



QUARTERLY STATEMENT

As of June 30, 2018
of the Condition and Affairs of the

Medical Malpractice Joint Underwriting Association of Rhode Island

| | | |
|--|---|--|
| NAIC Group Code..... 0, 0 (Current Period) (Prior Period) | NAIC Company Code..... 13101 | Employer's ID Number..... 51-0140354 |
| Organized under the Laws of RI | State of Domicile or Port of Entry RI | Country of Domicile US |
| Incorporated/Organized..... June 16, 1975 | Commenced Business..... July 1, 1975 | |
| Statutory Home Office | One Turks Head Place .. Providence .. RI 02903 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i> | |
| Main Administrative Office | One Turks Head Place .. Providence .. RI 02903 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i> | 401-369-8240 <i>(Area Code) (Telephone Number)</i> |
| Mail Address | One Turks Head Place .. Providence .. RI 02903 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i> | |
| Primary Location of Books and Records | One Turks Head Place .. Providence .. RI 02903 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i> | 401-369-8240 <i>(Area Code) (Telephone Number)</i> |
| Internet Web Site Address | | |
| Statutory Statement Contact | Jerilynn Leahy <i>(Name)</i> jleahy@beechercarlson.com <i>(E-Mail Address)</i> | 401-369-8245 <i>(Area Code) (Telephone Number) (Extension)</i> 401-369-8241 <i>(Fax Number)</i> |

OFFICERS

| Name | Title | Name | Title |
|-------------------|---------------------|------------------|------------|
| 1. Larry Alan | Secretary | 2. Robert Suglia | Chair |
| 3. Jerilynn Leahy | Assistant Secretary | 4. Timothy Knapp | Vice Chair |



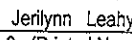
OTHER

DIRECTORS OR TRUSTEES

| | | | |
|-------------------------|----------------|----------------------|---------------|
| Daniel Wright | Molly Flanagan | James Pascalides DPM | Robert Suglia |
| Earl Cottam Jr. | Timothy Knapp | Don Baldini | Larry Alan |
| Barbara M Cavicchio DDS | Newell Warde | | |

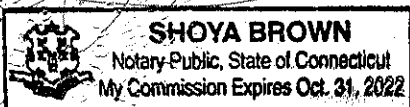
State of..... Connecticut
County of..... Tolland

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|--|---|--|
|  _____ (Signature) Larry Alan 1. (Printed Name) Secretary (Title) |  _____ (Signature) Robert Suglia 2. (Printed Name) Chair (Title) |  _____ (Signature) Jerilynn Leahy 3. (Printed Name) Assistant Secretary (Title) |
|--|---|--|

Subscribed and sworn to before me
This 23rd day of July, 2018

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____





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Incorporated/Organized..... June 16, 1975 Commenced Business..... July 1, 1975

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(Street and Number) (City or Town, State, Country and Zip Code)

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Internet Web Site Address

Statutory Statement Contact Jerilynn Leahy 401-369-8245
(Name) (Area Code) (Telephone Number) (Extension)
jleahy@beechercarlson.com 401-369-8241
(E-Mail Address) (Fax Number)

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| Barbara M. Cavicchio DDS | Newell Warde | | |

State of..... Rhode Island
County of..... Providence

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|---|--|---|

Subscribed and sworn to before me
this 23rd day of July, 2018

- a. Is this an original filing? Yes [X] No []
- b. If no:
1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

JACQUELINE K. PLANTE
NOTARY PUBLIC OF RHODE ISLAND
My Commission Expires 3/13/2020



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|---|--|---|

Subscribed and sworn to before me
This 4th day of July 2018
[Signature]

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

my COMMISSION EXPIRES 9-18-18

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|---|------------------------|----------------------------|--|---|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds..... | 89,343,821 | | 89,343,821 | 89,903,744 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks..... | | | 0 | |
| 2.2 Common stocks..... | | | 0 | |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens..... | | | 0 | |
| 3.2 Other than first liens..... | | | 0 | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$.....0 encumbrances)..... | | | 0 | |
| 4.2 Properties held for the production of income (less \$.....0 encumbrances)..... | | | 0 | |
| 4.3 Properties held for sale (less \$.....0 encumbrances)..... | | | 0 | |
| 5. Cash (\$.....785,188), cash equivalents (\$.....350,481) and short-term investments (\$.....0)..... | 1,135,669 | | 1,135,669 | 1,286,467 |
| 6. Contract loans (including \$.....0 premium notes)..... | | | 0 | |
| 7. Derivatives..... | | | 0 | |
| 8. Other invested assets..... | 59,728,759 | | 59,728,759 | 59,589,415 |
| 9. Receivables for securities..... | 47 | | 47 | 46 |
| 10. Securities lending reinvested collateral assets..... | | | 0 | |
| 11. Aggregate write-ins for invested assets..... | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11)..... | 150,208,296 | 0 | 150,208,296 | 150,779,672 |
| 13. Title plants less \$.....0 charged off (for Title insurers only)..... | | | 0 | |
| 14. Investment income due and accrued..... | 1,343,230 | | 1,343,230 | 1,374,293 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection..... | 6,079 | | 6,079 | 24,812 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)..... | 152,195 | | 152,195 | 134,064 |
| 15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0)..... | | | 0 | |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers..... | | | 0 | |
| 16.2 Funds held by or deposited with reinsured companies..... | | | 0 | |
| 16.3 Other amounts receivable under reinsurance contracts..... | | | 0 | |
| 17. Amounts receivable relating to uninsured plans..... | | | 0 | |
| 18.1 Current federal and foreign income tax recoverable and interest thereon..... | | | 0 | |
| 18.2 Net deferred tax asset..... | | | 0 | |
| 19. Guaranty funds receivable or on deposit..... | | | 0 | |
| 20. Electronic data processing equipment and software..... | | | 0 | |
| 21. Furniture and equipment, including health care delivery assets (\$.....0)..... | | | 0 | |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates..... | | | 0 | |
| 23. Receivables from parent, subsidiaries and affiliates..... | | | 0 | |
| 24. Health care (\$.....0) and other amounts receivable..... | | | 0 | |
| 25. Aggregate write-ins for other than invested assets..... | 21,446 | 0 | 21,446 | 11,830 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)..... | 151,731,246 | 0 | 151,731,246 | 152,324,671 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts..... | | | 0 | |
| 28. Total (Lines 26 and 27)..... | 151,731,246 | 0 | 151,731,246 | 152,324,671 |

DETAILS OF WRITE-INS

| | | | | |
|--|--------|---|--------|--------|
| 1101..... | | | 0 | |
| 1102..... | | | 0 | |
| 1103..... | | | 0 | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page..... | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)..... | 0 | 0 | 0 | 0 |
| 2501. Miscellaneous Accounts Receivable..... | 1,446 | | 1,446 | 1,429 |
| 2502. Prepaid Losses..... | | | 0 | |
| 2503. Prepaid premium tax..... | 20,000 | | 20,000 | 10,401 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | 0 | 0 | 0 | 0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)..... | 21,446 | 0 | 21,446 | 11,830 |

LIABILITIES, SURPLUS AND OTHER FUNDS

| | 1 Current Statement Date | 2 December 31 Prior Year |
|--|--------------------------------|--------------------------------|
| 1. Losses (current accident year \$.....1,267,008)..... | 25,221,325 | 29,039,660 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses..... | | |
| 3. Loss adjustment expenses..... | 8,995,140 | 10,219,757 |
| 4. Commissions payable, contingent commissions and other similar charges..... | 68,847 | 67,103 |
| 5. Other expenses (excluding taxes, licenses and fees)..... | 128,112 | 177,200 |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes)..... | | |
| 7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses))..... | 195,925 | 150,176 |
| 7.2 Net deferred tax liability..... | 726,697 | 682,929 |
| 8. Borrowed money \$.....0 and interest thereon \$.....0..... | | |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act)..... | 2,055,158 | 1,886,674 |
| 10. Advance premium..... | 53,085 | 118,450 |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders..... | | |
| 11.2 Policyholders..... | | |
| 12. Ceded reinsurance premiums payable (net of ceding commissions)..... | | |
| 13. Funds held by company under reinsurance treaties..... | | |
| 14. Amounts withheld or retained by company for account of others..... | 960,527 | 1,712,443 |
| 15. Remittances and items not allocated..... | | |
| 16. Provision for reinsurance (including \$.....0 certified)..... | | |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates..... | | |
| 18. Drafts outstanding..... | | |
| 19. Payable to parent, subsidiaries and affiliates..... | | |
| 20. Derivatives..... | | |
| 21. Payable for securities..... | | |
| 22. Payable for securities lending..... | | |
| 23. Liability for amounts held under uninsured plans..... | | |
| 24. Capital notes \$.....0 and interest thereon \$.....0..... | | |
| 25. Aggregate write-ins for liabilities..... | 3,247 | 3,247 |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)..... | 38,408,063 | 44,057,639 |
| 27. Protected cell liabilities..... | | |
| 28. Total liabilities (Lines 26 and 27)..... | 38,408,063 | 44,057,639 |
| 29. Aggregate write-ins for special surplus funds..... | 0 | 0 |
| 30. Common capital stock..... | | |
| 31. Preferred capital stock..... | | |
| 32. Aggregate write-ins for other than special surplus funds..... | 0 | 0 |
| 33. Surplus notes..... | | |
| 34. Gross paid in and contributed surplus..... | | |
| 35. Unassigned funds (surplus)..... | 113,323,183 | 108,267,032 |
| 36. Less treasury stock, at cost: | | |
| 36.10.000 shares common (value included in Line 30 \$.....0)..... | | |
| 36.20.000 shares preferred (value included in Line 31 \$.....0)..... | | |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36)..... | 113,323,183 | 108,267,032 |
| 38. Totals (Page 2, Line 28, Col. 3)..... | 151,731,246 | 152,324,671 |

DETAILS OF WRITE-INS

| | | |
|--|-------|-------|
| 2501. Unearned Finance Charge..... | | |
| 2502. Premium Deficiency Reserve..... | | |
| 2503. Losses Payable..... | 3,247 | 3,247 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | 0 | 0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)..... | 3,247 | 3,247 |
| 2901. | | |
| 2902. | | |
| 2903. | | |
| 2998. Summary of remaining write-ins for Line 29 from overflow page..... | 0 | 0 |
| 2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)..... | 0 | 0 |
| 3201. | | |
| 3202. | | |
| 3203. | | |
| 3298. Summary of remaining write-ins for Line 32 from overflow page..... | 0 | 0 |
| 3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above)..... | 0 | 0 |

STATEMENT OF INCOME

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct..... (written \$.... 1,079,160)..... | 910,676 | 877,819 | 1,776,389 |
| 1.2 Assumed..... (written \$.....0)..... | | | |
| 1.3 Ceded..... (written \$.....0)..... | | | |
| 1.4 Net..... (written \$.... 1,079,160)..... | 910,676 | 877,819 | 1,776,389 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year \$.... 1,267,008): | | | |
| 2.1 Direct..... | (3,071,350) | (2,981,344) | (4,619,141) |
| 2.2 Assumed..... | | | |
| 2.3 Ceded..... | | | |
| 2.4 Net..... | (3,071,350) | (2,981,344) | (4,619,141) |
| 3. Loss adjustment expenses incurred..... | (1,019,552) | (580,102) | (922,794) |
| 4. Other underwriting expenses incurred..... | 830,736 | 728,856 | 1,544,208 |
| 5. Aggregate write-ins for underwriting deductions..... | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5)..... | (3,260,166) | (2,832,590) | (3,997,727) |
| 7. Net income of protected cells..... | | | |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)..... | 4,170,842 | 3,710,409 | 5,774,116 |
| INVESTMENT INCOME | | | |
| 9. Net investment income earned..... | 2,342,461 | 2,450,657 | 4,843,948 |
| 10. Net realized capital gains (losses) less capital gains tax of \$.... 9,467..... | 35,612 | 859,879 | 1,383,365 |
| 11. Net investment gain (loss) (Lines 9 + 10)..... | 2,378,073 | 3,310,536 | 6,227,313 |
| OTHER INCOME | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0)..... | 0 | | |
| 13. Finance and service charges not included in premiums..... | 8,463 | 9,360 | 16,769 |
| 14. Aggregate write-ins for miscellaneous income..... | (536,000) | (536,000) | (536,000) |
| 15. Total other income (Lines 12 through 14)..... | (527,537) | (526,640) | (519,231) |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)..... | 6,021,378 | 6,494,305 | 11,482,198 |
| 17. Dividends to policyholders..... | | | |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)..... | 6,021,378 | 6,494,305 | 11,482,198 |
| 19. Federal and foreign income taxes incurred..... | 1,136,282 | 1,616,500 | 2,689,272 |
| 20. Net income (Line 18 minus Line 19) (to Line 22)..... | 4,885,096 | 4,877,805 | 8,792,926 |
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 21. Surplus as regards policyholders, December 31 prior year..... | 108,267,032 | 98,442,126 | 98,442,126 |
| 22. Net income (from Line 20)..... | 4,885,096 | 4,877,805 | 8,792,926 |
| 23. Net transfers (to) from Protected Cell accounts..... | | | |
| 24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.... 45,113..... | 169,710 | 439,803 | 1,768,820 |
| 25. Change in net unrealized foreign exchange capital gain (loss)..... | | | |
| 26. Change in net deferred income tax..... | 1,345 | (112,714) | (736,840) |
| 27. Change in nonadmitted assets..... | | | |
| 28. Change in provision for reinsurance..... | | | |
| 29. Change in surplus notes..... | | | |
| 30. Surplus (contributed to) withdrawn from protected cells..... | | | |
| 31. Cumulative effect of changes in accounting principles..... | | | |
| 32. Capital changes: | | | |
| 32.1 Paid in..... | | | |
| 32.2 Transferred from surplus (Stock Dividend)..... | | | |
| 32.3 Transferred to surplus..... | | | |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in..... | | | |
| 33.2 Transferred to capital (Stock Dividend)..... | | | |
| 33.3 Transferred from capital..... | | | |
| 34. Net remittances from or (to) Home Office..... | | | |
| 35. Dividends to stockholders..... | | | |
| 36. Change in treasury stock..... | | | |
| 37. Aggregate write-ins for gains and losses in surplus..... | 0 | 0 | 0 |
| 38. Change in surplus as regards policyholders (Lines 22 through 37)..... | 5,056,151 | 5,204,894 | 9,824,906 |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)..... | 113,323,183 | 103,647,020 | 108,267,032 |
| DETAILS OF WRITE-INS | | | |
| 0501. Change in Premium Deficiency Reserve..... | | | |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page..... | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)..... | 0 | 0 | 0 |
| 1401. Gain or loss on retroactive reinsurance..... | (536,000) | (536,000) | (536,000) |
| 1402. | | | |
| 1403. | | | |
| 1498. Summary of remaining write-ins for Line 14 from overflow page..... | 0 | 0 | 0 |
| 1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)..... | (536,000) | (536,000) | (536,000) |
| 3701. | | | |
| 3702. | | | |
| 3703. | | | |
| 3798. Summary of remaining write-ins for Line 37 from overflow page..... | 0 | 0 | 0 |
| 3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above)..... | 0 | 0 | 0 |

Medical Malpractice Joint Underwriting Association of Rhode Island CASH FLOW

| | 1 Current Year to Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| CASH FROM OPERATIONS | | | |
| 1. Premiums collected net of reinsurance..... | 1,014,393 | 1,033,407 | 1,917,340 |
| 2. Net investment income..... | 2,637,768 | 2,654,350 | 5,307,287 |
| 3. Miscellaneous income..... | (1,304,069) | (532,360) | (507,267) |
| 4. Total (Lines 1 through 3)..... | 2,348,092 | 3,155,397 | 6,717,360 |
| 5. Benefit and loss related payments..... | 746,985 | 2,113,229 | 2,870,592 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts..... | | | |
| 7. Commissions, expenses paid and aggregate write-ins for deductions..... | 1,068,143 | 1,285,689 | 3,985,367 |
| 8. Dividends paid to policyholders..... | | | |
| 9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses)..... | 1,100,000 | 200,000 | 2,900,000 |
| 10. Total (Lines 5 through 9)..... | 2,915,128 | 3,598,918 | 9,755,959 |
| 11. Net cash from operations (Line 4 minus Line 10)..... | (567,036) | (443,521) | (3,038,599) |
| CASH FROM INVESTMENTS | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds..... | 11,594,268 | 5,549,250 | 14,399,287 |
| 12.2 Stocks..... | | | |
| 12.3 Mortgage loans..... | | | |
| 12.4 Real estate..... | | | |
| 12.5 Other invested assets..... | | | |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments..... | | | |
| 12.7 Miscellaneous proceeds..... | 63,948 | 75 | 75 |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7)..... | 11,658,216 | 5,549,325 | 14,399,362 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds..... | 11,241,977 | 4,899,021 | 11,692,399 |
| 13.2 Stocks..... | | | |
| 13.3 Mortgage loans..... | | | |
| 13.4 Real estate..... | | | |
| 13.5 Other invested assets..... | | | |
| 13.6 Miscellaneous applications..... | 1 | 589,221 | 1,245,546 |
| 13.7 Total investments acquired (Lines 13.1 to 13.6)..... | 11,241,978 | 5,488,242 | 12,937,945 |
| 14. Net increase or (decrease) in contract loans and premium notes..... | | | |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)..... | 416,238 | 61,083 | 1,461,417 |
| CASH FROM FINANCING AND MISCELLANEOUS SOURCES | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes..... | | | |
| 16.2 Capital and paid in surplus, less treasury stock..... | | | |
| 16.3 Borrowed funds..... | | | |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities..... | | | |
| 16.5 Dividends to stockholders..... | | | |
| 16.6 Other cash provided (applied)..... | | | |
| 17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)..... | 0 | 0 | 0 |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)..... | (150,798) | (382,438) | (1,577,182) |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year..... | 1,286,467 | 2,863,648 | 2,863,648 |
| 19.2 End of period (Line 18 plus Line 19.1)..... | 1,135,669 | 2,481,210 | 1,286,467 |
| Note: Supplemental disclosures of cash flow information for non-cash transactions: | | | |
| 20.0001 | | | |

NOTES TO FINANCIAL STATEMENTS**Note 1 - Summary of Significant Accounting Policies**

Medical Malpractice Joint Underwriting MMJUA of Rhode Island ("the MMJUA") is a joint underwriting MMJUA created by the state of Rhode Island. The MMJUA was originally created in accordance with RI Gen. Law 42-35-3 by the adoption of Regulation 230-RICR-20-10-1 (formerly Insurance Regulation 21) by the Department of Business Regulation (the "Department") effective June 16, 1975. Subsequently, legislation was enacted which authorized the Department to promulgate regulations relating to medical malpractice insurance and validated Regulation 230-RICR-20-10-1. It was the intent of the Department that the MMJUA provides a continuing stable facility for medical malpractice insurance. Under the original plan of operation (approved June 25, 1975) and as amended and approved by the department on November 10, 2003, the MMJUA was created to provide medical malpractice insurance for physicians, hospitals and other health care providers, on a self-supporting basis.

The MMJUA is authorized to issue medical malpractice policies on a "claims made" or "occurrence" basis with limits not to exceed \$1,000,000 for each medical incident under one policy and in the aggregate of \$3,000,000 under one policy in any one year. The MMJUA is also authorized to underwrite incidental coverage's for any health care provider that is also covered by the MMJUA's medical malpractice, with limits of \$1,000,000 per incident and \$1,000,000 aggregate under a one year policy. Additionally, the MMJUA is authorized to provide Commercial General Liability coverage to the health care providers with limits of \$1,000,000 per incident and \$2,000,000 aggregate under a one year policy. All policies are on an annual basis and shall be subject to the Group Retrospective Rating Plan and Stabilization Reserve Fund as authorized by Regulation 230-RICR-20-10-1. The Group Retrospective Rating Plan and stabilization reserve fund are described under Note 24.

A. Accounting Practices, impact of NAIC/state differences

| | SSAP # | F/S Page | F/S Line # | Current Period | 2017 |
|--|--------|----------|------------|----------------|----------------|
| NET INCOME | | | | | |
| (1) Medical Malpractice Joint Underwriting MMJUA of Rhode Island state basis (Page 4, Line 20, Columns 1 & 3) | XXX | XXX | XXX | \$ 4,885,096 | \$ 8,792,926 |
| (2) State Prescribed Practice that is an increase/(decrease) from NAIC SAP | | | | | |
| (3) State Permitted Practice that is an increase/(decrease) from NAIC SAP | | | | | |
| (4) NAIC SAP (1 - 2 - 3 = 4) | XXX | XXX | XXX | \$ 4,885,096 | \$ 8,792,926 |
| SURPLUS | | | | | |
| (5) Medical Malpractice Joint Underwriting MMJUA of Rhode Island state basis (Page 3, line 37, Columns 1 & 2) | XXX | XXX | XXX | \$ 113,323,183 | \$ 108,267,032 |
| (6) State Prescribed Practice that is an increase/(decrease) from NAIC SAP | | | | | |
| (7) State Permitted Practice that is an increase/(decrease) from NAIC SAP | | | | | |
| (8) NAIC SAP (5 - 6 - 7 = 8) | XXX | XXX | XXX | \$ 113,323,183 | \$ 108,267,032 |

The accompanying financial statements of the MMJUA have been prepared in conformity with accounting practices prescribed or permitted by the Department. Prescribed accounting practices include state laws, regulations and general administrative rules applicable to insurance companies domiciled in the State of Rhode Island; National MMJUA of Insurance Commissioners' ("NAIC") *Annual Statement Instructions*; the NAIC *Accounting Practices and Procedures Manual*; the *Purposes and Procedures and Securities Valuation Manuals* of the NAIC Securities Valuation Office; NAIC official proceedings; and the NAIC *Examiner's and Market Conduct Handbooks*. Permitted statutory accounting practices encompass all accounting practices not so prescribed.

The Department requires insurance companies domiciled in the State of Rhode Island to prepare their statutory financial statements in accordance with the NAIC Accounting Practices and Procedures Manual and subject to Rhode Island Department of Business Regulation Gen. Law 42-35-3.

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in accordance with statutory accounting practices requires management to make estimates and assumptions that affect the reported amounts of admitted assets and liabilities and the disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

C. Accounting Policies

The Company uses the following accounting policies:

1. Short-term investments are stated at amortized cost.
2. Bonds generally are stated at amortized cost, except for bonds that are rated by the NAIC as a class 3 - 6 which are reported at the lower of amortized cost or fair market value. Amortization is calculated using the scientific constant yield to worst method.

NOTES TO FINANCIAL STATEMENTS

3. The MMJUA holds no investments in common stocks.
4. The MMJUA holds no investments in preferred stocks.
5. The MMJUA holds no investments in first lien mortgage loans on real estate.
6. Investment grade loan-backed securities are stated at amortized value. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized value or fair value.
7. The MMJUA has no subsidiaries.
8. The MMJUA holds no interest in joint ventures or partnerships.
9. The MMJUA does not invest in derivative instruments.
10. The MMJUA does not have a premium deficiency reserve.
11. The reserve for unpaid losses and loss adjustment expenses represents the estimated unpaid ultimate liability for claims reported to the MMJUA plus claims incurred but not yet reported and the related estimated loss adjustment expenses. In establishing this reserve, the MMJUA utilizes the findings of an independent consulting actuary. The reserves for unpaid losses and loss adjustment expenses are estimated using individual case basis valuations and statistical analyses. Those estimates are subject to the effects of trends in loss severity and frequency.
12. The MMJUA does not have a capitalization policy.
13. Not applicable as the MMJUA does not write major medical insurance with prescription drug coverage.

D. Going Concern

None

Note 2 - Accounting Changes and Corrections of Errors

No significant change

Note 3 - Business Combinations and Goodwill

Not applicable

Note 4 - Discontinued Operations

Not applicable

Note 5 - Investments

Not applicable

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

Note 7 - Investment Income

- A. The company does not admit investment income due and accrued if amounts are over 90 days past due (180 days for mortgage loans).
- B. Not applicable

Note 8 - Derivative Instruments

Not applicable

Note 9 - Income Taxes

No significant change

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

Not applicable

Note 11 - Debt

Not applicable

NOTES TO FINANCIAL STATEMENTS

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not applicable

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

Not applicable

Note 14 - Contingencies

- A. Not applicable
- B. Not applicable
- C. Not applicable
- D. The MMJUA is contingently liable under certain structured settlement agreements. These unassigned annuity agreements were purchased for 31 claimants. In many of these cases, these structured settlements require payments in 2015 and beyond. The contingent liability reserve is verified with the annuity carriers and updated annually. The MMJUA currently has one annuity with Executive Life Insurance of New York (ELNY) purchased prior to 1985 where ELNY has been in rehabilitation since 1991. On September 1, 2011, the Superintendent of Insurance for the State of New York petitioned the Supreme Court of Nassau County, New York, for an Approval of Restructuring Agreement for ELNY. Based on this petition, an Order to show cause hearing was held on March 15, 2012 as to why orders should not be made declaring ELNY to be insolvent, and converting the rehabilitation proceeding to a liquidation proceeding. On April 16, 2012, a move to liquidation was approved. It has been determined that the MMJUA will be liable for 60.4% of this annuity value. The MMJUA obtained quotes on purchasing a replacement annuity with qualified assignment and release, however, in evaluating the cost of such an annuity, the MMJUA determined that it was financially prudent to retain the liability at this time and consider looking at alternatives in the future. During 2013, the MMJUA booked its liability for the annuity, amounting to \$4,613,681. All annuities purchased after 1985 included a uniform qualified assignment and release from all future obligations. (See Note 27)
- E. Not applicable
- F. Not applicable

Note 15 - Leases

Not applicable

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

The MMJUA uses Beecher Carlson Insurance Services LLC as managing general agent. The FEIN for Beecher Carlson Insurance Services LLC is 95-3679538. Beecher Carlson Insurance Services LLC has an exclusive contract for all medical malpractice and general liability business written. The total direct premiums written in 2018 equal \$1,079,160. The terms of the contract give Beecher Carlson Insurance Services LLC authority for premium collection (P), claims adjustment (CA), claims payment (C), binding (B), reinsurance ceding (R) and underwriting authority (U).

Note 20 - Fair Value Measurement

- A. 1. Inputs Used for Assets and Liabilities Measured at Fair Value

The Company has categorized its assets and liabilities that are measured at fair value into the three-level fair value hierarchy as reflected in the table below. The three-level fair value hierarchy is based on the degree of subjective inherent in the valuation method by which fair value was determined. The three levels are defined as follows.

Level 1- Quoted Prices in Active Markets for Identical Assets and Liabilities: This category, for items measured at fair value on a recurring basis, includes exchange-traded preferred and common stocks. It also includes derivative liabilities for written call options on common stock which are also exchange traded. The estimated fair value of the equity securities and derivatives within this category are based on quoted prices in active markets and are thus classified as Level 1.

Level 2 - Significant Other Observable Inputs: This category for items measured at fair value on a recurring basis includes bonds, preferred stocks and common stocks which are not exchange-traded. The estimated fair values of some of these items were determined by independent pricing services using observable inputs. Others were based on quotes from markets which were not considered actively traded.

Level 3 - Significant Unobservable Inputs: The Company has no assets or liabilities measured at fair value in this category.

NOTES TO FINANCIAL STATEMENTS

There are no bonds measured at fair market value.

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3 as stated in paragraph 3 below.

2. Rollforward of Level 3 Items

The Company has no assets or liabilities measured at fair value in the Level 3 category.

3. Policy on Transfers Into and Out of Level 3

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred into or out of Level 3. During the current year, no transfers into or out of Level 3 were required.

4. Inputs and Techniques Used for Level 2 and Level 3 Fair Values

The Company measures items at Level 2 on a recurring basis. The estimated fair values of some of these items were determined by independent pricing services using observable inputs. Others were based on quotes from markets which were not considered actively traded.

The Company has no assets or liabilities measured at fair value in the Level 3 category.

5. Derivative Fair Values

Not applicable

B. Other Fair Value Disclosures

Not applicable

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three-level fair value hierarchy as described above in Note 20A.

| Type of Financial Instrument | Fair Value | Admitted Value | Level 1 | Level 2 | Level 3 | Not Practical (Carrying Value) |
|---|-------------|----------------|------------|-------------|---------|--------------------------------|
| Financial Instruments - assets | | | | | | |
| Bonds | 90,829,020 | 89,343,821 | - | 90,829,020 | - | - |
| Cash, cash equivalents and short-term investments | 1,135,669 | 1,135,669 | 1,135,669 | - | - | - |
| Other invested assets | 59,806,770 | 59,728,759 | 11,112,282 | 48,694,488 | - | - |
| Total assets | 151,771,459 | 150,208,249 | 12,247,951 | 139,523,508 | - | - |

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not applicable

Note 21 - Other Items

A. Extraordinary items

Not applicable

B. Troubled debt restructuring for debtors

Not applicable

C. Other disclosures

Not applicable

D. Uncollectable premiums receivable

Not applicable

E. Business interruption insurance recoveries

Not applicable

NOTES TO FINANCIAL STATEMENTS

F. State transferable and non-transferrable tax credits

Not applicable

G. Subprime mortgage related risk exposure

(1) The Company's exposure to subprime lending in the fixed maturity (bond) investment portfolio which contains securities collateralized by mortgages that have characteristics of subprime lending such as low FICO score, adjustable rate mortgages and alternative documentation mortgages. These investments are in the form of asset-backed securities and collateralized mortgage obligations which are collateralized by subprime mortgages. The carrying value of these investments is approximately \$9 thousand as of June 30, 2018.

(2) Direct exposure through investments in subprime mortgage loans (Schedule B)

Not applicable

(3) Direct exposure through other investments

| | Amortized Cost | Book/Adj. Carry Value | Fair Mkt Value | OTTI Recognized |
|------------------------------|---------------------------|----------------------------------|---------------------------|----------------------------|
| Residential MBS Sub Prime | 9,403 | 9,403 | 9,372 | |

Note 22 - Events Subsequent

Not applicable

Note 23 - Reinsurance

A. Unsecured Reinsurance Recoverables

Not applicable

B. Reinsurance Recoverable in Dispute

Not applicable

C. Reinsurance Assumed and Ceded

Not applicable

D. Uncollectable Reinsurance

Not applicable

E. Commutation of Ceded Reinsurance

Not applicable

F. Retroactive Reinsurance

No significant change

G. Reinsurance Accounted for as a Deposit

Not applicable

H. Run-off Agreements

Not applicable

I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change

NOTES TO FINANCIAL STATEMENTS**Note 25 - Changes in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

There was favorable development of \$6 million attributable to insured events of prior years. The reason for the favorable change relates to claims settling for less than originally expected.

B. Information about Significant Changes in Methodologies and Assumptions

No significant change

Activity in the liability for unpaid losses and LAE is summarized as follows:

| Losses & LAE (000's omitted) | 6/30/2018 | 12/31/2017 |
|--|-----------|------------|
| Unpaid losses and LAE at beginning of year | 39,259 | 48,625 |
| Losses and LAE incurred in current year: | (4,090) | (5,542) |
| Income Statement amounts | (4,090) | (5,542) |
| Losses and LAE paid in current year: | (953) | (3,824) |
| Underwriting exhibits paid amounts | (953) | (3,824) |
| Unpaid losses and LAE at end of period | 34,216 | 39,259 |

Note 26 - Intercompany Pooling Arrangements

Not applicable

Note 27 - Structured Settlements

A. No significant change

B. No significant change

Note 28 - Health Care Receivables

Not applicable

Note 29 - Participating Policies

Not applicable

Note 30 - Premium Deficiency Reserves

Not applicable

Note 31 - High Deductibles

Not applicable

Note 32 - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

Not applicable

Note 33 - Asbestos/Environmental Reserves

Not applicable

Note 34 - Subscriber Savings Accounts

Not applicable

Note 35 - Multiple Peril Crop Insurance

Not applicable

Note 36 - Financial Guarantee Insurance

Not applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [] No [X]
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1 Name of Entity | 2 NAIC Company Code | 3 State of Domicile |
|---------------------|------------------------------|---------------------------|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 01/18/2017
- 6.4 By what department or departments?
State of Rhode Island Department of Business Regulation, Insurance Division
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1 Affiliate Name | 2 Location (City, State) | 3 FRB | 4 OCC | 5 FDIC | 6 SEC |
|---------------------|-----------------------------|----------|----------|-----------|----------|
| | | | | | |

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [X] No []
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
A description was added to better define "consultants" and their role.
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]
- 14.2 If yes, please complete the following:

| | 1 Prior Year End Book/Adjusted Carrying Value | 2 Current Quarter Book/Adjusted Carrying Value |
|---|---|--|
| 14.21 Bonds | \$ 0 | \$ 0 |
| 14.22 Preferred Stock | 0 | 0 |
| 14.23 Common Stock | 0 | 0 |
| 14.24 Short-Term Investments | 0 | 0 |
| 14.25 Mortgage Loans on Real Estate | 0 | 0 |
| 14.26 All Other | 0 | 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ 0 | \$ 0 |

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|------------------------------|-------------------------------------|
| The Washington Trust Company | 23 Broad Street, Westerly, RI 02891 |

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full and complete information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|--------------------|---------------------|-------------|
| | | | |

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

| 1 Name of Firm or Individual | 2 Affiliation |
|---------------------------------|------------------|
| Conning, Inc. | U |

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [X] No []
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [X] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|--|---------------------------------|------------------------------------|----------------------|--|
| 107423 | Conning, Inc. | 549300ZOGI4KK37BDV40 | SEC | DS |

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No []
- 18.2 If no, list exceptions:
19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist.
 - Issuer or obligor is current on all contracted interest and principal payments.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5*GI securities?

Yes [X] No []

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

| 1 Line of Business | 2 Maximum Interest | 3 Disc. Rate | Total Discount | | | | Discount Taken During Period | | | |
|-----------------------|-----------------------|-----------------|--------------------|-----------------|-----------|------------|------------------------------|-----------------|------------|-------------|
| | | | 4 Unpaid Losses | 5 Unpaid LAE | 6 IBNR | 7 Total | 8 Unpaid Losses | 9 Unpaid LAE | 10 IBNR | 11 Total |
| | 0.000 | 0.000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | XXX | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

5.1 Operating Percentages:

| | |
|---|--------|
| 5.1 A&H loss percent | 0.000% |
| 5.2 A&H cost containment percent | 0.000% |
| 5.3 A&H expense percent excluding cost containment expenses | 0.000% |

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [] No [X]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No [X]

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|-------------------------|-----------|-------------------|-----------------------------|----------------------|--|---|
| NAIC Company Code | ID Number | Name of Reinsurer | Domiciliary Jurisdiction | Type of Reinsurer | Certified Reinsurer Rating (1 through 6) | Effective Date of Certified Reinsurer Rating |

NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

| States, Etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | | |
|-----------------------------------|------------------------|---------------------------|-------------------------|--|-------------------------|---------------------------|-------------------------|------------|
| | | 2 Current Year to Date | 3 Prior Year to Date | 4 Current Year to Date | 5 Prior Year to Date | 6 Current Year to Date | 7 Prior Year to Date | |
| 1. Alabama..... | AL | N | | | | | | |
| 2. Alaska..... | AK | N | | | | | | |
| 3. Arizona..... | AZ | N | | | | | | |
| 4. Arkansas..... | AR | N | | | | | | |
| 5. California..... | CA | N | | | | | | |
| 6. Colorado..... | CO | N | | | | | | |
| 7. Connecticut..... | CT | N | | | | | | |
| 8. Delaware..... | DE | N | | | | | | |
| 9. District of Columbia..... | DC | N | | | | | | |
| 10. Florida..... | FL | N | | | | | | |
| 11. Georgia..... | GA | N | | | | | | |
| 12. Hawaii..... | HI | N | | | | | | |
| 13. Idaho..... | ID | N | | | | | | |
| 14. Illinois..... | IL | N | | | | | | |
| 15. Indiana..... | IN | N | | | | | | |
| 16. Iowa..... | IA | N | | | | | | |
| 17. Kansas..... | KS | N | | | | | | |
| 18. Kentucky..... | KY | N | | | | | | |
| 19. Louisiana..... | LA | N | | | | | | |
| 20. Maine..... | ME | N | | | | | | |
| 21. Maryland..... | MD | N | | | | | | |
| 22. Massachusetts..... | MA | N | | | | | | |
| 23. Michigan..... | MI | N | | | | | | |
| 24. Minnesota..... | MN | N | | | | | | |
| 25. Mississippi..... | MS | N | | | | | | |
| 26. Missouri..... | MO | N | | | | | | |
| 27. Montana..... | MT | N | | | | | | |
| 28. Nebraska..... | NE | N | | | | | | |
| 29. Nevada..... | NV | N | | | | | | |
| 30. New Hampshire..... | NH | N | | | | | | |
| 31. New Jersey..... | NJ | N | | | | | | |
| 32. New Mexico..... | NM | N | | | | | | |
| 33. New York..... | NY | N | | | | | | |
| 34. North Carolina..... | NC | N | | | | | | |
| 35. North Dakota..... | ND | N | | | | | | |
| 36. Ohio..... | OH | N | | | | | | |
| 37. Oklahoma..... | OK | N | | | | | | |
| 38. Oregon..... | OR | N | | | | | | |
| 39. Pennsylvania..... | PA | N | | | | | | |
| 40. Rhode Island..... | RI | L | 1,079,160 | 982,186 | 746,984 | 623,235 | 25,221,325 | 32,924,813 |
| 41. South Carolina..... | SC | N | | | | | | |
| 42. South Dakota..... | SD | N | | | | | | |
| 43. Tennessee..... | TN | N | | | | | | |
| 44. Texas..... | TX | N | | | | | | |
| 45. Utah..... | UT | N | | | | | | |
| 46. Vermont..... | VT | N | | | | | | |
| 47. Virginia..... | VA | N | | | | | | |
| 48. Washington..... | WA | N | | | | | | |
| 49. West Virginia..... | WV | N | | | | | | |
| 50. Wisconsin..... | WI | N | | | | | | |
| 51. Wyoming..... | WY | N | | | | | | |
| 52. American Samoa..... | AS | N | | | | | | |
| 53. Guam..... | GU | N | | | | | | |
| 54. Puerto Rico..... | PR | N | | | | | | |
| 55. US Virgin Islands..... | VI | N | | | | | | |
| 56. Northern Mariana Islands..... | MP | N | | | | | | |
| 57. Canada..... | CAN | N | | | | | | |
| 58. Aggregate Other Alien..... | OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals..... | XXX | | 1,079,160 | 982,186 | 746,984 | 623,235 | 25,221,325 | 32,924,813 |

DETAILS OF WRITE-INS

| | | | | | | | | |
|---|-----|---|---|---|---|---|---|---|
| 58001..... | XXX | | | | | | | |
| 58002..... | XXX | | | | | | | |
| 58003..... | XXX | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page..... | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above)..... | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

(a) Active Status Count

| | | | |
|---|---|---|----|
| L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... | 1 | R - Registered - Non-domiciled RRGs..... | 0 |
| E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI)..... | 0 | Q - Qualified - Qualified or accredited reinsurer..... | 0 |
| D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... | 0 | N - None of the above - Not allowed to write business in the state..... | 56 |

**Sch. Y - Pt. 1
NONE**

**Sch. Y - Pt. 1A
NONE**

PART 1 - LOSS EXPERIENCE

| Lines of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|---|--------------------------------|--------------------------------|--------------------------------|--|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire..... | | | 0.000 | |
| 2. Allied lines..... | | | 0.000 | |
| 3. Farmowners multiple peril..... | | | 0.000 | |
| 4. Homeowners multiple peril..... | | | 0.000 | |
| 5. Commercial multiple peril..... | | | 0.000 | |
| 6. Mortgage guaranty..... | | | 0.000 | |
| 8. Ocean marine..... | | | 0.000 | |
| 9. Inland marine..... | | | 0.000 | |
| 10. Financial guaranty..... | | | 0.000 | |
| 11.1. Medical professional liability - occurrence..... | 558,185 | (2,817,017) | (504.674) | (459.706) |
| 11.2. Medical professional liability - claims-made..... | 256,014 | 222 | 0.087 | (218.865) |
| 12. Earthquake..... | | | 0.000 | |
| 13. Group accident and health..... | | | 0.000 | |
| 14. Credit accident and health..... | | | 0.000 | |
| 15. Other accident and health..... | | | 0.000 | |
| 16. Workers' compensation..... | | | 0.000 | |
| 17.1 Other liability-occurrence..... | 96,477 | (254,555) | (263.850) | 25.484 |
| 17.2 Other liability-claims made..... | | | 0.000 | |
| 17.3 Excess workers' compensation..... | | | 0.000 | |
| 18.1 Products liability-occurrence..... | | | 0.000 | |
| 18.2 Products liability-claims made..... | | | 0.000 | |
| 19.1, 19.2 Private passenger auto liability..... | | | 0.000 | |
| 19.3, 19.4 Commercial auto liability..... | | | 0.000 | |
| 21. Auto physical damage..... | | | 0.000 | |
| 22. Aircraft (all perils)..... | | | 0.000 | |
| 23. Fidelity..... | | | 0.000 | |
| 24. Surety..... | | | 0.000 | |
| 26. Burglary and theft..... | | | 0.000 | |
| 27. Boiler and machinery..... | | | 0.000 | |
| 28. Credit..... | | | 0.000 | |
| 29. International..... | | | 0.000 | |
| 30. Warranty..... | | | 0.000 | |
| 31. Reinsurance-nonproportional assumed property..... | XXX | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability..... | XXX | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines..... | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business..... | 0 | 0 | 0.000 | |
| 35. Totals..... | 910,676 | (3,071,350) | (337.260) | (339.631) |
| DETAILS OF WRITE-INS | | | | |
| 3401..... | | | 0.000 | |
| 3402..... | | | 0.000 | |
| 3403..... | | | 0.000 | |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page..... | 0 | 0 | 0.000 | XXX |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)..... | 0 | 0 | 0.000 | |

PART 2 - DIRECT PREMIUMS WRITTEN

| Lines of Business | 1 | 2 | 3 |
|---|--------------------|-------------------------|----------------------------|
| | Current Quarter | Current Year to Date | Prior Year Year to Date |
| 1. Fire..... | | | |
| 2. Allied lines..... | | | |
| 3. Farmowners multiple peril..... | | | |
| 4. Homeowners multiple peril..... | | | |
| 5. Commercial multiple peril..... | | | |
| 6. Mortgage guaranty..... | | | |
| 8. Ocean marine..... | | | |
| 9. Inland marine..... | | | |
| 10. Financial guaranty..... | | | |
| 11.1. Medical professional liability - occurrence..... | 182,606 | 521,549 | 492,997 |
| 11.2. Medical professional liability - claims made..... | 126,283 | 361,141 | 302,879 |
| 12. Earthquake..... | | | |
| 13. Group accident and health..... | | | |
| 14. Credit accident and health..... | | | |
| 15. Other accident and health..... | | | |
| 16. Workers' compensation..... | | | |
| 17.1 Other liability-occurrence..... | 91,148 | 196,470 | 186,310 |
| 17.2 Other liability-claims made..... | | | |
| 17.3 Excess workers' compensation..... | | | |
| 18.1 Products liability-occurrence..... | | | |
| 18.2 Products liability-claims made..... | | | |
| 19.1 19.2 Private passenger auto liability..... | | | |
| 19.3 19.4 Commercial auto liability..... | | | |
| 21. Auto physical damage..... | | | |
| 22. Aircraft (all perils)..... | | | |
| 23. Fidelity..... | | | |
| 24. Surety..... | | | |
| 26. Burglary and theft..... | | | |
| 27. Boiler and machinery..... | | | |
| 28. Credit..... | | | |
| 29. International..... | | | |
| 30. Warranty..... | | | |
| 31. Reinsurance-nonproportional assumed property..... | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability..... | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines..... | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business..... | 0 | 0 | 0 |
| 35. Totals..... | 400,037 | 1,079,160 | 982,186 |
| DETAILS OF WRITE-INS | | | |
| 3401..... | | | |
| 3402..... | | | |
| 3403..... | | | |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page..... | 0 | 0 | 0 |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)..... | 0 | 0 | 0 |

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|--|---|---|--|--|--|--|---|--|--------------------------------------|--|---|---|--|
| Years in Which Losses Occurred | Prior Year-End Known Case Loss and LAE Reserves | Prior Year-End IBNR Loss and LAE Reserves | Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2) | 2018 Loss and LAE Payments on Claims Reported as of Prior Year-End | 2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End | Total 2018 Loss and LAE Payments (Cols. 4 + 5) | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End | Q.S. Date IBNR Loss and LAE Reserves | Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9) | Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1) | Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2) | Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12) |
| 1. 2015 + Prior..... | 11,256 | 18,962 | 30,218 | 906 | 4 | 910 | 10,054 | 221 | 13,763 | 24,038 | (296) | (4,974) | (5,270) |
| 2. 2016..... | 685 | 4,888 | 5,573 | 22 | | 22 | 732 | 5 | 4,272 | 5,009 | 69 | (611) | (542) |
| 3. Subtotals 2016 + Prior..... | 11,941 | 23,850 | 35,791 | 928 | 4 | 932 | 10,786 | 226 | 18,035 | 29,047 | (227) | (5,585) | (5,812) |
| 4. 2017..... | 74 | 3,394 | 3,468 | 12 | | 12 | 48 | | 3,176 | 3,224 | (14) | (218) | (232) |
| 5. Subtotals 2017 + Prior..... | 12,015 | 27,244 | 39,259 | 940 | 4 | 944 | 10,834 | 226 | 21,211 | 32,271 | (241) | (5,803) | (6,044) |
| 6. 2018..... | XXX | XXX | XXX | XXX | 9 | 9 | XXX | 179 | 1,766 | 1,945 | XXX | XXX | XXX |
| 7. Totals..... | 12,015 | 27,244 | 39,259 | 940 | 13 | 953 | 10,834 | 405 | 22,977 | 34,216 | (241) | (5,803) | (6,044) |
| 8. Prior Year-End's Surplus As Regards Policyholders | 108,267 | | | | | | | | | | | | |
| | | | | | | | | | | | Col. 11, Line 7 As % of Col. 1, Line 7 | Col. 12, Line 7 As % of Col. 2, Line 7 | Col. 13, Line 7 As % of Col. 3, Line 7 |
| | | | | | | | | | | | 1.(2.0)% | 2.(21.3)% | 3.(15.4)% |
| | | | | | | | | | | | | | Col. 13, Line 7 Line 8 |
| | | | | | | | | | | | | | 4.(5.6)% |

Q14

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | Response |
|--|-----------------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | YES |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |

Explanation:

1. The data for this supplement is not required to be filed.
- 2.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

Bar Code:



NONE

Medical Malpractice Joint Underwriting Association of Rhode Island SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | .0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | | |
| 2.2 Additional investment made after acquisition..... | | |
| 3. Current year change in encumbrances..... | | |
| 4. Total gain (loss) on disposals..... | | |
| 5. Deduct amounts received on disposals..... | | |
| 6. Total foreign exchange change in book/adjusted carrying value..... | | |
| 7. Deduct current year's other-than-temporary impairment recognized..... | | |
| 8. Deduct current year's depreciation..... | | |
| 9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)..... | .0 | .0 |
| 10. Deduct total nonadmitted amounts..... | | |
| 11. Statement value at end of current period (Line 9 minus Line 10)..... | .0 | .0 |

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year..... | .0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | | |
| 2.2 Additional investment made after acquisition..... | | |
| 3. Capitalized deferred interest and other..... | | |
| 4. Accrual of discount..... | | |
| 5. Unrealized valuation increase (decrease)..... | | |
| 6. Total gain (loss) on disposals..... | | |
| 7. Deduct amounts received on disposals..... | | |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees..... | | |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest..... | | |
| 10. Deduct current year's other-than-temporary impairment recognized..... | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)..... | .0 | .0 |
| 12. Total valuation allowance..... | | |
| 13. Subtotal (Line 11 plus Line 12)..... | .0 | .0 |
| 14. Deduct total nonadmitted amounts..... | | |
| 15. Statement value at end of current period (Line 13 minus Line 14)..... | .0 | .0 |

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 59,589,415 | 54,936,195 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | | |
| 2.2 Additional investment made after acquisition..... | | |
| 3. Capitalized deferred interest and other..... | (21,358) | 3,259,310 |
| 4. Accrual of discount..... | | |
| 5. Unrealized valuation increase (decrease)..... | 214,822 | 1,467,952 |
| 6. Total gain (loss) on disposals..... | | |
| 7. Deduct amounts received on disposals..... | | |
| 8. Deduct amortization of premium and depreciation..... | 54,120 | 74,042 |
| 9. Total foreign exchange change in book/adjusted carrying value..... | | |
| 10. Deduct current year's other-than-temporary impairment recognized..... | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)..... | 59,728,759 | 59,589,415 |
| 12. Deduct total nonadmitted amounts..... | | |
| 13. Statement value at end of current period (Line 11 minus Line 12)..... | 59,728,759 | 59,589,415 |

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year..... | 89,903,744 | 92,859,398 |
| 2. Cost of bonds and stocks acquired..... | 11,241,975 | 11,692,399 |
| 3. Accrual of discount..... | 12,018 | 152,922 |
| 4. Unrealized valuation increase (decrease)..... | | 548 |
| 5. Total gain (loss) on disposals..... | 4,661 | 83,244 |
| 6. Deduct consideration for bonds and stocks disposed of..... | 11,594,267 | 14,399,287 |
| 7. Deduct amortization of premium..... | 224,310 | 485,480 |
| 8. Total foreign exchange change in book/adjusted carrying value..... | | |
| 9. Deduct current year's other-than-temporary impairment recognized..... | | |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees..... | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)..... | 89,343,821 | 89,903,744 |
| 12. Deduct total nonadmitted amounts..... | | |
| 13. Statement value at end of current period (Line 11 minus Line 12)..... | 89,343,821 | 89,903,744 |

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|--|--|--|--|--|--|---|--|--|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a)..... | 75,002,956 | 2,951,526 | 4,785,710 | 357,127 | 75,002,956 | 73,525,899 | | 74,954,214 |
| 2. NAIC 2 (a)..... | 15,872,717 | 309,526 | 198,519 | (448,525) | 15,872,717 | 15,535,199 | | 14,665,488 |
| 3. NAIC 3 (a)..... | | | | | | 0 | | |
| 4. NAIC 4 (a)..... | | | | | | 0 | | |
| 5. NAIC 5 (a)..... | | | | 282,721 | | 282,721 | | |
| 6. NAIC 6 (a)..... | 283,382 | | | (283,382) | 283,382 | 0 | | 284,042 |
| 7. Total Bonds..... | 91,159,055 | 3,261,052 | 4,984,229 | (92,059) | 91,159,055 | 89,343,819 | 0 | 89,903,744 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1..... | | | | | | 0 | | |
| 9. NAIC 2..... | | | | | | 0 | | |
| 10. NAIC 3..... | | | | | | 0 | | |
| 11. NAIC 4..... | | | | | | 0 | | |
| 12. NAIC 5..... | | | | | | 0 | | |
| 13. NAIC 6..... | | | | | | 0 | | |
| 14. Total Preferred Stock..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock..... | 91,159,055 | 3,261,052 | 4,984,229 | (92,059) | 91,159,055 | 89,343,819 | 0 | 89,903,744 |

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

| | 1 Book/Adjusted Carrying Value | 2 NONE Par Value | 3 Original Cost | 4 Interest Collected Year To Date | 5 Paid for Accrued Interest Year To Date |
|--------------|--------------------------------------|-------------------------------|-----------------------|---|--|
| 9199999..... | | XXX..... | | | |

SCHEDULE DA - VERIFICATION

Short-Term Investments

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 0 | 1,725,694 |
| 2. Cost of short-term investments acquired..... | | 7,096,518 |
| 3. Accrual of discount..... | | |
| 4. Unrealized valuation increase (decrease)..... | | |
| 5. Total gain (loss) on disposals..... | | |
| 6. Deduct consideration received on disposals..... | | 8,822,212 |
| 7. Deduct amortization of premium..... | | |
| 8. Total foreign exchange change in book/adjusted carrying value..... | | |
| 9. Deduct current year's other-than-temporary impairment recognized..... | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | 0 | 0 |
| 11. Deduct total nonadmitted amounts..... | | |
| 12. Statement value at end of current period (Line 10 minus Line 11)..... | 0 | 0 |

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 588,164 | |
| 2. Cost of cash equivalents acquired..... | 6,720,989 | 3,112,158 |
| 3. Accrual of discount..... | 2,170 | 1,006 |
| 4. Unrealized valuation increase (decrease)..... | | |
| 5. Total gain (loss) on disposals..... | | |
| 6. Deduct consideration received on disposals..... | 6,960,842 | 2,525,000 |
| 7. Deduct amortization of premium..... | | |
| 8. Total foreign exchange change in book/ adjusted carrying value..... | | |
| 9. Deduct current year's other-than-temporary impairment recognized..... | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | 350,481 | 588,164 |
| 11. Deduct total nonadmitted amounts..... | | |
| 12. Statement value at end of current period (Line 10 minus Line 11)..... | 350,481 | 588,164 |

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

Sch. BA - Pt. 2
NONE

Sch. BA - Pt. 3
NONE

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

| 1 | 2 | | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|--|--|---|---------|---------------|-------------------------------------|---------------------------|-------------|-----------|---|--|
| CUSIP Identification | Description | | Foreign | Date Acquired | Name of Vendor | Number of Shares of Stock | Actual Cost | Par Value | Paid for Accrued Interest and Dividends | NAIC Designation or Market Indicator (a) |
| Bonds - U.S. Government | | | | | | | | | | |
| 912828 | 4M | 9 | | 05/22/2018 | BARCLAYS AMERICAN | | 495,488 | 500,000 | 898 | 1 |
| 0599999 | Total - Bonds - U.S. Government | | | | | | 495,488 | 500,000 | 898 | XXX |
| Bonds - U.S. Special Revenue and Special Assessment | | | | | | | | | | |
| 3140HB | FK | 9 | | 06/26/2018 | CITIGROUP GLOBAL MARKETS | | 760,484 | 746,316 | 2,239 | 1 |
| 91412G | 5B | 7 | | 04/04/2018 | EXCHANGE | | 220,825 | 210,000 | 4,054 | 1 |
| 91412G | 5N | 1 | | 04/04/2018 | EXCHANGE | | 252,372 | 240,000 | 4,633 | 1FE |
| 3199999 | Total - Bonds - U.S. Special Revenue and Special Assessments | | | | | | 1,233,681 | 1,196,316 | 10,926 | XXX |
| Bonds - Industrial and Miscellaneous | | | | | | | | | | |
| 023135 | AZ | 9 | | 06/08/2018 | EXCHANGE | | 349,188 | 350,000 | 2,886 | 1FE |
| 29278N | AC | 7 | | 06/05/2018 | MIZUHO SECURITIES | | 124,908 | 125,000 | | 2FE |
| 78355H | KH | 1 | | 06/14/2018 | RBC CAPITAL MARKETS SECURITIES - US | | 184,619 | 185,000 | | 2FE |
| 79466L | AE | 4 | | 04/05/2018 | BANK AMERICA | | 174,895 | 175,000 | | 1FE |
| 91324P | DK | 5 | | 06/14/2018 | BANK AMERICA | | 249,570 | 250,000 | | 1FE |
| 931142 | ED | 1 | | 06/20/2018 | J.P. MORGAN | | 448,704 | 450,000 | | 1FE |
| 3899999 | Total - Bonds - Industrial and Miscellaneous | | | | | | 1,531,884 | 1,535,000 | 2,886 | XXX |
| 8399997 | Total - Bonds - Part 3 | | | | | | 3,261,053 | 3,231,316 | 14,710 | XXX |
| 8399999 | Total - Bonds | | | | | | 3,261,053 | 3,231,316 | 14,710 | XXX |
| 9999999 | Total - Bonds, Preferred and Common Stocks | | | | | | 3,261,053 | XXX | 14,710 | XXX |

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|--|---------------------------------|-------------|---------------|-------------------|---------------------------|---------------|-----------|-------------|---|--|---|--|--------------------------------------|--|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | For Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| Bonds - U.S. Government | | | | | | | | | | | | | | | | | | | | | |
| 36202E | UM 9 | | 06/01/2018 | MBS PAYMENT | | 516 | 516 | 515 | 516 | | | | 0 | | 516 | | | 0 | 15 | 07/20/2038 | 1 |
| 36205K | WE 8 | | 06/01/2018 | MBS PAYMENT | | 776 | 776 | 773 | 776 | | | | 0 | | 776 | | | 0 | 25 | 05/15/2024 | 1 |
| 36208C | 7L 5 | | 06/01/2018 | MBS PAYMENT | | 280 | 280 | 284 | 281 | | | | 0 | | 280 | | | 0 | 9 | 07/15/2027 | 1 |
| 36210A | VC 8 | | 06/01/2018 | MBS PAYMENT | | 445 | 445 | 441 | 444 | | 1 | | 1 | | 445 | | | 0 | 11 | 11/15/2028 | 1 |
| 0599999 | Total - Bonds - U.S. Government | | | | | | 2,017 | 2,017 | 2,013 | 2,017 | 0 | 1 | 0 | 1 | 2,017 | 0 | 0 | 0 | 60 | XXX | XXX |
| Bonds - U.S. Special Revenue and Special Assessment | | | | | | | | | | | | | | | | | | | | | |
| 176553 | FW 0 | | 06/01/2018 | MATURITY | | 250,000 | 250,000 | 261,833 | 250,815 | | (815) | | (815) | | 250,000 | | | 0 | 6,250 | 06/01/2018 | 1FE |
| 249182 | FW 1 | | 04/06/2018 | VINING SPARKS | | 560,615 | 500,000 | 618,270 | 560,646 | | (3,241) | | (3,241) | | 557,404 | | 3,211 | 3,211 | 10,069 | 11/15/2022 | 1FE |
| 3128K6 | 7K 0 | | 06/01/2018 | MBS PAYMENT | | 1,648 | 1,648 | 1,664 | 1,649 | | (2) | | (2) | | 1,648 | | | 0 | 44 | 07/01/2035 | 1 |
| 3128KV | MN 2 | | 06/01/2018 | MBS PAYMENT | | 320 | 320 | 323 | 320 | | | | 0 | | 320 | | | 0 | 8 | 08/01/2037 | 1 |
| 3128M4 | 4Q 3 | | 06/01/2018 | MBS PAYMENT | | 1,583 | 1,583 | 1,559 | 1,581 | | 1 | | 1 | | 1,583 | | | 0 | 39 | 08/01/2037 | 1 |
| 3128M5 | UZ 1 | | 06/01/2018 | MBS PAYMENT | | 1,322 | 1,322 | 1,314 | 1,321 | | 1 | | 1 | | 1,322 | | | 0 | 28 | 02/01/2038 | 1 |
| 3128M7 | XB 7 | | 06/01/2018 | MBS PAYMENT | | 4,311 | 4,311 | 4,578 | 4,330 | | (19) | | (19) | | 4,311 | | | 0 | 89 | 01/01/2040 | 1 |
| 3128M8 | AZ 7 | | 06/01/2018 | MBS PAYMENT | | 3,434 | 3,434 | 3,627 | 3,443 | | (9) | | (9) | | 3,434 | | | 0 | 57 | 08/01/2040 | 1 |
| 3128ME | 3F 6 | | 06/01/2018 | MBS PAYMENT | | 6,598 | 6,598 | 6,612 | 6,599 | | (1) | | (1) | | 6,598 | | | 0 | 71 | 01/01/2032 | 1 |
| 3128ME | XR 7 | | 06/01/2018 | MBS PAYMENT | | 7,173 | 7,173 | 7,534 | 7,208 | | (35) | | (35) | | 7,173 | | | 0 | 89 | 01/01/2029 | 1 |
| 3128MJ | R3 6 | | 06/01/2018 | MBS PAYMENT | | 13,789 | 13,789 | 14,476 | 13,814 | | (25) | | (25) | | 13,789 | | | 0 | 175 | 09/01/2042 | 1 |
| 3128MJ | U3 2 | | 06/01/2018 | MBS PAYMENT | | 4,652 | 4,652 | 4,976 | 4,670 | | (18) | | (18) | | 4,652 | | | 0 | 77 | 08/01/2044 | 1 |
| 3128MJ | X4 7 | | 06/01/2018 | MBS PAYMENT | | 6,315 | 6,315 | 6,592 | 6,326 | | (12) | | (12) | | 6,315 | | | 0 | 93 | 03/01/2046 | 1 |
| 3128MJ | X5 4 | | 06/01/2018 | MBS PAYMENT | | 8,115 | 8,115 | 8,710 | 8,154 | | (39) | | (39) | | 8,115 | | | 0 | 132 | 03/01/2046 | 1 |
| 3128MJ | YM 6 | | 06/01/2018 | MBS PAYMENT | | 4,145 | 4,145 | 4,313 | 4,151 | | (6) | | (6) | | 4,145 | | | 0 | 52 | 08/01/2046 | 1 |
| 3128MJ | ZH 6 | | 06/01/2018 | MBS PAYMENT | | 7,515 | 7,515 | 7,911 | 7,535 | | (20) | | (20) | | 7,515 | | | 0 | 124 | 01/01/2047 | 1 |
| 3128MJ | ZM 5 | | 06/01/2018 | MBS PAYMENT | | 8,394 | 8,394 | 8,292 | 8,390 | | 3 | | 3 | | 8,394 | | | 0 | 106 | 02/01/2047 | 1 |
| 3128MM | VZ 3 | | 06/01/2018 | MBS PAYMENT | | 7,706 | 7,706 | 7,725 | 7,708 | | (1) | | (1) | | 7,706 | | | 0 | 81 | 02/01/2032 | 1 |
| 3128MM | WJ 8 | | 06/01/2018 | MBS PAYMENT | | 18,759 | 18,759 | 19,621 | 18,819 | | (60) | | (60) | | 18,759 | | | 0 | 273 | 06/01/2032 | 1 |
| 3128MM | WS 8 | | 06/01/2018 | MBS PAYMENT | | 12,014 | 12,014 | 12,299 | | | (10) | | (10) | | 12,014 | | | 0 | 109 | 08/01/2032 | 1 |
| 3128NJ | G9 4 | | 05/01/2018 | VARIOUS | | 17,874 | 17,874 | 18,656 | 18,204 | | (330) | | (330) | | 17,874 | | | 0 | 304 | 04/01/2039 | 1 |
| 3128PQ | ZH 7 | | 06/01/2018 | MBS PAYMENT | | 1,700 | 1,700 | 1,730 | 1,704 | | (4) | | (4) | | 1,700 | | | 0 | 28 | 02/01/2025 | 1 |
| 3128PY | JD 7 | | 06/01/2018 | MBS PAYMENT | | 7,609 | 7,609 | 7,868 | 7,629 | | (20) | | (20) | | 7,609 | | | 0 | 96 | 03/01/2027 | 1 |
| 3128QJ | UC 8 | | 06/01/2018 | VARIOUS | | 141 | 141 | 142 | 141 | | | | 0 | | 141 | | | 0 | 3 | 01/01/2037 | 1 |
| 31292H | VU 5 | | 06/01/2018 | MBS PAYMENT | | 2,705 | 2,705 | 2,753 | 2,709 | | (4) | | (4) | | 2,705 | | | 0 | 61 | 04/01/2033 | 1 |
| 31292L | KQ 7 | | 06/01/2018 | MBS PAYMENT | | 2,807 | 2,807 | 2,808 | 2,807 | | | | 0 | | 2,807 | | | 0 | 41 | 04/01/2042 | 1 |
| 31292L | L6 0 | | 06/01/2018 | MBS PAYMENT | | 4,212 | 4,212 | 4,321 | 4,216 | | (3) | | (3) | | 4,212 | | | 0 | 63 | 05/01/2042 | 1 |
| 312940 | 2H 1 | | 06/01/2018 | MBS PAYMENT | | 2,590 | 2,590 | 2,659 | 2,594 | | (5) | | (5) | | 2,590 | | | 0 | 50 | 07/01/2040 | 1 |
| 312941 | NJ 2 | | 06/01/2018 | MBS PAYMENT | | 4,755 | 4,755 | 5,003 | 4,768 | | (13) | | (13) | | 4,755 | | | 0 | 85 | 07/01/2040 | 1 |
| 312942 | NF 8 | | 06/01/2018 | MBS PAYMENT | | 2,970 | 2,970 | 3,073 | 2,976 | | (6) | | (6) | | 2,970 | | | 0 | 49 | 09/01/2040 | 1 |
| 31294M | DW 8 | | 06/01/2018 | MBS PAYMENT | | 4,478 | 4,478 | 4,360 | 4,470 | | 8 | | 8 | | 4,478 | | | 0 | 56 | 01/01/2026 | 1 |
| 312964 | DE 6 | | 06/01/2018 | MBS PAYMENT | | 2,587 | 2,587 | 2,649 | 2,590 | | (4) | | (4) | | 2,587 | | | 0 | 55 | 01/01/2019 | 1 |
| 312964 | H5 1 | | 06/01/2018 | MBS PAYMENT | | 1,572 | 1,572 | 1,613 | 1,575 | | (3) | | (3) | | 1,572 | | | 0 | 33 | 01/01/2019 | 1 |
| 31296M | PA 1 | | 06/01/2018 | MBS PAYMENT | | 1,359 | 1,359 | 1,344 | 1,358 | | 1 | | 1 | | 1,359 | | | 0 | 28 | 09/01/2033 | 1 |
| 31296Q | 4R 8 | | 06/01/2018 | MBS PAYMENT | | 661 | 661 | 674 | 662 | | (2) | | (2) | | 661 | | | 0 | 15 | 11/01/2033 | 1 |
| 3132GL | VB 7 | | 06/01/2018 | MBS PAYMENT | | 3,641 | 3,641 | 3,640 | 3,641 | | | | 0 | | 3,641 | | | 0 | 46 | 01/01/2042 | 1 |
| 3132J2 | 5H 2 | | 06/01/2018 | MBS PAYMENT | | 3,580 | 3,580 | 3,717 | 3,587 | | (7) | | (7) | | 3,580 | | | 0 | 47 | 07/01/2033 | 1 |
| 31335A | QK 7 | | 06/01/2018 | MBS PAYMENT | | 9,054 | 9,054 | 9,528 | 9,075 | | (21) | | (21) | | 9,054 | | | 0 | 133 | 01/01/2044 | 1 |
| 31335A | UL 0 | | 06/01/2018 | MBS PAYMENT | | 1,664 | 1,664 | 1,779 | 1,669 | | (6) | | (6) | | 1,664 | | | 0 | 30 | 02/01/2046 | 1 |
| 31335H | SU 3 | | 06/01/2018 | MBS PAYMENT | | 2,529 | 2,529 | 2,600 | 2,537 | | (8) | | (8) | | 2,529 | | | 0 | 59 | 10/01/2024 | 1 |
| 31371G | SS 0 | | 04/01/2018 | MBS PAYMENT | | 48 | 48 | 47 | 47 | | | | 0 | | 48 | | | 0 | 1 | 05/01/2018 | 1 |
| 31371H | B6 4 | | 06/01/2018 | MBS PAYMENT | | 1,740 | 1,740 | 1,719 | 1,738 | | 1 | | 1 | | 1,740 | | | 0 | 41 | 12/01/2028 | 1 |
| 31371K | A4 3 | | 06/01/2018 | MBS PAYMENT | | 87 | 87 | 87 | 87 | | | | 0 | | 87 | | | 0 | 2 | 07/01/2031 | 1 |
| 31371M | CG 0 | | 06/01/2018 | MBS PAYMENT | | 1,015 | 1,015 | 1,035 | 1,016 | | (1) | | (1) | | 1,015 | | | 0 | 25 | 07/01/2035 | 1 |
| 3138AN | CW 1 | | 06/01/2018 | MBS PAYMENT | | 6,100 | 6,100 | 6,395 | 6,109 | | (9) | | (9) | | 6,100 | | | 0 | 98 | 08/01/2041 | 1 |
| 3138AN | YU 1 | | 06/01/2018 | MBS PAYMENT | | 424 | 424 | 449 | 424 | | | | 0 | | 424 | | | 0 | 8 | 08/01/2041 | 1 |
| 3138AV | TB 1 | | 06/01/2018 | MBS PAYMENT | | 5,271 | 5,271 | 5,500 | 5,294 | | (23) | | (23) | | 5,271 | | | 0 | 86 | 11/01/2041 | 1 |

QE05

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|----------------------|-----------------|---------|---------------|-------------------|---------------------------|---------------|-----------|-------------|---|--|---|--|--------------------------------------|--|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| 3138AW RQ 8 | FN AJ4994 | | 06/01/2018 | MBS PAYMENT | | 4,842 | 4,842 | 5,212 | 4,856 | | (14) | | (14) | | 4,842 | | | .0 | 102 | 11/01/2041 | 1 |
| 3138E0 SF 7 | FN AJ7717 | | 06/01/2018 | MBS PAYMENT | | 6,250 | 6,250 | 6,442 | 6,265 | | (15) | | (15) | | 6,250 | | | .0 | 78 | 12/01/2026 | 1 |
| 3138EG HX 5 | FN AL0245 | | 06/01/2018 | MBS PAYMENT | | 4,100 | 4,100 | 4,224 | 4,106 | | (6) | | (6) | | 4,100 | | | .0 | 66 | 04/01/2041 | 1 |
| 3138EH US 9 | FN AL1492 | | 06/01/2018 | MBS PAYMENT | | 4,991 | 4,991 | 5,221 | 5,000 | | (9) | | (9) | | 4,991 | | | .0 | 83 | 03/01/2042 | 1 |
| 3138EJ 3Y 2 | FN AL2614 | | 06/01/2018 | MBS PAYMENT | | 4,458 | 4,458 | 4,610 | 4,463 | | (5) | | (5) | | 4,458 | | | .0 | 63 | 11/01/2042 | 1 |
| 3138EJ RA 8 | FN AL2280 | | 06/01/2018 | MBS PAYMENT | | 9,144 | 9,144 | 9,737 | 9,177 | | (33) | | (33) | | 9,144 | | | .0 | 171 | 09/01/2042 | 1 |
| 3138EK FB 6 | FN AL2861 | | 06/01/2018 | MBS PAYMENT | | 8,080 | 8,080 | 8,300 | 8,093 | | (13) | | (13) | | 8,080 | | | .0 | 116 | 12/01/2042 | 1 |
| 3138EK HJ 7 | FN AL2932 | | 06/01/2018 | MBS PAYMENT | | .876 | .876 | .921 | .878 | | (2) | | (2) | | .876 | | | .0 | 15 | 07/01/2042 | 1 |
| 3138EK YW 9 | FN AL3424 | | 06/01/2018 | MBS PAYMENT | | 5,940 | 5,940 | 6,235 | 5,954 | | (15) | | (15) | | 5,940 | | | .0 | 99 | 01/01/2043 | 1 |
| 3138ET ZJ 4 | FN AL8876 | | 06/01/2018 | MBS PAYMENT | | 6,187 | 6,187 | 6,438 | 6,198 | | (11) | | (11) | | 6,187 | | | .0 | 78 | 10/01/2044 | 1 |
| 3138LR AE 2 | FN AO0904 | | 06/01/2018 | MBS PAYMENT | | 7,865 | 7,865 | 8,084 | 7,879 | | (14) | | (14) | | 7,865 | | | .0 | 130 | 04/01/2042 | 1 |
| 3138LU SX 4 | FN AO4133 | | 06/01/2018 | MBS PAYMENT | | 6,062 | 6,062 | 6,056 | 6,061 | | | | .0 | | 6,062 | | | .0 | 85 | 06/01/2042 | 1 |
| 3138MK 2E 5 | FN AQ3472 | | 06/01/2018 | MBS PAYMENT | | 8,192 | 8,192 | 8,183 | 8,192 | | 1 | | 1 | | 8,192 | | | .0 | 80 | 11/01/2027 | 1 |
| 3138W0 L6 4 | FN AR3048 | | 06/01/2018 | MBS PAYMENT | | 4,981 | 4,981 | 4,942 | 4,979 | | 3 | | 3 | | 4,981 | | | .0 | 50 | 01/01/2028 | 1 |
| 3138W4 CR 0 | FN AR6379 | | 06/01/2018 | MBS PAYMENT | | 8,628 | 8,628 | 8,931 | 8,642 | | (14) | | (14) | | 8,628 | | | .0 | 121 | 02/01/2043 | 1 |
| 3138W6 SU 1 | FN AR8630 | | 06/01/2018 | MBS PAYMENT | | 5,975 | 5,975 | 6,155 | 5,984 | | (9) | | (9) | | 5,975 | | | .0 | 70 | 04/01/2043 | 1 |
| 3138W9 HW 3 | FN AS0244 | | 06/01/2018 | MBS PAYMENT | | 5,397 | 5,397 | 5,583 | 5,405 | | (8) | | (8) | | 5,397 | | | .0 | 99 | 08/01/2043 | 1 |
| 3138W9 KR 0 | FN AS0303 | | 06/01/2018 | MBS PAYMENT | | 4,953 | 4,953 | 4,937 | 4,952 | | 1 | | 1 | | 4,953 | | | .0 | 61 | 08/01/2043 | 1 |
| 3138W9 MT 4 | FN AS0369 | | 06/01/2018 | MBS PAYMENT | | 4,152 | 4,152 | 4,450 | 4,179 | | (27) | | (27) | | 4,152 | | | .0 | 88 | 09/01/2043 | 1 |
| 3138WA FR 3 | FN AS1075 | | 06/01/2018 | MBS PAYMENT | | 4,438 | 4,438 | 4,574 | 4,441 | | (3) | | (3) | | 4,438 | | | .0 | 61 | 11/01/2043 | 1 |
| 3138WA WT 0 | FN AS1557 | | 06/01/2018 | MBS PAYMENT | | 8,165 | 8,165 | 8,672 | 8,191 | | (26) | | (26) | | 8,165 | | | .0 | 136 | 01/01/2044 | 1 |
| 3138WB UK 9 | FN AS2385 | | 06/01/2018 | MBS PAYMENT | | 2,901 | 2,901 | 3,063 | 2,907 | | (5) | | (5) | | 2,901 | | | .0 | 55 | 05/01/2044 | 1 |
| 3138WE ZJ 1 | FN AS5244 | | 06/01/2018 | MBS PAYMENT | | 8,709 | 8,709 | 9,021 | 8,723 | | (13) | | (13) | | 8,709 | | | .0 | 122 | 06/01/2045 | 1 |
| 3138WG DN 1 | FN AS6408 | | 06/01/2018 | MBS PAYMENT | | 5,152 | 5,152 | 5,448 | 5,164 | | (12) | | (12) | | 5,152 | | | .0 | 75 | 01/01/2046 | 1 |
| 3138WG HY 3 | FN AS6546 | | 06/01/2018 | MBS PAYMENT | | 9,002 | 9,002 | 9,290 | 9,029 | | (27) | | (27) | | 9,002 | | | .0 | 96 | 01/01/2031 | 1 |
| 3138WJ QC 6 | FN AS8518 | | 06/01/2018 | MBS PAYMENT | | 4,633 | 4,633 | 4,609 | 4,632 | | 1 | | 1 | | 4,633 | | | .0 | 60 | 12/01/2046 | 1 |
| 3138WJ PE 1 | FN AS8552 | | 06/01/2018 | MBS PAYMENT | | 11,925 | 11,925 | 12,199 | 11,941 | | (16) | | (16) | | 11,925 | | | .0 | 151 | 12/01/2036 | 1 |
| 3138WM KY 6 | FN AT0310 | | 06/01/2018 | MBS PAYMENT | | 5,728 | 5,728 | 6,100 | 5,739 | | (11) | | (11) | | 5,728 | | | .0 | 85 | 03/01/2043 | 1 |
| 3138WX FK 8 | FN AT9169 | | 06/01/2018 | MBS PAYMENT | | 13,840 | 13,840 | 13,759 | 13,835 | | 5 | | 5 | | 13,840 | | | .0 | 152 | 07/01/2028 | 1 |
| 3138WZ TZ 5 | FN AU0567 | | 06/01/2018 | MBS PAYMENT | | 5,211 | 5,211 | 5,161 | 5,210 | | 1 | | 1 | | 5,211 | | | .0 | 76 | 08/01/2043 | 1 |
| 3138X0 YZ 8 | FN AU1628 | | 06/01/2018 | MBS PAYMENT | | 5,123 | 5,123 | 5,022 | 5,119 | | 4 | | 4 | | 5,123 | | | .0 | 65 | 07/01/2043 | 1 |
| 3138X1 3A 2 | FN AU2592 | | 06/01/2018 | MBS PAYMENT | | 5,621 | 5,621 | 5,822 | 5,630 | | (9) | | (9) | | 5,621 | | | .0 | 79 | 08/01/2043 | 1 |
| 3138X3 XM 9 | FN AU4283 | | 06/01/2018 | MBS PAYMENT | | 6,981 | 6,981 | 7,005 | 6,982 | | (1) | | (1) | | 6,981 | | | .0 | 103 | 09/01/2043 | 1 |
| 3138Y6 3S 1 | FN AX5308 | | 06/01/2018 | MBS PAYMENT | | 7,654 | 7,654 | 8,033 | 7,669 | | (15) | | (15) | | 7,654 | | | .0 | 124 | 01/01/2042 | 1 |
| 3138YH U6 5 | FN AY4204 | | 06/01/2018 | MBS PAYMENT | | 5,570 | 5,570 | 5,753 | 5,577 | | (7) | | (7) | | 5,570 | | | .0 | 80 | 05/01/2045 | 1 |
| 31394V LV 0 | FNR 2005-123 PG | | 06/01/2018 | MBS PAYMENT | | 11,827 | 11,827 | 11,473 | 11,810 | | 17 | | 17 | | 11,827 | | | .0 | 272 | 01/25/2036 | 1 |
| 31400Y 3Q 7 | FN 702007 | | 06/01/2018 | MBS PAYMENT | | 351 | 351 | 359 | 351 | | (1) | | (1) | | 351 | | | .0 | 7 | 05/01/2033 | 1 |
| 31404V 4L 9 | FN 780327 | | 06/01/2018 | MBS PAYMENT | | 2,441 | 2,441 | 2,459 | 2,441 | | | | .0 | | 2,441 | | | .0 | 51 | 07/01/2019 | 1 |
| 31404W UE 4 | FN 780981 | | 06/01/2018 | MBS PAYMENT | | 3,302 | 3,302 | 3,296 | 3,300 | | 2 | | 2 | | 3,302 | | | .0 | 61 | 06/01/2019 | 1 |
| 31406U HH 4 | FN 820232 | | 06/01/2018 | MBS PAYMENT | | .767 | .767 | .779 | .768 | | (1) | | (1) | | .767 | | | .0 | 18 | 06/01/2035 | 1 |
| 31407B BK 4 | FN 825442 | | 06/01/2018 | MBS PAYMENT | | .254 | .254 | .257 | .254 | | | | .0 | | .254 | | | .0 | 6 | 05/01/2035 | 1 |
| 31409Y UL 9 | FN 882687 | | 06/01/2018 | MBS PAYMENT | | 6,642 | 6,642 | 6,594 | 6,640 | | 2 | | 2 | | 6,642 | | | .0 | 149 | 06/01/2036 | 1 |
| 3140J7 T5 4 | FN BM3271 | | 06/01/2018 | MBS PAYMENT | | 14,410 | 14,410 | 14,648 | | | (11) | | (11) | | 14,410 | | | .0 | 147 | 12/01/2032 | 1 |
| 3140Q7 2P 1 | FN CA0781 | | 06/01/2018 | MBS PAYMENT | | 20,100 | 20,100 | 20,502 | 20,125 | | (25) | | (25) | | 20,100 | | | .0 | 259 | 11/01/2032 | 1 |
| 31410U KA 9 | FN 897689 | | 06/01/2018 | MBS PAYMENT | | .594 | .594 | .581 | .594 | | | | .0 | | .594 | | | .0 | 14 | 06/01/2037 | 1 |
| 31413R 2P 0 | FN 953582 | | 06/01/2018 | MBS PAYMENT | | 2,766 | 2,766 | 2,917 | 2,775 | | (9) | | (9) | | 2,766 | | | .0 | 56 | 12/01/2037 | 1 |
| 31416J ZM 6 | FN AA1647 | | 06/01/2018 | MBS PAYMENT | | .223 | .223 | .230 | .223 | | | | .0 | | .223 | | | .0 | 5 | 02/01/2039 | 1 |
| 31416M 5A 8 | FN AA4440 | | 06/01/2018 | MBS PAYMENT | | .484 | .484 | .502 | .486 | | (2) | | (2) | | .484 | | | .0 | 12 | 03/01/2039 | 1 |
| 31417C JL 0 | FN AB5666 | | 06/01/2018 | MBS PAYMENT | | 1,222 | 1,222 | 1,246 | 1,223 | | (1) | | (1) | | 1,222 | | | .0 | 18 | 07/01/2042 | 1 |
| 31417C KM 6 | FN AB5699 | | 06/01/2018 | MBS PAYMENT | | 3,379 | 3,379 | 3,366 | 3,378 | | 1 | | 1 | | 3,379 | | | .0 | 53 | 07/01/2042 | 1 |
| 31417C VS 1 | FN AB6024 | | 06/01/2018 | MBS PAYMENT | | 6,261 | 6,261 | 6,547 | 6,277 | | (16) | | (16) | | 6,261 | | | .0 | 93 | 08/01/2042 | 1 |
| 31417D TR 4 | FN AB6859 | | 06/01/2018 | MBS PAYMENT | | 5,679 | 5,679 | 5,903 | 5,689 | | (10) | | (10) | | 5,679 | | | .0 | 78 | 11/01/2042 | 1 |
| 31417E MZ 1 | FN AB7575 | | 06/01/2018 | MBS PAYMENT | | 7,102 | 7,102 | 7,077 | 7,101 | | 1 | | 1 | | 7,102 | | | .0 | 82 | 01/01/2043 | 1 |
| 31417E N9 8 | FN AB7615 | | 06/01/2018 | MBS PAYMENT | | 4,492 | 4,492 | 4,694 | 4,500 | | (8) | | (8) | | 4,492 | | | .0 | 69 | 01/01/2043 | 1 |
| 31417F 3E 6 | FN AB8896 | | 06/01/2018 | MBS PAYMENT | | 4,084 | 4,084 | 3,969 | 4,081 | | 3 | | 3 | | 4,084 | | | .0 | 47 | 04/01/2043 | 1 |

QE05.1

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|---|--|-------------|---------------|--------------------------|---------------------------|---------------|-----------|-------------|---|--|---|--|--------------------------------------|--|---|--|----------------------------------|-------------------------------|--|----------------------------------|--|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | |
| CUSIP Identification | Description | For Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation or Market Indicator (a) |
| 31417G 5A 0 | FN AB9840 | | 06/01/2018 | MBS PAYMENT | | 4,972 | 4,972 | 5,212 | 4,980 | | (8) | | (8) | | 4,972 | | | 0 | 70 | 07/01/2043 | 1 |
| 31417H B5 2 | FN AB9959 | | 06/01/2018 | MBS PAYMENT | | 3,645 | 3,645 | 3,803 | 3,651 | | (6) | | (6) | | 3,645 | | | 0 | 62 | 07/01/2043 | 1 |
| 31418C QB 3 | FN MA3149 | | 06/01/2018 | MBS PAYMENT | | 7,514 | 7,514 | 7,802 | | | (11) | | (11) | | 7,514 | | | 0 | 98 | 10/01/2047 | 1 |
| 31418W CY 4 | FN AD8186 | | 06/01/2018 | MBS PAYMENT | | 3,673 | 3,673 | 3,806 | 3,683 | | (10) | | (10) | | 3,673 | | | 0 | 48 | 09/01/2025 | 1 |
| 31419E UD 9 | FN AE4179 | | 06/01/2018 | MBS PAYMENT | | 1,989 | 1,989 | 2,059 | 1,998 | | (9) | | (9) | | 1,989 | | | 0 | 29 | 10/01/2025 | 1 |
| 31419J SV 1 | FN AE7731 | | 06/01/2018 | MBS PAYMENT | | 4,828 | 4,828 | 5,149 | 4,847 | | (19) | | (19) | | 4,828 | | | 0 | 87 | 11/01/2040 | 1 |
| 442435 4C 4 | HOUSTON TX UTILITY SYS REVENUE | | 05/23/2018 | CITIGROUP GLOBAL MARKETS | | 313,605 | 275,000 | 321,879 | 315,784 | | (2,374) | | (2,374) | | 313,410 | | 195 | 195 | 7,257 | 05/15/2026 | 1FE |
| 91412G RE 7 | UNIV OF CALIFORNIA CA REVENUES | | 04/04/2018 | EXCHANGE | | 473,197 | 450,000 | 490,775 | 474,252 | | (1,055) | | (1,055) | | 473,197 | | | 0 | 8,688 | 05/15/2030 | 1FE |
| 3199999 | Total - Bonds - U.S. Special Revenue and Special Assessments | | | | | 2,153,110 | 2,030,693 | 2,264,454 | 2,124,369 | 0 | (8,641) | 0 | (8,641) | 0 | 2,149,704 | 0 | 3,406 | 3,406 | 40,520 | XXX | XXX |
| Bonds - Industrial and Miscellaneous | | | | | | | | | | | | | | | | | | | | | |
| 023135 AX 4 | AMAZON.COM INC | | 06/08/2018 | EXCHANGE | | 349,188 | 350,000 | 349,094 | 349,136 | | 52 | | 52 | | 349,188 | | | 0 | 7,786 | 08/22/2024 | 1FE |
| 12489W QD 9 | CBASS 2005-CB8 AF2 | | 06/01/2018 | MBS PAYMENT | | 13,259 | 13,259 | 13,258 | 13,259 | | | | 0 | | 13,259 | | | 0 | 203 | 12/25/2035 | 1FM |
| 151020 AS 3 | CELGENE CORP | | 04/25/2018 | J.P. MORGAN | | 195,240 | 200,000 | 198,068 | 198,462 | | 56 | | 56 | | 198,519 | | (3,279) | (3,279) | 5,425 | 08/15/2025 | 2FE |
| 47215B AD 9 | JCPL 2006-A A4 | | 06/05/2018 | MBS PAYMENT | | 46,461 | 46,461 | 46,420 | 46,433 | | 28 | | 28 | | 46,461 | | | 0 | 1,303 | 06/05/2023 | 1FE |
| 59156R AR 9 | METLIFE INC | | 05/30/2018 | TENDER OFFER | | 833,324 | 825,000 | 827,888 | 825,240 | | (159) | | (159) | | 825,081 | | 8,243 | 8,243 | 44,524 | 08/15/2018 | 1FE |
| 3899999 | Total - Bonds - Industrial and Miscellaneous | | | | | 1,437,472 | 1,434,720 | 1,434,728 | 1,432,530 | 0 | (23) | 0 | (23) | 0 | 1,432,508 | 0 | 4,964 | 4,964 | 59,241 | XXX | XXX |
| 8399997 | Total - Bonds - Part 4 | | | | | 3,592,599 | 3,467,430 | 3,701,195 | 3,558,916 | 0 | (8,663) | 0 | (8,663) | 0 | 3,584,229 | 0 | 8,370 | 8,370 | 99,821 | XXX | XXX |
| 8399999 | Total - Bonds | | | | | 3,592,599 | 3,467,430 | 3,701,195 | 3,558,916 | 0 | (8,663) | 0 | (8,663) | 0 | 3,584,229 | 0 | 8,370 | 8,370 | 99,821 | XXX | XXX |
| 9999999 | Total - Bonds, Preferred and Common Stocks | | | | | 3,592,599 | XXX | 3,701,195 | 3,558,916 | 0 | (8,663) | 0 | (8,663) | 0 | 3,584,229 | 0 | 8,370 | 8,370 | 99,821 | XXX | XXX |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:0.

QE05.2

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 Depository | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Current Quarter | 5 Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter | | | 9 * |
|---------------------------------------|----------------------|-----------------------|---|---|--|-------------------|------------------|--------|
| | | | | | 6 First Month | 7 Second Month | 8 Third Month | |
| Open Depositories | | | | | | | | |
| BANK OF AMERICA | PROVIDENCE, RI | | | |879,348 |627,642 |785,188 | XXX |
| 0199999. Total Open Depositories..... | XXX | XXX |0 |0 |879,348 |627,642 |785,188 | XXX |
| 0399999. Total Cash on Deposit..... | XXX | XXX |0 |0 |879,348 |627,642 |785,188 | XXX |
| 0599999. Total Cash..... | XXX | XXX |0 |0 |879,348 |627,642 |785,188 | XXX |

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1 CUSIP | 2 Description | 3 Code | 4 Date Acquired | 5 Rate of Interest | 6 Maturity Date | 7 Book/Adjusted Carrying Value | 8 Amount of Interest Due & Accrued | 9 Amount Received During Year | |
|---|-----------------------------------|-----------|--------------------|-----------------------|--------------------|-----------------------------------|---------------------------------------|----------------------------------|--|
| All Other Money Market Mutual Funds | | | | | | | | | |
| 31607A 70 3 | FIDELITY GOVERNMENT PORT-INS..... | | 06/28/2018..... | 1.800 | | 350,480 | 2,089 | | |
| 8699999. Total - All Other Money Market Mutual Funds..... | | | | | | | 350,480 | 2,089 | |
| 8899999. Total - Cash Equivalents..... | | | | | | | 350,480 | 2,089 | |

QE13



SUPPLEMENT "A" TO SCHEDULE T

**EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES**

Designate the type of health care providers reported on this page.

Physicians - Including Surgeons and Osteopaths

| States, Etc. | 1 Direct Premiums Written | 2 Direct Premiums Earned | Direct Losses Paid | | 5 Direct Losses Incurred | Direct Losses Unpaid | | 8 Direct Losses Incurred But Not Reported |
|-------------------------------------|------------------------------------|-----------------------------------|--------------------|-----------------------------|-----------------------------------|-------------------------|-----------------------------|---|
| | | | 3 Amount | 4 Number of Claims | | 6 Amount Reported | 7 Number of Claims | |
| 1. Alabama.....AL | | | | | | | | |
| 2. Alaska.....AK | | | | | | | | |
| 3. Arizona.....AZ | | | | | | | | |
| 4. Arkansas.....AR | | | | | | | | |
| 5. California.....CA | | | | | | | | |
| 6. Colorado.....CO | | | | | | | | |
| 7. Connecticut.....CT | | | | | | | | |
| 8. Delaware.....DE | | | | | | | | |
| 9. District of Columbia.....DC | | | | | | | | |
| 10. Florida.....FL | | | | | | | | |
| 11. Georgia.....GA | | | | | | | | |
| 12. Hawaii.....HI | | | | | | | | |
| 13. Idaho.....ID | | | | | | | | |
| 14. Illinois.....IL | | | | | | | | |
| 15. Indiana.....IN | | | | | | | | |
| 16. Iowa.....IA | | | | | | | | |
| 17. Kansas.....KS | | | | | | | | |
| 18. Kentucky.....KY | | | | | | | | |
| 19. Louisiana.....LA | | | | | | | | |
| 20. Maine.....ME | | | | | | | | |
| 21. Maryland.....MD | | | | | | | | |
| 22. Massachusetts.....MA | | | | | | | | |
| 23. Michigan.....MI | | | | | | | | |
| 24. Minnesota.....MN | | | | | | | | |
| 25. Mississippi.....MS | | | | | | | | |
| 26. Missouri.....MO | | | | | | | | |
| 27. Montana.....MT | | | | | | | | |
| 28. Nebraska.....NE | | | | | | | | |
| 29. Nevada.....NV | | | | | | | | |
| 30. New Hampshire.....NH | | | | | | | | |
| 31. New Jersey.....NJ | | | | | | | | |
| 32. New Mexico.....NM | | | | | | | | |
| 33. New York.....NY | | | | | | | | |
| 34. North Carolina.....NC | | | | | | | | |
| 35. North Dakota.....ND | | | | | | | | |
| 36. Ohio.....OH | | | | | | | | |
| 37. Oklahoma.....OK | | | | | | | | |
| 38. Oregon.....OR | | | | | | | | |
| 39. Pennsylvania.....PA | | | | | | | | |
| 40. Rhode Island.....RI | 742,749 | 696,769 | 369,485 | 2 | (1,891,852) | 8,330,556 | 29 | 12,027,435 |
| 41. South Carolina.....SC | | | | | | | | |
| 42. South Dakota.....SD | | | | | | | | |
| 43. Tennessee.....TN | | | | | | | | |
| 44. Texas.....TX | | | | | | | | |
| 45. Utah.....UT | | | | | | | | |
| 46. Vermont.....VT | | | | | | | | |
| 47. Virginia.....VA | | | | | | | | |
| 48. Washington.....WA | | | | | | | | |
| 49. West Virginia.....WV | | | | | | | | |
| 50. Wisconsin.....WI | | | | | | | | |
| 51. Wyoming.....WY | | | | | | | | |
| 52. American Samoa.....AS | | | | | | | | |
| 53. Guam.....GU | | | | | | | | |
| 54. Puerto Rico.....PR | | | | | | | | |
| 55. US Virgin Islands.....VI | | | | | | | | |
| 56. Northern Mariana Islands.....MP | | | | | | | | |
| 57. Canada.....CAN | | | | | | | | |
| 58. Aggregate Other Alien.....OT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals..... | 742,749 | 696,769 | 369,485 | 2 | (1,891,852) | 8,330,556 | 29 | 12,027,435 |

DETAILS OF WRITE-INS

| | | | | | | | | |
|--|---|---|---|---|---|---|---|---|
| 58001..... | | | | | | | | |
| 58002..... | | | | | | | | |
| 58003..... | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above)..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |



Designate the type of health care providers reported on this page.

SUPPLEMENT "A" TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES

Hospitals

| States, Etc. | 1 Direct Premiums Written | 2 Direct Premiums Earned | Direct Losses Paid | | 5 Direct Losses Incurred | Direct Losses Unpaid | | 8 Direct Losses Incurred But Not Reported |
|-------------------------------------|------------------------------------|-----------------------------------|--------------------|-----------------------------|-----------------------------------|-------------------------|-----------------------------|---|
| | | | 3 Amount | 4 Number of Claims | | 6 Amount Reported | 7 Number of Claims | |
| 1. Alabama.....AL | | | | | | | | |
| 2. Alaska.....AK | | | | | | | | |
| 3. Arizona.....AZ | | | | | | | | |
| 4. Arkansas.....AR | | | | | | | | |
| 5. California.....CA | | | | | | | | |
| 6. Colorado.....CO | | | | | | | | |
| 7. Connecticut.....CT | | | | | | | | |
| 8. Delaware.....DE | | | | | | | | |
| 9. District of Columbia.....DC | | | | | | | | |
| 10. Florida.....FL | | | | | | | | |
| 11. Georgia.....GA | | | | | | | | |
| 12. Hawaii.....HI | | | | | | | | |
| 13. Idaho.....ID | | | | | | | | |
| 14. Illinois.....IL | | | | | | | | |
| 15. Indiana.....IN | | | | | | | | |
| 16. Iowa.....IA | | | | | | | | |
| 17. Kansas.....KS | | | | | | | | |
| 18. Kentucky.....KY | | | | | | | | |
| 19. Louisiana.....LA | | | | | | | | |
| 20. Maine.....ME | | | | | | | | |
| 21. Maryland.....MD | | | | | | | | |
| 22. Massachusetts.....MA | | | | | | | | |
| 23. Michigan.....MI | | | | | | | | |
| 24. Minnesota.....MN | | | | | | | | |
| 25. Mississippi.....MS | | | | | | | | |
| 26. Missouri.....MO | | | | | | | | |
| 27. Montana.....MT | | | | | | | | |
| 28. Nebraska.....NE | | | | | | | | |
| 29. Nevada.....NV | | | | | | | | |
| 30. New Hampshire.....NH | | | | | | | | |
| 31. New Jersey.....NJ | | | | | | | | |
| 32. New Mexico.....NM | | | | | | | | |
| 33. New York.....NY | | | | | | | | |
| 34. North Carolina.....NC | | | | | | | | |
| 35. North Dakota.....ND | | | | | | | | |
| 36. Ohio.....OH | | | | | | | | |
| 37. Oklahoma.....OK | | | | | | | | |
| 38. Oregon.....OR | | | | | | | | |
| 39. Pennsylvania.....PA | | | | | | | | |
| 40. Rhode Island.....RI | 139,940 | 117,430 | 250,000 | 2 | (924,943) | 1,225,000 | 11 | 2,992,903 |
| 41. South Carolina.....SC | | | | | | | | |
| 42. South Dakota.....SD | | | | | | | | |
| 43. Tennessee.....TN | | | | | | | | |
| 44. Texas.....TX | | | | | | | | |
| 45. Utah.....UT | | | | | | | | |
| 46. Vermont.....VT | | | | | | | | |
| 47. Virginia.....VA | | | | | | | | |
| 48. Washington.....WA | | | | | | | | |
| 49. West Virginia.....WV | | | | | | | | |
| 50. Wisconsin.....WI | | | | | | | | |
| 51. Wyoming.....WY | | | | | | | | |
| 52. American Samoa.....AS | | | | | | | | |
| 53. Guam.....GU | | | | | | | | |
| 54. Puerto Rico.....PR | | | | | | | | |
| 55. US Virgin Islands.....VI | | | | | | | | |
| 56. Northern Mariana Islands.....MP | | | | | | | | |
| 57. Canada.....CAN | | | | | | | | |
| 58. Aggregate Other Alien.....OT | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals..... | 139,940 | 117,430 | 250,000 | 2 | (924,943) | 1,225,000 | 11 | 2,992,903 |

DETAILS OF WRITE-INS

| | | | | | | | | |
|--|---|---|---|---|---|---|---|---|
| 58001..... | | | | | | | | |
| 58002..... | | | | | | | | |
| 58003..... | | | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page. | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above)..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Supp. A to Sch. T
NONE**

**Supp. A to Sch. T
NONE**

**Overflow Page
NONE**