

State of Rhode Island and Providence Plantations
DEPARTMENT OF BUSINESS REGULATION
Insurance Division
1511 PONTIAC AVENUE, BLDG. 69-2
CRANSTON, RHODE ISLAND 02920

IN THE MATTER OF:

Connecticut General Life Insurance Company
Life Insurance Company of North America

RESPONDENTS.

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DBR No. 09-I-0221

CONSENT AGREEMENT

It is hereby agreed between the Department of Business Regulation (“Department”) and Connecticut General Life Insurance Company and Life Insurance Company of North America (“Respondents”) which are members of the CIGNA Health Group as follows:

1. R.I. Gen. Laws § 27-2.4-4 and Insurance Regulation 103 require that all insurers writing business in the state of Rhode Island during a calendar year file a report with the Department listing all licensed insurance producers to which the insurer paid \$100 or more in the previous calendar year. For each such producer the insurer must pay a fee of \$30 to the Department;
2. Pursuant to Insurance Regulation 103 the report must be filed by all licensed insurers on or before March 1 of the following calendar year;
3. Respondents reported premium written in Rhode Island in calendar year 2008;
4. Respondents did not file the report prior to March 1, 2009;

5. On July 29, 2009 the Department wrote to Respondents reminding them of the obligation to file the report and indicating that administrative action would be taken if a response was not received by September 1, 2009;
6. A response was not received by September 1, 2009.

THEREFORE, based on the foregoing, Respondents and the Department have decided to resolve this matter without further administrative proceedings and hereby agree to the following resolution:

1. Respondents will pay a total fine of two thousand dollars (\$2,000) consisting of one thousand dollars (\$1,000) assessed against each insurance company.
2. Respondents have complied with the annual contracted producer report requirement.

Counsel for the Department and Respondents hereby consent and agree to the foregoing on behalf of their respective clients the 13th day of November 2009.

Department of Business Regulation
By it's Legal Counsel,

Respondents
By their attorney,

original signature on file
Elizabeth Kelleher Dwyer
