

Department of Business Regulation Annual Report

Fair Chance Licensing Act [R.I. Gen. Laws § 28-5.1-14 (I)]

For the period 01/01/2021 to 12/31/2021

The Department issues a variety of licenses, registrations, permits and certificates (collectively, “licenses”) including certain occupational licenses that are subject to the requirements of § 28-5.1-14. Due to system reporting functionality and limitations, in addition to occupational license data, this report may include data regarding licenses that are outside the scope of § 28-5.1-14 and/or exempt per federal law as provided in § 28-5.1-14(m). It may also include applications filed by corporate entities and renewal applications for some license types particularly those where filings are made using national filing systems.

Number of initial applicants	166,694 initial applications received ¹
Number of applicants granted	166,680 applications granted
Number of applicants denied for any reason	8 professional engineer registration applications 5 contractor registration applications 1 mortgage loan originator application
If available, breakdown of race/ethnicity	N/A ²
If available, breakdown by gender	N/A
If available, breakdown by city/town of residence	N/A
Number of applicants denied solely, or in part, because of criminal conviction	1 mortgage loan originator application ³

¹ See attached for a breakdown of applications by license/registration type.

² The Department does not require information regarding applicant race, ethnicity, or gender as part of licensing. Although residential addresses may be provided by some applicants for certain licenses, reports containing a breakdown by city/town are not readily available from the licensing systems used by the Department.

³ The Department was precluded from granting the mortgage loan originator license pursuant to federal law, Chapter 51 of Title 12 of the U.S. Code § 5104; see also R.I. Gen. Laws § 19-14.10-6.

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Division/Subject Area/Board	Credential Type	# Initial Applicants [for license, registration, permit, certificate]	*If available, breakdown of race/ethnicity; gender; city/town of residence	# Granted	# Denied for any reason	# Denied solely, or in part, because of criminal conviction
Appraisers Board	Certified General Real Estate Appraiser	14	n/a	14	0	0
Appraisers Board	Certified Residential Real Estate Appraiser	10	n/a	10	0	0
Appraisers Board	Licensed Real Estate Appraiser	7	n/a	7	0	0
Appraisers Board	Real Estate Appraiser Temporary Practice Permit	106	n/a	106	0	0
Appraisers Board	Real Estate Appraiser Trainee	22	n/a	22	0	0
Constables	Constables	0	n/a	0	0	0
Auto Body & Salvage	Auto Body - LTD Paint Restoration & Customization	1	n/a	1	0	0
Auto Body & Salvage	Auto Body - Motor Vehicle Body Class B License	4	n/a	4	0	0
Auto Body & Salvage	Auto Glass	5	n/a	5	0	0
Auto Body & Salvage	Auto Salvage Rebuilder	8	n/a	8	0	0
Auto Body & Salvage	Auto Wrecking & Salvage Yard	1	n/a	1	0	0
Auto Body & Salvage	Motor Vehicle Body Class A License	1	n/a	1	0	0
Mobile Food Est.	Mobile Food Trucks	294	n/a	294	0	0
Gaming & Athletics	Athlete	31	n/a	31	0	0
Gaming & Athletics	Athletics Manager/Second	65	n/a	65	0	0
Gaming & Athletics	Athletics Occupational	33	n/a	33	0	0
Gaming & Athletics	Concessionaire & Vendor Employee	170	n/a	170	0	0
Gaming & Athletics	Gaming Facility - Key Employee	30	n/a	30	0	0
Gaming & Athletics	Gaming Facility - Operation Employee	213	n/a	213	0	0
Gaming & Athletics	Gaming Facility - Service Employee	70	n/a	70	0	0
Gaming & Athletics	Gaming Vendor Employee	54	n/a	54	0	0
Liquor Regulation	Brewery	3	n/a	3	0	0
Liquor Regulation	Brewpub Manufacturer	1	n/a	1	0	0
Liquor Regulation	Class P - Caterer	4	n/a	4	0	0
Liquor Regulation	Farmer Winery	4	n/a	4	0	0
Liquor Regulation	Liquor Agent	22	n/a	22	0	0
Liquor Regulation	Rectifier	0	n/a	0	0	0
Liquor Regulation	Sales	33	n/a	33	0	0
Liquor Regulation	Wholesale Class A	4	n/a	4	0	0
Liquor Regulation	Wholesale Class B	4	n/a	4	0	0
Liquor Regulation	Winery	4	n/a	4	0	0
Real Estate Commission	Real Estate Broker	210	n/a	210	0	0
Real Estate Commission	Real Estate Salesperson	1,341	n/a	1,341	0	0
Securities Regulation	Fundraising Counsel	16	n/a	16	0	0
Securities Regulation	Professional Fundraiser	13	n/a	13	0	0
Securities Regulation	Investment Adviser Representative	3,652	n/a	3,652	0	0
Securities Regulation	Broker Dealer Sales Representative	125,564	n/a	125,564	0	0
Cannabis Regulation	Licensee Registry ID Cardholder	1,297	n/a	1,297	0	0
Building, Design & Fire	Contractor Registration & Licensing	1,215	n/a	1,210	5	0
Building, Design & Fire	Architects	140	n/a	140	0	0
Building, Design & Fire	Landscape Architects	29	n/a	29	0	0
Building, Design & Fire	Engineers	545	n/a	537	8	0
Building, Design & Fire	Land Surveyors	1	n/a	1	0	0
Building, Design & Fire	Accountants	69	n/a	69	0	0
Building, Design & Fire	Fireworks/Pyrotechnician	40	n/a	40	0	0
Building, Design & Fire	Blasting	75	n/a	75	0	0
Building, Design & Fire	Blasting Apprentice	3	n/a	3	0	0
Building, Design & Fire	Fixed/Potable Extinguisher	186	n/a	186	0	0
Building, Design & Fire	Fixed/Portable Extinguisher Apprentice	8	n/a	8	0	0
Insurance Regulation	Company/Independent Adjuster	9,863	n/a	9,863	0	0
Insurance Regulation	Emergency MVDA or Adjuster	16	n/a	16	0	0
Insurance Regulation	Insurance Producer	18,452	n/a	18,452	0	0
Insurance Regulation	Motor Vehicle Damage Appraiser	212	n/a	212	0	0
Insurance Regulation	Public Adjuster	29	n/a	29	0	0
Insurance Regulation	Reinsurance Intermediary Broker or Manager	7	n/a	7	0	0
Insurance Regulation	Surplus Lines Broker	193	n/a	193	0	0
Insurance Regulation	Temporary Insurance Producer	77	n/a	77	0	0
Insurance Regulation	Title Insurance	69	n/a	69	0	0
Banking Regulation	Mortgage Loan Originator	2,154	n/a	2,153	1	1
TOTALS		166,694		166,680	14	1