

State of Rhode Island and Providence Plantations DEPARTMENT OF BUSINESS REGULATION 1511 Pontiac Avenue, Bldg. 69-2 Cranston, Rhode Island 02920

Insurance Division

June 17, 2015

Jennifer Lesieur, Senior Paralegal The Hartford Law Department 200 Hopmeadow Street Simsbury, CT 06089

Dear Ms. Lesieur:

We have completed our target examination of Hartford Life & Annuity Insurance Company, NAIC code 71153, for the period January 1, 2011 through December 31, 2012. The areas of review were sales practices, new business written, and replacements of life insurance. The results of this exam demonstrated that no additional analysis would be necessary at this time. We are issuing this letter in lieu of an examination report because the findings are below our error tolerance level.

Any outstanding fees will be sent in an invoice that will be forwarded to the company under separate cover in accordance with RIGL §27-71-15(a). Thank you for your assistance in this matter. If you should have any questions you may contact me at 401-462-9612.

Sincerely,

Brett Bache

Senior Market Conduct Examiner

Tel: 401-462-9520 Fax: 401-462-9602 TDD: 711 Web Site: www.dbr. ri.gov