47 | 10/01/2024 | 1.A | |
| 3133AD-SX-5 | FR Q68834 | | 09/01/2021 | MBS PAYMENT | | 11,330 | 11,330 | 11,746 | .0 | .0 | (29) | .0 | (29) | .0 | 11,330 | .0 | .0 | .0 | .95 | 12/01/2050 | 1.A | |
| 3133KK-7C-7 | FR RA4491 | | 09/01/2021 | MBS PAYMENT | | 11,313 | 11,313 | 11,398 | .0 | .0 | (7) | .0 | (7) | .0 | 11,313 | .0 | .0 | .0 | .100 | 02/01/2051 | 1.A | |
| 31371H-B6-4 | FN 252161 | | 09/01/2021 | MBS PAYMENT | | 852 | 852 | 842 | 851 | .0 | .1 | .0 | .1 | .0 | 852 | .0 | .0 | .0 | .35 | 12/01/2028 | 1.A | |
| 31371K-A4-3 | FN 253927 | | 09/01/2021 | MBS PAYMENT | | 90 | 90 | 89 | 89 | .0 | .0 | .0 | .0 | .0 | 90 | .0 | .0 | .0 | .4 | 07/01/2031 | 1.A | |
| 31371M-CG-0 | FN 255771 | | 09/01/2021 | MBS PAYMENT | | 1,987 | 1,987 | 2,027 | 1,991 | .0 | (4) | .0 | (4) | .0 | 1,987 | .0 | .0 | .0 | .75 | 07/01/2035 | 1.A | |
| 3138AN-CW-1 | FN A18184 | | 09/01/2021 | MBS PAYMENT | | 5,601 | 5,601 | 5,872 | 5,655 | .0 | (54) | .0 | (54) | .0 | 5,601 | .0 | .0 | .0 | .154 | 08/01/2041 | 1.A | |
| 3138AN-YU-1 | FN A18822 | | 09/01/2021 | MBS PAYMENT | | 3,150 | 3,150 | 3,338 | 3,201 | .0 | (51) | .0 | (51) | .0 | 3,150 | .0 | .0 | .0 | .94 | 08/01/2041 | 1.A | |
| 3138AV-TB-1 | FN AJ4145 | | 09/01/2021 | MBS PAYMENT | | 2,058 | 2,058 | 2,147 | 2,072 | .0 | (15) | .0 | (15) | .0 | 2,058 | .0 | .0 | .0 | .55 | 11/01/2041 | 1.A | |
| 3138AV-RQ-8 | FN AJ4994 | | 09/01/2021 | MBS PAYMENT | | 6,776 | 6,776 | 7,294 | 6,902 | .0 | (126) | .0 | (126) | .0 | 6,776 | .0 | .0 | .0 | .197 | 11/01/2041 | 1.A | |
| 3138EO-SF-7 | FN AJ7717 | | 09/01/2021 | MBS PAYMENT | | 4,410 | 4,410 | 4,546 | 4,431 | .0 | (21) | .0 | (21) | .0 | 4,410 | .0 | .0 | .0 | .88 | 12/01/2026 | 1.A | |
| 3138EG-HY-5 | FN AL0245 | | 09/01/2021 | MBS PAYMENT | | 5,300 | 5,300 | 5,461 | 5,327 | .0 | (27) | .0 | (27) | .0 | 5,300 | .0 | .0 | .0 | .140 | 04/01/2041 | 1.A | |
| 3138EH-US-9 | FN AL1492 | | 09/01/2021 | MBS PAYMENT | | 5,298 | 5,298 | 5,542 | 5,368 | .0 | (70) | .0 | (70) | .0 | 5,298 | .0 | .0 | .0 | .147 | 03/01/2042 | 1.A | |
| 3138EJ-3Y-2 | FN AL2614 | | 09/01/2021 | MBS PAYMENT | | 5,399 | 5,399 | 5,582 | 5,441 | .0 | (43) | .0 | (43) | .0 | 5,399 | .0 | .0 | .0 | .126 | 11/01/2042 | 1.A | |

E05

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|----------------------|-----------------|--------------|------------------|----------------------|---------------------------------|--------------------|-----------|----------------|--|--|--|---|---|---|---|--|---|-------------------------------------|---|--|--|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | For- eign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consid- eration | Par Value | Actual Cost | Prior Year Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amor- tization)/ Accretion | Current Year's Other Than Temporary Impairment Recogn- ized | Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/ Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Con- tractual Maturity Date | NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol |
| 3138EJ-RA-8 | FN AL2280 | | 09/01/2021 | MBS PAYMENT | | 11,485 | 11,485 | 12,230 | 11,696 | 0 | (211) | 0 | (211) | 0 | 11,485 | 0 | 0 | 0 | 331 | 09/01/2042 | 1.A |
| 3138EK-FB-6 | FN AL2861 | | 09/01/2021 | MBS PAYMENT | | 6,057 | 6,057 | 6,222 | 6,088 | 0 | (31) | 0 | (31) | 0 | 6,057 | 0 | 0 | 0 | 139 | 12/01/2042 | 1.A |
| 3138EK-HJ-7 | FN AL2932 | | 09/01/2021 | MBS PAYMENT | | 1,775 | 1,775 | 1,867 | 1,798 | 0 | (23) | 0 | (23) | 0 | 1,775 | 0 | 0 | 0 | 49 | 07/01/2042 | 1.A |
| 3138EK-YW-9 | FN AL3424 | | 09/01/2021 | MBS PAYMENT | | 6,203 | 6,203 | 6,511 | 6,260 | 0 | (56) | 0 | (56) | 0 | 6,203 | 0 | 0 | 0 | 163 | 01/01/2043 | 1.A |
| 3138ET-ZJ-4 | FN AL8876 | | 09/01/2021 | MBS PAYMENT | | 8,746 | 8,746 | 9,101 | 8,823 | 0 | (78) | 0 | (78) | 0 | 8,746 | 0 | 0 | 0 | 173 | 10/01/2044 | 1.A |
| 3138LR-AE-2 | FN A00904 | | 09/01/2021 | MBS PAYMENT | | 5,980 | 5,980 | 6,146 | 6,000 | 0 | (20) | 0 | (20) | 0 | 5,980 | 0 | 0 | 0 | 165 | 04/01/2042 | 1.A |
| 3138LU-SX-4 | FN A04133 | | 09/01/2021 | MBS PAYMENT | | 11,018 | 11,018 | 11,007 | 11,015 | 0 | 2 | 0 | 2 | 0 | 11,018 | 0 | 0 | 0 | 260 | 06/01/2042 | 1.A |
| 3138MK-ZE-5 | FN A04372 | | 09/01/2021 | MBS PAYMENT | | 5,158 | 5,158 | 5,152 | 5,157 | 0 | 1 | 0 | 1 | 0 | 5,158 | 0 | 0 | 0 | 87 | 11/01/2027 | 1.A |
| 3138WO-L6-4 | FN AR3048 | | 09/01/2021 | MBS PAYMENT | | 3,648 | 3,648 | 3,619 | 3,643 | 0 | 5 | 0 | 5 | 0 | 3,648 | 0 | 0 | 0 | 60 | 01/01/2028 | 1.A |
| 3138W4-CR-0 | FN AR6379 | | 09/01/2021 | MBS PAYMENT | | 8,763 | 8,763 | 9,071 | 8,793 | 0 | (30) | 0 | (30) | 0 | 8,763 | 0 | 0 | 0 | 181 | 02/01/2043 | 1.A |
| 3138W6-SU-1 | FN AR8630 | | 09/01/2021 | MBS PAYMENT | | 5,343 | 5,343 | 5,504 | 5,384 | 0 | (42) | 0 | (42) | 0 | 5,343 | 0 | 0 | 0 | 104 | 04/01/2043 | 1.A |
| 3138W9-HH-3 | FN AS0244 | | 09/01/2021 | MBS PAYMENT | | 2,435 | 2,435 | 2,519 | 2,471 | 0 | (36) | 0 | (36) | 0 | 2,435 | 0 | 0 | 0 | 61 | 08/01/2043 | 1.A |
| 3138W9-KR-0 | FN AS0303 | | 09/01/2021 | MBS PAYMENT | | 9,273 | 9,273 | 9,244 | 9,265 | 0 | 8 | 0 | 8 | 0 | 9,273 | 0 | 0 | 0 | 182 | 08/01/2043 | 1.A |
| 3138W9-MT-4 | FN AS0369 | | 09/01/2021 | MBS PAYMENT | | 1,172 | 1,172 | 1,256 | 1,194 | 0 | (22) | 0 | (22) | 0 | 1,172 | 0 | 0 | 0 | 32 | 09/01/2043 | 1.A |
| 3138WA-FR-3 | FN AS1075 | | 09/01/2021 | MBS PAYMENT | | 6,047 | 6,047 | 6,232 | 6,083 | 0 | (36) | 0 | (36) | 0 | 6,047 | 0 | 0 | 0 | 124 | 11/01/2043 | 1.A |
| 3138WA-MT-0 | FN AS1557 | | 09/01/2021 | MBS PAYMENT | | 11,946 | 11,946 | 12,688 | 12,112 | 0 | (167) | 0 | (167) | 0 | 11,946 | 0 | 0 | 0 | 321 | 01/01/2044 | 1.A |
| 3138WB-LK-9 | FN AS2385 | | 09/01/2021 | MBS PAYMENT | | 11,591 | 11,591 | 12,237 | 11,792 | 0 | (201) | 0 | (201) | 0 | 11,591 | 0 | 0 | 0 | 296 | 05/01/2044 | 1.A |
| 3138WE-ZJ-1 | FN AS2444 | | 09/01/2021 | MBS PAYMENT | | 10,009 | 10,009 | 10,367 | 10,076 | 0 | (66) | 0 | (66) | 0 | 10,009 | 0 | 0 | 0 | 235 | 06/01/2045 | 1.A |
| 3138WG-DN-1 | FN AS6408 | | 09/01/2021 | MBS PAYMENT | | 5,777 | 5,777 | 6,109 | 5,777 | 0 | (131) | 0 | (131) | 0 | 5,777 | 0 | 0 | 0 | 130 | 01/01/2046 | 1.A |
| 3138WJ-PC-6 | FN AS8518 | | 09/01/2021 | MBS PAYMENT | | 9,146 | 9,146 | 9,099 | 9,134 | 0 | 12 | 0 | 12 | 0 | 9,146 | 0 | 0 | 0 | 185 | 12/01/2046 | 1.A |
| 3138WJ-QE-1 | FN AS8552 | | 09/01/2021 | MBS PAYMENT | | 17,714 | 17,714 | 18,121 | 17,772 | 0 | (58) | 0 | (58) | 0 | 17,714 | 0 | 0 | 0 | 351 | 12/01/2036 | 1.A |
| 3138WM-KY-6 | FN AT0310 | | 09/01/2021 | MBS PAYMENT | | 6,060 | 6,060 | 6,454 | 6,127 | 0 | (67) | 0 | (67) | 0 | 6,060 | 0 | 0 | 0 | 148 | 03/01/2043 | 1.A |
| 3138WV-FK-8 | FN AT9169 | | 09/01/2021 | MBS PAYMENT | | 10,023 | 10,023 | 9,964 | 10,015 | 0 | 8 | 0 | 8 | 0 | 10,023 | 0 | 0 | 0 | 167 | 07/01/2028 | 1.A |
| 3138WZ-TZ-5 | FN AU0567 | | 09/01/2021 | MBS PAYMENT | | 8,706 | 8,706 | 8,622 | 8,697 | 0 | 9 | 0 | 9 | 0 | 8,706 | 0 | 0 | 0 | 202 | 08/01/2043 | 1.A |
| 3138X0-Y2-8 | FN AU1628 | | 09/01/2021 | MBS PAYMENT | | 7,138 | 7,138 | 6,997 | 7,109 | 0 | 28 | 0 | 28 | 0 | 7,138 | 0 | 0 | 0 | 144 | 07/01/2043 | 1.A |
| 3138X1-3A-2 | FN AU2592 | | 09/01/2021 | MBS PAYMENT | | 7,666 | 7,666 | 7,941 | 7,720 | 0 | (54) | 0 | (54) | 0 | 7,666 | 0 | 0 | 0 | 179 | 08/01/2043 | 1.A |
| 3138X3-XM-9 | FN AU4283 | | 09/01/2021 | MBS PAYMENT | | 7,443 | 7,443 | 7,469 | 7,447 | 0 | (3) | 0 | (3) | 0 | 7,443 | 0 | 0 | 0 | 180 | 09/01/2043 | 1.A |
| 3138Y6-3S-1 | FN AX5308 | | 09/01/2021 | MBS PAYMENT | | 7,691 | 7,691 | 8,072 | 7,782 | 0 | (91) | 0 | (91) | 0 | 7,691 | 0 | 0 | 0 | 177 | 01/01/2042 | 1.A |
| 3138YH-U6-5 | FN AY4204 | | 09/01/2021 | MBS PAYMENT | | 8,185 | 8,185 | 8,454 | 8,238 | 0 | (53) | 0 | (53) | 0 | 8,185 | 0 | 0 | 0 | 186 | 05/01/2045 | 1.A |
| 31394V-LV-0 | FNR 2005-123 PG | | 09/01/2021 | MBS PAYMENT | | 7,680 | 7,680 | 7,451 | 7,661 | 0 | 19 | 0 | 19 | 0 | 7,680 | 0 | 0 | 0 | 279 | 01/25/2036 | 1.A |
| 31400Y-3Q-7 | FN 702007 | | 09/01/2021 | MBS PAYMENT | | 204 | 204 | 209 | 205 | 0 | (1) | 0 | (1) | 0 | 204 | 0 | 0 | 0 | 7 | 05/01/2033 | 1.A |
| 31406U-HH-4 | FN 820232 | | 09/01/2021 | MBS PAYMENT | | 666 | 666 | 676 | 668 | 0 | (2) | 0 | (2) | 0 | 666 | 0 | 0 | 0 | 24 | 06/01/2035 | 1.A |
| 31409Y-LU-9 | FN 882687 | | 09/01/2021 | MBS PAYMENT | | 2,387 | 2,387 | 2,369 | 2,386 | 0 | 0 | 0 | 0 | 0 | 2,387 | 0 | 0 | 0 | 85 | 06/01/2036 | 1.A |
| 3140FP-C9-8 | FN BE3695 | | 09/01/2021 | MBS PAYMENT | | 26,718 | 26,718 | 26,580 | 26,651 | 0 | 67 | 0 | 67 | 0 | 26,718 | 0 | 0 | 0 | 625 | 06/01/2047 | 1.A |
| 3140HB-FX-9 | FN BJ9169 | | 09/01/2021 | MBS PAYMENT | | 24,665 | 24,665 | 25,133 | 24,898 | 0 | (224) | 0 | (224) | 0 | 24,665 | 0 | 0 | 0 | 654 | 05/01/2048 | 1.A |
| 3140HB-GZ-5 | FN BJ9215 | | 09/01/2021 | MBS PAYMENT | | 12,791 | 12,791 | 13,020 | 12,902 | 0 | (111) | 0 | (111) | 0 | 12,791 | 0 | 0 | 0 | 336 | 06/01/2048 | 1.A |
| 3140J7-T5-4 | FN BM3271 | | 09/01/2021 | MBS PAYMENT | | 16,716 | 16,716 | 16,993 | 16,767 | 0 | (51) | 0 | (51) | 0 | 16,716 | 0 | 0 | 0 | 328 | 12/01/2032 | 1.A |
| 3140JB-HZ-9 | FN BM3847 | | 09/01/2021 | MBS PAYMENT | | 21,354 | 21,354 | 21,868 | 21,506 | 0 | (152) | 0 | (152) | 0 | 21,354 | 0 | 0 | 0 | 548 | 05/01/2048 | 1.A |
| 3140JG-LQ-6 | FN BN0334 | | 09/01/2021 | MBS PAYMENT | | 76,818 | 76,818 | 79,893 | 78,190 | 0 | (1,372) | 0 | (1,372) | 0 | 76,818 | 0 | 0 | 0 | 2,064 | 12/01/2048 | 1.A |
| 3140K5-MD-6 | FN B09355 | | 09/01/2021 | MBS PAYMENT | | 25,179 | 25,179 | 26,500 | 25,620 | 0 | (442) | 0 | (442) | 0 | 25,179 | 0 | 0 | 0 | 512 | 03/01/2050 | 1.A |
| 3140KL-LG-5 | FN B01226 | | 09/01/2021 | MBS PAYMENT | | 14,919 | 14,919 | 15,437 | 14,975 | 0 | (55) | 0 | (55) | 0 | 14,919 | 0 | 0 | 0 | 209 | 09/01/2050 | 1.A |
| 3140L6-WM-2 | FN BR7851 | | 09/01/2021 | MBS PAYMENT | | 13,026 | 13,026 | 13,507 | 13,026 | 0 | (15) | 0 | (15) | 0 | 13,026 | 0 | 0 | 0 | 81 | 05/01/2051 | 1.A |
| 314007-2P-1 | FN CA0781 | | 09/01/2021 | MBS PAYMENT | | 25,699 | 25,699 | 26,213 | 25,807 | 0 | (108) | 0 | (108) | 0 | 25,699 | 0 | 0 | 0 | 508 | 11/01/2032 | 1.A |
| 31400F-A2-5 | FN CA7224 | | 09/01/2021 | MBS PAYMENT | | 3,915 | 3,915 | 4,060 | 3,930 | 0 | (15) | 0 | (15) | 0 | 3,915 | 0 | 0 | 0 | 53 | 10/01/2050 | 1.A |
| 31400G-D4-6 | FN CA8222 | | 09/01/2021 | MBS PAYMENT | | 6,692 | 6,692 | 6,748 | 6,698 | 0 | (5) | 0 | (5) | 0 | 6,692 | 0 | 0 | 0 | 63 | 12/01/2050 | 1.A |
| 31400K-SA-7 | FN CB0512 | | 09/01/2021 | MBS PAYMENT | | 3,208 | 3,208 | 3,347 | 3,208 | 0 | (5) | 0 | (5) | 0 | 3,208 | 0 | 0 | 0 | 20 | 05/01/2051 | 1.A |
| 3140X4-Y8-3 | FN FM1634 | | 09/01/2021 | MBS PAYMENT | | 27,426 | 27,426 | 28,288 | 27,897 | 0 | (471) | 0 | (471) | 0 | 27,426 | 0 | 0 | 0 | 621 | 06/01/2049 | 1.A |
| 3140X6-2N-0 | FN FM3480 | | 09/01/2021 | MBS PAYMENT | | 67,467 | 67,467 | 70,377 | 67,792 | 0 | (325) | 0 | (325) | 0 | 67,467 | 0 | 0 | 0 | 1,123 | 06/01/2050 | 1.A |
| 3140XA-Z4-7 | FN FM7062 | | 09/01/2021 | MBS PAYMENT | | 40,245 | 40,245 | 41,823 | 40,245 | 0 | (92) | 0 | (92) | 0 | 40,245 | 0 | 0 | 0 | 352 | 01/01/2051 | 1.A |
| 3140XB-C7-3 | FN FM7293 | | 09/01/2021 | MBS PAYMENT | | 6,433 | 6,433 | 6,687 | 6,433 | 0 | (8) | 0 | (8) | 0 | 6,433 | 0 | 0 | 0 | 45 | 05/01/2051 | 1.A |
| 31410U-KA-9 | FN 897689 | | 09/01/2021 | MBS PAYMENT | | 6,360 | 6,360 | 6,219 | 6,356 | 0 | 3 | 0 | 3 | 0 | 6,360 | 0 | 0 | 0 | 206 | 06/01/2037 | 1.A |
| 31413R-2P-0 | FN 953582 | | 09/01/2021 | MBS PAYMENT | | 2,836 | 2,836 | 2,991 | 2,847 | 0 | (11) | 0 | (11) | 0 | 2,836 | 0 | 0 | 0 | 120 | 12/01/2037 | 1.A |
| 31416J-ZM-6 | FN AA1647 | | 09/01/2021 | MBS PAYMENT | | 366 | 366 | 379 | 369 | 0 | (2) | 0 | (2) | 0 | 366 | 0 | 0 | 0 | 12 | 02/01/2039 | 1.A |
| 31416M-SA-8 | FN AA4440 | | 09/01/2021 | MBS PAYMENT | | 53 | 53 | 55 | 53 | 0 | 0 | 0 | 0 | 0 | 53 | 0 | 0 | 0 | 2 | 03/01/2039 | 1.A |

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change In Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 | |
|----------------------|--|--------------|------------------|----------------------|---------------------------------|--------------------|-----------|----------------|--|--|--|---|---|---|---|--|---|-------------------------------------|---|--|--|-----|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | | |
| CUSIP Identification | Description | For- eign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consid- eration | Par Value | Actual Cost | Prior Year Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amor- tization)/ Accretion | Current Year's Temporary Impairment Recogn- ized | Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | Total Foreign Exchange Change in Book /Adjusted Carrying Value | Book/ Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Con- tractual Maturity Date | NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol | |
| 31417C-JL-0 | FN AB5666 | | 09/01/2021 | MBS PAYMENT | | 3,496 | 3,496 | 3,565 | 3,521 | 0 | (25) | 0 | (25) | 0 | 3,496 | 0 | 0 | 0 | 83 | 07/01/2042 | 1.A | |
| 31417C-KM-6 | FN AB5699 | | 09/01/2021 | MBS PAYMENT | | 2,036 | 2,036 | 2,028 | 2,035 | 0 | 1 | 0 | 1 | 0 | 2,036 | 0 | 0 | 0 | 43 | 07/01/2042 | 1.A | |
| 31417C-VS-1 | FN AB6024 | | 09/01/2021 | MBS PAYMENT | | 5,938 | 5,938 | 6,209 | 6,042 | 0 | (104) | 0 | (104) | 0 | 5,938 | 0 | 0 | 0 | 140 | 08/01/2042 | 1.A | |
| 31417D-TR-4 | FN AB6859 | | 09/01/2021 | MBS PAYMENT | | 8,931 | 8,931 | 9,283 | 9,034 | 0 | (103) | 0 | (103) | 0 | 8,931 | 0 | 0 | 0 | 212 | 11/01/2042 | 1.A | |
| 31417E-MZ-1 | FN AB7575 | | 09/01/2021 | MBS PAYMENT | | 7,473 | 7,473 | 7,446 | 7,466 | 0 | 7 | 0 | 7 | 0 | 7,473 | 0 | 0 | 0 | 150 | 01/01/2043 | 1.A | |
| 31417E-N9-8 | FN AB7615 | | 09/01/2021 | MBS PAYMENT | | 13,504 | 13,504 | 14,112 | 13,617 | 0 | (113) | 0 | (113) | 0 | 13,504 | 0 | 0 | 0 | 320 | 01/01/2043 | 1.A | |
| 31417F-3E-6 | FN AB8896 | | 09/01/2021 | MBS PAYMENT | | 6,567 | 6,567 | 6,382 | 6,532 | 0 | 35 | 0 | 35 | 0 | 6,567 | 0 | 0 | 0 | 131 | 04/01/2043 | 1.A | |
| 31417G-5A-0 | FN AB9840 | | 09/01/2021 | MBS PAYMENT | | 5,624 | 5,624 | 5,895 | 5,703 | 0 | (80) | 0 | (80) | 0 | 5,624 | 0 | 0 | 0 | 130 | 07/01/2043 | 1.A | |
| 31417H-B5-2 | FN AB9959 | | 09/01/2021 | MBS PAYMENT | | 3,515 | 3,515 | 3,667 | 3,540 | 0 | (25) | 0 | (25) | 0 | 3,515 | 0 | 0 | 0 | 94 | 07/01/2043 | 1.A | |
| 31418C-GB-3 | FN MA3149 | | 09/01/2021 | MBS PAYMENT | | 10,075 | 10,075 | 10,461 | 10,235 | 0 | (160) | 0 | (160) | 0 | 10,075 | 0 | 0 | 0 | 267 | 10/01/2047 | 1.A | |
| 31418W-CY-4 | FN AD8186 | | 09/01/2021 | MBS PAYMENT | | 1,181 | 1,181 | 1,224 | 1,190 | 0 | (9) | 0 | (9) | 0 | 1,181 | 0 | 0 | 0 | 27 | 09/01/2025 | 1.A | |
| 31419E-UD-9 | FN AE4179 | | 09/01/2021 | MBS PAYMENT | | 2,257 | 2,257 | 2,337 | 2,283 | 0 | (26) | 0 | (26) | 0 | 2,257 | 0 | 0 | 0 | 51 | 10/01/2025 | 1.A | |
| 31419J-SV-1 | FN AE7731 | | 09/01/2021 | MBS PAYMENT | | 5,289 | 5,289 | 5,640 | 5,374 | 0 | (85) | 0 | (85) | 0 | 5,289 | 0 | 0 | 0 | 157 | 11/01/2040 | 1.A | |
| 343136-UB-8 | FLORIDA ST TURNPIKE AUTH | | 07/01/2021 | 100.000 | | 500,000 | 500,000 | 559,500 | 503,511 | 0 | (3,511) | 0 | (3,511) | 0 | 500,000 | 0 | 0 | 0 | 25,000 | 07/01/2023 | 1.C FE | |
| 590545-MC-0 | MESA AZ UTILITY SYS REVENUE | | 07/01/2021 | MATURITY | | 500,000 | 500,000 | 590,205 | 505,150 | 0 | (5,150) | 0 | (5,150) | 0 | 500,000 | 0 | 0 | 0 | 30,000 | 07/01/2021 | 1.D FE | |
| 3199999 | Subtotal - Bonds - U.S. Special Revenues | | | | | 1,996,027 | 1,996,027 | 2,175,477 | 1,926,498 | 0 | (16,183) | 0 | (16,183) | 0 | 1,996,027 | 0 | 0 | 0 | 76,631 | XXX | XXX | |
| 05568B-AA-6 | BURLINGTN NO SF 06-1 TR | | 07/15/2021 | MBS PAYMENT | | 79 | 79 | 79 | 79 | 0 | 0 | 0 | 0 | 0 | 79 | 0 | 0 | 0 | 5 | 01/15/2024 | 1.B FE | |
| 126410-LM-9 | CSX TRANSPORTATION INC | | 07/15/2021 | MBS PAYMENT | | 14,291 | 14,291 | 14,291 | 14,291 | 0 | 0 | 0 | 0 | 0 | 14,291 | 0 | 0 | 0 | 893 | 01/15/2023 | 1.E FE | |
| 26208M-AF-3 | DRIVE 2018-5 C | | 09/15/2021 | MBS PAYMENT | | 84,610 | 84,610 | 84,591 | 84,492 | 0 | 118 | 0 | 118 | 0 | 84,610 | 0 | 0 | 0 | 2,241 | 01/15/2025 | 1.A FE | |
| 314353-AA-1 | FEDEX 2020-1 CLASS AA | | 08/20/2021 | SINK | | 6,684 | 6,684 | 6,684 | 6,684 | 0 | 0 | 0 | 0 | 0 | 6,684 | 0 | 0 | 0 | 128 | 08/20/2035 | 1.D FE | |
| 36261M-AB-5 | GSMB 2021-PJ1 A2 | | 09/01/2021 | MBS PAYMENT | | 9,798 | 9,798 | 10,206 | 9,798 | 0 | (32) | 0 | (32) | 0 | 9,798 | 0 | 0 | 0 | 141 | 06/25/2051 | 1.A FE | |
| 46592T-AC-7 | JPMIT 2021-8 A3 | | 09/01/2021 | MBS PAYMENT | | 11,253 | 11,253 | 11,399 | 11,253 | 0 | (1) | 0 | (1) | 0 | 11,253 | 0 | 0 | 0 | 51 | 12/25/2051 | 1.A FE | |
| 46654A-AC-3 | JPMIT 2021-10 A3 | | 09/01/2021 | MBS PAYMENT | | 7,587 | 7,587 | 7,731 | 7,587 | 0 | 0 | 0 | 0 | 0 | 7,587 | 0 | 0 | 0 | 28 | 12/25/2051 | 1.A FE | |
| 909318-AA-5 | UNITED AIR 2018-1 AA PTT | | 09/01/2021 | MBS PAYMENT | | 5,452 | 5,452 | 5,452 | 5,452 | 0 | 0 | 0 | 0 | 0 | 5,452 | 0 | 0 | 0 | 191 | 09/01/2031 | 1.E FE | |
| 3899999 | Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | 139,754 | 139,754 | 140,433 | 110,998 | 0 | 85 | 0 | 85 | 0 | 139,754 | 0 | 0 | 0 | 3,678 | XXX | XXX | |
| 8399997 | Total - Bonds - Part 4 | | | | | 2,936,764 | 2,936,166 | 3,160,440 | 2,840,720 | 0 | (18,979) | 0 | (18,979) | 0 | 2,936,124 | 0 | 640 | 640 | 110,279 | XXX | XXX | |
| 8399998 | Total - Bonds - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8399999 | Total - Bonds | | | | | 2,936,764 | 2,936,166 | 3,160,440 | 2,840,720 | 0 | (18,979) | 0 | (18,979) | 0 | 2,936,124 | 0 | 640 | 640 | 110,279 | XXX | XXX | |
| 8999997 | Total - Preferred Stocks - Part 4 | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 8999998 | Total - Preferred Stocks - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 8999999 | Total - Preferred Stocks | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9799997 | Total - Common Stocks - Part 4 | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9799998 | Total - Common Stocks - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| 9799999 | Total - Common Stocks | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9899999 | Total - Preferred and Common Stocks | | | | | 0 | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | XXX | XXX |
| 9999999 | Totals | | | | | 2,936,764 | XXX | 3,160,440 | 2,840,720 | 0 | (18,979) | 0 | (18,979) | 0 | 2,936,124 | 0 | 640 | 640 | 110,279 | XXX | XXX | |

E05.2

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

Designate the type of health care providers reported on this page:
Physicians, including surgeons and osteopaths

**SUPPLEMENT A TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES**

| States, etc. | 1 Direct Premiums Written | 2 Direct Premiums Earned | Direct Losses Paid | | 5 Direct Losses Incurred | Direct Losses Unpaid | | 8 Direct Losses Incurred But Not Reported |
|--|------------------------------------|-----------------------------------|--------------------|-----------------------|-----------------------------------|-------------------------|-----------------------|--|
| | | | 3 Amount | 4 No. of Claims | | 6 Amount Reported | 7 No. of Claims | |
| 1. Alabama AL | | | | | | | | |
| 2. Alaska AK | | | | | | | | |
| 3. Arizona AZ | | | | | | | | |
| 4. Arkansas AR | | | | | | | | |
| 5. California CA | | | | | | | | |
| 6. Colorado CO | | | | | | | | |
| 7. Connecticut CT | | | | | | | | |
| 8. Delaware DE | | | | | | | | |
| 9. District of Columbia DC | | | | | | | | |
| 10. Florida FL | | | | | | | | |
| 11. Georgia GA | | | | | | | | |
| 12. Hawaii HI | | | | | | | | |
| 13. Idaho ID | | | | | | | | |
| 14. Illinois IL | | | | | | | | |
| 15. Indiana IN | | | | | | | | |
| 16. Iowa IA | | | | | | | | |
| 17. Kansas KS | | | | | | | | |
| 18. Kentucky KY | | | | | | | | |
| 19. Louisiana LA | | | | | | | | |
| 20. Maine ME | | | | | | | | |
| 21. Maryland MD | | | | | | | | |
| 22. Massachusetts MA | | | | | | | | |
| 23. Michigan MI | | | | | | | | |
| 24. Minnesota MN | | | | | | | | |
| 25. Mississippi MS | | | | | | | | |
| 26. Missouri MO | | | | | | | | |
| 27. Montana MT | | | | | | | | |
| 28. Nebraska NE | | | | | | | | |
| 29. Nevada NV | | | | | | | | |
| 30. New Hampshire NH | | | | | | | | |
| 31. New Jersey NJ | | | | | | | | |
| 32. New Mexico NM | | | | | | | | |
| 33. New York NY | | | | | | | | |
| 34. North Carolina NC | | | | | | | | |
| 35. North Dakota ND | | | | | | | | |
| 36. Ohio OH | | | | | | | | |
| 37. Oklahoma OK | | | | | | | | |
| 38. Oregon OR | | | | | | | | |
| 39. Pennsylvania PA | | | | | | | | |
| 40. Rhode Island RI | 1,078,356 | 912,126 | 1,182,876 | 3 | (549,083) | 6,891,857 | 17 | 6,348,224 |
| 41. South Carolina SC | | | | | | | | |
| 42. South Dakota SD | | | | | | | | |
| 43. Tennessee TN | | | | | | | | |
| 44. Texas TX | | | | | | | | |
| 45. Utah UT | | | | | | | | |
| 46. Vermont VT | | | | | | | | |
| 47. Virginia VA | | | | | | | | |
| 48. Washington WA | | | | | | | | |
| 49. West Virginia WV | | | | | | | | |
| 50. Wisconsin WI | | | | | | | | |
| 51. Wyoming WY | | | | | | | | |
| 52. American Samoa AS | | | | | | | | |
| 53. Guam GU | | | | | | | | |
| 54. Puerto Rico PR | | | | | | | | |
| 55. U.S. Virgin Islands VI | | | | | | | | |
| 56. Northern Mariana Islands MP | | | | | | | | |
| 57. Canada CAN | | | | | | | | |
| 58. Aggregate Other Aliens OT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals | 1,078,356 | 912,126 | 1,182,876 | 3 | (549,083) | 6,891,857 | 17 | 6,348,224 |
| DETAILS OF WRITE-INS | | | | | | | | |
| 58001. | | | | | | | | |
| 58002. | | | | | | | | |
| 58003. | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2021 OF THE Medical Malpractice Joint Underwriting Association of Rhode Island

Designate the type of health care providers reported on this page:
Hospitals

**SUPPLEMENT A TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES**

| States, etc. | 1 Direct Premiums Written | 2 Direct Premiums Earned | Direct Losses Paid | | 5 Direct Losses Incurred | Direct Losses Unpaid | | 8 Direct Losses Incurred But Not Reported |
|--|------------------------------------|-----------------------------------|--------------------|-----------------------|-----------------------------------|-------------------------|-----------------------|--|
| | | | 3 Amount | 4 No. of Claims | | 6 Amount Reported | 7 No. of Claims | |
| 1. Alabama AL | | | | | | | | |
| 2. Alaska AK | | | | | | | | |
| 3. Arizona AZ | | | | | | | | |
| 4. Arkansas AR | | | | | | | | |
| 5. California CA | | | | | | | | |
| 6. Colorado CO | | | | | | | | |
| 7. Connecticut CT | | | | | | | | |
| 8. Delaware DE | | | | | | | | |
| 9. District of Columbia DC | | | | | | | | |
| 10. Florida FL | | | | | | | | |
| 11. Georgia GA | | | | | | | | |
| 12. Hawaii HI | | | | | | | | |
| 13. Idaho ID | | | | | | | | |
| 14. Illinois IL | | | | | | | | |
| 15. Indiana IN | | | | | | | | |
| 16. Iowa IA | | | | | | | | |
| 17. Kansas KS | | | | | | | | |
| 18. Kentucky KY | | | | | | | | |
| 19. Louisiana LA | | | | | | | | |
| 20. Maine ME | | | | | | | | |
| 21. Maryland MD | | | | | | | | |
| 22. Massachusetts MA | | | | | | | | |
| 23. Michigan MI | | | | | | | | |
| 24. Minnesota MN | | | | | | | | |
| 25. Mississippi MS | | | | | | | | |
| 26. Missouri MO | | | | | | | | |
| 27. Montana MT | | | | | | | | |
| 28. Nebraska NE | | | | | | | | |
| 29. Nevada NV | | | | | | | | |
| 30. New Hampshire NH | | | | | | | | |
| 31. New Jersey NJ | | | | | | | | |
| 32. New Mexico NM | | | | | | | | |
| 33. New York NY | | | | | | | | |
| 34. North Carolina NC | | | | | | | | |
| 35. North Dakota ND | | | | | | | | |
| 36. Ohio OH | | | | | | | | |
| 37. Oklahoma OK | | | | | | | | |
| 38. Oregon OR | | | | | | | | |
| 39. Pennsylvania PA | | | | | | | | |
| 40. Rhode Island RI | 265,267 | 295,111 | 0 | 0 | 275,087 | 1,935,000 | 17 | 1,524,981 |
| 41. South Carolina SC | | | | | | | | |
| 42. South Dakota SD | | | | | | | | |
| 43. Tennessee TN | | | | | | | | |
| 44. Texas TX | | | | | | | | |
| 45. Utah UT | | | | | | | | |
| 46. Vermont VT | | | | | | | | |
| 47. Virginia VA | | | | | | | | |
| 48. Washington WA | | | | | | | | |
| 49. West Virginia WV | | | | | | | | |
| 50. Wisconsin WI | | | | | | | | |
| 51. Wyoming WY | | | | | | | | |
| 52. American Samoa AS | | | | | | | | |
| 53. Guam GU | | | | | | | | |
| 54. Puerto Rico PR | | | | | | | | |
| 55. U.S. Virgin Islands VI | | | | | | | | |
| 56. Northern Mariana Islands MP | | | | | | | | |
| 57. Canada CAN | | | | | | | | |
| 58. Aggregate Other Aliens OT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals | 265,267 | 295,111 | 0 | 0 | 275,087 | 1,935,000 | 17 | 1,524,981 |
| DETAILS OF WRITE-INS | | | | | | | | |
| 58001. | | | | | | | | |
| 58002. | | | | | | | | |
| 58003. | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |