



QUARTERLY STATEMENT
AS OF JUNE 30, 2017
OF THE CONDITION AND AFFAIRS OF THE
Neighborhood Health Plan of Rhode Island

NAIC Group Code 0000 , 0000 NAIC Company Code 95402 Employer's ID Number 05-0477052
(Current Period) (Prior Period)

Organized under the Laws of Rhode Island , State of Domicile or Port of Entry RI

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health[] Property/Casualty[] Hospital, Medical & Dental Service or Indemnity[]
Dental Service Corporation[] Vision Service Corporation[] Health Maintenance Organization[X]
Other[] Is HMO Federally Qualified? Yes[] No[X] N/A[]

Incorporated/Organized 12/09/1993 Commenced Business 12/01/1994

Statutory Home Office 910 Douglas Pike , Smithfield, RI, US 02917
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 910 Douglas Pike
(Street and Number)

Smithfield, RI, US 02917 (401)459-6000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 910 Douglas Pike , Smithfield, RI, US 02917
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 910 Douglas Pike
(Street and Number)

Smithfield, RI, US 02917 (401)459-6000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address http://www.nhpri.org/

Statutory Statement Contact Joanne Roux (401)459-6118
(Name) (Area Code)(Telephone Number)(Extension)

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(E-Mail Address) (Fax Number)

OFFICERS

<u>Name</u>	<u>Title</u>
Peter Marino	Chief Executive Officer
Frank Meaney	Chief Financial Officer
Francisco Trilla MD	Chief Medical Officer

OTHERS

Peter Bancroft, Chairman Jane Hayward, Vice Chairman
Brenda Dowlatshahi, Secretary Peter Walsh, Treasurer

DIRECTORS OR TRUSTEES

Merrill Thomas	Brenda Dowlatshahi
Raymond Joseph Lavoie Jr.	Christopher Little Esq.
Pablo Rodriguez MD	Jane Hayward
Peter Bancroft CPA	Doris De Los Santos
Peter Walsh	Jeanne LaChance #
William Hochstrasser-Walsh	Dennis Roy
Michael Lichtenstein	Patricia Martinez
Richard Besdine MD	Peter Marino

State of Rhode Island
County of Providence ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>(Signature)</u> Peter Marino	<u>(Signature)</u> Frank Meaney	<u>(Signature)</u> Francisco Trilla, MD
<u>(Printed Name)</u> 1.	<u>(Printed Name)</u> 2.	<u>(Printed Name)</u> 3.
Chief Executive Officer	Chief Financial Officer	Chief Medical Officer
<u>(Title)</u>	<u>(Title)</u>	<u>(Title)</u>

Subscribed and sworn to before me this _____ day of _____, 2017

- a. Is this an original filing? Yes[X] No[]
b. If no, 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

(Notary Public Signature)

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	101,064,000		101,064,000	99,564,790
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances)				
4.2 Properties held for the production of income (less \$.....0 encumbrances)				
4.3 Properties held for sale (less \$.....0 encumbrances)				
5. Cash (\$.....25,009,933), cash equivalents (\$.....0) and short-term investments (\$.....147,413,037)	172,422,970		172,422,970	208,139,474
6. Contract loans (including \$.....0 premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities	9,516		9,516	4,117,478
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	273,496,486		273,496,486	311,821,742
13. Title plants less \$.....0 charged off (for Title insurers only)				
14. Investment income due and accrued	671,075		671,075	657,918
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	17,230,779	941,798	16,288,981	15,705,901
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	601,264		601,264	1,292,994
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	1,739,201	170,431	1,568,770	1,517,702
21. Furniture and equipment, including health care delivery assets (\$.....0)	1,192,432	1,192,432		
22. Net adjustments in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$.....13,190,013) and other amounts receivable	13,908,630	718,618	13,190,012	13,199,574
25. Aggregate write-ins for other-than-invested assets	17,796,463	1,757,193	16,039,270	4,630,587
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	326,636,331	4,780,472	321,855,859	348,826,418
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. TOTAL (Lines 26 and 27)	326,636,331	4,780,472	321,855,859	348,826,418
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Prepaid Premium Tax	14,942,119		14,942,119	4,533,436
2502. Prepaid Expenses	1,493,289	1,493,289		
2503. Receivable from State	1,097,151		1,097,151	97,151
2598. Summary of remaining write-ins for Line 25 from overflow page	263,903	263,903		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	17,796,463	1,757,193	16,039,270	4,630,587

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded)	136,954,928		136,954,928	119,484,968
2. Accrued medical incentive pool and bonus amounts	5,060,158		5,060,158	4,017,508
3. Unpaid claims adjustment expenses	3,215,531		3,215,531	3,215,531
4. Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act	21,185,532		21,185,532	9,939,296
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	21,386,916		21,386,916	88,649,482
9. General expenses due or accrued	20,385,341		20,385,341	14,005,612
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable				
12. Amounts withheld or retained for the account of others	1,849,295		1,849,295	1,849,295
13. Remittances and items not allocated				
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current)				
15. Amounts due to parent, subsidiaries and affiliates				
16. Derivatives				
17. Payable for securities				3,268
18. Payable for securities lending				
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$.....0) companies				
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans	7,111,516		7,111,516	4,953,400
23. Aggregate write-ins for other liabilities (including \$.....0 current)	104,732		104,732	5,386
24. Total liabilities (Lines 1 to 23)	217,253,948		217,253,948	246,123,746
25. Aggregate write-ins for special surplus funds	X X X	X X X		
26. Common capital stock	X X X	X X X		
27. Preferred capital stock	X X X	X X X		
28. Gross paid in and contributed surplus	X X X	X X X		
29. Surplus notes	X X X	X X X		
30. Aggregate write-ins for other-than-special surplus funds	X X X	X X X		
31. Unassigned funds (surplus)	X X X	X X X	104,601,911	102,702,671
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$.....0)	X X X	X X X		
32.20 shares preferred (value included in Line 27 \$.....0)	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	104,601,911	102,702,671
34. Total Liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	321,855,859	348,826,417
DETAILS OF WRITE-INS				
2301. Unclaimed Property Payable	5,699		5,699	5,386
2302. Security Deposit - Subleases	98,914		98,914	
2303. Other Liabilities	119		119	
2398. Summary of remaining write-ins for Line 23 from overflow page				
2399. TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above)	104,732		104,732	5,386
2501.	X X X	X X X		
2502.	X X X	X X X		
2503.	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X		
3001.	X X X	X X X		
3002.	X X X	X X X		
3003.	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099. TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X		

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	X X X	1,201,069	1,092,083	2,231,981
2. Net premium income (including \$.....0 non-health premium income)	X X X	666,678,192	533,291,491	1,119,659,753
3. Change in unearned premium reserves and reserves for rate credits	X X X			
4. Fee-for-service (net of \$.....0 medical expenses)	X X X			
5. Risk revenue	X X X			
6. Aggregate write-ins for other health care related revenues	X X X	1,000,000	2,226,648	2,231,385
7. Aggregate write-ins for other non-health revenues	X X X		50	50
8. Total revenues (Lines 2 to 7)	X X X	667,678,192	535,518,189	1,121,891,188
Hospital and Medical:				
9. Hospital/medical benefits		283,302,831	218,509,480	469,484,465
10. Other professional services		132,592,556	103,649,008	217,374,997
11. Outside referrals		87,644,187	71,157,455	157,043,745
12. Emergency room and out-of-area		23,685,509	20,810,897	40,989,636
13. Prescription drugs		82,125,202	58,914,646	124,911,385
14. Aggregate write-ins for other hospital and medical		(12,180,745)	(4,677,905)	(30,876,656)
15. Incentive pool, withhold adjustments and bonus amounts		2,499,733	6,625,074	6,629,774
16. Subtotal (Lines 9 to 15)		599,669,272	474,988,655	985,557,346
Less:				
17. Net reinsurance recoveries		262,906	1,512,385	4,069,687
18. Total hospital and medical (Lines 16 minus 17)		599,406,366	473,476,270	981,487,659
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$.....9,172,289 cost containment expenses		16,209,729	12,812,804	27,159,852
21. General administrative expenses		52,532,250	43,285,883	93,717,742
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only)				
23. Total underwriting deductions (Lines 18 through 22)		668,148,345	529,574,957	1,102,365,253
24. Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	(470,153)	5,943,232	19,525,935
25. Net investment income earned		956,208	551,365	1,249,604
26. Net realized capital gains (losses) less capital gains tax of \$.....0		(50,333)	377,531	315,929
27. Net investment gains or (losses) (Lines 25 plus 26)		905,875	928,896	1,565,533
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....290,544)]		(290,544)	(405,356)	(1,358,596)
29. Aggregate write-ins for other income or expenses				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	145,178	6,466,772	19,732,872
31. Federal and foreign income taxes incurred	X X X			
32. Net income (loss) (Lines 30 minus 31)	X X X	145,178	6,466,772	19,732,872
DETAILS OF WRITE-INS				
0601. EOHHS Incentive Income	X X X	1,000,000	2,219,398	2,219,398
0602. Grant Revenue	X X X		7,250	11,987
0603.	X X X			
0698. Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699. TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above)	X X X	1,000,000	2,226,648	2,231,385
0701. Miscellaneous Income	X X X		50	50
0702.	X X X			
0703.	X X X			
0798. Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799. TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above)	X X X		50	50
1401. Stop Loss Recoveries from EOHHS		(8,378,843)	(6,541,825)	(15,953,307)
1402. Gain Shares due to EOHHS			5,070,963	
1403. CMS Cost Sharing Reduction Recoveries		(3,801,902)	(3,207,043)	(14,923,349)
1498. Summary of remaining write-ins for Line 14 from overflow page				
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)		(12,180,745)	(4,677,905)	(30,876,656)
2901.				
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page				
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)				

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year	102,702,672	85,556,050	85,556,049
34. Net income or (loss) from Line 32	145,178	6,466,772	19,732,872
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	170,576	78,624	274,551
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax			
39. Change in nonadmitted assets	1,583,485	(219,043)	(2,860,800)
40. Change in unauthorized and certified reinsurance			
41. Change in treasury stock			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles			
44. Capital Changes:			
44.1 Paid in			
44.2 Transferred from surplus (Stock Dividend)			
44.3 Transferred to surplus			
45. Surplus adjustments:			
45.1 Paid in			
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus			
48. Net change in capital and surplus (Lines 34 to 47)	1,899,239	6,326,353	17,146,623
49. Capital and surplus end of reporting period (Line 33 plus 48)	104,601,911	91,882,403	102,702,672
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page			
4799. TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above)			

STATEMENT AS OF **June 30, 2017** OF THE **Neighborhood Health Plan of Rhode Island**
CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	610,681,389	448,373,160	1,025,119,680
2. Net investment income	1,243,823	924,272	2,019,644
3. Miscellaneous income	1,000,000	2,226,698	2,231,435
4. TOTAL (Lines 1 to 3)	612,925,211	451,524,130	1,029,370,759
5. Benefit and loss related payments	579,375,409	471,595,141	944,818,629
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	60,494,678	53,366,352	120,002,733
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)			
10. TOTAL (Lines 5 through 9)	639,870,087	524,961,493	1,064,821,362
11. Net cash from operations (Line 4 minus Line 10)	(26,944,875)	(73,437,363)	(35,450,603)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	20,683,416	34,604,761	56,436,308
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds	4,104,718	18	229
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7)	24,788,134	34,604,779	56,436,537
13. Cost of investments acquired (long-term only):			
13.1 Bonds	22,363,178	35,860,558	58,016,800
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications		38	4,117,496
13.7 TOTAL investments acquired (Lines 13.1 to 13.6)	22,363,178	35,860,596	62,134,296
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	2,424,956	(1,255,817)	(5,697,759)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(11,196,583)	(2,442,066)	(1,997,774)
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(11,196,583)	(2,442,066)	(1,997,774)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(35,716,503)	(77,135,246)	(43,146,136)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	208,139,475	251,285,611	251,285,611
19.2 End of period (Line 18 plus Line 19.1)	172,422,972	174,150,365	208,139,475

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001			
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	192,678	13,810	595						178,273	
2. First Quarter	200,186	16,632	705						182,849	
3. Second Quarter	204,494	16,368	728						187,398	
4. Third Quarter										
5. Current Year										
6. Current Year Member Months	1,201,069	95,246	4,209						1,101,614	
Total Member Ambulatory Encounters for Period:										
7. Physician	3,615,360	149,299	5,195						3,460,866	
8. Non-Physician	456,886	32,094	1,117						423,675	
9. Total	4,072,246	181,393	6,312						3,884,541	
10. Hospital Patient Days Incurred	693,940	3,228	112						690,600	
11. Number of Inpatient Admissions	40,112	643	22						39,447	
12. Health Premiums Written (a)	667,833,175	24,444,927	836,712						642,551,536	
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	667,833,175	24,444,927	836,712						642,551,536	
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	580,330,045	15,899,778	547,575						563,882,692	
18. Amount Incurred for Provision of Health Care Services	599,669,272	16,677,365	638,370						582,353,537	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)**Aging Analysis of Unpaid Claims**

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid						
0299999 Aggregate Accounts Not Individually Listed - Uncovered						
0399999 Aggregate Accounts Not Individually Listed - Covered	10,489,225	460,679	225,264	272,909	3,403,201	14,851,278
0499999 Subtotals	10,489,225	460,679	225,264	272,909	3,403,201	14,851,278
0599999 Unreported claims and other claim reserves						122,103,650
0699999 Total Amounts Withheld						
0799999 Total Claims Unpaid						136,954,928
0899999 Accrued Medical Incentive Pool And Bonus Amounts						5,060,158

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
	Line of Business	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year		
1. Comprehensive (hospital & medical)	4,639,692	11,980,713	3,389,511	5,989,234	8,029,203	8,635,125
2. Medicare Supplement						
3. Dental only						
4. Vision only						
5. Federal Employees Health Benefits Plan						
6. Title XVIII - Medicare						
7. Title XIX - Medicaid	76,249,995	485,739,655	12,211,014	115,365,170	88,461,009	110,849,843
8. Other health						
9. Health subtotal (Lines 1 to 8)	80,889,687	497,720,368	15,600,525	121,354,404	96,490,212	119,484,968
10. Healthcare receivables (a)	2,547,116	10,196,007			2,547,116	13,569,741
11. Other non-health						
12. Medical incentive pools and bonus amounts	1,457,084		2,560,424	2,499,733	4,017,508	4,017,508
13. Totals (Lines 9 - 10 + 11 + 12)	79,799,655	487,524,361	18,160,949	123,854,137	97,960,604	109,932,735

(a) Excludes \$.....1,165,507 loans or advances to providers not yet expensed.

Notes to Financial Statement

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of Neighborhood Health Plan of Rhode Island (the "Company" or "Neighborhood") have been prepared in conformity with accounting practices prescribed or permitted by the state of Rhode Island for determining and reporting the financial conditions and results of operations of an insurance company for determining its solvency under Rhode Island Insurance law. The National Association of Insurance Commissioners ("NAIC") Accounting Practices and Procedures Manual, ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Rhode Island.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Rhode Island is shown below:

		SSAP #	F/S Page	F/S Line #	2017	2016
<u>NET INCOME</u>						
(1)	State basis (Page 4, Line 32, Columns 2 & 3)				145,178	19,732,872
(2)	State Prescribed Practices that increase/(decrease) NAIC SAP:					
(201)						
(299)	Total				0	0
(3)	State Permitted Practices that increase/(decrease) NAIC SAP:					
(301)						
(399)	Total				0	0
(4)	NAIC SAP (1-2-3=4)				145,178	19,732,872
<u>SURPLUS</u>						
(5)	State basis (Page 3, Line 33, Columns 3 & 4)				104,601,911	102,702,671
(6)	State Prescribed Practices that increase/(decrease) NAIC SAP:					
(601)						
(699)	Total				0	0
(7)	State Permitted Practices that increase/(decrease) NAIC SAP:					
(701)						
(799)	Total				0	0
(8)	NAIC SAP (5-6-7=8)				104,611,911	102,702,671

Notes to Financial Statement

B. Use of Estimates in the Preparation of the Financial Statements.

No Material Change

C. Accounting Policy

(1) – (5) – No Material Change

(6) The Company had no loan-backed securities.

(7) – (13) - No Material Change

D. Going Concern

None

2. Accounting Changes and Corrections of Errors

No Material Change

3. Business Combinations and Goodwill

No Material Change

4. Discontinued Operations

No Material Change

5. Investments

A. Mortgage Loans – No Material Change

B. Debt Restructuring – No Material Change

C. Reverse Mortgages – No Material Change

D. Loan-Backed Securities – None

E. Repurchase Agreements

(1) Policy for requiring collateral or other security – No Material Change

(2) Carrying amount and classification of both those assets and associated liabilities – No Material Change

(3) Collateral accepted that it is permitted by contract or custom to sell or repledge:

a. Aggregate amount of contractually obligated open collateral positions – No Material Change

b. Fair value of that collateral and of the portion of that collateral that it has sold or repledged – None

c. Information about the sources and uses of that collateral – No Material Change

(4) Aggregate value of the reinvested collateral which is “one-line” reported and the aggregate reinvested collateral which is reported in the investment schedules – No Material Change

(5) Reinvestment of the cash collateral and any securities which it or its agent receives as collateral that can be sold or repledged – No Material Change

Notes to Financial Statement

(6) Collateral accepted that it is not permitted by contract or custom to sell or repledge – No Material Change

(7) Collateral for transactions that extend beyond one year from the reporting date – No Material Change

F. Real Estate – No Material Change

G. Low-income housing tax credits (LIHTC) – No Material Change

H. Restricted Assets – No Material Change

I. Working Capital Finance Investments

(2) Aggregate Book/Adjusted Carrying Value – None

(3) Events of Default – None

J. Offsetting and Netting of Assets and Liabilities – None

K. Structured Notes – No Material Change

L. 5* Securities – No Material Change

M. Short Sales – No Material Change

N. Prepayment Penalty and Acceleration Fees – No Material Change

6. Joint Ventures, Partnerships and Limited Liability Companies

No Material Change

7. Investment Income

No Material Change

8. Derivative Instruments

No Material Change

9. Income Taxes

No Material Change

10. Information Concerning Parent, Subsidiaries and Affiliates

A, B, & C - No Material Change

D. Amounts Due from or to Related Parties - No Material Change

E. Guarantees - No Material Change

F. Material management contracts – No Material Change

G. Common Control - No Material Change

H. Deductions in Value - No Material Change

I. SCA that exceed 10% of Admitted Assets - No Material Change

J. Investments in SCAs - No Material Change

Notes to Financial Statement

K. Foreign Subsidiary - No Material Change

L. Downstream Noninsurance Holding Company - No Material Change

M. Investments in SCAs – No Material Change

N. Investments in SCAs – No Material Change

11. Debt

A. Debt - No Material Change

B. FHLB (Federal Home Loan Bank) Agreements - None

12. Retirement Plans, Deferred Compensation, Post-Employment Benefits, Compensated Absences and other Postretirement Benefit Plans.

A. Defined Benefit Plan

(1) Change in benefit obligation – No Material Change

(2) Change in plan assets- No Material Change

(3) Funded status - No Material Change

(4) Components of net periodic benefit cost - None

(5) The amount included in unassigned funds (surplus) for the period arising from a change in the additional minimum pension liability recognized - No Material Change

(6) Amounts in unassigned funds (surplus expected to be recognized in the next fiscal year as components of net periodic benefit cost - No Material Change

(7) Amounts in unassigned funds (surplus) that have not been recognized as components of net periodic benefit cost - No Material Change

(8) Weighted-average assumptions used to determine net period benefit cost - No Material Change

(9) The amount of accumulated benefit obligation for defined benefit pension plans - No Material Change

(10) – (11) The defined benefit pension plan asset allocation as of the measurement date, and the target asset allocation, presented as a percentage of total plan assets - No Material Change

(12) Estimated future payments, which reflect expected future service, as appropriate, are expected to be paid in the years– No Material Change

(13) Regulatory contribution requirements – No Material Change

(14) – (21) – No Material Change.

B. Investment Policies and Strategies – No Material Change

C. Plan Assets – No Material Change

D. Long-term Rate-of-return-on-assets Assumption - No Material Change

E. Defined Contribution Plans – No Material Change

F. Multi-Employer Plan – No Material Change

Notes to Financial Statement

- G. Consolidated/Holding Company Plans – No Material Change
- H. Post-Employment Benefits and Compensated Absences – No Material Change
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) – No Material Change

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

- (1) – (11) - No Material Change
- (12) – (13) - No Material Change

14. Contingencies

No Material Change

15. Leases

- A. Lessee Operating Leases - No Material Change
- B. (1) Lessor Leases – No Material Change
- (2) Leveraged Leases - No Material Change

16. Information about Financial Instruments with off-balance sheet risk and financial instruments with concentrations of credit risk.

No Material Change

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities.

- A. Transfers of Receivables reported as Sales – No Material Change
- B. Transfer and Servicing of Financial Assets
 - (1) Description of loaned securities – No Material Change
 - (2) Servicing Assets and Liabilities
 - a. Risks inherent in servicing assets and servicing liabilities – None
 - b. Amount of contractually specified servicing fees, late fees and ancillary fees earned for each period – None
 - c. Assumptions used to estimate the fair value – None
 - (3) Servicing assets and servicing liabilities are subsequently measured at fair value – No Material Change
 - (4) For securitizations, asset-backed financing arrangements, and similar transfers accounted for as sales when the transferor has continuing involvement (as defined in the glossary of the Accounting Practices & Procedures Manual) with the transferred financial assets:
 - a. Each income statement presented – None
 - b. Each statement of financial position presented, regardless of when the transfer occurred – None

Notes to Financial Statement

(5) Transfers of financial assets accounted for as secured borrowing value – No Material Change

(6) Transfers of receivables with recourse – No Material Change

(7) Securities underlying repurchase and reverse repurchase agreements – No Material Change

C. Wash Sales – None

18. Gain or Loss to the Reporting Entity from Uninsured A & H Plans and the Uninsured Portion of Partially Insured Plans.

No Material Change

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators.

No Material Change

20. Fair Value Measurements**A. Fair Market Value at Reporting Date**

(1) Fair Value Measurements at reporting date: During the year of 2016, Neighborhood did not report any assets or liabilities at fair value by using Level 1, level 2 and level 3 measures after initial recognition. Level 1 measurement is the unadjusted quoted price for identical assets or liabilities in active markets accessible at the measurement date, Level 2 measurement requires significant other observable inputs, and Level 3 requires significant unobservable inputs. Neighborhood's investments, comprised principally of bonds, are recorded at amortized cost.

(2) Fair Value Measurements in (Level 3) of the Fair Value - None

(3) The Company's policy for determining when transfers between levels are recognized is determined at the end of the reporting period.

(4) The valuation techniques and inputs used in the fair value measurement Level 2: The fair value of most securities is priced automatically through Neighborhood's primary pricing vendor: Interactive Data Pricing and Reference Data, Inc., and other industry leading pricing sources like Bloomberg and PricingDirect Inc. In the event an automated price is not available from pricing vendors, other pricing sources, like investment managers and brokers, are used to ensure accurate and timely pricing.

The valuation techniques and inputs used in the fair value measurement Level 3 - Not Applicable.

(5) Derivative assets and liabilities- None

B. Fair Value Information under SSAP No. 100 combined with Fair Value information Under Other Account Pronouncements – None**C. Aggregate Fair Value of All Financial Instruments**

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$100,717,827	\$101,064,001		\$100,717,827		
Short-term Investments	\$147,391,276	\$147,413,037		\$147,391,276		

D. Not Practicable to Estimate Fair Value - None

Notes to Financial Statement

21. Other Items

- A. Unusual or Infrequent Items – No Material Change
- B. Troubled Debt Restructuring – No Material Change
- C. Other Disclosures – No Material Change
- D. Business Interruption Insurance Recoveries - No Material Change
- E. State Transferable and Nontransferable Tax Credits - No Material Change
- F. Subprime Related Risk Exposure – No Material Change
- G. Retained Assets - No Material Change
- H. Insurance Linked Securities – No Material Change

22. Events Subsequent

Type I. – Recognized Subsequent Events

No Material Change

Type II. – Nonrecognized Subsequent Events

No Material Change

23. Reinsurance

No Material Change

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. Estimates accrued retrospective premium adjustments – No Material Change
- B. Accrued retrospective premium – No Material Change.
- C. Net premiums written subject to retrospective rating features – No Material Change.
- D. Medical loss ratio rebates required pursuant to the Public Health Service Act – No Material Change
- E. Risk-Sharing Provisions of the Affordable Care Act (ACA)
 - (1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO)? Yes

Notes to Financial Statement**(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year**

	<u>AMOUNT</u>
a. Permanent ACA Risk Adjustment Program	
Assets	
1.Premium adjustments receivable due to ACA Risk Adjustment	0
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	10,569
3.Premium adjustments payable due to ACA Risk Adjustment	17,366,183
Operations (Revenue & Expense)	
4.Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	(6,463,443)
5.Reported in expenses as ACA risk adjustment user fees (incurred/paid)	4,609
b. Transitional ACA Reinsurance Program	
Assets	
1.Amounts recoverable for claims paid due to ACA Reinsurance	288,664
2.Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	0
3.Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	0
Liabilities	
4.Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	23,163
5.Ceded reinsurance premiums payable due to ACA Reinsurance	0
6.Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	0
Operations (Revenue & Expense)	
7.Ceded reinsurance premiums due to ACA Reinsurance	28,287
8.Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	(173,053)
9.ACA Reinsurance contributions – not reported as ceded premium	23,163
c. Temporary ACA Risk Corridors Program	
Assets	
1.Accrued retrospective premium due to ACA Risk Corridors	0
Liabilities	
2.Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	0
Operations (Revenue & Expense)	
3.Effect of ACA Risk Corridors on net premium income (paid/received)	0
4.Effect of ACA Risk Corridors on change in reserves for rate credits	0

Notes to Financial Statement

- (3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
	1	2	3	4	5	6	7	8	Ref	9	10
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	A	\$0	\$0
2. Premium adjustments (payable)	\$0	\$7,227,174	\$0	\$0	\$0	\$7,227,174	\$0	\$4,704,346	B	\$0	\$11,931,520
3. Subtotal ACA Permanent Risk Adjustment Program	\$0	\$7,227,174	\$0	\$0	\$0	\$7,227,174	\$0	\$4,704,346		\$0	\$11,931,520
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	\$625,366	\$0	\$1,441,437	\$0	\$(816,071)	\$0	\$1,104,735	\$0	C	\$288,664	\$0
2. Amounts recoverable for claims unpaid (contra liability)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	D	\$0	\$0
3. Amounts receivable relating to uninsured plans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	E	\$0	\$0
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	F	\$0	\$0
5. Ceded reinsurance premiums payable	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	G	\$0	\$0
6. Liability for amounts held under uninsured plans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	H	\$0	\$0
7. Subtotal ACA Transitional Reinsurance Program	\$625,366	\$0	\$1,441,437	\$0	\$(816,071)	\$0	\$1,104,735	\$0		\$288,664	\$0
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	I	\$0	\$0
2. Reserve for rate credits or policy experience rating refunds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	J	\$0	\$0
3. Subtotal ACA Risk Corridors Program	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0		\$0	\$0
d. Total for ACA Risk Sharing Provisions	\$625,366	\$7,227,174	\$1,441,437	\$0	\$(816,071)	\$7,227,174	\$1,104,735	\$4,704,346		\$288,664	\$11,931,520

Explanations of Adjustments

A
B. Adjusted amount based on further development.
C. Adjusted amount based on further claim development.
D
E
F
G
H
I.
J

- (4) Roll Forward of ACA Risk Corridor Asset and Liability Balances - None

- (5) ACA Risk Corridor Receivable – None

Notes to Financial Statement

25. Change in Incurred Claims and Claims Adjustment Expenses

Reserves as of December 31, 2016 were \$126,718,007. As of June 30, 2017, \$85,562,302 has been paid for incurred claims and claims adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$18,160,948 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$22,994,756 favorable prior-year development since December 31, 2016 to June 30, 2017. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements

No Material Change

27. Structured Settlements

No Material Change

28. Health Care Receivables

No Material Change

29. Participating Policies

No Material Change

30. Premium Deficiency Reserves

No Material Change

31. Anticipated Salvage and Subrogation

No Material Change

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[] No[] N/A[X]

- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[] No[X]
- 2.2 If yes, date of change:

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[] No[X]
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[] No[] N/A[X]
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 11/21/2014
- 6.4 By what department or departments?
Rhode Island Department of Business Regulation, Insurance Division
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[] No[X] N/A[]

- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[] No[X]
- 7.2 If yes, give full information

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[]
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:

- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
- 13. Amount of real estate and mortgages held in short-term investments: \$ 0

GENERAL INTERROGATORIES (Continued)

INVESTMENT

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?
 14.2 If yes, please complete the following:

Yes No

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock		
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
 If no, attach a description with this statement.

Yes No
 Yes No N/A

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2
 16.3 Total payable for securities lending reported on the liability page

\$ 0
 \$ 0
 \$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?
 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes No

1 Name of Custodian(s)	2 Custodian Address
.....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
Comerica Bank	411 West Lafayette, Detroit, MI 48226	Not all provisions related to safeguards and controls were included in Custodial Agreement
RBS Citizens Bank	One Citizens Plaza, Providence, RI 02903	Not all provisions related to safeguards and controls were included in Custodial Agreement

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
 17.4 If yes, give full and complete information relating thereto:

Yes No

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
Income Research & Management	U
RBS Citizens Bank - Private Wealth	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?
 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?
 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

Yes No

Yes No

GENERAL INTERROGATORIES (Continued)

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
104863	Income Research & Management	DRMSV1Q0EKMEXLAU1P80	SEC	DS
.....	RBS Citizens Bank - Private Wealth	Inv Mgr, not a registered Investment Advisor	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes[X] No[]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent 91.285%
1.2 A&H cost containment percent 1.376%
1.3 A&H expense percent excluding cost containment expenses 8.935%
2.1 Do you act as a custodian for health savings accounts?	Yes[] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
Accident and Health - Non-affiliates								
27855	36-2781080	01/01/2017	ZURICH AMER INS CO OF IL	IL	SSL/A/I	Authorized		

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**Current Year to Date - Allocated by States and Territories**

State, Etc.	1 Active Status	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama (AL)	N								
2. Alaska (AK)	N								
3. Arizona (AZ)	N								
4. Arkansas (AR)	N								
5. California (CA)	N								
6. Colorado (CO)	N								
7. Connecticut (CT)	N								
8. Delaware (DE)	N								
9. District of Columbia (DC)	N								
10. Florida (FL)	N								
11. Georgia (GA)	N								
12. Hawaii (HI)	N								
13. Idaho (ID)	N								
14. Illinois (IL)	N								
15. Indiana (IN)	N								
16. Iowa (IA)	N								
17. Kansas (KS)	N								
18. Kentucky (KY)	N								
19. Louisiana (LA)	N								
20. Maine (ME)	N								
21. Maryland (MD)	N								
22. Massachusetts (MA)	N								
23. Michigan (MI)	N								
24. Minnesota (MN)	N								
25. Mississippi (MS)	N								
26. Missouri (MO)	N								
27. Montana (MT)	N								
28. Nebraska (NE)	N								
29. Nevada (NV)	N								
30. New Hampshire (NH)	N								
31. New Jersey (NJ)	N								
32. New Mexico (NM)	N								
33. New York (NY)	N								
34. North Carolina (NC)	N								
35. North Dakota (ND)	N								
36. Ohio (OH)	N								
37. Oklahoma (OK)	N								
38. Oregon (OR)	N								
39. Pennsylvania (PA)	N								
40. Rhode Island (RI)	L	25,281,640		642,551,536			667,833,175		
41. South Carolina (SC)	N								
42. South Dakota (SD)	N								
43. Tennessee (TN)	N								
44. Texas (TX)	N								
45. Utah (UT)	N								
46. Vermont (VT)	N								
47. Virginia (VA)	N								
48. Washington (WA)	N								
49. West Virginia (WV)	N								
50. Wisconsin (WI)	N								
51. Wyoming (WY)	N								
52. American Samoa (AS)	N								
53. Guam (GU)	N								
54. Puerto Rico (PR)	N								
55. U.S. Virgin Islands (VI)	N								
56. Northern Mariana Islands (MP)	N								
57. Canada (CAN)	N								
58. Aggregate other alien (OT)	X X X								
59. Subtotal	X X X	25,281,640		642,551,536			667,833,175		
60. Reporting entity contributions for Employee Benefit Plans	X X X								
61. Total (Direct Business)	(a) 1	25,281,640		642,551,536			667,833,175		
DETAILS OF WRITE-INS									
58001.	X X X								
58002.	X X X								
58003.	X X X								
58998. Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X								

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

15 Schedule Y - Part 1 NONE

16 Schedule Y Part 1A - Detail of Insurance Holding Company System NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



95402201736500002

2017

Document Code: 365

OVERFLOW PAGE FOR WRITE-INS**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1197. Summary of remaining write-ins for Line 11 (Lines 1104 through 1196)				
2504. Deposits	91,504	91,504		
2505. Other Receivables	172,400	172,400		
2597. Summary of remaining write-ins for Line 25 (Lines 2504 through 2596)	263,903	263,903		

STATEMENT AS OF **June 30, 2017** OF THE **Neighborhood Health Plan of Rhode Island**
SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points		
9. Total foreign exchange change in book value/recorded investment		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	99,564,790	98,261,733
2. Cost of bonds and stocks acquired	22,363,178	58,016,800
3. Accrual of discount	53,181	60,628
4. Unrealized valuation increase (decrease)	170,576	274,551
5. Total gain (loss) on disposals	(50,357)	315,700
6. Deduct consideration for bonds and stocks disposed of	20,683,416	56,436,308
7. Deduct amortization of premium	353,952	928,314
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	101,064,001	99,564,790
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	101,064,001	99,564,790

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	247,721,751	56,354,677	55,543,954	(55,436)	247,721,751	248,477,038		243,230,402
2. NAIC 2 (a)								
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)	99,879	(99,836)		(43)	99,879			
6. NAIC 6 (a)								
7. Total Bonds	247,821,630	56,254,841	55,543,954	(55,479)	247,821,630	248,477,038		243,230,402
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	247,821,630	56,254,841	55,543,954	(55,479)	247,821,630	248,477,038		243,230,402

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....147,413,037; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

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SCHEDULE DA - PART 1**Short - Term Investments**

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	147,413,037	X X X	147,413,194	313,919	100,791

SCHEDULE DA - Verification**Short-Term Investments**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	143,517,635	146,961,135
2. Cost of short-term investments acquired	92,957,184	152,457,441
3. Accrual of discount	18,093	22,685
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	73	210
6. Deduct consideration received on disposals	89,047,677	155,859,111
7. Deduct amortization of premium	32,270	64,725
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	147,413,037	143,517,635
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	147,413,037	143,517,635

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SCHEDULE E - Verification
(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	147,976
2.	Cost of cash equivalents acquired	1,807,347	620,568
3.	Accrual of discount	742	83
4.	Unrealized valuation increase (decrease)
5.	Total gain (loss) on disposals	(50)	18
6.	Deduct consideration received on disposals	1,956,015	472,693
7.	Deduct amortization of premium
8.	Total foreign exchange change in book/adjusted carrying value
9.	Deduct current year's other-than-temporary impairment recognized
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	147,976
11.	Deduct total nonadmitted amounts
12.	Statement value at end of current period (Line 10 minus Line 11)	147,976

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
912810PS1	UNITED STATES TREASURY		04/28/2017	NOMURA SECURITIES/FIXED INCOME	X X X	208,153	175,154	1,218	1
9128282B5	UNITED STATES TREASURY		05/02/2017	CITIGROUP GLOBAL MARKETS INC.	X X X	225,123	228,000	364	1
912828L99	UNITED STATES TREASURY		06/12/2017	Not Provided	X X X	198,609	200,000	329	1
912828Q60	UNITED STATES TREASURY		04/28/2017	MLPFS INC FIXED INCOME	X X X	859,421	851,043	47	1
912828S50	UNITED STATES TREASURY		05/31/2017	VARIOUS	X X X	304,168	310,216	147	1
912828V49	UNITED STATES TREASURY		06/01/2017	VARIOUS	X X X	221,087	220,786	181	1
912828V72	UNITED STATES TREASURY		06/01/2017	VARIOUS	X X X	2,288,506	2,281,000	11,728	1
912828V98	UNITED STATES TREASURY		06/01/2017	BARCLAYS CAPITAL INC FIXED INC	X X X	475,722	474,000	3,152	1
912828X39	UNITED STATES TREASURY		04/28/2017	VARIOUS	X X X	490,868	487,818	27	1
912828XR6	UNITED STATES TREASURY		06/28/2017	CREDIT LYONNAISE SECS	X X X	1,130,412	1,134,000	1,627	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	6,402,069	6,362,017	18,819	X X X
Bonds - U.S. Special Revenue, Special Assessment									
31347AJ47	FH 840283 - RMBS		06/12/2017	CHASE SECURITIES	X X X	334,666	327,302	488	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	334,666	327,302	488	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
037833CU2	APPLE INC		05/04/2017	Goldman Sachs	X X X	272,741	273,000		1FE
084670BR8	BERKSHIRE HATHAWAY FINANCE CORP		05/22/2017	VARIOUS	X X X	215,319	213,000	827	1FE
17325FAE8	CITIBANK NA		06/06/2017	CITIGROUP GLOBAL MARKETS INC.	X X X	249,893	250,000		1FE
36192KAT4	GSMS 12GCJ7 A4 - CMBS		06/08/2017	Credit Suisse First Boston	X X X	190,731	182,634	206	1FM
747525AP8	QUALCOMM INC		05/19/2017	Goldman Sachs	X X X	338,980	339,000		1FE
857477AZ6	STATE STREET CORP		05/10/2017	MORGAN STANLEY DEAN WITTER	X X X	434,000	434,000		1FE
89190BAE8	TAOT 17B A4 - ABS		05/09/2017	SG COWEN SECURITIES CORP	X X X	344,907	345,000		1FE
90349DAD4	UBSBB 12C3 A4 - CMBS		04/11/2017	UBS WARBURG LLC	X X X	54,339	53,000	73	1FM
94983BAT6	WFMB 064 2A2 - CMO/RMBS		05/01/2017	Not Provided	X X X	(99,836)	(100,000)	(138)	5FM
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,001,073	1,989,634	968	X X X
8399997 Subtotal - Bonds - Part 3					X X X	8,737,809	8,678,953	20,275	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	8,737,809	8,678,953	20,275	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	X X X	X X X	X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	8,737,809	X X X	20,275	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

QE04

SCHEDULE D - PART 4

**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter**

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's Temporary Amortization/ Accretion	Current Year's Other Than Impairment Recognized	Total Change in B./A.C.V.	Total Foreign Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
83162CPD9	SBAP 0420K A - ABS		06/01/2017	Paydown	XXX	18,992	18,992	21,032	21,129		(2,138)		(2,138)		18,992				463	11/01/2024	1
83162CRA3	SBAP 0720E A - ABS		06/01/2017	Paydown	XXX	13,699	13,699	15,747	15,744		(2,046)		(2,046)		13,699				371	05/01/2027	1
83162CUB7	SBAP 1120F A - ABS		06/01/2017	Paydown	XXX	13,058	13,058	13,520	13,514		(456)		(456)		13,058				88	06/01/2031	1
83162CUCV3	SBAP 1220F A - ABS		06/01/2017	Paydown	XXX	9,909	9,909	9,909	9,909						9,909				126	06/01/2032	1
83162CVA8	SBAP 1220I A - ABS		06/01/2017	Adjustment	XXX														16	09/01/2032	1
83162CVB6	SBAP 1220J A - ABS		05/01/2017	Paydown	XXX	55,613	55,613	53,182	53,335		2,278		2,278		55,613					10/01/2032	1
83162CVN0	SBAP 1320E A - ABS		06/01/2017	Paydown	XXX	21,244	21,244	19,974	20,022		1,223		1,223		21,244				233	05/01/2033	1
83162CVP5	SBAP 1320F A - ABS		06/01/2017	Paydown	XXX	49,482	49,482	49,178	49,209		273		273		49,482				473	06/01/2033	1
83162CWE9	SBAP 1420D A - ABS		04/01/2017	Paydown	XXX	59,083	59,083	59,338	59,296		(213)		(213)		59,083					04/01/2034	1
83162CWG4	SBAP 1420E A - ABS		06/01/2017	Paydown	XXX	4,110	4,110	4,110	4,110						4,110					05/01/2034	1
83162CWH2	SBAP 1420F A - ABS		06/01/2017	Paydown	XXX	42,823	42,823	43,012	42,995		(172)		(172)		42,823				457	06/01/2034	1
83162CWN9	SBAP 1420I A - ABS		05/01/2017	Adjustment	XXX														44	09/01/2034	1
83162CXA6	SBAP 1520E E - ABS		06/01/2017	Paydown	XXX	12,326	12,326	12,326	12,326						12,326					05/01/2035	1
912828B5	UNITED STATES TREASURY		06/12/2017	CITIGROUP GLOBAL MARKETS INC.	XXX	224,945	228,000	225,123			141		141		225,264		(319)	(319)	557	08/15/2019	1
912828HN3	UNITED STATES TREASURY		06/01/2017	DEUTSCHE BANK ALEX BROWN	XXX	50,723	50,001	52,635	50,834	(1,309)	(386)		(1,695)		49,135		1,588	1,588	641	01/15/2018	1
912828M98	UNITED STATES TREASURY		06/08/2017	VARIOUS	XXX	396,999	396,000	404,198	402,789		(738)		(738)		402,051		(5,051)	(5,051)	3,359	11/30/2020	1
912828MF4	UNITED STATES TREASURY		06/01/2017	MLPFS INC FIXED INCOME	XXX	208,940	208,940	218,661	216,885	(6,532)	(753)		(7,285)		209,600		10,798	10,798	2,055	01/15/2020	1
912828Q37	UNITED STATES TREASURY		06/01/2017	CREDIT LYONNAISE SECS	XXX	536,145	547,000	552,790	552,197		(428)		(428)		551,769		(15,623)	(15,623)	4,185	03/31/2021	1
912828QV5	UNITED STATES TREASURY		06/01/2017	VARIOUS	XXX	309,060	298,553	299,330	305,967	(16,976)	(947)		(17,923)		288,045		21,015	21,015	1,631	07/15/2017	1
912828SQ4	UNITED STATES TREASURY		06/01/2017	VARIOUS	XXX	270,895	270,895	270,206	270,046	(7,405)	(810)		(8,215)		261,831		9,063	9,063	169	04/15/2017	1
912828UX6	UNITED STATES TREASURY		06/01/2017	VARIOUS	XXX	1,237,337	1,234,038	1,220,802	1,235,514	(38,497)	(2,816)		(41,313)		1,194,201		43,135	43,135	837	04/15/2018	1
912828W8	UNITED STATES TREASURY		06/12/2017	JEFFERIES & COMPANY, INC	XXX	69,954	70,000	70,123		(26)			(26)		70,097		(144)	(144)	542	10/31/2018	1
912828XB1	UNITED STATES TREASURY		06/01/2017	Goldman Sachs	XXX	232,457	235,000	231,437	231,787		120		120		231,907		550	550	2,359	05/15/2025	1
912828XH8	UNITED STATES TREASURY		06/06/2017	VARIOUS	XXX	112,387	112,000	111,794	111,840		14		14		111,854		533	533	1,493	06/30/2020	1
0599999	Subtotal - Bonds - U.S. Governments				XXX	3,961,639	3,950,765	3,958,428	3,679,448	(70,718)	(7,880)		(78,599)		3,896,093		65,546	65,546	20,100	XX	XX
Bonds - U.S. Special Revenue, Special Assessment																					
31300M7L1	FH 849899 - RMBS		06/01/2017	Paydown	XXX	13,554	13,554	14,088	14,128		(574)		(574)		13,554		0	0	126	06/01/2044	1
313379FW4	FEDERAL HOME LOAN BANKS		06/09/2017	Maturity @ 100.0	XXX	250,000	250,000	251,282	250,279		(279)		(279)		250,000				1,250	06/09/2017	1
313560VC4	FEDERAL NATIONAL MORTGAGE ASSOCIATION		05/01/2017	Adjustment	XXX						599		599							02/28/2018	1FE
3136AHUV0	FN 14M01 AQ2 - CMBS		06/01/2017	Paydown	XXX	1,237	1,237	1,270	1,250		(13)		(13)		1,237				12	11/25/2018	1
3136G0YE5	FEDERAL NATIONAL MORTGAGE ASSOCIATION		05/01/2017	Adjustment	XXX						1,034		1,034							08/28/2017	1
3137AJYX6	FHR 3977C AD - CMO/RMBS		06/01/2017	Paydown	XXX	5,129	5,129	5,316	5,219		(90)		(90)		5,129		0	0	55	09/15/2029	1
3137BMMK2	FHR 4532A YA - CMO/RMBS		06/01/2017	VARIOUS	XXX	406,814	395,682	404,585	404,318		(622)		(622)		403,695		3,119	3,119	5,944	10/15/2041	1
3137EADH9	FREDDIE MAC		06/29/2017	Maturity @ 100.0	XXX	225,000	225,000	226,184	225,238		(238)		(238)		225,000				1,125	06/29/2017	1FE
3138ETM45	FN AL8478 - RMBS		06/01/2017	Paydown	XXX	20,530	20,530	21,337	21,385		(855)		(855)		20,530		0	0	190	09/01/2044	1
3138ETND4	FN AL8487 - RMBS		06/01/2017	Paydown	XXX	13,114	13,114	13,488	13,520		(406)		(406)		13,114		0	0	115	04/01/2046	1
3138Y7F98	FN AX5591 - RMBS		06/01/2017	Paydown	XXX	14,252	14,252	14,805	14,818		(566)		(566)		14,252				147	01/01/2045	1
31397MTY3	FNR 0881A LK - CMO/RMBS		06/01/2017	Paydown	XXX	32,313	32,313	35,196	33,118		(806)		(806)		32,313				586	09/25/2023	1
491189FC5	KENTUCKY ASSET / LIABILITY COMMN GEN FD		05/01/2017	VARIOUS	XXX	165,007	165,007	172,393	167,234		(446)		(446)		166,788		(1,781)	(1,781)		04/01/2018	1FE
3199999	Subtotal - Bonds - U.S. Special Revenue, Special Assessment				XXX	1,146,950	1,135,819	1,159,943	1,150,506	(3,261)			(3,261)		1,145,612		1,338	1,338	9,551	XX	XX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
037833BF6	APPLE INC		06/01/2017	Goldman Sachs	XXX	352,084	347,000	346,362	346,500		31		31		346,531		5,553	5,553	4,580	05/13/2022	1FE
084664BS9	Maturity @ 100.0		05/15/2017	VARIOUS	XXX	100,000	100,000	101,734	100,222		(222)		(222)		100,000				800	05/15/2017	1FE
084670BF4	BERKSHIRE HATHAWAY INC		06/01/2017	VARIOUS	XXX	224,050	213,000	229,840	229,400	(1,062)	(1,062)		(1,062)		228,338		(4,288)	(4,288)	5,549	01/31/2022	1FE
12623SAB6	COMM 12CR6E A2 - CMBS		06/01/2017	Paydown	XXX	19,826	19,826	20,321	19,916		(90)		(90)		19,826				112	12/12/2045	1FM
17311QBK5	COCMT 07C6 A4 - CMBS		06/13/2017	Paydown	XXX	193,260	193,260	203,285	195,834		(2,575)		(2,575)		193,260				5,017	12/10/2049	1FM
20047RAE3	COMM 07C9 A4 - CMBS		04/11/2017	Paydown	XXX	219,339	219,339	247,538	223,143		(3,796)		(3,796)		219,339		0	0	5,843	12/10/2049	1FM
20173TAE7	CSFB 07C4 A4 - CMBS		06/01/2017	Paydown	XXX	138,505	138,505	148,939	140,567		(2,061)		(2,061)		138,505				3,625	09/15/2039	1FM
210795PZ7	UNITED AIRLINES INC		04/11/2017	Paydown	XXX	10,508	10,508	10,968		(460)			(460)		10,508					10/11/2025	1FE
210795QB9	UNITED AIRLINES INC		04/29/2017	Paydown	XXX	10,551	10,551	10,875		(324)			(324)		10,551		0	0		04/29/2026	1FE
34530TAE4	FORDL 15A A4 - ABS		06/15/2017	Paydown	XXX	7,209	7,209	7,208	7,209		0		0		7,209				39	08/15/2018	1FE
34530YAD5	FORDO 15C A3 - ABS		06/15/2017	Paydown	XXX	32,373	32,373	32,367	32,370		3		3		32,373				190	02/15/2020	1FE
36192KAT4	GSMS 12GCJ7 A4 - CMBS		06/01/2017	Paydown	XXX	13,699	13,699	14,677	14,621		(922)		(922)		13,699				193	05/12/2045	1FM
46634SAC9	JPMCC 12C6 A3 - CMBS		06/01/2017	Paydown	XXX	5,387	5,387	5,685	5,680		(293)		(293)		5,387				59	05/17/2045	1FM
46639EAB7	JPMCC 12LC9 A2 - CMBS		06/01/2017	Paydown	XXX	14,741	14,741	15,110	14,792		(51)		(51)		14,741		0	0	114	12/17/204	

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Forfeiture	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
55313KAE1	MLCFC 077 A4 - CMBS		06/01/2017	Paydown	X X X	123,432	123,432	132,925	125,178		(1,746)		(1,746)		123,432		0	0	3,190	06/12/2050	1FM	
617446H51			04/27/2017	Maturity @ 100.0	X X X	130,000	130,000	133,390	130,185		(185)		(185)		130,000				3,608	04/27/2017	1FE	
90783WAA1	UNP 061 1 - ABS		06/01/2017	RAYMOND JAMES/FI	X X X	392,887	345,056	401,128	398,605		(2,615)		(2,615)		395,989		(3,102)	(3,102)	17,637	07/02/2030	1FE	
92938CAB3	WFRBS 13C15 A2 - CMBS		06/01/2017	Paydown	X X X	6,493	6,493	6,688	6,583		(90)		(90)		6,493		0	0	65	08/17/2046	1FM	
929903DT6			06/15/2017	Maturity @ 100.0	X X X	730,000	730,000	827,120	744,822		(14,822)		(14,822)		730,000		0	0	20,988	06/15/2017	1FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	2,724,344	2,660,379	2,896,158	2,735,626		(31,279)		(31,279)		2,726,181		(1,837)	(1,837)	71,610		X X X	
8399997	Subtotal - Bonds - Part 4				X X X	7,832,933	7,746,962	8,014,528	7,565,580	(70,718)	(42,421)		(113,139)		7,767,886		65,046	65,046	101,261		X X X	
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		X X X
8399999	Subtotal - Bonds				X X X	7,832,933	7,746,962	8,014,528	7,565,580	(70,718)	(42,421)		(113,139)		7,767,886		65,046	65,046	101,261		X X X	
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		X X X
9899999	Subtotal - Preferred and Common Stocks				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		X X X
9999999	Total - Bonds, Preferred and Common Stocks				X X X	7,832,933	7,746,962	8,014,528	7,565,580	(70,718)	(42,421)		(113,139)		7,767,886		65,046	65,046	101,261		X X X	

(a) For all common stock bearing the NAIC market indicator "U" provide the number of such issues0.

QE05.1

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH**Month End Depository Balances**

1 Depository		2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
						6 First Month	7 Second Month	8 Third Month	
open depositories									
Bank of America - Operating ..	Providence, RI					109,313,874	71,579,764	27,165,157	X X X
Bank of America - A/P	Providence, RI					(2,098,165)	(1,383,727)	(2,147,303)	X X X
Bank of America - Claims	Providence, RI					(685)	(685)	(685)	X X X
Fidelity	Covington, KY					2,801	1,344	1,350	X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories		X X X	X X X						X X X
0199999 Totals - Open Depositories		X X X	X X X			107,217,825	70,196,696	25,018,519	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories		X X X	X X X						X X X
0299999 Totals - Suspended Depositories		X X X	X X X						X X X
0399999 Total Cash On Deposit		X X X	X X X			107,217,825	70,196,696	25,018,519	X X X
0499999 Cash in Company's Office		X X X	X X X	X X X	X X X	(7,216)	(7,941)	(8,586)	X X X
0599999 Total Cash		X X X	X X X			107,210,609	70,188,755	25,009,933	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
<div style="border: 1px solid black; padding: 10px; display: inline-block;"> N O N E </div>							
8699999 Total - Cash Equivalents							

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