



# STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Business Regulation

INSURANCE DIVISION

1511 Pontiac Avenue, Bldg. 69-2

Cranston RI 02920

Telephone No. (401) 462-9520

[www.dbr.ri.gov](http://www.dbr.ri.gov)

FAX No. 462-9602

## RHODE ISLAND NON-RESIDENT INSTRUCTIONS AND APPLICATION FOR-CONTINUING EDUCATION COURSE RECIPROCAL APPROVAL

In order to obtain course approval on reciprocal basis, providers who have a home state (or course approval home state) other than Rhode Island, Massachusetts, and Florida, should submit the following via their SBS provider account:

- ❑ Login to provider account →  
<https://sbs.naic.org/solar-web/pages/public/stateServices.jsf?state=RI>
- ❑ Required attachments: Copy of the home state's course approval, the completed NAIC Uniform Continuing Education Reciprocity Course Filing Form (CER), and the course outline. **Courses submitted prior to obtaining a Home State Approval will be rejected.**
- ❑ The fee is \$60 for each course submitted and payment is made through SBS at the time of submission.
- ❑ **Note:** You will be given the same number of credits as granted in your home state. Please do not submit the course for a different credit amount.

**\*\*\* Courses submitted without the above requirements will be rejected \*\*\***

### NEW IN 2018

**\*\*If you are requesting that a course be certified for Long Term Care or Annuity Suitability compliance training, please be sure to choose the applicable Course Group at the time of application.\*\***

References:

[Insurance Bulletin 2011-2](#) - Annuity and Long Term Care Producer Training

[RIGL 27-34.2-21](#)- Long Term Care one-time 8 hour LTC care course required prior to sale of LTC policy and 4 hour LTC ongoing biennial training requirement

[RI Administrative Code 230-RICR-20-25-1](#) – Annuity Suitability



National Association of Insurance Commissioners

**UNIFORM CONTINUING EDUCATION RECIPROCIITY COURSE FILING FORM**

Please clearly print or type information on this form. Thank you for helping us promptly process your application.

**Provider Information**

Provider Name		FEIN # (if applicable)			
Contact Person		E-mail Address of Contact Person			
Phone Number ( ) - ext.	Fax Number ( ) -	Home State	Home State Provider #	Reciprocal State	Reciprocal State Provider #
Mailing Address		City	State	Zip	

**Course Information**

Course Title		
Date of Course Offering (if applicable)		
Method of Instruction	National Course	
<b>Self – Study (non-contact)</b> <input type="checkbox"/> Correspondence <input type="checkbox"/> On-Line Training (Self-Study) <input type="checkbox"/> Video/Audio/CD/DVD Word Count _____ Difficulty (Circle) Basic    Intermediate    Advanced	<b>Classroom (contact)</b> <input type="checkbox"/> Seminar/Workshop <input type="checkbox"/> Webinar <input type="checkbox"/> Teleconference <input type="checkbox"/> Other _____	<b>National Insurance Designation?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No Designation Type: _____  <b>Is this Course Open to the Public?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Examination Required?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No		

**Credit Hours Requested and Course/Hours Decision**

Course Concentration	Hrs Requested by Provider		Hrs Approved by Home State		Hrs Approved by Reciprocal State	
	Sales/Mktg	Insurance	Sales/Mktg	Insurance	Sales /Mktg	Insurance
<b>A. Insurance Topics:</b> (Circle Appropriate Course Concentration)						
Life / Health						
Property / Casualty/Personal Lines						
Ethics						
General (Applies to all lines)						
Insurance Laws						
Other (LTC, NFIP, Viatical, Annuities, _____)						
Total Hours						
<b>B. Adjuster Topics (Total Hours)</b>						

**Information Below is for Regulator Use Only**

Approval Date		
Course Number assigned		
Course approval expiration date		
Signature of Home State Regulator/Representative <b>OR</b> <u>ATTACH</u> Provider Home State Approval Form		
Signature of Reciprocal State Regulator/Representative <b>OR</b> <u>ATTACH</u> Reciprocal State Approval Form		

**See State Matrix for Instruction Sheet and State Specific Fee Schedule**

## INSTRUCTION SHEET

NOTE: This course may NOT be advertised or offered as approved in the state to which application has been made until approval has been received from the Insurance Department.

### 1. If you are a PROVIDER filing for approval from the Home State:

1.1 Complete all the fields in the “Provider Information” section except “Reciprocal State” and the adjacent “Provider #” fields.

1.2 Complete the Course Information Section.

1.3 In the “Credit Hours Requested and Course/Hours Decision” section, complete the “Hrs. Requested by Provider” columns, detailing in the respective columns the number of hours for sales – and marketing-related instruction and the number of hours for other insurance-related instruction. Please note the following:

1.3.1 When using this application, which is governed by the NAIC CE Reciprocity Agreement in conjunction with ‘states’ laws, only whole numbers of credit hours will be approved – partial hours will be eliminated.

1.3.2 States that approve sales/marketing topics will consider the hours in the “sales/Mktg” column and the hours in the “Insurance” column when deciding the number of hours to approve. States that do not permit sales/marketing topics as part of continuing education credit hours will only consider the hours shown in the “Insurance” column when making their credit-hour approval decisions.

1.3.3 Contact the individual state to determine whether there are any specific requirements for submitting insurance adjuster courses.

1.4 Submit the application form along with required course materials, a detailed course outline, instructor information, if required, and the required course application fee. Refer to website below for instructor information ([www.naic.org/documents/urtt\\_cer\\_CE\\_Matrix.xls](http://www.naic.org/documents/urtt_cer_CE_Matrix.xls)).

### 2. If you are a PROVIDER filing for approval from a Reciprocal State:

2.1 Make a sufficient number of photocopies of the Home State approval form to enable you to submit a copy of this application to each of the Reciprocal States where you are seeking credit.

2.2 On each application, write the Reciprocal State and the provider number assigned to you by that state in the “Reciprocal State” and adjacent “Provider #” fields.

2.3 Send the CER application, home state approval, if home state issues one, a detailed course outline, and the required fee to the reciprocal state. If this is a National Course \*, the Providers will be allowed to submit an agenda which must include date, time, each topic and event location in lieu of a detailed course outline.

2.4 Subsequent national course offerings should only be reported for events that are conducted in the “home” state.

\* **National Course** is defined as an approved program of instruction in insurance related topics, offered by an approved provider, and leads to a national professional designation or is a course offered to individuals who must update their designation once it is earned.

### 3. If you are a HOME STATE or the designated Representative of the Home State:

3.1 After reviewing the course materials, complete the “Hrs Approved by Home State” column.

3.2 Enter the date of approval, course # assigned, course approval expiration date. Sign the CER Form OR attach the home state approval form.

3.3 If the class is not approved, note it on the bottom of the CER Form.

### 4. If you are the RECIPROCAL STATE or designated representative of the Reciprocal State:

4.1 After reviewing “Hrs approved by Home State” complete the “Hrs Approved by Reciprocal State”.

4.2 Enter the date of approval, course number assigned, course approval expiration date. Sign the CER Form OR attach the reciprocal state approval form.

4.3 If the class is not approved, note it on the bottom of the CER Form.