



# QUARTERLY STATEMENT

As of September 30, 2021  
of the Condition and Affairs of the

## R&Q RI Insurance Company

NAIC Group Code.....4234, 4234 (Current Period) (Prior Period)	NAIC Company Code..... 16206	Employer's ID Number..... 82-1212894
Organized under the Laws of RI	State of Domicile or Port of Entry RI	Country of Domicile US
Incorporated/Organized..... June 23, 2017	Commenced Business..... August 23, 2017	
Statutory Home Office	Partridge,Snow & Hahn LLP (Registered Agent) 40 Westminster Street .. Providence .. RI .. US .. 02903 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	Two Logan Square, Suite 600 .. Philadelphia .. PA .. US .. 19103 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	267-675-3348 <i>(Area Code) (Telephone Number)</i>
Mail Address	Two Logan Square, Suite 600 .. Philadelphia .. PA .. US .. 19103 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	Two Logan Square, Suite 600 .. Philadelphia .. PA .. US .. 19103 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	267-675-3348 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address		
Statutory Statement Contact	Desiree Rose Mecca <i>(Name)</i> Desiree.Mecca@rqih.com <i>(E-Mail Address)</i>	267-675-3348 <i>(Area Code) (Telephone Number) (Extension)</i> 267-675-3340 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Christopher William Reichow #	President	2. Kenneth Michael Portner	Secretary
3. Desiree Rose Mecca	Treasurer	4.	

### OTHER

### DIRECTORS OR TRUSTEES

Andrew William McCarthy	Marney Nolan Emel	John William Fischer	Christopher William Reichow
Gregg Daniel Jarvis			

State of..... Pennsylvania  
County of..... Philadelphia

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Christopher William Reichow 1. (Printed Name) President (Title)	_____ (Signature) Kenneth Michael Portner 2. (Printed Name) Secretary (Title)	_____ (Signature) Desiree Rose Mecca 3. (Printed Name) Treasurer (Title)
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Subscribed and sworn to before me  
This \_\_\_\_\_ day of November 2021

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....			0	
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....			0	
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....91,057), cash equivalents (\$.....2,948,165) and short-term investments (\$.....0).....	3,039,222		3,039,222	3,039,292
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	3,039,222	0	3,039,222	3,039,292
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....			0	
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....			0	
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	3,039,222	0	3,039,222	3,039,292
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	3,039,222	0	3,039,222	3,039,292

### DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501.....			0	
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....		
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....		
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....		
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....		
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	2,065	2,080
7.2 Net deferred tax liability.....		
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....		
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....		
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....		
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....		
20. Derivatives.....		
21. Payable for securities.....		
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	2,065	2,080
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	2,065	2,080
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	1,000,000	1,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	2,000,000	2,000,000
35. Unassigned funds (surplus).....	37,156	37,212
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	3,037,156	3,037,212
38. Totals (Page 2, Line 28, Col. 3).....	3,039,221	3,039,292

### DETAILS OF WRITE-INS

2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....0).....			
1.2 Assumed..... (written \$.....0).....			
1.3 Ceded..... (written \$.....0).....			
1.4 Net..... (written \$.....0).....	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....			
2.2 Assumed.....			
2.3 Ceded.....			
2.4 Net.....	0	0	0
3. Loss adjustment expenses incurred.....			
4. Other underwriting expenses incurred.....	255	10	10
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	255	10	10
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(255)	(10)	(10)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	184	8,861	9,916
10. Net realized capital gains (losses) less capital gains tax of \$.....0.....			
11. Net investment gain (loss) (Lines 9 + 10).....	184	8,861	9,916
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0		
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	0	0	0
15. Total other income (Lines 12 through 14).....	0	0	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	(71)	8,851	9,906
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	(71)	8,851	9,906
19. Federal and foreign income taxes incurred.....	(15)	1,859	2,080
20. Net income (Line 18 minus Line 19) (to Line 22).....	(56)	6,992	7,826
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	3,037,212	3,029,386	3,029,386
22. Net income (from Line 20).....	(56)	6,992	7,826
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0.....			
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....			
27. Change in nonadmitted assets.....			
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	(56)	6,992	7,826
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	3,037,156	3,036,378	3,037,212

**DETAILS OF WRITE-INS**

0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. ....			
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	0
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....			
2. Net investment income.....	184	8,861	9,916
3. Miscellaneous income.....			
4. Total (Lines 1 through 3).....	184	8,861	9,916
5. Benefit and loss related payments.....			
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	255	10	10
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			3,582
10. Total (Lines 5 through 9).....	255	10	3,592
11. Net cash from operations (Line 4 minus Line 10).....	(71)	8,851	6,324
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....			
12.2 Stocks.....			
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	0	0	0
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....			
13.2 Stocks.....			
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	0	0	0
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	0	0	0
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	1		
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	1	0	0
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(70)	8,851	6,324
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,039,291	3,032,967	3,032,967
19.2 End of period (Line 18 plus Line 19.1).....	3,039,221	3,041,818	3,039,291

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

R&Q RI Insurance Company is an insurance company domiciled in Rhode Island. It was incorporated on June 23, 2017 and authorized to commence business on August 23, 2017.

The financial statements of the R&Q RI Insurance Company ("the Company") are presented on the basis of accounting practices prescribed or permitted by the Rhode Island Department of Insurance.

The Rhode Island Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the state of Rhode Island for determining and reporting the financial condition and results of operations of an insurance company, for purposes of determining its solvency under the Rhode Island Insurance Law. The National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures Manual has been adopted as a component of prescribed or permitted practices by the state of Rhode Island.

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
<b>NET INCOME</b>					
(1) R&Q RI Insurance Company Company state basis (Page 4, Line 20, Columns 1 & 2)	XXX	XXX	XXX	\$ (56)	\$ 7,826
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ (56)	\$ 7,826
<b>SURPLUS</b>					
(5) R&Q RI Insurance Company Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 3,037,156	\$ 3,037,212
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 3,037,156	\$ 3,037,212

**B. Use of Estimates in the Preparation of the Financial Statement**

Not Applicable

**C. Accounting Policy**

## (1) Basis for Short-Term Investments

Not Applicable

## (2) Basis for Bonds and Amortization Schedule

Not Applicable

## (3) Basis for Common Stocks

Not Applicable

## (4) Basis for Preferred Stocks

Not Applicable

## (5) Basis for Mortgage Loans

Not Applicable

## (6) Basis for Loan-Backed Securities and Adjustment Methodology

Not Applicable

## (7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities

Not Applicable

## (8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities

Not Applicable

## (9) Accounting Policies for Derivatives

Not Applicable

## (10) Anticipated Investment Income Used in Premium Deficiency Calculation

Not Applicable

## (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses

Not Applicable

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## NOTES TO FINANCIAL STATEMENTS

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(12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period

Not Applicable

(13) Method Used to Estimate Pharmaceutical Rebate Receivables

Not Applicable

D. Going Concern

On September 28, 2021, the Company filed the full R&Q RI Plan of Withdraw with the state and are hoping to dissolve this entity by year end.

### **Note 2 – Accounting Changes and Correction of Errors**

Not Applicable

### **Note 3 – Business Combinations and Goodwill**

A. Statutory Purchase Method

Not Applicable

B. Statutory Merger

Not Applicable

C. Assumption Reinsurance

Not Applicable

### **Note 4 – Discontinued Operations**

A.-D. Not Applicable

### **Note 5 – Investments**

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

B. Debt Restructuring

Not Applicable

C. Reverse Mortgages

Not Applicable

D. Loan-Backed Securities

Not Applicable

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not Applicable

F. Repurchase Agreements Accounted for as Secured Borrowing

Not Applicable

G. Reverse Repurchase Agreements Accounted for as Secured Borrowing

Not Applicable

H. Repurchase Agreements Accounted for as a Sale

Not Applicable

I. Reverse Repurchase Agreements Accounted for as a Sale

Not Applicable

J. Real Estate

Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable

**NOTES TO FINANCIAL STATEMENTS**

L. Restricted Assets  
 (1) Restricted Assets (Including Pledged)

Restricted Asset Category	Gross		(Admitted & Nonadmitted)		Restricted		
	Current Year				6	7	
	1	2	3	4	5		
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Call Account (S/A) Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown	\$	\$	\$	\$	\$	\$	\$
b. Collateral held under security lending arrangements							
c. Subject to repurchase agreements							
d. Subject to reverse repurchase agreements							
e. Subject to dollar repurchase agreements							
f. Subject to dollar reverse repurchase agreements							
g. Placed under option contracts							
h. Letter stock or securities restricted as to sale – excluding FHLB capital stock							
i. FHLB capital stock							
j. On deposit with states	103,259				103,259	103,701	(442)
k. On deposit with other regulatory bodies							
l. Pledged as collateral to FHLB (including assets backing funding agreements)							
m. Pledged as collateral not captured in other categories							
n. Other restricted assets							
<b>o. Total Restricted Assets</b>	\$ 103,259	\$	\$	\$	\$ 103,259	\$ 103,701	\$ (442)

Restricted Asset Category	Current Year			
	8	9	Percentage	
			10	11
			Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)			
a. Subject to contractual obligation for which liability is not shown	\$	\$	%	%
b. Collateral held under security lending arrangements			%	%
c. Subject to repurchase agreements			%	%
d. Subject to reverse repurchase agreements			%	%
e. Subject to dollar repurchase agreements			%	%
f. Subject to dollar reverse repurchase agreements			%	%
g. Placed under option contracts			%	%
h. Letter stock or securities restricted as to sale – excluding FHLB capital stock			%	%
i. FHLB capital stock			%	%
j. On deposit with states		103,259	3.4%	3.4%
k. On deposit with other regulatory bodies			%	%
l. Pledged as collateral to FHLB (including assets backing funding agreements)			%	%
m. Pledged as collateral not captured in other categories			%	%
n. Other restricted assets			%	%
<b>o. Total Restricted Assets</b>	\$	\$ 103,259	3.4%	3.4%

- (a) Subset of column 1
- (b) Subset of column 3
- (c) Column 5 divided by Asset Page, Column 1, Line 28
- (d) Column 9 divided by Asset Page, Column 3, Line 28



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## NOTES TO FINANCIAL STATEMENTS

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- M. Working Capital Finance Investments  
Not Applicable
- N. Offsetting and Netting of Assets and Liabilities  
Not Applicable
- O. 5GI Securities  
Not Applicable
- P. Short Sales  
Not Applicable
- Q. Prepayment Penalty and Acceleration Fees  
Not Applicable
- R. Reporting Entity's Share of Cash Pool by Asset Type  
Not Applicable

### **Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

- A. Investments in Joint Ventures, Partnerships and Limited Liability Companies that Exceed 10% of Ownership  
Not Applicable
- B. Investments in Impaired Joint Ventures, Partnerships and Limited Liability Companies  
Not Applicable

### **Note 7 – Investment Income**

- A. The bases, by category of investment income, for excluding (nonadmitting) any investment income due and accrued:  
Not Applicable
- B. The total amount excluded:  
Not Applicable

### **Note 8 – Derivative Instruments**

- A-H. Purpose, Risk, Accounting  
Not Applicable

### **Note 9 – Income Taxes**

No material changes since year-end 2020.

### **Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

- A. Nature of the Relationship Involved  
  
The Company is a direct 100% owned subsidiary of Randall & Quilter America Holdings Inc. which in turn is a wholly-owned subsidiary of Randall & Quilter Investment Holdings Ltd. See Schedule Y, Part 1, Organizational Chart.
- B. Transactions  
  
No material changes since year-end 2020.
- C. Change in terms of Intercompany Arrangements  
  
No material changes since year-end 2020.
- D. Amounts Due to or from Related Parties  
  
As of September 30, 2021, the Company has no amounts due from (to) related parties.
- E. Guarantees or Contingencies for Related Parties  
  
Not Applicable
- F. Management or service contracts and all cost sharing arrangements involving the Company or any affiliated insurer.  
  
The Company is part of a Service Agreement with its immediate parent, Randall & Quilter America Holdings, Inc. The cost sharing agreement is amended from

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## NOTES TO FINANCIAL STATEMENTS

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time to time and approved by the applicable regulators. In 2020, revised cost sharing and tax agreements were agreed internally and filed with the respective regulators. The terms of the agreements did not change materially, the principal change was the participants to the agreements.

G. Nature of the Control Relationship

The Company is a direct 100% owned subsidiary of Randall & Quilter America Holdings Inc. See Schedule Y, Part 1, Organizational Chart.

H. Amount Deducted for Investment in Upstream Company

Not Applicable

I. Investments in SCA that Exceed 10% of Admitted Assets

Not Applicable

J. Investments in Impaired SCAs

Not Applicable

K. Investment in Foreign Insurance Subsidiary

Not Applicable

L. Investment in Downstream Noninsurance Holding Company

Not Applicable

M. All SCA Investments

Not Applicable

N. Investment in Insurance SCAs

Not Applicable

O. SCA or SSAP 48 Entity Loss Tracking

Not Applicable

**Note 11 – Debt**

A. Debt, Including Capital Notes

Not Applicable

B. FHLB (Federal Home Loan Bank) Agreements

Not Applicable

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan

Not Applicable

B. Investment Policies and Strategies

Not Applicable

C. Fair Value of Plan Assets

Not Applicable

D. Basis Used to Determine Expected Long-Term Rate-of-Return

Not Applicable

E. Defined Contribution Plans

Not Applicable

F. Multiemployer Plans

Not Applicable

G. Consolidated/Holding Company Plans

Not Applicable

H. Postemployment Benefits and Compensated Absences

Not Applicable

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**NOTES TO FINANCIAL STATEMENTS**

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- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable

**Note 13 – Capital and Surplus, Shareholder’s Dividend Restrictions and Quasi-Reorganizations**

- A. Number of Share and Par or State Value of Each Class

The Company has 10,000 shares of common stock authorized, Issued and outstanding with a par value of \$100 per share.

- B. Dividend Rate, Liquidation Value and Redemption Schedule of Preferred Stock Issues

Not Applicable

- C. Dividend Restrictions

Without the prior approval of its domiciliary commissioner, dividends to shareholders are limited by the laws of the Company’s state of incorporation, Rhode Island, to zero, an amount that is based on restrictions relating to statutory surplus.

- D. Dates and Amounts of Dividends Paid

Not Applicable

- E. Profits that may be Paid as Ordinary Dividends to Stockholders

Not Applicable

- F. Restrictions Placed on Unassigned Funds (Surplus)

There are no restrictions on unassigned funds(surplus).

- G. Amount of Advances to Surplus not Repaid

Not Applicable

- H. Amount of Stock Held for Special Purposes

Not Applicable

- I. Reasons for Changes in Balance of Special Surplus Funds from Prior Period

Not Applicable

- J. The Portion of Unassigned Funds (Surplus) Represented or Reduced by Unrealized Gains and Losses is: \$0.

- K. The Reporting Entity Issued the Following Surplus Debentures or Similar Obligations

Not Applicable

- L. The impact of any restatement due to prior quasi-reorganizations is as follows

Not Applicable

- M. Effective Date of Quasi-Reorganization for a Period of Ten Years Following Reorganization

Not Applicable

**Note 14 – Liabilities, Contingencies and Assessments**

- A. Contingent Commitments

The Company has no commitments or contingent commitments to an SCA entity, joint venture, partnership or limited liability company (e.g. guarantees or commitments to provide additional capital contributions.)

- B. Assessments

Not Applicable

- C. Gain Contingencies

Not Applicable

- D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

None

- E. Product Warranties

Not Applicable

**NOTES TO FINANCIAL STATEMENTS**

F. Joint and Several Liabilities

Not Applicable

G. All Other Contingencies

Not Applicable

**Note 15 – Leases**

A.-B. Not Applicable

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

1.-4. Not Applicable

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

A. Transfers of Receivables Reported as Sales

Not Applicable

B. Transfer and Servicing of Financial Assets

Not Applicable

C. Wash Sales

Not Applicable

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

A.-C. Not Applicable

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not Applicable

**Note 20 – Fair Value Measurements**

A. Fair Value Measurements at Reporting Date

Not Applicable

B. Other Fair Value Disclosures

Not Applicable

C. Fair Value Level

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash, Cash Equivalent, & Short Term	\$ 3,039,221	\$ 3,039,221	\$ 3,039,221	\$	\$	\$	\$
Total:	\$ 3,039,221	\$ 3,039,221	\$ 3,039,221	\$	\$	\$	\$

D. Not Practicable to Estimate Fair Value

Not Applicable

E. NAV Practical Expedient Investments

Not Applicable

**Note 21 – Other Items**

A. Unusual or Infrequent Items

No material change from year-end 2020.

B. Troubled Debt Restructuring Debtors

Not Applicable

C. Other Disclosures

Not Applicable

D. Business Interruption Insurance Recoveries

Not Applicable

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## NOTES TO FINANCIAL STATEMENTS

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- E. State Transferable and Non-Transferable Tax Credits  
Not Applicable
- F. Subprime Mortgage-Related Risk Exposure  
Not Applicable
- G. Insurance-Linked Securities (ILS) Contracts  
Not Applicable
- H. The Amount that Could be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or has Otherwise Obtained Rights to Control the Policy  
Not Applicable

### **Note 22 – Events Subsequent**

Subsequent events have been considered through November 12, 2021 for these statutory financial statements which are to be issued on November 15, 2021 . There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

### **Note 23 – Reinsurance**

- A. Unsecured Reinsurance Recoverables  
None
- B. Reinsurance Recoverable in Dispute  
None
- C. Reinsurance Assumed and Ceded  
None
- D. Uncollectible Reinsurance  
None
- E. Commutation of Ceded Reinsurance  
None
- F. Retroactive Reinsurance  
Not Applicable
- G. Reinsurance Accounted for as a Deposit  
Not Applicable
- H. Disclosures for the Transfer of Property and Casualty Run-off Agreements  
Not Applicable
- I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation  
Not Applicable
- J. Reinsurance Agreements Qualifying for Reinsurer Aggregation  
Not Applicable
- K. Reinsurance Credits  
Not Applicable

### **Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

- A.-F. Not Applicable

### **Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

Not Applicable

### **Note 26 – Intercompany Pooling Arrangements**

Not Applicable

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## NOTES TO FINANCIAL STATEMENTS

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**Note 27 – Structured Settlements**

Not Applicable

**Note 28 – Health Care Receivables**

Not Applicable

**Note 29 – Participating Policies**

Not Applicable

**Note 30 – Premium Deficiency Reserves**

Not Applicable

**Note 31 – High Deductibles**

Not Applicable

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

Not Applicable

**Note 33 – Asbestos/Environmental Reserves**

Not Applicable

**Note 34 – Subscriber Savings Accounts**

Not Applicable

**Note 35 – Multiple Peril Crop Insurance**

Not Applicable

**Note 36 – Financial Guaranty Insurance**

Not Applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. RQIH - UK AIM

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2019
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2019
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/28/2021

6.4 By what department or departments?  
Rhode Island Department of Insurance

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	0	0
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [X]  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
WASHINGTON TRUST WEALTH MANAGEMENT	PO BOX 512, WESTERLY, RI 02891

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [X]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]
- 18.2 If no, list exceptions:



**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - Issuer or obligor is current on all contracted interest and principal payments.
  - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The security was purchased prior to January 1, 2019.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - The fund only or predominantly holds bonds in its portfolio.
  - The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

## GENERAL INTERROGATORIES (continued)

### PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
<b>Total</b>	XXX	XXX	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent  0.000%

5.2 A&H cost containment percent  0.000%

5.3 A&H expense percent excluding cost containment expenses  0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date.  \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date.  \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [ ] No [X]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [X]

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
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**NONE**

## SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	..N...						
2. Alaska.....AK	..N...						
3. Arizona.....AZ	..N...						
4. Arkansas.....AR	..N...						
5. California.....CA	..N...						
6. Colorado.....CO	..N...						
7. Connecticut.....CT	..N...						
8. Delaware.....DE	..N...						
9. District of Columbia.....DC	..N...						
10. Florida.....FL	..N...						
11. Georgia.....GA	..N...						
12. Hawaii.....HI	..N...						
13. Idaho.....ID	..N...						
14. Illinois.....IL	..N...						
15. Indiana.....IN	..N...						
16. Iowa.....IA	..N...						
17. Kansas.....KS	..N...						
18. Kentucky.....KY	..N...						
19. Louisiana.....LA	..N...						
20. Maine.....ME	..N...						
21. Maryland.....MD	..N...						
22. Massachusetts.....MA	..N...						
23. Michigan.....MI	..N...						
24. Minnesota.....MN	..N...						
25. Mississippi.....MS	..N...						
26. Missouri.....MO	..N...						
27. Montana.....MT	..N...						
28. Nebraska.....NE	..N...						
29. Nevada.....NV	..N...						
30. New Hampshire.....NH	..N...						
31. New Jersey.....NJ	..N...						
32. New Mexico.....NM	..N...						
33. New York.....NY	..N...						
34. North Carolina.....NC	..N...						
35. North Dakota.....ND	..N...						
36. Ohio.....OH	..N...						
37. Oklahoma.....OK	..N...						
38. Oregon.....OR	..N...						
39. Pennsylvania.....PA	..N...						
40. Rhode Island.....RI	..L...						
41. South Carolina.....SC	..N...						
42. South Dakota.....SD	..N...						
43. Tennessee.....TN	..N...						
44. Texas.....TX	..N...						
45. Utah.....UT	..N...						
46. Vermont.....VT	..N...						
47. Virginia.....VA	..N...						
48. Washington.....WA	..N...						
49. West Virginia.....WV	..N...						
50. Wisconsin.....WI	..N...						
51. Wyoming.....WY	..N...						
52. American Samoa.....AS	..N...						
53. Guam.....GU	..N...						
54. Puerto Rico.....PR	..N...						
55. US Virgin Islands.....VI	..N...						
56. Northern Mariana Islands.....MP	..N...						
57. Canada.....CAN	..N...						
58. Aggregate Other Alien.....OT	..XXX...	0	0	0	0	0	0
59. Totals.....	..XXX...	0	0	0	0	0	0

**DETAILS OF WRITE-INS**

58001.....	..XXX...						
58002.....	..XXX...						
58003.....	..XXX...						
58998. Summary of remaining write-ins for Line 58 from overflow page....	..XXX...	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	..XXX...	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	1	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	56

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Company Name	Holding	Reg. No.	Registered Office	FCA NAIC#	State Code
Randall & Quilter Investment Holdings Ltd.		47341	Clarendon House, 2 Church Street, Hamilton, HM11, Bermuda		
RQLM Limited	100%	48020	Crawford House, 50 Cedar Ave, Hamilton HM11, Bermuda		
RQIH Limited	100%	3671097	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Oast Limited	100%	3593065	71 Fenchurch Street, London, EC3M 4BS, England		
Randall & Quilter PS Holdings Inc	100%	83-1770410	c/o Cogency Global Inc, 850 New Burton Road, Suite 201, City of Dover, County of Kent DE 19904		DE
Randall & Quilter II Holdings Limited	100%	7659577	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Re (Bermuda) Limited	100%	41047	Clarendon House, 2 Church St, Hamilton. HM11 Bermuda		
Distinguished Re Ltd	100%	10875	First Floor, Trident House, Lower Broad Street, Bridgetown, Barbados		
Oleum Insurance Company Limited	100%	10308	1st Floor, Limegrove Centre Holetown, St. James , Barbados		
R&Q Capital No. 1 Limited	100%	7382921	71 Fenchurch Street, London, EC3M 4BS, England		
CMAL LLC	100%	20-2233889	c/o Corporation Service Company, 2711 Centerville Road, Suite 400, Wilmington DE 19808, USA		DE
R&Q Capital No.6 Limited	100%	7066541	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Capital No.7 Limited	100%	7066562	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Reinsurance Company (UK) Limited	100%	1315641	71 Fenchurch Street, London, EC3M 4BS, England	202188	
La Licorne Compagnie de Reassurances SA	100%	316 695 469	26-26 Rue des Londres, 75009 Paris, France		
Capstan Insurance Company Limited	100%	14527	Maison Trinity, Trinity Square, St Peter Port, Guernsey, GY1 4AT	34889	
R&Q Malta Holdings Limited	100%	C59458	3 <sup>rd</sup> Floor, Development House, St Anne Street, Floriana, FRN 9010, Malta		
Accredited Insurance (Europe) Limited	100%	C59505	3 <sup>rd</sup> Floor, Development House, St Anne Street, Floriana, FRN 9010, Malta		
R&Q Eta Company Limited	100%	5008295	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Insurance (Europe) Limited	100%	C78902	3 <sup>rd</sup> Floor, Development House, St Anne Street, Floriana, FRN 9010, Malta		
R&Q Overseas Holdings Limited	100%	12807710	71 Fenchurch Street, London, EC3M 4BS, England		
Sandell Holdings Ltd	100%	49850	Victoria Place, 5th Floor, 31 Victoria Street, Hamilton HM 10, Bermuda		
R&Q Re (Cayman) Ltd.	100%	131513	P.O. Box 69, 18 Forum Lane, 2nd Floor, Camana Bay, George Town, Grand Cayman, KY1-1102, Cayman Islands		
R&Q Delta Company Limited	100%	10344871	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Ireland Company Limited by Guarantee	100%	10272	10 Earlsfort Terrace, Dublin 2, D02 T380 Ireland		
R&Q Ireland Claims Services Limited	66.6%	505967	10 Earlsfort Terrace, Dublin 2, D02 T380 Ireland		
R&Q Capital No. 8 Limited	100%	5989679	71 Fenchurch Street, London, EC3M 4BS, England		
The World Marine & General Insurance PLC	100%	42652	71 Fenchurch Street, London, EC3M 4BS, England		
Inceptum Insurance Company Limited	100%	3581552	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Gamma Company Limited	100%	1335239	71 Fenchurch Street, London, EC3M 4BS, England	202793	
R&Q Insurance Management (IOM) Limited	100%	127029C	3 <sup>rd</sup> Floor, Development House, St Anne Street, Floriana, FRN 9010, Malta		
Pender Mutual insurance Company Limited	100%	107718C	3 <sup>rd</sup> Floor, St. James's Chambers, 64a/65 Athol Street, Douglas Isle of Man IM1 6JE		
Hickson Insurance Limited	100%	7256	3 <sup>rd</sup> Floor, St. James's Chambers, 64a/65 Athol Street, Douglas Isle of Man IM1 6JE		
Vibe Syndicate Management Limited	100%	5957729	90 Fenchurch Street, London, EC3M 4BS, United Kingdom		
Vibe Services Management Limited	100%	6895146	90 Fenchurch Street, London, EC3M 4BS, United Kingdom		
R&Q Theta Designated Activity Company	100%	408164	3 <sup>rd</sup> Floor Metropolitan Building, James Joyce St., Dublin, Ireland, 1 D01 KOY8, Ireland		
R&Q Services Bermuda Limited	100%	202100331	Clarendon House, 2 Church St, Hamilton. HM11 Bermuda		
Randall & Quilter IS Holdings Limited	100%	7659581	71 Fenchurch Street, London, EC3M 4BS, England		
R&Quiem Financial Services Limited	100%	2192234	71 Fenchurch Street, London, EC3M 4BS, England	134699	
R&Q Central Services Limited	100%	4179375	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Insurance Services Limited	100%	1097308	71 Fenchurch Street, London, EC3M 4BS, England	314329	
Randall & Quilter America Holdings Inc.	100%	13-3496014	c/o Cogency, 615 South DuPont Highway, Dover DE 19901, USA		DE

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

Accredited America Insurance Holding Corporation	100%	59-0868428	4798 New Broad Street, Suite 200, Orlando, FL 32814		FL
Accredited Group Agency, Inc.	100%	59-3392908	4798 New Broad Street, Suite 200, Orlando, FL 32814		FL
Accredited Bond Agencies, Inc.	100%	59-3457839	4798 New Broad Street, Suite 200, Orlando, FL 32814		FL
Accredited Specialty Insurance Company	100%	85-1940387	c/o Cogency, 300 Clarendon Ave, Suite 240, Phoenix, AZ 85013	16835	AZ
Accredited Surety and Casualty Company, Inc.	100%	59-1362150	4798 New Broad Street, Suite 200, Orlando, FL 32814	26379	FL
Randall & Quilter Healthcare Holdings Inc.	100%	47-1219882	c/o Cogency, 615 South DuPont Highway, Dover DE 19901, USA		DE
R&Q Healthcare Interests LLC	100%	38-3934576	c/o Cogency, 615 South DuPont Highway, Dover DE 19901, USA		DE
R&Q Reinsurance Company	100%	23-1740414	Two Logan Square, Suite 600, Philadelphia, PA 19103 USA	22705	PA
R&Q RI Insurance Company	100%	82-1212894	c/o Partridge, Snow & Hahn LLP, 40 Westminster Street, Suite 1100, Providence, RI 02903, USA	16206	RI
R&Q Quest PCC, LLC	100%	90-0897620	1333 H Street NW, Suite 820E, Washington DC, DC 20005, USA	RE132	DC
Transport Insurance Company	100%	75-0784127	3958-D Brown Park Drive, Hillard OH 43026	33014	OH
ICDC, Ltd.	100%	52-1557469	961 Dorset Street, South Burlington, VT 05403, USA		VT
GLOBAL U.S. Holdings, Inc	100%	13-3907460	c/o Lloyd Gura, Esq., Mount Cotton Wollan & Greengrass LLP, One New York Plaza, 44th Floor, New York, NY 10004		NY
GLOBAL Reinsurance Corporation of America	100%	13-5009848	c/o Lloyd Gura, Esq., Mount Cotton Wollan & Greengrass LLP, One New York Plaza, 44th Floor, New York, NY 10004	21032	NY
Grafton US Holdings Inc.	100%	45-0886689	c/o Cogency, 615 South DuPont Highway, Dover DE 19901, USA		DE
Risk Transfer Underwriting Inc.	100%	45-0981421	c/o Cogency Global Inc., 29 W. High Street, East Hampton, CT 06424		CT
Tradesman Program Managers LLC	40%	81-1040745	15 Davis Avenue, Poughkeepsie, NY 12603		NY
R&Q Services Holding Inc.	100%	45-3265690	c/o Cogency, 615 South DuPont Highway, Dover DE 19901, USA		DE
Excess and Treaty Management Corporation	100%	41-1568621	Two Logan Square, Suite 600, Philadelphia, PA 19103 USA		NY
R&Q Solutions LLC	100%	13-3978252	Two Logan Square, Suite 600, Philadelphia, PA 19103 USA		DE
National Legacy Insurance Company	100%	83-4075549	c/o Cogency, 15205 Traditions Lake Parkway, Edmond, OK 73013 USA	16660	OK
Randall & Quilter Captive Holdings Limited	100%	7650726	71 Fenchurch Street, London, EC3M 4BS, England		
R&Q Quest Management Services (Cayman) Limited	100%	27441	Lainston International Management (Cayman) Ltd., Governors Square, P O BOX 31298, Grand Cayman, KY1-1206, Cayman Islands, British West Indies		
Randall & Quilter Underwriting Management Holdings Limited	100%	7504909	71 Fenchurch Street, London EC3M 4BS, England		
R&Q MGA Limited	100%	5337045	71 Fenchurch Street, London EC3M 4BS, England	440543	
R&Q Munro Services Company Limited	100%	3937013	71 Fenchurch Street, London EC3M 4BS, England		
R&Q Munro MA Limited	100%	8576587	71 Fenchurch Street, London EC3M 4BS, England		

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*	
<b>Members</b>																
Q12			N/A	N/A	N/A	London Stock Exchange (AIM)	Randall & Quilter Investment Holdings Ltd.....	BMU.....	NIA.....	Phoenix Asset Management Partners Limited, which directly holds 10.82%; Brickell PC Insurance Holdings LLC via their 21.70% holdings	Ownership/ Management	10.82%; 21.70%	Gary Channon and Sedef Channon via their 62.64% and 36.8% holdings respectively in Channon Holdings Limited, and its 92% holding in Phoenix Asset Management Partners Limited; Stephen Pasko via his 100% holding in MTCP LLC, who holds 100% of Brickell PC Insurance Holdings LLC	.....N.....		
			N/A	N/A	N/A		RQIH Limited.....	GBR.....	NIA.....	Randall & Quilter Investment Holdings Ltd.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
			N/A	N/A	N/A		R&Q Oast Limited.....	GBR.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
			N/A	N/A	N/A		Randall & Quilter II Holdings Limited.....	GBR.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
			N/A	N/A	N/A		Randall & Quilter IS Holdings Limited.....	GBR.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
			13-3496014..	N/A	N/A	N/A		Randall & Quilter America Holdings Inc.....	USA.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		Randall & Quilter Captive Holdings Limited.....	GBR.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		Randall & Quilter Underwriting Management Holdings Limited	GBR.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			83-1770410..	N/A	N/A	N/A		Randall & Quilter PS Holdings Inc.....	USA.....	NIA.....	RQIH Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		RQLM Limited.....	BMU.....	NIA.....	Randall & Quilter Investment Holdings Ltd.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q Quest Management Services (Cayman) Limited	CYM.....	NIA.....	Randall & Quilter Captive Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q Insurance Management (IOM) Limited.....	IMN.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		Pender Mutual Insurance Company Limited.....	IMN.....	NIA.....	R&Q Insurance Management (IOM) Limited....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q Central Services Limited.....	GBR.....	NIA.....	Randall & Quilter IS Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q Insurance Services Limited.....	GBR.....	IA.....	Randall & Quilter IS Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Quiem Financial Services Limited.....	GBR.....	NIA.....	Randall & Quilter IS Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q MGA Limited.....	GBR.....	NIA.....	Randall & Quilter Underwriting Management Holdings Limited	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q Munro Services Company Limited.....	GBR.....	IA.....	Randall & Quilter Underwriting Management Holdings Limited	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		R&Q Munro MA Limited.....	GBR.....	IA.....	Randall & Quilter Underwriting Management Holdings Limited	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
			N/A	N/A	N/A	N/A		La Licorne Compagnie de Reassurances SA...	FRA.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....	
		N/A	N/A	N/A	N/A		R&Q Capital No.1 Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
		N/A	N/A	N/A	N/A		R&Q Malta Holdings Limited.....	MLT.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
		N/A	N/A	N/A	N/A		Accredited Insurance (Europe) Limited.....	MLT.....	IA.....	R&Q Malta Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
		N/A	N/A	N/A	N/A		R&Q Reinsurance Company (UK) Limited.....	GBR.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
		N/A	N/A	N/A	N/A		Capstan Insurance Company Limited.....	GGY.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		
		N/A	N/A	N/A	N/A		Hickson Insurance Limited.....	IMN.....	IA.....	R&Q Insurance Management (IOM) Limited....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	.....N.....		

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Re (Bermuda) Limited.....	BMU.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Capital No.6 Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Capital No.7 Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			52-1557469..	N/A.....	N/A.....	N/A.....	ICDC, Ltd.....	USA.....	IA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			47-1219882..	N/A.....	N/A.....	N/A.....	Randall & Quilter Healthcare Holdings Inc.....	USA.....	NIA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			38-3934576..	N/A.....	N/A.....	N/A.....	R&Q Healthcare Interests LLC.....	USA.....	NIA.....	Randall & Quilter Healthcare Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			45-0886689..	N/A.....	N/A.....	N/A.....	Grafton US Holdings Inc.....	USA.....	NIA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			45-0981421..	N/A.....	N/A.....	N/A.....	Risk Transfer Underwriting Inc.....	USA.....	NIA.....	Grafton US Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
4234	Randall & Quilter Investment Grp	22705..	23-1740414..	N/A.....	N/A.....	N/A.....	R&Q Reinsurance Company.....	USA.....	IA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
4234	Randall & Quilter Investment Grp	33014..	75-0784127..	1302457	N/A.....	N/A.....	Transport Insurance Company.....	USA.....	IA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			45-3265690..	N/A.....	N/A.....	N/A.....	R&Q Services Holding Inc.....	USA.....	NIA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			59-0868428..	N/A.....	N/A.....	N/A.....	Accredited America Insurance Holding Corporation	USA.....	NIA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			13-3907460..	N/A.....	N/A.....	N/A.....	GLOBAL U.S. Holdings, Inc.....	USA.....	NIA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			90-0897620..	N/A.....	N/A.....	N/A.....	R&Q Quest PCC, LLC.....	USA.....	NIA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
4234	Randall & Quilter Investment Grp	26379..	59-1362150..	N/A.....	N/A.....	N/A.....	Accredited Surety and Casualty Company, Inc.....	USA.....	IA.....	Accredited Specialty Insurance Company.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			59-3392908..	N/A.....	N/A.....	N/A.....	Accredited Group Agency, Inc.....	USA.....	NIA.....	Accredited Holding Corporation.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			59-3457839..	N/A.....	N/A.....	N/A.....	Accredited Bond Agencies, Inc.....	USA.....	NIA.....	Accredited Holding Corporation.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			41-1568621..	N/A.....	N/A.....	N/A.....	Excess and Treaty Management Corporation.....	USA.....	NIA.....	R&Q Services Holding Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			13-3978252..	N/A.....	N/A.....	N/A.....	R&Q Solutions LLC.....	USA.....	NIA.....	R&Q Services Holding Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
4234	Randall & Quilter Investment Grp	21032..	13-5009848..	N/A.....	N/A.....	N/A.....	GLOBAL Reinsurance Corporation of America..	USA.....	IA.....	GLOBAL U.S. Holdings, Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Delta Company Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Ireland Company Limited By Guarantee..	IRL.....	NIA.....	R&Q Delta Company Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Ireland Claims Services Limited .....	IRL.....	NIA.....	R&Q Ireland Company Limited By Guarantee..	Ownership.....	0.666	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Gamma Company Limited.....	GBR.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Insurance (Europe) Limited.....	MLT.....	IA.....	R&Q Malta Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
4234	Randall & Quilter Investment Grp	16206..	82-1212894..	N/A.....	N/A.....	N/A.....	R&Q RI Insurance Company.....	USA.....	IA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Eta Company Limited.....	GBR.....	IA.....	Accredited Insurance (Europe) Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	Distinguished Re.....	BRB.....	IA.....	R&Q Re (Bermuda) Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	Sandell Holdings Ltd.....	BMU.....	IA.....	R&Q Overseas Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
4234	Randall & Quilter Investment Grp	16660..	83-4075549..	N/A.....	N/A.....	N/A.....	National Legacy Insurance Company.....	USA.....	IA.....	Randall & Quilter America Holdings Inc.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	

Q12.1



## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4234	Randall & Quilter Investment Grp	16835..	20-2233889..	N/A.....	N/A.....	N/A.....	CMAL LLC.....	USA.....	NIA.....	R&Q Capital No.1 Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	R&Q Re (Cayman) Ltd.....	CYM.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....		
			85-1940387..	N/A.....	N/A.....	N/A.....	Accredited Specialty Insurance Company.....	USA.....	IA.....	Accredited Holding Corporation.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			12807710....	N/A.....	N/A.....	N/A.....	R&Q Overseas Holdings Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			81-1040745..	N/A.....	N/A.....	N/A.....	Tradesman Program Managers LLC.....	USA.....	NIA.....	Grafton US Holdings Inc.....	Ownership.....	0.400	Roosevelt Road Capital Partners.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	The World Marine & General Insurance PLC.....	GBR.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	Inceptum Insurance Company Limited.....	GBR.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Capital No. 8 Limited.....	GBR.....	IA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	R&Q Theta Designated Activity Company.....	IRL.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	Vibe Syndicate Management Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	Vibe Services Management Limited.....	GBR.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
			N/A.....	N/A.....	N/A.....	N/A.....	Oleum Insurance Company Limited.....	BRB.....	IA.....	Distinguished Re.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....	
N/A.....	N/A.....	N/A.....	N/A.....	R&Q Services Bermuda Limited.....	BMU.....	NIA.....	Randall & Quilter II Holdings Limited.....	Ownership.....	1.000	Randall & Quilter Investment Holdings Ltd.....	N.....				

Q12.2

**PART 1 - LOSS EXPERIENCE**

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.000	
2. Allied lines.....			0.000	
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....			0.000	
5. Commercial multiple peril.....			0.000	
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....			0.000	
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....			0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....			0.000	
17.1. Other liability-occurrence.....			0.000	
17.2. Other liability-claims made.....			0.000	
17.3. Excess workers' compensation.....			0.000	
18.1. Products liability-occurrence.....			0.000	
18.2. Products liability-claims made.....			0.000	
19.1, 19.2. Private passenger auto liability.....			0.000	
19.3, 19.4. Commercial auto liability.....			0.000	
21. Auto physical damage.....			0.000	
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....			0.000	
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....			0.000	
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	0	0	0.000	

NONE

DETAILS OF WRITE-INS				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

**PART 2 - DIRECT PREMIUMS WRITTEN**

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1. Other liability-occurrence.....			
17.2. Other liability-claims made.....			
17.3. Excess workers' compensation.....			
18.1. Products liability-occurrence.....			
18.2. Products liability-claims made.....			
19.1 19.2. Private passenger auto liability.....			
19.3 19.4. Commercial auto liability.....			
21. Auto physical damage.....			
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	0	0	0

NONE

DETAILS OF WRITE-INS			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2021 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2018 + Prior.....			.0			.0				.0	.0	.0	.0
2. 2019.....			.0			.0				.0	.0	.0	.0
3. Subtotals 2019 + Prior.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. 2020.....			.0			.0				.0	.0	.0	.0
5. Subtotals 2020 + Prior.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. 2021.....	.XXX	.XXX	.XXX	.XXX		.0	.XXX			.0	.XXX	.XXX	.XXX
7. Totals.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8. Prior Year-End's Surplus As Regards Policyholders.....	3,037										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....0.0 %	2. ....0.0 %	3. ....0.0 %
											Col. 13, Line 7 Line 8		
											4. ....0.0 %		

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



**Overflow Page  
NONE**

**Sch. A - Verification  
NONE**

**Sch. B - Verification  
NONE**

**Sch. BA - Verification  
NONE**

**Sch. D - Verification  
NONE**

**Sch. D - Pt. 1B  
NONE**

**Sch. DA - Pt. 1  
NONE**

**Sch. DA - Verification  
NONE**

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

## SCHEDULE E - PART 2 - VERIFICATION

### Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,947,802	2,937,665
2. Cost of cash equivalents acquired.....	363	10,137
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	2,948,165	2,947,802
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	2,948,165	2,947,802

**Sch. A Pt. 2  
NONE**

**Sch. A Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

**Sch. BA - Pt. 2  
NONE**

**Sch. BA - Pt. 3  
NONE**

**Sch. D - Pt. 3  
NONE**

**Sch. D - Pt. 4  
NONE**

**Sch. DB - Pt. A - Sn. 1  
NONE**

**Sch. DB - Pt. B - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 2  
NONE**

**Sch. DB - Pt. E  
NONE**

**Sch. DL - Pt. 1  
NONE**

**Sch. DL - Pt. 2  
NONE**

## SCHEDULE E - PART 1 - CASH

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Citizens Bank..... Riverside, RI.....					.....91,096	.....91,076	.....91,057	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....0	.....0	.....91,096	.....91,076	.....91,057	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....0	.....0	.....91,096	.....91,076	.....91,057	XXX
0599999. Total Cash.....	XXX	XXX	.....0	.....0	.....91,096	.....91,076	.....91,057	XXX



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Sweep Accounts</b>								
	Citizens Bank - Citizens Money Market.....		02/14/2018.....	0.750		2,844,905		805
8499999	Total - Sweep Accounts.....					2,844,905	0	805
<b>All Other Money Market Mutual Funds</b>								
31607A 70 3	Fidelity Govt MMKT.....		07/01/2017.....	0.930		103,259		8
8699999	Total - All Other Money Market Mutual Funds.....					103,259	0	8
9999999	Total - Cash Equivalents.....					2,948,165	0	813

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