



9 5 4 0 2 2 0 2 2 2 0 1 0 0 1 0 1

HEALTH COMPANIES – ASSOCIATION EDITION

QUARTERLY STATEMENT
AS OF MARCH 31, 2022
OF THE CONDITION AND AFFAIRS OF THE
NEIGHBORHOOD HEALTH PLAN OF RHODE ISLAND

NAIC Group Code 0000 0000 NAIC Company Code 95402 Employer's ID Number 05-0477052
(Current) (Prior)

Organized under the Laws of RI State of Domicile or Port of Entry RI
Country of Domicile US
Licensed as business type: Health Maintenance Organization Is HMO Federally Qualified? NO
Incorporated/Organized 12/09/1993 Commenced Business 12/01/1994
Statutory Home Office 910 Douglas Pike Smithfield, RI, US 02917
Main Administrative Office 910 Douglas Pike Smithfield, RI, US 02917 401-459-6000
(Telephone Number)
Mail Address 910 Douglas Pike Smithfield, RI, US 02917
Primary Location of Books and Records 910 Douglas Pike Smithfield, RI, US 02917 401-459-6124
(Telephone Number)
Internet Website Address http://www.nhpri.org/
Statutory Statement Contact Mihaela Miha 401-443-5931
(Telephone Number)
mmiha@nhpri.org 401-459-6043
(E-Mail Address) (Fax Number)

OFFICERS

Peter Marino, Chief Executive Officer Karen Carlson, Chief Operating Officer
Michelle Sears, Chief Financial Officer Marylou Buyse, Chief Medical Officer

OTHER

Alison Croke, Vice Chair Brenda Dowlatshahi, Chair
Merrill Thomas, Treasurer Keith Oliveira, Secretary
Peter Marino, President

DIRECTORS OR TRUSTEES

Raymond Joseph Lavoie Jr. Patricia Martinez
Pablo Rodriguez MD Lisa Ranglin
Peter Bancroft CPA Elena Nicolella
Jeanne LaChance Daniel Da Ponte
Dennis Roy William Hochstrasser-Walsh
Gary Furtado Richard Besdine MD

State of Rhode Island
County of Providence SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

x Peter Marino x Michelle Sears x Karen Carlson
Chief Executive Officer Chief Financial Officer Chief Operating Officer

Subscribed and sworn to before me
this _____ day of _____

- a. Is this an original filing? Yes
b. If no:
1. State the amendment number:
2. Date filed:
3. Number of pages attached:

x _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	142,020,917		142,020,917	116,610,586
2. Stocks:				
2.1 Preferred stocks.....				
2.2 Common stocks.....				
3. Mortgage loans on real estate:				
3.1 First liens.....				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances).....				
4.2 Properties held for the production of income (less \$ encumbrances).....				
4.3 Properties held for sale (less \$ encumbrances).....				
5. Cash (\$ 142,018,178), cash equivalents (\$ 8,216,774) and short-term investments (\$ 69,747,694).....	219,982,646		219,982,646	199,990,562
6. Contract loans (including \$ premium notes).....				
7. Derivatives.....				
8. Other invested assets.....				
9. Receivables for securities.....	1,374,978		1,374,978	1,394,449
10. Securities lending reinvested collateral assets.....				
11. Aggregate write-ins for invested assets.....				
12. Subtotals, cash and invested assets (Lines 1 to 11).....	363,378,540		363,378,540	317,995,597
13. Title plants less \$ charged off (for Title insurers only).....				
14. Investment income due and accrued.....	868,877		868,877	711,484
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	93,779,425	525,580	93,253,845	81,637,091
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums).....				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$).....	4,176,675		4,176,675	9,054,984
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	1,250,000		1,250,000	1,099,908
16.2 Funds held by or deposited with reinsured companies.....				
16.3 Other amounts receivable under reinsurance contracts.....				
17. Amounts receivable relating to uninsured plans.....	17,020,002		17,020,002	38,585,817
18.1 Current federal and foreign income tax recoverable and interest thereon.....				
18.2 Net deferred tax asset.....				
19. Guaranty funds receivable or on deposit.....				
20. Electronic data processing equipment and software.....	10,858,764	6,961,703	3,897,060	3,763,571
21. Furniture and equipment, including health care delivery assets (\$).....	2,897,885	2,897,885	-	-
22. Net adjustment in assets and liabilities due to foreign exchange rates.....				
23. Receivables from parent, subsidiaries and affiliates.....				
24. Health care (\$) and other amounts receivable.....	17,159,364		17,159,364	18,947,549
25. Aggregate write-ins for other-than-invested assets.....	12,701,725	10,522,033	2,179,692	1,765,716
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	524,091,256	20,907,202	503,184,054	473,561,715
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....				
28. Total (Lines 26 and 27).....	524,091,256	20,907,202	503,184,054	473,561,715
Details of Write-Ins				
1101.....				
1102.....				
1103.....				
1198. Summary of remaining write-ins for Line 11 from overflow page.....				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above).....				
2501. Prepaid Expenses.....	3,752,420	3,752,420	-	-
2502. Receivable from State.....	342,506		342,506	1,765,716
2503. Deposits.....	5,124,253	5,124,253	-	-
2598. Summary of remaining write-ins for Line 25 from overflow page.....	3,482,546	1,645,360	1,837,186	-
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	12,701,725	10,522,033	2,179,692	1,765,716

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)	166,856,540		166,856,540	158,329,392
2. Accrued medical incentive pool and bonus amounts	30,202,654		30,202,654	28,202,654
3. Unpaid claims adjustment expenses	4,127,736		4,127,736	3,919,347
4. Aggregate health policy reserves, including the liability of \$ for medical loss ratio rebate per the Public Health Service Act	4,999,666		4,999,666	4,000,000
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	108,060,372		108,060,372	104,534,573
9. General expenses due or accrued	58,045,677		58,045,677	39,785,327
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable				
12. Amounts withheld or retained for the account of others	2,102,726		2,102,726	8,551,656
13. Remittances and items not allocated				
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)				
15. Amounts due to parent, subsidiaries and affiliates				
16. Derivatives				
17. Payable for securities				
18. Payable for securities lending				
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$) companies				
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans				
23. Aggregate write-ins for other liabilities (including \$ current)	100,325		100,325	100,325
24. Total liabilities (Lines 1 to 23)	374,495,697		374,495,697	347,423,275
25. Aggregate write-ins for special surplus funds	XXX	XXX		
26. Common capital stock	XXX	XXX		
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX		
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX		
31. Unassigned funds (surplus)	XXX	XXX	128,688,357	126,138,440
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	128,688,357	126,138,440
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	503,184,054	473,561,715
Details of Write-Ins				
2301. Unclaimed Property Payable	100,325		100,325	100,325
2302. Penalty and Interest				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page				
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	100,325		100,325	100,325
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX		
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX		
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX		

STATEMENT OF REVENUE AND EXPENSES

	Current Year to Date		Prior Year To Date	Prior Year Ended December 31
	1	2	3	4
	Uncovered	Total	Total	Total
1. Member Months.....	XXX	653,034	621,080	2,555,041
2. Net premium income (including \$ non-health premium income)	XXX	396,551,196	376,927,106	1,518,921,093
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue.....	XXX			
6. Aggregate write-ins for other health care related revenues.....	XXX	193,099	331,574	1,913,576
7. Aggregate write-ins for other non-health revenues.....	XXX			
8. Total revenues (Lines 2 to 7).....	XXX	396,744,295	377,258,680	1,520,834,669
Hospital and Medical:				
9. Hospital/medical benefits.....		200,960,852	121,822,913	509,458,335
10. Other professional services.....		11,050,921	87,761,697	326,503,517
11. Outside referrals.....		57,389,659	59,090,189	204,017,887
12. Emergency room and out-of-area.....		13,738,102	10,411,827	48,916,032
13. Prescription drugs.....		66,087,766	57,459,673	235,784,515
14. Aggregate write-ins for other hospital and medical.....			(2,144,008)	(3,753,849)
15. Incentive pool, withhold adjustments and bonus amounts.....		2,000,000	516,340	27,592,669
16. Subtotal (Lines 9 to 15).....		351,227,301	334,918,631	1,348,519,106
Less:				
17. Net reinsurance recoveries.....		1,654,142	99,017	6,193,547
18. Total hospital and medical (Lines 16 minus 17).....		349,573,159	334,819,614	1,342,325,559
19. Non-health claims (net).....				
20. Claims adjustment expenses, including \$3,942,810 cost containment expenses.....		6,797,853	8,826,082	36,830,486
21. General administrative expenses.....		37,795,568	32,160,275	131,365,273
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....				
23. Total underwriting deductions (Lines 18 through 22).....		394,166,580	375,805,972	1,510,521,318
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	2,577,715	1,452,708	10,313,351
25. Net investment income earned.....		405,205	291,939	974,533
26. Net realized capital gains (losses) less capital gains tax of \$.....		(3,158)	296,835	467,872
27. Net investment gains (losses) (Lines 25 plus 26).....		402,047	588,774	1,442,405
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)].....		(1,216,244)		(8,226,367)
29. Aggregate write-ins for other income or expenses.....				(25,000)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	1,763,517	2,041,482	3,504,390
31. Federal and foreign income taxes incurred.....	XXX			
32. Net income (loss) (Lines 30 minus 31).....	XXX	1,763,517	2,041,482	3,504,390
Details of Write-Ins				
0601. EOHHS Incentive Income.....	XXX	193,099	331,574	1,913,576
0602.....	XXX			
0603.....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX			
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above).....	XXX	193,099	331,574	1,913,576
0701.....	XXX			
0702.....	XXX			
0703.....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX			
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above).....	XXX			
1401. Stop Loss Recoveries from EOHHS.....			(2,144,008)	(3,753,849)
1402.....				
1403.....				
1498. Summary of remaining write-ins for Line 14 from overflow page.....			(2,144,008)	(3,753,849)
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above).....			(2,144,008)	(3,753,849)
2901. Penalty.....				(25,000)
2902.....				
2903.....				
2998. Summary of remaining write-ins for Line 29 from overflow page.....				
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above).....				(25,000)

STATEMENT OF REVENUE AND EXPENSES (CONTINUED)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
33. Capital and surplus prior reporting year.....	126,138,439	122,648,133	122,648,133
34. Net income or (loss) from Line 32.....	1,763,517	2,041,482	3,504,390
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	287,103	30,711	1,266,064
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....			
39. Change in nonadmitted assets.....	499,296	(4,271,824)	(1,280,148)
40. Change in unauthorized and certified reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....			
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....			
48. Net change in capital and surplus (Lines 34 to 47).....	2,549,916	(2,199,631)	3,490,306
49. Capital and surplus end of reporting period (Line 33 plus 48).....	128,688,355	120,448,502	126,138,439
Details of Write-Ins			
4701.....			
4702.....			
4703.....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....			
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above).....			

CASH FLOW

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	394,357,730	363,829,927	1,599,082,694
2. Net investment income	390,969	351,140	1,397,692
3. Miscellaneous income	1,981,284	331,574	4,258,871
4. Total (Lines 1 to 3)	396,729,982	364,512,640	1,604,739,256
5. Benefit and loss related payments	339,196,103	307,823,118	1,295,957,774
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	5,775,111	37,692,762	176,986,423
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)			
10. Total (Lines 5 through 9)	344,971,214	345,515,879	1,472,944,197
11. Net cash from operations (Line 4 minus Line 10)	51,758,768	18,996,761	131,795,059
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	23,168,892	10,674,893	54,522,785
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		199	
12.7 Miscellaneous proceeds	19,471	884,658	-
12.8 Total investment proceeds (Lines 12.1 to 12.7)	23,188,364	11,559,750	54,522,785
13. Cost of investments acquired (long-term only):			
13.1 Bonds	48,438,436	10,747,035	74,465,532
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications	-		1,055,511
13.7 Total investments acquired (Lines 13.1 to 13.6)	48,438,436	10,747,035	75,521,043
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(25,250,072)	812,715	(20,998,257)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(6,516,612)	(4,687,775)	(21,156,089)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(6,516,612)	(4,687,775)	(21,156,089)
Reconciliation of Cash, Cash Equivalents and Short-Term Investments			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	19,992,084	15,121,701	89,640,713
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	199,990,562	110,349,845	110,349,849
19.2 End of period (Line 18 plus Line 19.1)	219,982,646	125,471,546	199,990,562
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001.			

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year.....	216,581	24,742	1,874						189,965	
2. First Quarter.....	218,855	25,025	1,875						191,955	
3. Second Quarter.....										
4. Third Quarter.....										
5. Current Year.....										
6. Current Year Member Months.....	653,034	73,793	5,614						573,627	
Total Member Ambulatory Encounters for Period:										
7. Physician.....	1,603,829	121,809	8,059						1,473,961	
8. Non-Physician.....	281,762	19,368	1,269						261,125	
9. Total.....	1,885,591	141,177	9,328						1,735,086	
10. Hospital Patient Days Incurred.....	154,884	2,282	154						152,448	
11. Number of Inpatient Admissions.....	12,127	343	28						11,756	
12. Health Premiums Written (a).....	397,331,949	31,313,704	2,126,624						363,891,621	
13. Life Premiums Direct.....										
14. Property/Casualty Premiums Written.....										
15. Health Premiums Earned.....	397,334,949	31,313,704	2,129,624						363,891,621	
16. Property/Casualty Premiums Earned.....										
17. Amount Paid for Provision of Health Care Services.....	338,911,968	17,772,442	1,820,791						319,318,735	
18. Amount Incurred for Provision of Health Care Services.....	351,227,301	19,387,785	1,905,928						329,933,588	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (REPORTED AND UNREPORTED)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0399999 – Aggregate accounts not individually listed-covered.....	30,943,849	2,349,009	124,945	(4,660)	(7,097,967)	26,315,177
0499999 – Subtotals.....	30,943,849	2,349,009	124,945	(4,660)	(7,097,967)	26,315,177
0599999 – Unreported claims and other claim reserves.....						140,541,364
0799999 – Total claims unpaid.....						166,856,540
0899999 – Accrued medical incentive pool and bonus amounts.....						30,202,654

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
1. Comprehensive (hospital and medical).....	8,146,804	10,196,430	6,027,085	7,529,703	14,173,888	15,725,075
2. Medicare Supplement.....						
3. Dental only.....						
4. Vision only.....						
5. Federal Employees Health Benefits Plan.....						
6. Title XVIII – Medicare.....						
7. Title XIX – Medicaid.....	107,010,924	211,903,669	63,367,692	89,932,060	170,378,616	142,604,318
8. Other health.....						
9. Health subtotal (Lines 1 to 8).....	115,157,728	222,100,099	69,394,777	97,461,763	184,552,505	158,329,392
10. Health care receivables (a).....	(196,433)	763,885	9,466,202	7,125,710	9,269,769	18,947,549
11. Other non-health.....						
12. Medical incentive pools and bonus amounts.....			28,202,654	2,000,000	28,202,654	28,202,654
13. Totals (Lines 9-10+11+12).....	115,354,161	221,336,213	88,131,229	92,336,054	203,485,390	167,584,498

(a) Excludes \$ loans or advances to providers not yet expensed.

Notes to the Financial Statements

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Neighborhood Health Plan of Rhode Island (the "Company" or "Neighborhood") have been prepared in conformity with accounting practices prescribed or permitted by the state of Rhode Island for determining and reporting the financial conditions and results of operations of an insurance company for determining its solvency under Rhode Island Insurance law. The National Association of Insurance Commissioners ("NAIC") Accounting Practices and Procedures Manual, ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Rhode Island.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Rhode Island is shown below:

	SSAP #	F/S Page	F/S Line #	03/31/2022	12/31/2021
Net Income					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 1,763,517	\$ 3,504,390
(2) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(3) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 1,763,517</u>	<u>\$ 3,504,390</u>
Surplus					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 128,688,357	\$ 126,138,440
(6) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(7) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 128,688,357</u>	<u>\$ 126,138,440</u>

B. Use of Estimates in the Preparation of the Financial Statements - No Significant Changes

C. Accounting Policy

- (1) Short-term investments - No Significant Changes
- (2) Bonds are stated at amortized cost using the scientific interest method. The Company does not have any mandatory convertible securities and SVO-identified investments.
- (3) Common stocks - No Significant Changes
- (4) Preferred stocks - No Significant Changes
- (5) Mortgage loans - No Significant Changes
- (6) The Company only had loan-backed securities designated with NAIC 1 and 2 designations and are reported at amortized cost.
- (7) Investments in subsidiaries, controlled and affiliated entities - No Significant Changes
- (8) Investments in joint ventures, partnerships and limited liability companies - No Significant Changes
- (9) Derivatives - No Significant Changes
- (10) Investment income as a factor in the premium deficiency calculation - No Significant Changes
- (11) Liabilities for losses and loss/claim adjustment expenses - No Significant Changes
- (12) Changes in capitalization policy - No Significant Changes
- (13) Pharmaceutical rebate receivables - No Significant Changes

D. Going Concern

Management has evaluated the Company's ability to continue as a going concern. There is no substantial doubt in its ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors - None

3. Business Combinations and Goodwill - None

4. Discontinued Operations - None

5. Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans - None
- B. Debt Restructuring - None
- C. Reverse Mortgages - None
- D. Loan-Backed Securities - Not Applicable
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - None
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- H. Repurchase Agreements Transactions Accounted for as a Sale - None

Notes to the Financial Statements

5. Investments (Continued)

- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - None
- J. Real Estate - None
- K. Low-Income Housing Tax Credits (LIHTC) - None
- L. Restricted Assets - No Significant Changes
- M. Working Capital Finance Investments - None
- N. Offsetting and Netting of Assets and Liabilities - None
- O. 5GI Securities - None
- P. Short Sales - None
- Q. Prepayment Penalty and Acceleration Fees - None
- R. Reporting Entity's Share of Cash Pool by Asset type - None

6. Joint Ventures, Partnerships and Limited Liability Companies - None

7. Investment Income - No Significant Changes

8. Derivative Instruments - None

9. Income Taxes

The Company is a non-profit HMO.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties - No Significant Changes

11. Debt - None

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan - None
- B. Investment Policies and Strategies of Plan Assets - None
- C. Fair Value of Each Class of Plan Assets - None
- D. Expected Long-Term Rate of Return for the Plan Assets - None
- E. Defined Contribution Plans - No Significant Changes
- F. Multiemployer Plans - None
- G. Consolidated/Holding Company Plans - None
- H. Postemployment Benefits and Compensated Absences - None
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - None

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations - No Significant Changes

14. Liabilities, Contingencies and Assessments - None

15. Leases - No Significant Changes

16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk - None

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities - None

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - None

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - None

20. Fair Value Measurements

A. Fair Value Measurement

(1) Fair value measurements at reporting date

Fair Value Measurements at reporting date: During 2022, Neighborhood only reports Money Market Mutual Funds in Cash Equivalents at fair value using Level 2. The Company has no other assets or liabilities reported at fair value. Level 1 measurement is the unadjusted quoted price for identical assets or liabilities in active markets accessible at the measurement date, Level 2 measurement requires significant other observable inputs, and Level 3 requires significant unobservable inputs. Neighborhood's investments, comprised principally of bonds, are recorded at amortized cost.

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Money Market Mutual Fund.....	\$.....	\$..... 1,724,208	\$.....	\$.....	\$..... 1,724,208
Total assets at fair value/NAV.....	<u>\$.....</u>	<u>\$..... 1,724,208</u>	<u>\$.....</u>	<u>\$.....</u>	<u>\$..... 1,724,208</u>
b. Liabilities at fair value					
Total liabilities at fair value.....	<u>\$.....</u>	<u>\$.....</u>	<u>\$.....</u>	<u>\$.....</u>	<u>\$.....</u>

(2) Fair value measurements in Level 3 of the fair value hierarchy - None

(3) The Company's policy for determining when transfers between levels are recognized is determined at the end of the reporting period.

Notes to the Financial Statements

20. Fair Value Measurements (Continued)

(4) The valuation techniques and inputs used in the fair value measurement Level 2: The fair value of most securities is priced automatically through Neighborhood’s primary pricing vendor: Interactive Data Pricing and Reference Data, Inc., and other industry leading pricing sources like Bloomberg and PricingDirect Inc. In the event an automated price is not available from pricing vendors, other pricing sources, like investment managers and brokers, are used to ensure accurate and timely pricing.

The valuation techniques and inputs used in the fair value measurement Level 3: not applicable.

(5) Derivatives - None

B. Other Fair Value Disclosures - None

C. Fair Values for All Financial Instruments by Level 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 118,882,017	\$ 116,610,586	\$	\$ 118,882,017	\$	\$	\$
Short-term Investments	70,615,474	70,067,107	70,615,474
Cash Equivalents	36,774,801	36,774,801	36,774,801

D. Not Practicable to Estimate Fair Value - None

E. Nature and Risk of Investments Reported at NAV - None

21. Other Items - None

22. Events Subsequent

Type I. – Recognized Subsequent Events

Subsequent events have been considered through May 13, 2022 for the statutory statement issued on March 31, 2022.

None

Type II. – Nonrecognized Subsequent Events

Subsequent events have been considered through May 13, 2022 for the statutory statement issued on March, 31, 2022.

None

The Company is not subject to an annual fee under section 9010 of the Federal Affordable Care Act (ACA) due to being a non-profit HMO with a percentage of Medicaid business being over 80% of total premiums. The annual fee is no longer in effect beginning 2021.

23. Reinsurance - No Significant Changes

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. Method Used to Estimate - None

B. Method Used to Record - None

C. Amount and Percent of Net Retrospective Premiums - None

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act - None

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

(1) Accident and health insurance premium subject to the Affordable Care Act risk-sharing provisions

Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions? YES

Notes to the Financial Statements

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year

	<u>Amount</u>
a. Permanent ACA Risk Adjustment Program	
Assets	
1. Premium adjustments receivable due to the ACA risk adjustment (including high-risk pool payments).....	\$.....
Liabilities	
2. Risk adjustment user fees payable for ACA risk adjustment.....	\$..... 4,999,666
3. Premium adjustments payable due to ACA risk adjustment (including high-risk pool premium).....	4,999,666
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA risk adjustment.....	\$.....
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid).....	19,726
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA reinsurance.....	\$.....
2. Amounts recoverable for claims unpaid due to ACA reinsurance (contra liability).....
3. Amounts receivable relating to uninsured plans for contributions for ACA reinsurance.....
Liabilities	
4. Liabilities for contributions payable due to ACA reinsurance – not reported as ceded premium.....	\$.....
5. Ceded reinsurance premiums payable due to ACA reinsurance.....
6. Liabilities for amounts held under uninsured plans contributions for ACA reinsurance.....
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA reinsurance.....	\$.....
8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments.....
9. ACA reinsurance contributions - not reported as ceded premium.....
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA risk corridors liabilities.....	\$.....
2. Reserve for rate credits or policy experience rating refunds due to ACA risk corridors.....
Operations (Revenue & Expense)	
3. Effect of ACA risk corridors on net premium income (paid/received).....	\$.....
4. Effect of ACA risk corridors on change in reserves for rate credits.....

Notes to the Financial Statements

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance

	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	(1)	(2)	(3)	(4)	Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable (including high risk pool payments)	\$	4,000,000	\$	4,000,000	\$	-	\$		A	\$	-
2. Premium adjustments payable (including high risk pool premium)									B		
3. Subtotal ACA Permanent Risk Adjustment Program	\$	4,000,000	\$	4,000,000	\$	-	\$			\$	-
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	\$		\$		\$		\$		C	\$	
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA reinsurance - not reported as ceded premium									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	\$		\$		\$		\$			\$	
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	\$		\$		\$		\$		I	\$	
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program	\$		\$		\$		\$			\$	
d. Total for ACA risk sharing provisions	\$	4,000,000	\$	4,000,000	\$	-	\$			\$	-

Explanations of Adjustments

- A: None
- B: Adjustment made based on notification from CMS
- C: None
- D: None
- E: None
- F: None
- G: None
- H: None
- I: None
- J: None

(4) Roll-forward of risk corridors asset and liability balances by program benefit year - None

(5) ACA risk corridors receivable as of reporting date - None

25. Change in Incurred Claims and Claim Adjustment Expenses

A. Reasons for Changes in the Provision for Incurred Claim and Claim Adjustment Expenses Attributable to Insured Events of Prior Years

Reserves as of December 31, 2021 were \$190,451,393. As of March 31, 2022, \$119,077,075 has been paid for incurred claims and claims adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$97,597,431 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$25,223,113 unfavorable prior-year development since December 31, 2021 to March 31, 2022. The increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. Significant Changes in Methodologies and Assumptions Used in Calculating the Liability for Unpaid Claims and Claim Adjustment Expenses - None

26. Intercompany Pooling Arrangements - None

27. Structured Settlements - None

28. Health Care Receivables - No Significant Changes

29. Participating Policies - None

30. Premium Deficiency Reserves - No Significant Changes

31. Anticipated Salvage and Subrogation - None

GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?..... **NO**
- 1.2 If yes, has the report been filed with the domiciliary state?.....
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?..... **NO**
- 2.2 If yes, date of change:.....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?..... **NO**
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?..... **NO**
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group?..... **NO**
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?..... **NO**
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?..... **NO**
If yes, attach an explanation.
.....
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/28/2019
- 6.4 By what department or departments?
Rhode Island Department of Business Regulation, Insurance Division.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?..... **YES**
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?..... **YES**
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?..... **NO**
- 7.2 If yes, give full information
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... **NO**
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... **NO**
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliates primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?..... **YES**
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
.....
- 9.2 Has the code of ethics for senior managers been amended?..... **NO**
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
.....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?..... **NO**
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... **NO**
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:..... \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)..... **NO**
- 11.2 If yes, give full and complete information relating thereto:
.....
- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA:..... \$
- 13. Amount of real estate and mortgages held in short-term investments:..... \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?..... **NO**
- 14.2 If yes, please complete the following:

GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES

	1 Prior Year-End Book / Adjusted Carrying Value	2 Current Quarter Book / Adjusted Carrying Value
14.21 Bonds.....	\$.....	\$.....
14.22 Preferred Stock.....		
14.23 Common Stock.....		
14.24 Short-Term Investments.....		
14.25 Mortgage Loans on Real Estate.....		
14.26 All Other.....		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....		

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?..... NO
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?..... NO
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2..... \$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2..... \$
- 16.3 Total payable for securities lending reported on the liability page..... \$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... YES

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Comerica Bank.....	411 West Lafayette, Detroit, MI 48226.....
RBS Citizens Bank.....	One Citizens Plaza, Providence, RI 02903.....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?..... NO

- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

- 17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Income Research & Management.....	U.....
RBS Citixen's Bank - Private Wealth.....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?..... NO

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... NO

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
124567.....	Silicon Valley Bank.....	SEC.....	DS.....

GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?.....YES.....
- 18.2 If no, list exceptions:
-
19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities?.....NO.....
20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities?.....NO.....
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?.....NO.....

GENERAL INTERROGATORIES

PART 2 – HEALTH

1.	Operating Percentages:	
1.1	A&H loss percent.....	89.148 %
1.2	A&H cost containment percent.....	0.994 %
1.3	A&H expense percent excluding cost containment expenses.....	10.251 %
2.1	Do you act as a custodian for health savings accounts?.....	NO.....
2.2	If yes, please provide the amount of custodial funds held as of the reporting date.....	\$.....
2.3	Do you act as an administrator for health savings accounts?.....	NO.....
2.4	If yes, please provide the balance of the funds administered as of the reporting date.....	\$.....
3.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	NO.....
3.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	NO.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
Accident & Health - Non-Affiliates									
	94-3195221	01/01/2022	IOA Re	CA	SS/I	MC	Authorized		
	94-3195221	01/01/2022	IOA Re	CA	SSL/I	CMM	Authorized		
	94-3195221	01/01/2022	IOA Re	CA	SSL/G	CMM	Authorized		

SCHEDULE T – PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

1	Direct Business Only									
	2	3	4	5	6	7	8	9	10	
States, Etc.	Active Status (a)	Accident & Health Premiums, Including Policy, Membership and Other Fees	Medicare Title XVIII	Medicaid Title XIX	CHIP Title XXI	Federal Employees Health Benefits Plan Premiums	Life & Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 8	Deposit-Type Contracts
1. Alabama	AL	N								
2. Alaska	AK	N								
3. Arizona	AZ	N								
4. Arkansas	AR	N								
5. California	CA	N								
6. Colorado	CO	N								
7. Connecticut	CT	N								
8. Delaware	DE	N								
9. District of Columbia	DC	N								
10. Florida	FL	N								
11. Georgia	GA	N								
12. Hawaii	HI	N								
13. Idaho	ID	N								
14. Illinois	IL	N								
15. Indiana	IN	N								
16. Iowa	IA	N								
17. Kansas	KS	N								
18. Kentucky	KY	N								
19. Louisiana	LA	N								
20. Maine	ME	N								
21. Maryland	MD	N								
22. Massachusetts	MA	N								
23. Michigan	MI	N								
24. Minnesota	MN	N								
25. Mississippi	MS	N								
26. Missouri	MO	N								
27. Montana	MT	N								
28. Nebraska	NE	N								
29. Nevada	NV	N								
30. New Hampshire	NH	N								
31. New Jersey	NJ	N								
32. New Mexico	NM	N								
33. New York	NY	N								
34. North Carolina	NC	N								
35. North Dakota	ND	N								
36. Ohio	OH	N								
37. Oklahoma	OK	N								
38. Oregon	OR	N								
39. Pennsylvania	PA	N								
40. Rhode Island	RI	L	33,443,328	363,891,621					397,334,949	
41. South Carolina	SC	N								
42. South Dakota	SD	N								
43. Tennessee	TN	N								
44. Texas	TX	N								
45. Utah	UT	N								
46. Vermont	VT	N								
47. Virginia	VA	N								
48. Washington	WA	N								
49. West Virginia	WV	N								
50. Wisconsin	WI	N								
51. Wyoming	WY	N								
52. American Samoa	AS	N								
53. Guam	GU	N								
54. Puerto Rico	PR	N								
55. US Virgin Islands	VI	N								
56. Northern Mariana Islands	MP	N								
57. Canada	CAN	N								
58. Aggregate Other Alien	OT	XXX								
59. Subtotal	XXX	33,443,328		363,891,621					397,334,949	
60. Reporting entity contributions for employee benefits plans	XXX									
61. Total (Direct Business)	XXX	33,443,328		363,891,621					397,334,949	
Details of Write-Ins										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX									
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX									

(a) Active Status Counts

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG 1 R – Registered - Non-domiciled RRGs –
 E – Eligible - Reporting entities eligible or approved to write surplus lines in the state – Q – Qualified - Qualified or accredited reinsurer –
 N – None of the above - Not allowed to write business in the state 56

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

NONE

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Yes/No)	*

NONE

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?.....	NO
August Filing	
2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.....	N/A.....

EXPLANATION:

1.
2.

BARCODES:

1. 
 9 5 4 0 2 2 0 2 2 3 6 5 0 0 0 1

- 2.

OVERFLOW PAGE FOR WRITE-INS

ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1197. Summary of remaining write-ins for Line 11 from overflow page				
2504. Other Receivables	1,837,186		1,837,186	
2505. Due from PPC	646,551	646,551	-	-
2506. Prepaid Premium Tax				
2507. Notes Receivable	998,808	998,808	-	-
2597. Summary of remaining write-ins for Line 25 from overflow page	3,482,546	1,645,360	1,837,186	-

SCHEDULE A – VERIFICATION

Real Estate

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year.....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition.....		
2.2	Additional investment made after acquisition.....		
3.	Current year change in encumbrances.....		
4.	Total gain (loss) on disposals.....		
5.	Deduct amounts received on disposals.....		
6.	Total foreign exchange change in book / adjusted carrying value.....		
7.	Deduct current year's other-than-temporary impairment recognized.....		
8.	Deduct current year's depreciation.....		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).....		
10.	Deduct total nonadmitted amounts.....		
11.	Statement value at end of current period (Line 9 minus Line 10).....		

NONE

SCHEDULE B – VERIFICATION

Mortgage Loans

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year.....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition.....		
2.2	Additional investment made after acquisition.....		
3.	Capitalized deferred interest and other.....		
4.	Accrual of discount.....		
5.	Unrealized valuation increase (decrease).....		
6.	Total gain (loss) on disposals.....		
7.	Deduct amounts received on disposals.....		
8.	Deduct amortization of premium and mortgage interest points and comm. net fees.....		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10.	Deduct current year's other-than-temporary impairment recognized.....		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12.	Total valuation allowance.....		
13.	Subtotal (Line 11 plus Line 12).....		
14.	Deduct total nonadmitted amounts.....		
15.	Statement value at end of current period (Line 13 minus Line 14).....		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year.....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition.....		
2.2	Additional investment made after acquisition.....		
3.	Capitalized deferred interest and other.....		
4.	Accrual of discount.....		
5.	Unrealized valuation increase (decrease).....		
6.	Total gain (loss) on disposals.....		
7.	Deduct amounts received on disposals.....		
8.	Deduct amortization of premium and depreciation.....		
9.	Total foreign exchange change in book / adjusted carrying value.....		
10.	Deduct current year's other-than-temporary impairment recognized.....		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12.	Deduct total nonadmitted amounts.....		
13.	Statement value at end of current period (Line 11 minus Line 12).....		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	116,610,586	95,353,045
2.	Cost of bonds and stocks acquired.....	48,438,436	74,465,532
3.	Accrual of discount.....	41,202	86,590
4.	Unrealized valuation increase (decrease).....	287,418	1,265,749
5.	Total gain (loss) on disposals.....	(2,070)	467,676
6.	Deduct consideration for bonds and stocks disposed of.....	23,170,296	54,536,167
7.	Deduct amortization of premium.....	184,359	505,221
8.	Total foreign exchange change in book / adjusted carrying value.....		
9.	Deduct current year's other-than-temporary impairment recognized.....		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		13,382
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	142,020,917	116,610,586
12.	Deduct total nonadmitted amounts.....		
13.	Statement value at end of current period (Line 11 minus Line 12).....	142,020,917	116,610,586

SCHEDULE D – PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book / Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book / Adjusted Carrying Value End of First Quarter	6 Book / Adjusted Carrying Value End of Second Quarter	7 Book / Adjusted Carrying Value End of Third Quarter	8 Book / Adjusted Carrying Value December 31 Prior Year
Bonds								
1. NAIC 1 (a)	179,700,477	87,510,976	51,806,365	(44,447)	215,360,641			179,700,477
2. NAIC 2 (a)	2,809,409	114,375	16,086	(3,133)	2,904,565			2,809,409
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	182,509,886	87,625,351	51,822,451	(47,580)	218,265,206			182,509,886
Preferred Stock								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	182,509,886	87,625,351	51,822,451	(47,580)	218,265,206			182,509,886

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$ 76,244,289; NAIC 2 \$; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book / Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
770999999 Total	69,747,694	XXX	69,947,383	13,231	101,637

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	65,899,300	42,283,949
2. Cost of short-term investments acquired	29,058,180	101,371,114
3. Accrual of discount	1,817	214
4. Unrealized valuation increase (decrease)	(315)	315
5. Total gain (loss) on disposals	491	416
6. Deduct consideration received on disposals	25,026,576	76,657,809
7. Deduct amortization of premium	185,202	1,098,899
8. Total foreign exchange change in book / adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	69,747,694	65,899,300
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	69,747,694	65,899,300

(SI-04) Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

(SI-04) Schedule DB - Part B - Verification - Futures Contracts

NONE

(SI-05) Schedule DB - Part C - Section 1

NONE

(SI-06) Schedule DB - Part C - Section 2

NONE

(SI-07) Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION

(Cash Equivalents)

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	36,774,802	9,655,946
2. Cost of cash equivalents acquired.....	80,744,670	216,984,945
3. Accrual of discount.....	641	
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		(221)
6. Deduct consideration received on disposals.....	109,294,557	189,838,280
7. Deduct amortization of premium.....	8,781	27,589
8. Total foreign exchange change in book / adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	8,216,774	36,774,802
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	8,216,774	36,774,802

(E-01) Schedule A - Part 2

NONE

(E-01) Schedule A - Part 3

NONE

(E-02) Schedule B - Part 2

NONE

(E-02) Schedule B - Part 3

NONE

(E-03) Schedule BA - Part 2

NONE

(E-03) Schedule BA - Part 3

NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
Bonds: U.S. Governments									
83162C-K2-8	SBAP 2125D - ABS		02/18/2022	MLPFS INC FIXED INCOME	XXX	189,493	197,068	1,299	1.A
83162C-N8-2	SBAP 2022-25 C C - ABS		03/10/2022	MLPFS INC FIXED INCOME	XXX	245,000	245,000		1.A
912810-FR-4	UNITED STATES TREASURY		01/07/2022	WELLS FARGO SECURITIES	XXX	142,819	126,371	1,460	1.A
912810-FS-2	UNITED STATES TREASURY		01/07/2022	WELLS FARGO SECURITIES	XXX	94,108	82,337	801	1.A
912810-PS-1	UNITED STATES TREASURY		01/07/2022	BNP PARIBAS SECURITIES BOND	XXX	93,204	78,289	904	1.A
912828-2L-3	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	244,717	224,233	409	1.A
912828-3R-9	UNITED STATES TREASURY		01/07/2022	WELLS FARGO SECURITIES	XXX	321,987	293,080	713	1.A
912828-4H-0	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	128,182	122,682	183	1.A
912828-5W-6	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	378,953	334,625	1,424	1.A
912828-6N-5	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	255,253	240,552	287	1.A
912828-7D-6	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	285,522	261,005	317	1.A
912828-B2-5	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	357,520	337,185	1,025	1.A
912828-H4-5	UNITED STATES TREASURY		01/07/2022	WELLS FARGO SECURITIES	XXX	272,272	256,105	311	1.A
912828-N7-1	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	369,251	340,437	1,035	1.A
912828-S5-0	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	226,423	211,497	129	1.A
912828-UH-1	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	260,385	252,038	153	1.A
912828-V4-9	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	361,477	333,728	609	1.A
912828-VM-9	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	286,378	272,602	497	1.A
912828-WU-0	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	254,822	240,340	146	1.A
912828-XL-9	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	347,937	323,586	594	1.A
912828-Y3-8	UNITED STATES TREASURY		01/07/2022	WELLS FARGO SECURITIES	XXX	337,140	300,138	1,095	1.A
912828-Z3-7	UNITED STATES TREASURY		01/07/2022	WELLS FARGO SECURITIES	XXX	190,937	176,557	107	1.A
912828-Z7-8	UNITED STATES TREASURY		03/30/2022	Various	XXX	835,975	865,000	1,701	1.A
912828-ZF-0	UNITED STATES TREASURY		03/16/2022	CITADEL SECURITIES LLC	XXX	1,595,258	1,672,000	3,858	1.A
912828-ZJ-2	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	126,189	119,048	36	1.A
912828-ZZ-6	UNITED STATES TREASURY		01/07/2022	CHASE SECURITIES	XXX	171,571	157,752	96	1.A
91282C-BF-7	UNITED STATES TREASURY		01/07/2022	Various	XXX	294,571	271,167	165	1.A
91282C-CM-1	UNITED STATES TREASURY		03/31/2022	WELLS FARGO SECURITIES	XXX	424,684	394,379	150	1.A
91282C-CN-9	UNITED STATES TREASURY		01/11/2022	Various	XXX	6,342,411	6,402,000	3,550	1.A
91282C-CP-4	UNITED STATES TREASURY		01/28/2022	Various	XXX	11,775,189	12,236,000	32,886	1.A
91282C-CS-8	UNITED STATES TREASURY		02/25/2022	Various	XXX	3,781,426	3,967,000	18,277	1.A
91282C-DX-6	UNITED STATES TREASURY		01/31/2022	WELLS FARGO SECURITIES	XXX	1,072,997	988,652	58	1.A
0109999999 - Bonds: U.S. Governments						32,064,048	32,322,451	74,276	XXX
Bonds: U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions									
31288Q-F5-4	FH 841088 - RMBS		03/24/2022	NO BROKER	XXX	322,417	313,216	566	1.A
3128S6-C5-9	FH 1Q1892 - RMBS		01/27/2022	MLPFS INC FIXED INCOME	XXX	343,946	326,111	496	1.A
3137B3-NA-2	FHMS K-030 A2 - CMBS		03/09/2022	BONY/TORONTO DOMINION SECURITI	XXX	371,600	366,630	430	1.A
3137H4-SH-4	FHMS K-136 A2 - CMBS		03/18/2022	Various	XXX	235,817	248,000	322	1.A
3137H6-LZ-6	FHMS K-140 A2 - CMBS		03/10/2022	MORGAN STANLEY DEAN WITTER	XXX	632,978	649,000	852	1.A
3138WJ-6W-3	FN AS8984 - RMBS		01/10/2022	Credit Suisse First Boston	XXX	396,052	366,662	458	1.A
3140JA-3P-1	FN BM6205 - RMBS		03/09/2022	CHASE SECURITIES	XXX	318,635	303,462	398	1.A

E04

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
3140QD-X3-3	FN CA6097 - RMBS		01/10/2022	NOMURA SECURITIES/FIXED INCOME	XXX	187,834	179,157	209	1.A
3140X4-DE-3	FN FM1000 - RMBS		02/01/2022	NOMURA SECURITIES/FIXED INCOME	XXX	168	(143,661)	(168)	1.A
3140X4-UD-6	FN FM1479 - RMBS		02/01/2022	DAIWA SECURITIES AMERICA INC.	XXX		38,636		1.A
3140X5-S2-0	FN FM2336 - RMBS		01/10/2022	CHASE SECURITIES	XXX	348,221	325,251	434	1.A
3140XD-QP-4	FN FM9461 - RMBS		01/10/2022	JP MORGAN SECURITIES INC.	XXX	307,331	289,978	282	1.A
3140XF-CB-5	FN FS0065 - RMBS		02/10/2022	CREDIT SUISSE AG NY BRANCH	XXX	397,708	367,716	598	1.A
35563P-4U-0	SCRT 2021-3 MA - CMO/RMBS		03/22/2022	WELLS FARGO SECURITIES	XXX	212,154	220,706	377	1.A
35563P-BC-2	SCRT 2017-2 MA - CMO/RMBS		03/23/2022	Credit Suisse First Boston	XXX	294,514	295,206	590	1.A
0909999999 - Bonds: U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						4,369,374	4,146,069	5,845	XXX
Bonds: Industrial and Miscellaneous (Unaffiliated)									
010392-FU-7	ALABAMA POWER CO		01/12/2022	US BANCORP INVESTMENTS INC.	XXX	101,937	110,000	527	1.E FE
06051G-HD-4	BANK OF AMERICA CORP		01/11/2022	SUMRIDGE PARTNERS LLC	XXX	299,994	285,000	623	1.F FE
06051G-KJ-7	BANK OF AMERICA CORP		02/01/2022	MLPFS INC FIXED INCOME	XXX	297,000	297,000		1.G FE
06051G-KM-0	BANK OF AMERICA CORP		03/17/2022	MLPFS INC FIXED INCOME	XXX	242,000	242,000		1.G FE
06406R-BA-4	BANK OF NEW YORK MELLON CORP		01/19/2022	GOLDMAN	XXX	535,544	536,000		1.E FE
084664-CZ-2	BERKSHIRE HATHAWAY FINANCE CORP		03/07/2022	MLPFS INC FIXED INCOME	XXX	924,824	925,000		1.C FE
12591U-AF-0	COMM 2014-UBS2 A5 - CMBS		01/05/2022	BARCLAYS CAPITAL INC FIXED INC	XXX	377,978	361,000	238	1.A FE
12593F-BD-5	COMM 2015-LC21 A4 - CMBS		01/28/2022	BREAN CAPITAL, LLC	XXX	262,393	250,000		1.A FE
17321J-AD-6	CGCMT 2013-GC15 A4 - CMBS		02/01/2022	WELLS FARGO SECURITIES	XXX	248,428	240,000	58	1.A FE
23345G-AA-8	DTE 2022A A1 - ABS		03/10/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	238,970	239,000		1.A FE
24422E-VY-2	JOHN DEERE CAPITAL CORP		01/04/2022	RBC CAPITAL MARKETS	XXX	406,809	407,000		1.F FE
24422E-WD-7	JOHN DEERE CAPITAL CORP		03/02/2022	MITSUBISHI UFJ SECURITIES	XXX	136,936	137,000		1.F FE
26442U-AL-8	DUKE ENERGY PROGRESS LLC		01/13/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	139,232	145,000	1,257	1.F FE
26444H-AC-5	DUKE ENERGY FLORIDA LLC		01/11/2022	CHASE SECURITIES	XXX	42,248	40,000	633	1.F FE
291011-BQ-6	EMERSON ELECTRIC CO		01/11/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	93,814	95,000	116	1.F FE
341081-GG-6	FLORIDA POWER & LIGHT CO		01/12/2022	GOLDMAN	XXX	463,258	464,000		1.E FE
36198F-AE-2	GSMS 2013-GCJ14 A5 - CMBS		01/20/2022	Credit Suisse First Boston	XXX	141,222	136,000	369	1.A FE
36250G-AN-5	GSMS 2015-GC30 A3 - CMBS		03/07/2022	Credit Suisse First Boston	XXX	745,388	738,465	512	1.A FE
36265M-AC-9	GMALT 2022-1 A3 - ABS		02/15/2022	Various	XXX	899,992	900,000		1.A FE
38141G-YB-4	GOLDMAN SACHS GROUP INC		01/12/2022	BARCLAYS CAPITAL INC FIXED INC	XXX	166,530	170,000	1,013	1.F FE
40434C-AD-7	HSBC USA INC		01/19/2022	MORGAN STANLEY DEAN WITTER	XXX	120,589	115,000	313	1.E FE
43815B-AC-4	HAROT 2022-1 A3 - ABS		02/15/2022	CHASE SECURITIES	XXX	694,895	695,000		1.A FE
46647P-AV-8	JPMORGAN CHASE & CO		01/11/2022	MORGAN STANLEY DEAN WITTER	XXX	269,321	245,000	4,863	1.F FE
47787J-AC-2	JDOT 2022 A3 - ABS		03/10/2022	MLPFS INC FIXED INCOME	XXX	419,907	420,000		1.A FE
549271-AF-1	LUBRIZOL CORP		01/11/2022	MORGAN STANLEY DEAN WITTER	XXX	184,549	131,000	2,413	1.C FE
61690K-AE-2	MSBAM 2013-C7 A4 - CMBS		02/16/2022	CANTOR FITZGERALD & CO. INC.	XXX	241,181	240,000	331	1.A FE
61690V-AZ-1	MSBAM 2015-C26 A5 - CMBS		01/04/2022	BARCLAYS CAPITAL INC FIXED INC	XXX	298,387	281,000	138	1.A FE
617446-8V-4	MORGAN STANLEY		01/11/2022	JANE STREET EXECUTION SERVICES LLC	XXX	182,738	190,000	172	1.F FE
61746B-EF-9	MORGAN STANLEY		01/11/2022	CHASE SECURITIES	XXX	91,032	85,000	1,481	1.G FE
61765L-AU-4	MSBAM 2015-C24 A4 - CMBS		01/20/2022	BAIRD (ROBERT W.) & CO. INC.	XXX	132,183	125,000	298	1.A
67021C-AP-2	NSTAR ELECTRIC CO		01/14/2022	JANE STREET EXECUTION SERVICES LLC	XXX	166,541	150,000	1,778	1.F FE
857477-BS-1	STATE STREET CORP		02/02/2022	GOLDMAN	XXX	399,000	399,000		1.F FE

E04.1

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
87612E-BM-7	TARGET CORP		01/19/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	247,578	248,000		1.F FE
89236T-JT-3	TOYOTA MOTOR CREDIT CORP		01/10/2022	MLPFS INC FIXED INCOME	XXX	119,839	120,000		1.E FE
89236T-JX-4	TOYOTA MOTOR CREDIT CORP		03/17/2022	BNP PARIBAS SECURITIES BOND	XXX	65,959	66,000		1.E FE
91159H-JC-5	US BANCORP		01/20/2022	US BANCORP INVESTMENTS INC.	XXX	513,000	513,000		1.E FE
92348K-AH-6	VZMT 2022-2 A - RMBS		01/19/2022	MLPFS INC FIXED INCOME	XXX	167,961	168,000		1.A FE
92938C-AD-9	WFRBS 2013-C15 A4 - CMBS		02/15/2022	MLPFS INC FIXED INCOME	XXX	245,156	240,000	443	1.A FE
92939L-AD-8	WFRBS 2014-C25 A4 - CMBS		03/16/2022	Credit Suisse First Boston	XXX	178,978	178,206	283	1.A FE
949746-RW-3	WELLS FARGO & CO		01/11/2022	TRADEWEB DIRECT LLC	XXX	114,375	110,000	743	2.A FE
95000U-2C-6	WELLS FARGO & CO		01/11/2022	Deutsche Bank	XXX	167,605	160,000	2,817	1.E FE
96221T-AE-7	WFRBS 2014-LC14 A5 - CMBS		01/26/2022	BREAN CAPITAL, LLC	XXX	219,742	211,100	640	1.A FE
1109999999 – Bonds: Industrial and Miscellaneous (Unaffiliated)						12,005,014	11,807,770	22,056	XXX
2509999997 – Subtotals - Bonds - Part 3						48,438,436	48,276,291	102,177	XXX
2509999999 – Subtotals - Bonds						48,438,436	48,276,291	102,177	XXX
6009999999 – Totals						48,438,436	XXX	102,177	XXX

Quarterly Statement as of March 31, 2022 of the Neighborhood Health Plan of Rhode Island

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book / Adjusted Carrying Value	Change in Book / Adjusted Carrying Value					16 Book / Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest / Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
										11 Unrealized Valuation Increase / (Decrease)	12 Current Year's (Amortization) / Accretion	13 Current Year's Other-Than-Temporary Impairment Recognized	14 Total Change in B. / A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
30313K-AA-2	FRESB 2020-SB71 A5H - CMBS		03/01/2022	Paydown	XXX	1,000	1,000	1,005	986		14		14		1,000				5	11/25/2039	1.A Z
36192K-AT-4	GSMS 2012-GCJ7 A4 - CMBS		02/15/2022	Paydown	XXX	81,196	81,196	86,584	81,282		(86)		(86)		81,196				327	05/12/2045	1.A FM
362569-AA-3	GMALT 2020-3 A2A - ABS		02/22/2022	Paydown	XXX	57,119	57,119	57,115	57,118		-		-		57,119				18	11/21/2022	1.A FE
36256X-AD-4	GMCAR 2019-1 A3 - ABS		03/16/2022	Paydown	XXX	1,555	1,555	1,555	1,555		-		-		1,555				8	11/16/2023	1.A FE
46634S-AC-9	JPMCC 2012-C6 A3 - CMBS		03/01/2022	Paydown	XXX	6,239	6,239	6,583	6,253		(14)		(14)		6,239				25	05/17/2045	1.A FM
61691G-AQ-3	MSBAM 2016-C32 ASB - CMBS		03/01/2022	Paydown	XXX	5,967	5,967	6,380	6,346		(379)		(379)		5,967				36	12/17/2049	1.A FE
808513-BP-9	CHARLES SCHWAB CORP		01/11/2022	JEFFERIES & COMPANY, INC.	XXX	70,894	71,000	71,913	71,876		(5)		(5)		71,872		(978)	(978)	446	03/20/2028	1.F FE
81744Y-AB-2	SEMT 2013-4 A2 - CMO/RMBS		03/01/2022	Paydown	XXX	14,546	14,546	14,581	14,577		(30)		(30)		14,546				57	04/27/2043	1.A
841215-AA-4	SOUTHAVEN COMBINED CYCLE GENERATION LLC		02/15/2022	Paydown	XXX	8,209	8,209	8,528	8,446		(237)		(237)		8,209				158	08/15/2033	1.D FE
84474Y-AA-4	SOUTHWEST AIRLINES CO PASS THROUGH TRUST		02/01/2022	Paydown	XXX	28,505	28,505	32,598	28,880		(375)		(375)		28,505				877	02/01/2024	1.F FE
90269C-AD-2	UBSBB 2012-C2 A4 - CMBS		03/01/2022	Paydown	XXX	107,673	107,673	110,655	108,459		(786)		(786)		107,673				818	05/11/2063	1.A FM
90269G-AC-5	UBSCM 2012-C1 A3 - CMBS		01/13/2022	Paydown	XXX	13,079	13,079	13,903	13,079						13,079				37	05/12/2045	1.A FM
90349D-AD-4	UBSBB 2012-C3 A4 - CMBS		03/01/2022	Paydown	XXX	1,574	1,574	1,556	1,570		4		4		1,574				12	08/12/2049	1.A FM
98162H-AC-4	WOLS 2020-A A3 - ABS		03/15/2022	Paydown	XXX	85,181	85,181	85,173	85,180		2		2		85,181				249	01/17/2023	1.A FE
98163J-AB-1	WOLS 2021-A A2 - ABS		03/15/2022	Paydown	XXX	73,758	73,758	73,751	73,754		4		4		73,758				21	04/15/2024	1.A FE
1109999999	Bonds: Industrial and Miscellaneous (Unaffiliated)						1,361,690	1,376,046	1,392,203	1,379,224		(2,225)		(2,225)	1,376,999		(15,309)	(15,309)	14,997	XXX	XXX
2509999997	Subtotals - Bonds - Part 4						23,170,296	23,534,252	23,326,923	16,663,457		(245,224)		(245,224)	23,172,365		(2,070)	(2,070)	72,911	XXX	XXX
2509999999	Subtotals - Bonds						23,170,296	23,534,252	23,326,923	16,663,457		(245,224)		(245,224)	23,172,365		(2,070)	(2,070)	72,911	XXX	XXX
6009999999	Totals						23,170,296	XXX	23,326,923	16,663,457		(245,224)		(245,224)	23,172,365		(2,070)	(2,070)	72,911	XXX	XXX

(E-06) Schedule DB - Part A - Section 1

NONE

(E-06) Schedule DB - Part A - Section 1 - Description of Hedged Risk(s)

NONE

(E-06) Schedule DB - Part A - Section 1 - Financial or Economic Impact of The Hedge

NONE

(E-07) Schedule DB - Part B - Section 1

NONE

(E-07) Schedule DB - Part B - Section 1 - Broker Name

NONE

(E-07) Schedule DB - Part B - Section 1 - Description of Hedged Risk(s)

NONE

(E-07) Schedule DB - Part B - Section 1 - Financial or Economic Impact of The Hedge

NONE

(E-08) Schedule DB - Part D - Section 1

NONE

(E-09) Schedule DB - Part D - Section 2 - By Reporting Entity

NONE

(E-09) Schedule DB - Part D - Section 2 - To Reporting Entity

NONE

(E-10) Schedule DB - Part E

NONE

(E-11) Schedule DL - Part 1

NONE

(E-12) Schedule DL - Part 2

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bank of America – Providence, RI	O			59,447,047	140,952,679	149,708,274	142,018,178	XXX
Comerica –	R				5,128	190,793	-	XXX
0199998 – Deposits in depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories								XXX
0199999 – Total Open Depositories				59,447,047	140,957,807	149,899,067	142,018,178	XXX
0299998 – Deposits in depositories that do not exceed the allowable limit in any one depository (see Instructions) - Suspended Depositories								XXX
0299999 – Total Suspended Depositories								XXX
0399999 – Total Cash on Deposit				59,447,047	140,957,807	149,899,067	142,018,178	XXX
0499999 – Cash in Company's Office			XXX	XXX				XXX
0599999 – Total				59,447,047	140,957,807	149,899,067	142,018,178	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book / Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
Bonds, U.S. Governments, Issuer Obligations								
XXX	UNITED STATES TREASURY		03/15/2022	0.125	05/31/2022	6,496,595	2,723	641
0019999999	– Bonds, U.S. Governments, Issuer Obligations					6,496,595	2,723	641
0109999999	– Subtotals – Bonds, U.S. Governments					6,496,595	2,723	641
2419999999	– Subtotals – Bonds, Issuer Obligations					6,496,595	2,723	641
2509999999	– Subtotals – Total Bonds					6,496,595	2,723	641
Exempt Money Market Mutual Funds – as Identified by SVO								
38142B-50-0	GOLDMAN:FS TRS I INST		03/31/2022	0.190	XXX	1,032,775	115	7
38142B-50-0	GOLDMAN:FS TRS I INST	R	03/31/2022	0.190	XXX	391,500	74	
8209999999	– Exempt Money Market Mutual Funds – as Identified by SVO					1,424,275	189	7
All Other Money Market Mutual Funds								
262006-20-8	DREYFUS GVT CSH MGT INST		07/30/2020	0.210	XXX	295,904	149	
8309999999	– All Other Money Market Mutual Funds					295,904	149	
8609999999	– Total Cash Equivalents					8,216,774	3,061	648