



PROPERTY AND CASUALTY COMPANIES—ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022  
OF THE CONDITION AND AFFAIRS OF THE

## PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

NAIC Group Code 00382 (Current Period), 00382 (Prior Period) NAIC Company Code 15040 Employer's ID Number 05-0204000

Organized under the Laws of Rhode Island, State of Domicile or Port of Entry Rhode Island

Country of Domicile United States

Incorporated/Organized 10/27/1800 Commenced Business 10/27/1800

Statutory Home Office 340 East Avenue, Warwick, RI, US 02886-1802  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 340 East Avenue Warwick, RI, US 02886-1802 401-827-1800  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 6066, Providence, RI, US 02940-6066  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 340 East Avenue Warwick, RI, US 02886-1802 401-827-1800-125  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.providencemutual.com

Statutory Statement Contact Christina Mullaney 401-827-1800-8575  
(Name) (Area Code) (Telephone Number) (Extension)

cmullaney@providencemutual.com 401-822-1872  
(E-Mail Address) (Fax Number)

### OFFICERS

Name	Title	Name	Title
<u>Michele Leigh Stretton</u>	<u>President</u>	<u>Thomas Clayton Beverly</u>	<u>Secretary</u>
<u>Earl Francis Cottam Jr.</u>	<u>Treasurer</u>		

### OTHER OFFICERS

<u>Joseph John Muccio</u>	<u>Vice President</u>	<u>William Leo Donovan</u>	<u>Vice President</u>
<u>Lisa Marie Hatch</u>	<u>Vice President</u>	<u>Kashmira Rajendra Pradhan</u>	<u>Vice President</u>

### DIRECTORS OR TRUSTEES

<u>Alan Henry Litwin</u>	<u>David Martin Gilden</u>	<u>B. Michael Rauh Jr.</u>	<u>Edwin Joseph Santos</u>
<u>John Bond Trevor IV</u>	<u>Rajiv Aggarwal Kumar IV</u>	<u>John Scott Lombardo</u>	<u>Michele Leigh Stretton</u>
<u>Kimberly Marie Barker Lee</u>			

State of .....RHODE ISLAND.....

County of .....KENT.....ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Michele Leigh Stretton  
President

Thomas Clayton Beverly  
Secretary

Earl Francis Cottam Jr.  
Treasurer

a. Is this an original filing? Yes [X] No [ ]

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

11/09/2022

Subscribed and sworn to before me this  
9 day of November, 2022

Stephanie Williamson, Notary  
January 16, 2025

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	109,278,440		109,278,440	110,785,544
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	45,095,950		45,095,950	59,243,161
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....	2,304,198		2,304,198	2,385,606
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....	271,477		271,477	562,822
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....658,525 ), cash equivalents (\$ .....1,801,609 ) and short-term investments (\$ ..... 0 ) .....	2,460,134		2,460,134	10,796,416
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....	0		0	0
8. Other invested assets .....	0		0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	159,410,199	0	159,410,199	183,773,549
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	609,083		609,083	610,939
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	688,961	166,144	522,817	541,567
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	12,591,739		12,591,739	13,170,262
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	530,748		530,748	872,674
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	584,896		584,896	584,896
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....	30,699		30,699	44,327
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	293,297	293,297	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other-than-invested assets .....	7,409,616	0	7,409,616	7,020,539
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	182,149,238	459,441	181,689,797	206,618,753
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	182,149,238	459,441	181,689,797	206,618,753
<b>DETAILS OF WRITE-INS</b>				
1101. ....			0	0
1102. ....			0	0
1103. ....			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) .....	0	0	0	0
2501. Other Assets .....	731,766		731,766	252,083
2502. Pools and Associations .....	6,677,850		6,677,850	6,768,456
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	7,409,616	0	7,409,616	7,020,539

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... )	37,225,159	40,355,889
2. Reinsurance payable on paid losses and loss adjustment expenses		0
3. Loss adjustment expenses	8,937,571	9,351,041
4. Commissions payable, contingent commissions and other similar charges	2,006,370	1,982,978
5. Other expenses (excluding taxes, licenses and fees)	1,638,428	1,825,060
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	109,820	88,404
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses))		0
7.2 Net deferred tax liability		574,020
8. Borrowed money \$ ..... and interest thereon \$ .....		0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ ..... for medical loss ratio rebate per the Public Health Service Act)	38,679,206	39,670,389
10. Advance premium	1,073,434	848,034
11. Dividends declared and unpaid:		
11.1 Stockholders		0
11.2 Policyholders		0
12. Ceded reinsurance premiums payable (net of ceding commissions)	852,919	670,366
13. Funds held by company under reinsurance treaties		11,990
14. Amounts withheld or retained by company for account of others	63,066	71,757
15. Remittances and items not allocated	10,929	10,102
16. Provision for reinsurance (including \$ ..... certified)	39,000	39,000
17. Net adjustments in assets and liabilities due to foreign exchange rates		0
18. Drafts outstanding		0
19. Payable to parent, subsidiaries and affiliates		0
20. Derivatives	0	0
21. Payable for securities	990,663	0
22. Payable for securities lending		0
23. Liability for amounts held under uninsured plans		0
24. Capital notes \$ ..... and interest thereon \$ .....		0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	91,626,565	95,499,030
27. Protected cell liabilities		0
28. Total liabilities (Lines 26 and 27)	91,626,565	95,499,030
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock		0
31. Preferred capital stock		0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		0
34. Gross paid in and contributed surplus		0
35. Unassigned funds (surplus)	90,063,232	111,119,723
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... )		0
36.2 ..... shares preferred (value included in Line 31 \$ ..... )		0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	90,063,232	111,119,723
38. Totals (Page 2, Line 28, Col. 3)	181,689,797	206,618,753
<b>DETAILS OF WRITE-INS</b>		
2501. ....		0
2502. ....		0
2503. ....		0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	0	0
2901. ....		0
2902. ....		0
2903. ....		0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0
3201. ....		0
3202. ....		0
3203. ....		0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 58,446,265 )	59,455,281	64,710,284	85,018,275
1.2 Assumed (written \$ 302,398 )	323,167	342,326	462,587
1.3 Ceded (written \$ 10,375,974 )	10,414,575	10,532,101	13,872,983
1.4 Net (written \$ 48,372,689 )	49,363,873	54,520,509	71,607,879
DEDUCTIONS:			
2. Losses incurred (current accident year \$ )::			
2.1 Direct	31,792,078	33,284,868	42,164,562
2.2 Assumed	166,676	200,008	274,323
2.3 Ceded	2,690,977	2,301,790	2,165,151
2.4 Net	29,267,777	31,183,086	40,273,734
3. Loss adjustment expenses incurred	5,722,274	6,374,075	7,687,273
4. Other underwriting expenses incurred	22,009,464	21,961,192	29,627,129
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	56,999,515	59,518,353	77,588,136
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	(7,635,642)	(4,997,844)	(5,980,257)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	2,017,745	2,040,571	2,697,964
10. Net realized capital gains (losses) less capital gains tax of \$	1,202,783	6,787,378	12,178,571
11. Net investment gain (loss) (Lines 9 + 10)	3,220,528	8,827,949	14,876,535
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )	(149,957)	(63,721)	(146,573)
13. Finance and service charges not included in premiums	165,799	194,161	254,857
14. Aggregate write-ins for miscellaneous income	(581,723)	(360,238)	(447,015)
15. Total other income (Lines 12 through 14)	(565,881)	(229,798)	(338,731)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(4,980,995)	3,600,307	8,557,547
17. Dividends to policyholders	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(4,980,995)	3,600,307	8,557,547
19. Federal and foreign income taxes incurred	0	0	(436,068)
20. Net income (Line 18 minus Line 19)(to Line 22)	(4,980,995)	3,600,307	8,993,615
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	111,119,723	100,019,934	100,019,934
22. Net income (from Line 20)	(4,980,995)	3,600,307	8,993,615
23. Net transfers (to) from Protected Cell accounts	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$	(16,676,158)	2,081,307	2,058,396
25. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
26. Change in net deferred income tax	574,019	0	(26,878)
27. Change in nonadmitted assets	26,643	50,375	113,656
28. Change in provision for reinsurance	0	0	(39,000)
29. Change in surplus notes	0	0	0
30. Surplus (contributed to) withdrawn from protected cells	0	0	0
31. Cumulative effect of changes in accounting principles	0	0	0
32. Capital changes:			
32.1 Paid in	0	0	0
32.2 Transferred from surplus (Stock Dividend)	0	0	0
32.3 Transferred to surplus	0	0	0
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)	0	0	0
33.3 Transferred from capital	0	0	0
34. Net remittances from or (to) Home Office	0	0	0
35. Dividends to stockholders	0	0	0
36. Change in treasury stock	0	0	0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	(21,056,491)	5,731,989	11,099,789
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	90,063,232	105,751,923	111,119,723
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0
1401. Policyholder Service Fees	(581,723)	(360,238)	(447,015)
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	(581,723)	(360,238)	(447,015)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	49,377,916	52,885,845	69,089,001
2. Net investment income .....	2,173,913	2,403,064	3,072,957
3. Miscellaneous income .....	(565,881)	(229,798)	(338,731)
4. Total (Lines 1 to 3) .....	50,985,948	55,059,111	71,823,227
5. Benefit and loss related payments .....	32,056,581	31,939,828	44,847,222
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	28,287,032	31,073,706	39,889,348
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses).....	0	(403,116)	(403,116)
10. Total (Lines 5 through 9) .....	60,343,613	62,610,418	84,333,454
11. Net cash from operations (Line 4 minus Line 10) .....	(9,357,665)	(7,551,307)	(12,510,227)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	17,679,462	24,032,225	28,430,452
12.2 Stocks .....	14,387,868	20,841,543	35,178,293
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	1,282,006	1,592,211	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	33,349,336	46,465,979	63,608,745
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	16,195,830	25,297,843	28,592,908
13.2 Stocks .....	15,763,463	13,497,497	14,714,534
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	29,856	29,855
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	31,959,293	38,825,196	43,337,297
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	1,390,043	7,640,783	20,271,448
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied).....	(368,661)	(358,542)	(184,276)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(368,661)	(358,542)	(184,276)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(8,336,283)	(269,066)	7,576,945
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	10,796,416	3,219,471	3,219,471
19.2 End of period (Line 18 plus Line 19.1) .....	2,460,133	2,950,405	10,796,416

## NOTES TO THE FINANCIAL STATEMENTS

## 1. Summary of Significant Accounting Policies and Going Concern

## A) Accounting Practices

The accompanying financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the State of Rhode Island Department of Business Regulation Insurance Division. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as prescribed or permitted practices by the State of Rhode Island Department of Business Regulation Insurance Division.

The Company, with the explicit permission of the State of Rhode Island Department of Business Regulation Insurance Division, records its' investment in an unaudited wholly owned subsidiary as an admitted asset. If the investment was non-admitted, common stocks and statutory surplus would be decreased by \$1,173,694 as of September 30, 2022, and \$1,173,694 as of December 31, 2021, respectively.

Net Income	<u>2022</u>	<u>2021</u>
Company state basis (Page 4, Line 20, Columns 1 & 2)	(4,980,995)	3,600,307
State prescribed practices	0	0
State permitted practices	<u>0</u>	<u>0</u>
NAIC SAP	<u>(4,980,995)</u>	<u>3,600,307</u>
Surplus		
Company state basis (Page 3, Line 37, Columns 1 & 2)	90,063,232	111,119,723
State prescribed practices	0	0
State permitted practices (SSAP 97)	<u>(1,173,694)</u>	<u>(1,173,694)</u>
NAIC SAP	<u>88,889,538</u>	<u>109,946,029</u>

## B) Use of Estimates in Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

## C) Accounting Policies

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by semi-monthly pro-rata methods for direct and ceded business.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition the Company uses the following accounting policies:

- Short-term investments are stated at amortized cost.
- Bonds not backed by other loans are stated at either amortized cost using the interest method or the lower of amortized cost or fair market value.
- Unaffiliated common stocks are stated at fair market value.
- Unaffiliated preferred stocks are stated at fair market value.
- The Company does not hold mortgage loans.
- Loan-backed securities, including Mortgage-Backed Securities and Asset-Backed Securities, are stated at either amortized cost or the lower of amortized cost or fair value, using the interest method. Prepayment assumptions are reviewed on a periodic basis. If changes in prepayments are deemed necessary, securities are revalued based upon the new prepayment assumptions. The retrospective adjustment method is used to revalue all securities except for interest only securities, securities where the yield had become negative or securities where an other than temporary impairment was recognized as adopted under SSAP No. 43R, that are valued using the prospective method.
- Common stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the equity basis.
- Real estate is stated at cost less accumulated depreciation.
- The Company does not hold derivative instruments.
- The Company does utilize anticipated investment income as a factor in premium deficiency calculations.
- Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessary based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
- The Company has not modified its capitalization policy from the prior period.

## D) Going Concern

Management has determined there is no substantial doubt about the entity's ability to continue as a going concern.

## 2. Accounting Changes and Corrections of Errors

There have been no accounting changes or corrections of errors during the statement periods.

## NOTES TO THE FIANCIAL STATEMENTS

## 3. Business Combinations and Goodwill

Not applicable.

## 4. Discontinued Operations

Not applicable.

## 5. Investments

## A) Mortgage Loans

Not applicable.

## B) Debt Restructuring

Not applicable.

## C) Reverse Mortgages

Not applicable.

## D) Loan-Backed Securities

1) Prepayment assumptions for Mortgage-Backed Securities and Asset-Backed Securities were generated using a third-party prepayment model. The multi-factor model captures house price change trends, housing turnover, borrower default, and refinance incentive, among other factors.

2) Other-than-temporary impairments were \$0 at both September 30, 2022, and December 31, 2021, respectively.

3) Not Applicable.

4) All impaired securities (fair value is less than cost or amortized cost) for which an other than temporary impairment has not been recognized in earnings.

	<u>Fair Value</u>	<u>Unrealized Losses</u>
Unrealized losses less than 12 months	32,881,360	(2,951,349)
Unrealized losses greater than 12 months	13,420,572	<u>(1,339,533)</u>
Total	<u>46,301,932</u>	<u>(4,290,882)</u>

5) Loan backed securities in an unrealized loss position are reviewed to determine whether other-than-temporary impairments should be recognized. The Company asserts that it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered.

## E) Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

## F) Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

## G) Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

## H) Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

## I) Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

## J) Real Estate

Not applicable.

## K) Low-Income Housing Tax Credits

Not applicable.

## L) Restricted Assets

United States treasury securities with a carrying value of \$399,989 and \$399,660 on September 30, 2022, and December 31, 2021, respectively, were on deposit with the State of Rhode Island, as required by law.

## M) Working Capital Finance Investments

Not applicable.

## N) Offsetting and Netting of Assets and Liabilities

Not applicable.

## O) SGI Securities

Not applicable.

## P) Short Sales

Not applicable.

## NOTES TO THE FINANCIAL STATEMENTS

Q) Prepayment Penalty and Accelerated Fees  
Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies  
Not applicable.

7. Investment Income  
All due and accrued income was included in investment income during the statement periods.

8. Derivative Instruments  
Not applicable.

9. Income Taxes

A. 1. Components of the net deferred income tax asset or net deferred tax liability

<b>September 30, 2022</b>	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
(a) Gross deferred tax assets	4,543,162	0	4,543,162
(b) Statutory Valuation Allowance Adjustments	(3,742,819)	0	(3,742,819)
(c) Adjusted gross deferred tax assets (1a-1b)	800,343	0	800,343
(d) Deferred tax assets nonadmitted	0	0	0
(e) Subtotal net admitted deferred tax assets (1c-1d)	800,343	0	800,343
(f) Deferred tax liabilities	(128,048)	(672,295)	(800,343)
(g) Net admitted deferred tax assets / (net deferred tax liabilities) (1e-1f)	672,295	(672,295)	0

**December 31, 2021**

(a) Gross deferred tax assets	3,749,883	0	3,749,883
(b) Statutory Valuation Allowance Adjustments	0	0	0
(c) Adjusted gross deferred tax assets (1a-1b)	3,749,883	0	3,749,883
(d) Deferred tax assets nonadmitted	0	0	0
(e) Subtotal net admitted deferred tax assets (1c-1d)	3,749,883	0	3,749,883
(f) Deferred tax liabilities	(149,615)	(4,174,288)	(4,323,903)
(g) Net admitted deferred tax assets / (net deferred tax liabilities) (1e-1f)	3,600,268	(4,174,288)	(574,020)

**Change**

(a) Gross deferred tax assets	793,279	0	793,279
(b) Statutory Valuation Allowance Adjustments	(3,742,819)	0	(3,742,819)
(c) Adjusted gross deferred tax assets (1a-1b)	(2,949,540)	0	(2,949,540)
(d) Deferred tax assets nonadmitted	0	0	0
(e) Subtotal net admitted deferred tax assets (1c-1d)	(2,949,540)	0	(2,949,540)
(f) Deferred tax liabilities	21,567	3,501,993	3,523,560
(g) Net admitted deferred tax assets / (net deferred tax liabilities) (1e-1f)	(2,927,973)	3,501,993	574,020

2. Admission Calculation Components

<b>September 30, 2022</b>	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	0	0	0
(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations	0	0	0
(Lesser of 11bi or 11bii)	0	0	0
1. Adjusted gross DTAs expected to be realized following the balance sheet date (11bi)	N/A	N/A	0
2. Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	16,667,958
Lesser of (b)1. or (b)2.	0	0	0
(c) Adjusted gross DTAs offset by gross DTLs (11c)	800,343	0	800,343
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101	800,343	0	800,343

**December 31, 2021**

(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	0	0	0
(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations	0	0	0
(Lesser of 11bi or 11bii)	0	0	0
1. Adjusted gross DTAs expected to be realized following the balance sheet date (11bi)	N/A	N/A	0
2. Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	15,077,860
Lesser of (b)1. or (b)2.	0	0	0
(c) Adjusted gross DTAs offset by gross DTLs (11c)	3,749,883	0	3,749,883
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101	3,749,883	0	3,749,883



## NOTES TO THE FINANCIAL STATEMENTS

<b>Change</b>	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	0	0	0
(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations (Lesser of 11bi or 11bii)	0	0	0
1. Adjusted gross DTAs expected to be realized following the balance sheet date (11bi)	N/A	N/A	0
2. Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	1,590,098
Lesser of (b)1. or (b)2.	0	0	0
(c) Adjusted gross DTAs offset by gross DTLs (11c)	<u>(2,949,540)</u>	0	<u>(2,949,540)</u>
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101	(2,949,540)	0	(2,949,540)
3. Disclosure of ratios used for threshold limitations (for 11b);			
	<u>9/30/22</u>	<u>12/31/21</u>	
(a) Ratio percentage used to determine recovery period and threshold limitation amount	1,003%	1,003%	
(b) Amount of adjusted capital and surplus used to determine recovery period threshold limitation in 2(b)2 above	111,119,723	100,019,934	
4. Impact of Tax Planning Strategies on the Determination of:			
	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
<b>September 30, 2022</b>	<u>Percentage</u>	<u>Percentage</u>	<u>Percentage</u>
(a) Adjusted gross deferred tax assets (Percentage of total adjusted gross deferred tax assets)	0 N/A	0 N/A	0 0%
(b) Net admitted adjusted gross deferred tax assets (Percentage of total net admitted adjusted gross deferred tax assets)	0 N/A	0 N/A	0 0%
(c) Does the Company's tax-planning strategies include the use of reinsurance?	Yes <u>    </u>	No <u>  X  </u>	
<b>December 31, 2021</b>			
(a) Adjusted gross deferred tax assets (Percentage of total adjusted gross deferred tax assets)	0 N/A	0 N/A	0 0%
(b) Net admitted adjusted gross deferred tax assets (Percentage of total net admitted adjusted gross deferred tax assets)	0 N/A	0 N/A	0 0%
<b>Change</b>			
(a) Adjusted gross deferred tax assets (Percentage of total adjusted gross deferred tax assets)	0 N/A	0 N/A	0 0%
(b) Net admitted adjusted gross deferred tax assets (Percentage of total net admitted adjusted gross deferred tax assets)	0 N/A	0 N/A	0 0%
B. Unrecognized deferred tax liabilities			
(1) There are no temporary difference for which deferred tax liabilities are not recognized.			
C. Current income taxes incurred consist of the following major components:			
1	<u>9/30/22</u>	<u>12/31/21</u>	<u>Change</u>
(a) Current year federal tax expense (benefit)- ordinary income	0	(436,068)	436,068
(b) Current year foreign tax expense (benefit)- ordinary income	0	0	0
(c) Subtotal	<u>0</u>	<u>(436,068)</u>	<u>436,068</u>
(d) Current year tax expense (benefit) - net realized capital gains (losses)	0	0	0
(e) Utilization of operating loss carry forwards	0	0	0
(f) Tax on Capital Gains	0	0	0
(g) Federal and foreign income taxes incurred	<u>0</u>	<u>(436,068)</u>	<u>436,068</u>
2	<u>9/30/22</u>	<u>12/31/21</u>	<u>Change</u>
Deferred income tax assets and liabilities consist of the following major components:			
Deferred tax assets:			
(a) Ordinary			
(1) Discounting of unpaid losses and loss adjustment expenses	518,440	580,472	62,032
(2) Unearned premium reserve	1,624,526	1,666,156	41,630
(3) Fixed Assets	5,045	10,640	5,595
(4) Compensation and benefits accruals	313,761	329,375	15,614
(5) Receivables nonadmitted	34,890	34,890	0
(6) Net operating loss carryforward	1,702,122	569,243	(1,132,879)

## NOTES TO THE FIANCIAL STATEMENTS

	<u>9/30/22</u>	<u>12/31/21</u>	<u>Change</u>
(7) Tax Credit C/F	0	0	0
(8) Anticipated Salvage and Subrogation	252,972	469,710	216,738
(9) Other (including items <5% of total ordinary tax assets	91,406	89,397	(2,009)
Subtotal	4,543,162	3,749,883	(793,279)
(b) Statutory Valuation Allowance Adjustment	0	0	0
(c) Nonadmitted ordinary deferred tax assets	0	0	0
(d) Admitted ordinary deferred tax assets	4,407,899	3,749,883	(793,279)
(e) Capital			
(1) Investments	0	0	0
(2) Unrealized capital losses	0	0	0
(3) Other (including items <5% of ordinary tax liabilities	0	0	0
Subtotal	0	0	0
(f) Statutory Valuation Allowance Adjustment	(3,742,819)	0	(3,742,819)
(g) Nonadmitted capital deferred tax assets	0	0	0
(h) Admitted capital deferred tax assets	0	0	0
(i) Admitted deferred tax assets	800,343	3,749,883	2,949,540
<b>3 Deferred tax liabilities:</b>			
(a) Ordinary			
(1) Other (including items<5% of ordinary tax liabilities)	(128,048)	(149,615)	(21,567)
Subtotal	(128,048)	(149,615)	(21,567)
(b) Capital			
(1) Unrealized capital gains	(672,295)	(4,174,288)	(3,501,993)
(2) Other (including items<5% of ordinary tax liabilities)	0	0	0
Subtotal	(672,295)	(4,174,288)	(3,501,993)
(c) Deferred tax liabilities	(800,343)	(4,323,903)	(3,523,560)
<b>4 Net deferred tax asset (liability)</b>	<b>0</b>	<b>(574,020)</b>	<b>(574,020)</b>

The valuation allowance adjustment to gross deferred tax assets as of September 30, 2022, and December 31, 2021, was \$3,742,819 and \$0, respectively. The net change in the total valuation allowance adjustments for the period ended September 30, 2022, was an increase of \$3,742,819 and the period ended December 31, 2021, was a decrease of \$2,172,028, respectively. The valuation allowance adjustment at 2020 relates to entities significant pre-tax book and taxable loss for the year ending December 31, 2020 along with the entities projected future pre-tax book losses.

The realization of the deferred tax asset is dependent upon the Company's ability to generate sufficient taxable income in future periods. Based on historical results and the prospects for future current operations, management anticipates that it is more likely than not that future taxable income will be sufficient for the realization the remaining deferred tax assets.

The change in the net deferred income taxes is comprised of the following (this analysis is exclusive of the nonadmitted DTAs as the Change in Nonadmitted Assets is reported separately from the Change in Net Deferred Income Taxes in the surplus section of the Annual Statement);

	<u>9/30/22</u>	<u>12/31/21</u>	<u>Change</u>
Total deferred tax assets	4,543,162	3,749,883	793,279
Total deferred tax liabilities	(800,343)	(4,323,903)	3,523,560
Net deferred tax assets/liabilities	3,742,819	(574,020)	4,316,839
Statutory valuation allowance adjustment	0	0	0
Net deferred tax assets/liabilities after SVA	3,742,819	(574,020)	4,316,839
Tax effect of unrealized gains/(losses)	(672,295)	(4,174,288)	3,501,993
Statutory valuation allowance adjustment	(3,742,819)	0	(3,742,819)
Change in net deferred income tax	672,295	3,600,268	(2,927,973)

## D. Reconciliation of federal income tax rate to actual effective rate:

The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate to income before income taxes. The significant items causing this difference are as follows;

	<u>Tax effect</u>	<u>Effective</u>
	<u>21%</u>	<u>Tax Rate</u>
<b>September 30, 2022</b>		
Income before taxes	(1,046,009)	21.0%
Tax-exempt interest (net of proration)	0	0.0%
Dividends received deduction (net of proration)	(9,636)	0.2%
True-ups	230,946	(4.6%)
Change in valuation allowance	3,687,903	(74.0%)
Change in valuation allowance (tax credits)	54,916	1.1%
Change in Non-Admitted Assets	5,595	(0.1%)
Other, net	4,258	(0.1%)
	2,927,973	(58.8%)

## NOTES TO THE FIANCIAL STATEMENTS

	<u>Tax effect</u> 21%	<u>Effective</u> <u>Tax Rate</u>
Federal and foreign income taxes incurred	0	0.0%
Change in net deferred taxes	2,927,973	(58.8%)
	<u>2,927,973</u>	<u>(58.8%)</u>

**December 31, 2021**

Provision at statutory rate	1,797,083	21.0%
Tax-exempt interest (net of proration)	(12,762)	(0.2%)
Dividends received deduction (net of proration)	(75,676)	(0.9%)
True-ups	20,709	0.2%
Change in valuation allowance	(2,107,859)	(24.6%)
Change in valuation allowance (tax credits)	(64,169)	(0.8%)
Change in Non-Admitted Assets	23,868	0.2%
Other, net	9,615	0.1%
	<u>(409,190)</u>	<u>(4.8%)</u>
Federal and foreign income taxes incurred	(436,068)	(5.1%)
Change in net deferred taxes	26,878	0.2%
	<u>(409,190)</u>	<u>(4.8%)</u>

## E. Carryforward, recoverable taxes and IRC section 6603 deposits;

On December 22, 2017, H.R. 1, the Tax Cuts and Jobs Bill Act (the Act) was enacted into law. The Act eliminated Corporate Alternative Minimum Taxes and established a method for companies to recover the AMT credit deferred tax asset by offsetting regular tax with the credit or receive a refund from the IRS over the next four years. The Company received a refund of \$850,408 in 2020 and a refund of \$403,116 in 2021. The Company expects additional AMT refund of \$148,828 in 2022 from a carryback claim under the CARES Act, which is included as part of income tax receivable.

1. As of December 31, 2021, there are the following net operating loss carryforwards available for tax purposes:

<u>Origination Year</u>	<u>Expiration Year</u>	<u>Amount</u>
2022	2042	10,289,850
Total		<u>10,289,850</u>

As of December 31, 2021, there are the following net capital loss carryforwards available for tax purposes:

<u>Origination Year</u>	<u>Expiration Year</u>	<u>Amount</u>
2018		0
2019		0
2020		0
Total		<u>0</u>

Alternative minimum tax credit carryover in the amount of \$0, which does not have an expiration date.

2. The amount of Federal income taxes incurred that are available for recoupment in the event of future net losses are:

<u>Year</u>	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
2021	0	0	0
2020	0	0	0

3. The aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Code are \$ 0.

## F. Consolidated federal income tax return

- The Company's federal income tax return is consolidated with The Providence Protection Insurance Company.
- The Company is included in a consolidated federal income tax return with its parent company Providence Plantations Insurance Company. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is a party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or to recoup its net losses carried forward as an offset to future net income subject to federal income taxes.
- The Company's income tax returns that remain open to examination are for the years 2013 and subsequent.

## 10. Information Concerning Parent, Subsidiaries and Affiliates

## A) Nature of Relationships

The Company is not directly or indirectly owned or controlled by any other entity.

## B-O) Not applicable.

NOTES TO THE FINANCIAL STATEMENTS

11. Debt  
Not applicable.
12. Retirement Plans, Deferred Compensation and Other Postretirement Benefit Plans
- A) Defined Benefit Plan  
Not applicable.
- B-D) Not applicable.
- E) Defined Contribution Plan  
The Company maintains a profit sharing and 401(k) savings plan to provide benefits for substantially all employees, including officers, upon retirement or, to the extent of vested amounts, upon termination of employment. The Company's contribution for the plan was \$558,218 and \$653,647 at September 30, 2022 and December 31, 2021, respectively.
- The Company maintains a nonqualified excess benefit plan which is credited for benefits which exceed the government's restrictions on how much pre-tax investment an employee may make. In addition, the Company maintains nonqualified pension plans covering key employees. The Company expensed \$76,500 and \$162,993 at September 30, 2022 and December 31, 2021, respectively, relating to these plans.
- F-I) Not applicable.
13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations
- A-E) Not applicable.
- F) No restrictions have been placed upon the unassigned surplus funds and there are no outstanding unpaid advances to surplus as of the reporting periods.
- G-I) Not applicable.
- J) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$3,369,615.
- K-M) Not applicable.
14. Contingencies
- A) Contingent Commitments  
Not applicable.
- B) Assessments  
The Company is subject to guaranty fund assessments by the state in which it writes business. The Company has established a guaranty fund accrual which represents management's best estimate based on the information received by the Company as of the current reporting period.
- C) Gain Contingencies  
Not applicable.
- D) Claims Relating to Extra Contractual Obligations & Bad Faith Losses  
Not applicable.
- E) Product Warranties  
Not applicable.
- F) Joint and Several Liability  
Not applicable.
- G) All Other Contingencies  
Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.
15. Leases  
The Company does not have any material lease obligations at this time.
16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk  
Not applicable.
17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities  
Not applicable.
18. Gain or Loss to the Insurer from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans  
Not applicable.
19. Direct Premium Written / Produced by Managing General Agents / Third Party Administrators  
Not applicable.

## NOTES TO THE FINANCIAL STATEMENTS

## 20. Fair Value Measurements

## A) Fair Value Measurements at September 30, 2022.

The Company's valuation techniques are based on observable and unobservable inputs. Observable inputs reflect market data obtained pricing from independent sources based on trades of securities while unobservable inputs reflect the Company's market assumptions.

Level 1 – Observable inputs in the form of quoted process for identical instruments in active markets.

Level 2 – Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities, quoted prices in markets that are not active or other inputs that are observable or can be derived from observable market data for substantially the full term of the assets and liabilities.

Level 3 – One or more unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets and liabilities. Level 3 assets and liabilities include financial instruments whose fair value is determined using internal models, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

Description	Level 1	Level 2	Level 3	Net Asset NAV	Total
Cash and Cash Equivalents					
MM Mutual Funds	1,801,609	0	0	0	1,801,609
Bonds					
Industrial & Misc	0	0	0	0	0
SVO Identified Funds	0	0	0	0	0
Common Stock					
Industrial & Misc	35,464,325	0	22,866	0	35,487,191
Mutual Funds	529,888	0	0	0	529,888
Uncategorized	7,905,178	0	0	0	7,905,178
Parent, Sub & Affiliate	0	0	1,173,694	0	1,173,694
Total Assets as Fair Value	45,701,000	0	1,196,560	0	46,897,560

As of September 30, 2022, the common stocks in level 3 are privately held securities.

## B) Not applicable.

## C) Fair Value Measurements for All Financial Instruments at September 30, 2022.

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	NAV
Bonds						
Governments	2,524,953	2,665,996	396,625	2,128,328	0	0
Industrial & Misc	64,829,163	71,055,373	0	64,829,163	0	0
Political Subdivisions	2,910,481	3,360,088	0	2,910,481	0	0
Special Revenue	26,431,863	30,325,518	0	26,431,863	0	0
States Terr & Poss	1,702,457	1,871,465	0	1,702,457	0	0
SVO Identified Funds	0	0	0	0	0	0
Total Bonds	98,398,917	109,278,440	396,625	98,002,292	0	0
Common Stock						
Industrial & Misc	35,487,191	35,487,191	35,464,325	0	22,866	0
Mutual Funds	529,888	529,888	529,888	0	0	0
Uncategorized	7,905,178	7,905,178	7,905,178	0	0	0
Parent, Sub & Affiliate	1,173,694	1,173,694	0	0	1,173,694	0
Total Common Stock	45,095,951	45,095,951	43,899,391	0	1,196,560	0
Short Term						
Special Revenue	0	0	0	0	0	0
Cash Equivalents						
Cash	658,525	658,525	658,525	0	0	0
Other MM Fund	1,801,609	1,801,609	1,801,609	0	0	0
Total Cash Equivalents	2,460,134	2,460,134	2,460,134	0	0	0
Total Assets	145,955,002	156,834,525	46,756,150	98,002,292	1,196,560	0

## NOTES TO THE FIANCIAL STATEMENTS

## 21. Other Items

A) Unusual or Infrequent Items  
Not applicable.

B) Troubled Debt Restructuring: Debtors  
Not applicable.

C) Other Disclosures  
Assets in the amount of \$399,989 and \$399,660 at September 30, 2022 and December 31, 2021, respectively, were on deposit with government authorities as required by law.

D) Business Interruption Insurance Recoveries  
Not applicable.

E) State Transferable and Non-Transferable Tax Credits  
Not applicable.

## F) Subprime Mortgage Related Risk Exposure

The Company does not engage in direct subprime residential mortgage lending. The Company's exposure to subprime is limited to investments within the fixed income investment portfolio which contains securities collateralized by mortgages that have characteristics of subprime lending. Such characteristics include an interest rate above prime to borrowers who do not qualify for prime rate loans, borrowers with low credit ratings (FICO scores), unconventionally high initial loan-to-value ratios, and borrowers with less than conventional documentation of their income and / or net assets.

The Company minimizes risk exposure by holding securities which carry higher credit ratings and by monitoring the underlying collateral performance on an ongoing basis.

The chart below summarizes the Actual Cost, Book Adjusted Carrying Value and the Fair Value of subprime mortgage related risk exposure.

<u>Cost</u>	<u>Book Adjusted Carrying Value</u>	<u>Fair Value</u>
\$801	\$0	\$56,288

G) Insurance –Linked Securities (ILS) Contracts  
Not applicable.

H) The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy  
Not applicable.

22. Events Subsequent  
Not applicable.

## 23. Reinsurance

## A) Unsecured Reinsurance Recoverables

The Company does not have any unsecured aggregate recoverables for losses; paid and unpaid including IBNR, loss adjustment expenses, and unearned premium that exceeds 3% of the Company's policyholder surplus.

## B) Reinsurance Recoverable in Dispute

There are no individual reinsurance recoverables on paid and unpaid (including IBNR) losses in dispute by reason of notification, arbitration or litigation with any company which exceeds 5% of the Company's policyholder surplus or aggregate reinsurance recoverables on paid and unpaid (including IBNR) losses in dispute which exceed 10% of the Company's policyholder surplus.

## C) Reinsurance Assumed and Ceded

	ASSUMED REINSURANCE		CEDED REINSURANCE		NET	
	Premium Reserve (1)	Commission Equity (2)	Premium Reserve (3)	Commission Equity (4)	Premium Reserve (5)	Commission Equity (6)
Affiliates	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other	235,135	43,623	1,922,801	197,750	(1,687,666)	(154,127)
Total	235,135	43,623	1,922,801	197,750	(1,687,666)	(154,127)

Direct Unearned Premium Reserve \$38,679,206

D-K) Not applicable.

NOTES TO THE FIANCIAL STATEMENTS

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company was not involved in any retrospectively rated contracts during the statement periods.

25. Change in Incurred Losses and Loss Adjustment Expenses

The estimated cost of loss and loss adjustment expenses attributable to insured events of prior years' decreased by \$5,675,000 during 2021, compared to an increase of \$196,000 during 2020. The increase / decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

The Company has not purchased any annuities under which the claimant is payee but for which the Company is contingently liable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. High Deductibles

Not applicable.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

Not applicable.

33. Asbestos/Environmental Reserves

The Company has minor exposure to asbestos and / or environmental claims.

34. Subscriber Savings Accounts

Not applicable.

35. Multiple Peril Crop Insurance

Not applicable.

36. Financial Guaranty Insurance

Not applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes. ....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] NA [X]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ....12/31/2020
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....12/31/2020
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....03/07/2022
- 6.4 By what department or departments? .....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] NA [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] NA [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]
- 7.2 If yes, give full information: .....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company. ....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain: .....
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s). ....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). ....

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ ] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$ .....



# GENERAL INTERROGATORIES

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:  
.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....\$ .....0

13. Amount of real estate and mortgages held in short-term investments: .....\$ .....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [X] No [ ]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....0	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....1,173,694	\$ .....1,173,694
14.24 Short-Term Investments .....	\$ .....0	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....1,173,694	\$ .....1,173,694
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] NA [X]  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ .....0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ .....0
16.3 Total payable for securities lending reported on the liability page	\$ .....0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US BANK.....	1025 CONNECTICUT AVE NW, SUITE 517, WASHINGTON, DC 20036.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NEW ENGLAND ASSET MANAGEMENT.....	U.....
NORHERN TRUST.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [X] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:  
.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or

- PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?..... Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

## GENERAL INTERROGATORIES

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is
- c. shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?.....

Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?.....

Yes [ ] No [X]





STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date – Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	N	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0
5. California	CA	N	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0
7. Connecticut	CT	L	12,570,708	13,584,413	11,788,953	8,609,298	10,214,750
8. Delaware	DE	N	0	0	0	0	0
9. Dist. Columbia	DC	N	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0
14. Illinois	IL	N	0	0	0	0	0
15. Indiana	IN	N	0	0	0	0	0
16. Iowa	IA	N	0	0	0	0	0
17. Kansas	KS	N	0	0	0	0	0
18. Kentucky	KY	N	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0
20. Maine	ME	L	2,077,042	2,166,786	909,102	1,302,562	1,907,451
21. Maryland	MD	N	0	0	0	0	0
22. Massachusetts	MA	L	13,581,829	14,289,291	3,864,032	6,507,756	6,517,745
23. Michigan	MI	N	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0
30. New Hampshire	NH	L	4,974,463	5,407,513	2,691,244	3,086,271	3,421,738
31. New Jersey	NJ	L	13,435,529	12,346,454	7,740,812	5,264,982	10,126,245
32. New Mexico	NM	N	0	0	0	0	0
33. New York	NY	L	1,950,814	2,032,597	1,036,352	886,088	1,617,136
34. No. Carolina	NC	N	0	0	0	0	0
35. No. Dakota	ND	N	0	0	0	0	0
36. Ohio	OH	N	0	0	0	0	0
37. Oklahoma	OK	N	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0
40. Rhode Island	RI	L	9,855,880	11,076,556	6,170,845	10,575,343	8,606,809
41. So. Carolina	SC	N	0	0	0	0	0
42. So. Dakota	SD	N	0	0	0	0	0
43. Tennessee	TN	N	0	0	0	0	0
44. Texas	TX	N	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0
46. Vermont	VT	L	0	0	0	0	0
47. Virginia	VA	N	0	0	0	0	0
48. Washington	WA	N	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0
59. Totals	XXX		58,446,265	60,903,610	34,201,340	36,232,300	42,411,874
<b>DETAILS OF WRITE-INS</b>							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX		0	0	0	0	0
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX		0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG ..... 8 R – Registered – Non-domiciled RRGs ..... 0  
 E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile – See DSLI) ..... 0 Q – Qualified – Qualified or accredited reinsurer ..... 0  
 D – Domestic Surplus Lines Insurer (DSLII) – Reporting entities authorized to write surplus lines in the state of domicile ..... 0 N – None of the above – Not allowed to write business in the state ..... 49

The Providence Mutual Fire Insurance Company  
FEIN: 05-0204000  
NAIC Co. Code: 15040  
Domiciliary State: RI  
Parent – Insurer

100%

The Providence Protection Insurance Company  
FEIN: 05-0428479  
NAIC Co. Code: 33430  
Domiciliary State: RI  
Wholly – Owned Subsidiary - Insurer



**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire	1,175,406	843,279	71.7	17.3
2.1 Allied lines	1,059,100	403,643	38.1	60.2
2.2 Multiple peril crop			0.0	
2.3 Federal flood			0.0	
2.4 Private crop			0.0	
2.5 Private flood			0.0	
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril	27,464,093	12,598,646	45.9	58.0
5. Commercial multiple peril	17,527,380	10,066,290	57.4	40.1
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine	341,141	(8,543)	(2.5)	47.7
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability -occurrence			0.0	0.0
11.2 Medical professional liability -claims made			0.0	0.0
12. Earthquake			0.0	0.0
13.1 Comprehensive (hospital and medical) individual			0.0	
13.2 Comprehensive (hospital and medical) group			0.0	
14. Credit accident and health			0.0	0.0
15.1 Vision only			0.0	
15.2 Dental only			0.0	
15.3 Disability income			0.0	
15.4 Medicare supplement			0.0	
15.5 Medicaid Title XIX			0.0	
15.6 Medicare Title XVIII			0.0	
15.7 Long-term care			0.0	
15.8 Federal employees health benefits plan			0.0	
15.9 Other health			0.0	
16. Workers' compensation	10,552	15,000	142.2	115.0
17.1 Other liability occurrence	1,052,038	918,707	87.3	(19.4)
17.2 Other liability-claims made			0.0	0.0
17.3 Excess Workers' Compensation			0.0	0.0
18.1 Products liability-occurrence			0.0	0.0
18.2 Products liability-claims made			0.0	0.0
19.1 Private passenger auto no-fault (personal injury protection)			0.0	
19.2 Other private passenger auto liability	5,895,352	3,569,428	60.5	
19.3 Commercial auto no-fault (personal injury protection)			0.0	
19.4 Other commercial auto liability	812,915	(34,125)	(4.2)	
21.1 Private passenger auto physical damage	3,764,232	3,202,581	85.1	
21.2 Commercial auto physical damage	353,072	217,172	61.5	
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. TOTALS	59,455,281	31,792,078	53.5	51.4
<b>DETAILS OF WRITE-INS</b>				
3401.				
3402.				
3403.				
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0.0	0.0



**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire .....	408,466	1,157,011	1,214,772
2.1	Allied lines .....	377,874	1,055,201	1,093,196
2.2	Multiple peril crop .....	0		
2.3	Federal flood .....	0		
2.4	Private crop .....	0		
2.5	Private flood .....	0		
3.	Farmowners multiple peril .....	0		0
4.	Homeowners multiple peril .....	10,323,344	26,903,371	28,767,041
5.	Commercial multiple peril .....	5,938,339	17,837,256	15,762,165
6.	Mortgage guaranty .....	0		0
8.	Ocean marine .....	0		0
9.	Inland marine .....	116,556	324,888	353,770
10.	Financial guaranty .....	0		0
11.1	Medical professional liability-occurrence .....	0		0
11.2	Medical professional liability-claims made .....	0		0
12.	Earthquake .....	0		0
13.1	Comprehensive (hospital and medical) individual .....	0		
13.2	Comprehensive (hospital and medical) group .....	0		
14.	Credit accident and health .....	0		0
15.1	Vision only .....	0		
15.2	Dental only .....	0		
15.3	Disability income .....	0		
15.4	Medicare supplement .....	0		
15.5	Medicaid Title XIX .....	0		
15.6	Medicare Title XVIII .....	0		
15.7	Long-term care .....	0		
15.8	Federal employee health benefits plan .....	0		
15.9	Other health .....	0		
16.	Workers' compensation .....	0		0
17.1	Other liability occurrence .....	316,176	1,048,207	1,124,615
17.2	Other liability-claims made .....	0		0
17.3	Excess Workers' Compensation .....	0		0
18.1	Products liability-occurrence .....	0		0
18.2	Products liability-claims made .....	0		0
19.1	Private passenger auto no-fault (personal injury protection) .....	0		
19.2	Other private passenger auto liability .....	1,623,895	5,482,025	6,933,552
19.3	Commercial auto no-fault (personal injury protection) .....	0		
19.4	Other commercial auto liability .....	275,791	753,384	844,773
21.1	Private passenger auto physical damage .....	1,067,293	3,528,744	4,445,903
21.2	Commercial auto physical damage .....	138,569	356,178	363,823
22.	Aircraft (all perils) .....	0		0
23.	Fidelity .....	0		0
24.	Surety .....	0		0
26.	Burglary and theft .....	0		0
27.	Boiler and machinery .....	0		0
28.	Credit .....	0		0
29.	International .....	0		0
30.	Warranty .....	0		0
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	0	0	0
35.	<b>TOTALS</b>	<b>20,586,303</b>	<b>58,446,265</b>	<b>60,903,610</b>
<b>DETAILS OF WRITE-INS</b>				
3401.	.....			
3402.	.....			
3403.	.....			
3498.	Sum. of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2022 Loss and LAE Payments on Claims Reported as of Prior Year-End	2022 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2022 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2019 + Prior .....	13,072	5,771	18,843	7,501	56	7,557	8,275	118	3,965	12,358	2,704	(1,632)	1,072
2. 2020 .....	5,700	3,571	9,271	2,949	16	2,965	3,272	115	2,053	5,440	521	(1,387)	(866)
3. Subtotals 2020 + prior .....	18,772	9,342	28,114	10,450	72	10,522	11,547	233	6,018	17,798	3,225	(3,019)	206
4. 2021 .....	11,725	9,868	21,593	8,160	490	8,650	4,664	856	3,158	8,678	1,099	(5,364)	(4,265)
5. Subtotals 2021 + prior .....	30,497	19,210	49,707	18,610	562	19,172	16,211	1,089	9,176	26,476	4,324	(8,383)	(4,059)
6. 2022 .....	XXX	XXX	XXX	XXX	19,373	19,373	XXX	10,085	9,602	19,687	XXX	XXX	XXX
7. Totals .....	30,497	19,210	49,707	18,610	19,935	38,545	16,211	11,174	18,778	46,163	4,324	(8,383)	(4,059)
8. Prior Year-End Surplus As Regards Policy-holders	111,120												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 14.2	2. (43.6)	3. (8.2)
													Col. 13, Line 7 Line 8
													4. (3.7)

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

**Response**





- 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....NO.....
- 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....NO.....
- 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....NO.....
- 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....NO.....

**AUGUST FILING**

- 5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....N/A.....

**Explanation:**

**Bar Code:**

- 1.   
1 5 0 4 0 2 0 2 2 4 9 0 0 0 0 0 3
- 2.   
1 5 0 4 0 2 0 2 2 4 5 5 0 0 0 0 3
- 3.   
1 5 0 4 0 2 0 2 2 3 6 5 0 0 0 0 3
- 4.   
1 5 0 4 0 2 0 2 2 5 0 5 0 0 0 0 3

**OVERFLOW PAGE FOR WRITE-INS**

---

## SCHEDULE A – VERIFICATION

### Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	2,948,428	3,035,098
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....		29,855
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....	(291,345)	0
5. Deduct amounts received on disposals .....		0
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other-than-temporary impairment recognized .....		0
8. Deduct current year's depreciation .....	81,408	116,525
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	2,575,675	2,948,428
10. Deduct total nonadmitted amounts .....	0	0
11. Statement value at end of current period (Line 9 minus Line 10) .....	2,575,675	2,948,428

## SCHEDULE B – VERIFICATION

### Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		0
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....		0
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		0
10. Deduct current year's other-than-temporary impairment recognized .....		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Total valuation allowance .....		0
13. Subtotal (Line 11 plus Line 12) .....	0	0
14. Deduct total nonadmitted amounts .....	0	0
15. Statement value at end of current period (Line 13 minus Line 14) .....	0	0

NONE

## SCHEDULE BA – VERIFICATION

### Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		0
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....		0
8. Deduct amortization of premium and depreciation .....		0
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other-than-temporary impairment recognized .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	0	0

NONE

## SCHEDULE D – VERIFICATION

### Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	170,028,704	175,717,292
2. Cost of bonds and stocks acquired .....	31,959,289	43,257,695
3. Accrual of discount .....	49,894	74,999
4. Unrealized valuation increase (decrease) .....	(16,676,158)	2,605,538
5. Total gain (loss) on disposals .....	1,202,783	12,178,569
6. Deduct consideration for bonds and stocks disposed of .....	32,067,323	63,608,742
7. Deduct amortization of premium .....	122,798	246,395
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other-than-temporary impairment recognized .....		0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		49,748
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	154,374,391	170,028,704
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	154,374,391	170,028,704

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	95,984,788	989,125	2,701,958	187,171	100,603,141	95,984,788	94,459,126	95,116,740
2. NAIC 2 (a).....	15,327,898	500,000	788,753	(219,832)	15,169,044	15,327,898	14,819,313	15,668,803
3. NAIC 3 (a).....	0				0	0	0	0
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	111,312,686	1,489,125	3,490,711	(32,661)	115,772,185	111,312,686	109,278,439	110,785,543
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	0				0	0	0	0
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	111,312,686	1,489,125	3,490,711	(32,661)	115,772,185	111,312,686	109,278,439	110,785,543

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ..... ; NAIC 2 \$ ..... ;  
NAIC 3 \$ ..... ; NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

S102

## SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
770999999 Totals		XXX			

## SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	500,000
2. Cost of short-term investments acquired .....	0	0
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	0	500,000
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	0	0
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification

**NONE**

Schedule DB - Part B - Verification

**NONE**

Schedule DB - Part C - Section 1

**NONE**

Schedule DB - Part C - Section 2

**NONE**

Schedule DB - Verification

**NONE**



**SCHEDULE E – PART 2 – VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	9,984,242	2,242,015
2. Cost of cash equivalents acquired .....	2,075,951	12,717,152
3. Accrual of discount .....		0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals.....		0
6. Deduct consideration received on disposals .....	10,258,584	4,974,925
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other-than-temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,801,609	9,984,242
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	1,801,609	9,984,242

Schedule A - Part 2

**NONE**

Schedule A - Part 3

**NONE**

Schedule B - Part 2

**NONE**

Schedule B - Part 3

**NONE**

Schedule BA - Part 2

**NONE**

Schedule BA - Part 3

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
<b>Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>									
3140XJ-JR-5	UMBS - POOL FS2971		09/28/2022	J.P. MORGAN SECURITIES LLC	XXX	989,125	1,025,000	1,538	1.A FE
<b>0909999999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>						989,125	1,025,000	1,538	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
20755D-AB-2	FANNIE MAE - CAS 22-R08 1M2		08/01/2022	BOFA SECURITIES INC.	XXX	500,000	500,000		2.C FE
40431J-AF-0	HSI ASSET SECURITIZATION CORP 07 OPT1 M1		09/25/2022	CAPITALIZED INTEREST	XXX		1,825		1.D FM
66989E-AF-2	NOVASTAR HOME EQUITY LOAN 07 2 M1		09/25/2022	CAPITALIZED INTEREST	XXX		.99		1.A FM
<b>1109999999 - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						500,000	501,924	0	XXX
<b>2509999997 - Bonds - Subtotals - Bonds - Part 3</b>						1,489,125	1,526,924	1,538	XXX
<b>2509999999 - Bonds - Subtotals - Bonds</b>						1,489,125	1,526,924	1,538	XXX
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>									
00287Y-10-9	ABBVIE INC.		07/25/2022	DIRECT	100.000	15,038	XXX		XXX
00724F-10-1	ADOBE SYSTEMS INC.		07/25/2022	DIRECT	34.000	13,323	XXX		XXX
02079K-10-7	ALPHABET INC - CL C		09/01/2022	DIRECT	367.000	40,207	XXX		XXX
02209S-10-3	ALTRIA GROUP INC.		09/01/2022	DIRECT	236.000	10,715	XXX		XXX
023135-10-6	AMAZON.COM INC.		09/01/2022	DIRECT	60.000	7,649	XXX		XXX
032654-10-5	ANALOG DEVICES INC.		07/25/2022	DIRECT	75.000	12,127	XXX		XXX
036752-10-3	ANTHEM INC.		07/25/2022	DIRECT	57.000	26,826	XXX		XXX
037833-10-0	APPLE INC.		07/25/2022	DIRECT	101.000	15,509	XXX		XXX
060505-10-4	BANK OF AMERICA CORP.		09/01/2022	DIRECT	511.000	17,334	XXX		XXX
070830-10-4	BATH & BODY WORKS INC.		07/25/2022	DIRECT	486.000	16,168	XXX		XXX
09260D-10-7	BLACKSTONE GROUP INC/THE		07/25/2022	DIRECT	283.000	27,271	XXX		XXX
097023-10-5	BOEING CO/THE		07/25/2022	DIRECT	13.000	2,032	XXX		XXX
09857L-10-8	BOOKING HOLDINGS INC.		07/25/2022	DIRECT	6.000	10,872	XXX		XXX
11135F-10-1	BROADCOM INC.		09/01/2022	DIRECT	33.000	16,613	XXX		XXX
126408-10-3	CSX CORP.		07/25/2022	DIRECT	424.000	13,291	XXX		XXX
14448C-10-4	CARRIER GLOBAL CORP.		07/25/2022	DIRECT	910.000	34,819	XXX		XXX
149123-10-1	CATERPILLAR INC.		09/01/2022	DIRECT	214.000	39,951	XXX		XXX
169905-10-6	CHOICE HOTELS INTL INC.		07/25/2022	DIRECT	159.000	18,656	XXX		XXX
172908-10-5	CINTAS CORP.		07/25/2022	DIRECT	75.000	29,632	XXX		XXX
192446-10-2	COGNIZANT TECHNOLOGY SOLUTION CORP.		07/25/2022	DIRECT	373.000	25,381	XXX		XXX
197236-10-2	COLUMBIA BANKING SYSTEM INC.		07/25/2022	DIRECT	1,241.000	36,429	XXX		XXX
200525-10-3	COMMERCE BANCSHARES INC.		07/25/2022	DIRECT	552.000	37,968	XXX		XXX
22160K-10-5	COSTCO WHOLESALE CORPORATION		07/25/2022	DIRECT	53.000	28,000	XXX		XXX
235851-10-2	DANAHER CORP.		07/25/2022	DIRECT	34.000	9,251	XXX		XXX
244199-10-5	DEERE & CO.		09/01/2022	DIRECT	97.000	35,749	XXX		XXX
25754A-20-1	DOMINOS PIZZA INC.		07/25/2022	DIRECT	55.000	21,280	XXX		XXX
26875P-10-1	EOG RESOURCES INC.		09/01/2022	DIRECT	90.000	10,969	XXX		XXX
278642-10-3	EBAY INC.		07/25/2022	DIRECT	329.000	15,266	XXX		XXX
291011-10-4	EMERSON ELECTRIC CO.		07/25/2022	DIRECT	146.000	12,285	XXX		XXX
30212W-10-0	EXP WORLD HOLDINGS INC.		09/01/2022	DIRECT	893.000	11,771	XXX		XXX
32051X-10-8	FIRST HAWAIIAN INC.		09/01/2022	DIRECT	2,323.000	60,263	XXX		XXX
35671D-85-7	FREEMONT-MCMORAN INC.		09/01/2022	DIRECT	1,053.000	31,127	XXX		XXX
369604-30-1	GENERAL ELECTRIC CO.		07/25/2022	DIRECT	48.000	3,287	XXX		XXX
431284-10-8	HIGHWOODS PROPERTIES INC.		07/25/2022	DIRECT	655.000	22,766	XXX		XXX
438516-10-6	HONEYWELL INTERNATIONAL INC.		09/01/2022	DIRECT	219.000	41,745	XXX		XXX
459200-10-1	INTL BUSINESS MACHINES CORP.		09/01/2022	DIRECT	374.000	48,606	XXX		XXX
46131B-70-4	INVESCO MORTGAGE CAPITAL		07/25/2022	DIRECT	5,400.000	87,079	XXX		XXX
46625H-10-0	JP MORGAN CHASE & COMPANY		07/25/2022	DIRECT	395.000	45,572	XXX		XXX
478160-10-4	JOHNSON & JOHNSON		07/25/2022	DIRECT	197.000	33,912	XXX		XXX
500255-10-4	KOHL'S CORP.		09/01/2022	DIRECT	1,247.000	34,390	XXX		XXX
512816-10-9	LAMAR ADVERTISING CO-A		09/01/2022	DIRECT	312.000	29,478	XXX		XXX
532457-10-8	ELI LILLY & CO.		07/25/2022	DIRECT	63.000	20,858	XXX		XXX
534187-10-9	LINCOLN NATIONAL CORPORATION		07/25/2022	DIRECT	259.000	12,966	XXX		XXX
539830-10-9	LOCKHEED MARTIN CORPORATION		07/25/2022	DIRECT	17.000	6,792	XXX		XXX
57636Q-10-4	MASTERCARD INC-CLASS A		07/25/2022	DIRECT	58.000	19,871	XXX		XXX
594918-10-4	MICROSOFT CORP.		09/01/2022	DIRECT	70.000	18,351	XXX		XXX
595017-10-4	MICROCHIP TECHNOLOGY INC.		07/25/2022	DIRECT	400.000	25,601	XXX		XXX
64110D-10-4	NETAPP INC.		07/25/2022	DIRECT	288.000	19,852	XXX		XXX

E04

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
64110L-10-6	NETFLIX INC.		07/25/2022	DIRECT	91.000	19,998	XXX		XXX
666807-10-2	NORTHROP GRUMMAN CORP.		07/25/2022	DIRECT	6.000	2,727	XXX		XXX
68268W-10-3	ONEMAIN HOLDINGS INC.		07/25/2022	DIRECT	439.000	18,101	XXX		XXX
68389X-10-5	ORACLE CORPORATION		07/25/2022	DIRECT	473.000	35,221	XXX		XXX
70450Y-10-3	PAYPAL HOLDINGS INC.		07/25/2022	DIRECT	180.000	14,754	XXX		XXX
716382-10-6	PETMED EXPRESS INC.		09/01/2022	DIRECT	840.000	17,371	XXX		XXX
717081-10-3	PFIZER INC.		09/01/2022	DIRECT	783.000	36,083	XXX		XXX
723787-10-7	PIONEER NATURAL RESOURCES CO.		07/25/2022	DIRECT	102.000	22,511	XXX		XXX
742718-10-9	PROCTER & GAMBLE CO/THE		07/25/2022	DIRECT	102.000	14,625	XXX		XXX
74340W-10-3	PROLOGIS INC.		09/01/2022	DIRECT	256.000	32,372	XXX		XXX
747525-10-3	QUALCOMM INC.		07/25/2022	DIRECT	102.000	15,565	XXX		XXX
74967R-10-6	RMR GROUP INC/THE - A.		09/01/2022	DIRECT	619.000	16,572	XXX		XXX
75886F-10-7	REGENERON PHARMACEUTICALS.		09/01/2022	DIRECT	11.000	6,490	XXX		XXX
770323-10-3	ROBERT HALF INTL INC.		09/01/2022	DIRECT	356.000	27,268	XXX		XXX
78440X-88-7	SL GREEN REALTY CORP.		09/01/2022	DIRECT	818.000	36,024	XXX		XXX
79466L-30-2	SALESFORCECOM INC.		07/25/2022	DIRECT	62.000	10,967	XXX		XXX
81762P-10-2	SERVICENOW INC.		09/01/2022	DIRECT	27.000	11,692	XXX		XXX
855244-10-9	STARBUCKS CORP.		09/01/2022	DIRECT	467.000	38,902	XXX		XXX
863667-10-1	STRYKER CORPORATION		09/01/2022	DIRECT	30.000	6,170	XXX		XXX
87165B-10-3	SYNCHRONY FINANCIAL		07/25/2022	DIRECT	389.000	12,801	XXX		XXX
876030-10-7	TAPESTRY INC.		07/25/2022	DIRECT	575.000	19,499	XXX		XXX
87612E-10-6	TARGET CORP.		07/25/2022	DIRECT	72.000	11,324	XXX		XXX
88160R-10-1	TESLA MOTORS INC.		07/25/2022	DIRECT	12.000	9,683	XXX		XXX
88579Y-10-1	3M COMPANY		09/01/2022	DIRECT	504.000	62,477	XXX		XXX
902973-30-4	US BANCORP		07/25/2022	DIRECT	720.000	34,670	XXX		XXX
90353T-10-0	UBER TECHNOLOGIES INC.		09/01/2022	DIRECT	234.000	6,659	XXX		XXX
907818-10-8	UNION PACIFIC CORP.		07/25/2022	DIRECT	156.000	33,777	XXX		XXX
911312-10-6	UNITED PARCEL SERVICE-CL B.		07/25/2022	DIRECT	147.000	27,596	XXX		XXX
92343V-10-4	VERIZON COMMUNICATIONS		09/01/2022	DIRECT	770.000	33,071	XXX		XXX
92532F-10-0	VERTEX PHARMACEUTICALS INC.		09/01/2022	DIRECT	55.000	15,449	XXX		XXX
969904-10-1	WILLIAMS-SONOMA INC.		07/25/2022	DIRECT	102.000	13,861	XXX		XXX
98138H-10-1	WORKDAY INC-CLASS A		07/25/2022	DIRECT	94.000	13,739	XXX		XXX
98978V-10-3	ZOETIS INC.		09/01/2022	DIRECT	106.000	16,691	XXX		XXX
G4474Y-21-4	JANUS HENDERSON GROUP PLC.	C.	09/01/2022	DIRECT	1,310.000	30,957	XXX		XXX
G5494J-10-3	LINDE PLC.	C.	09/01/2022	DIRECT	82.000	23,373	XXX		XXX
L02235-10-6	ARDAGH METAL PACKAGING SA.	C.	09/01/2022	DIRECT	10,143.000	60,857	XXX		XXX
N53745-10-0	LYONDELLBASELL INDU-CL A	C.	07/25/2022	DIRECT	324.000	28,331	XXX		XXX
Y2187A-15-0	EAGLE BULK SHIPPING INC.	C.	09/01/2022	DIRECT	157.000	6,731	XXX		XXX
<b>501999999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>						2,019,857	XXX	0	XXX
<b>Common Stocks - Mutual Funds - Designations Not Assigned by the SVO</b>									
315911-75-0	FIDELITY 500 INDEX-INST PRM.		07/15/2022	DIRECT	2.937	390	XXX		
693390-44-5	PIMCO TOTAL RETURN FUND-A		09/06/2022	DIRECT	74.660	674	XXX		
723884-40-9	PIONEER STRATEGIC INCOME FUND.		09/15/2022	DIRECT	62.574	599	XXX		
939330-78-3	AMER FND WASH MUT INV-RSE		09/01/2022	DIRECT	5.234	268	XXX		
<b>532999999 - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO</b>						1,931	XXX	0	XXX
<b>Common Stocks - Exchange Traded Funds</b>									
33939L-82-9	FLEXSHARES INTL QUALITY DVD DYN.		09/28/2022	DIRECT	17,150.000	385,794	XXX		
<b>581999999 - Common Stocks - Exchange Traded Funds</b>						385,794	XXX	0	XXX
<b>5989999997 - Common Stocks - Subtotals - Common Stocks - Part 3</b>						2,407,582	XXX	0	XXX
<b>5989999999 - Common Stocks - Subtotals - Common Stocks</b>						2,407,582	XXX	0	XXX
<b>5999999999 - Common Stocks - Subtotals - Preferred and Common Stocks</b>						2,407,582	XXX	0	XXX
<b>6009999999 Totals</b>						3,896,707	XXX	1,538	XXX

E04.1

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 For eig n Disposal Date	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation, NAIC Desig. Modifier and SVO Administrative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
<b>Bonds - U.S. Governments</b>																						
36179R-XD-6	GOVERNMENT NATL MTG ASSOC #11 #A3376		09/01/2022	MBS PAYDOWN	.XXX	3,419	3,419	3,593	3,438		(19)		(19)		3,419		.0	.79	.01/20/2046	.1 A FE		
3620AR-MG-3	GOVERNMENT NATL MTG ASSOC #737559		09/01/2022	MBS PAYDOWN	.XXX	1,673	1,673	1,719	1,676		(3)		(3)		1,673		.0	.42	.10/15/2040	.1 A FE		
3620C4-YF-1	GOVERNMENT NATL MTG ASSOC #748710		09/01/2022	MBS PAYDOWN	.XXX	1,189	1,189	1,222	1,193		(3)		(3)		1,189		.0	.32	.10/15/2040	.1 A FE		
3620C4-YH-7	GOVERNMENT NATL MTG ASSOC #748712		09/01/2022	MBS PAYDOWN	.XXX	2,036	2,036	2,091	2,036				.0		2,036		.0	.54	.10/15/2040	.1 A FE		
36241K-V7-0	GOVERNMENT NATL MTG ASSOC #782438		09/01/2022	MBS PAYDOWN	.XXX	1,893	1,893	1,891	1,893				.0		1,893		.0	.62	.10/15/2038	.1 A FE		
<b>0109999999 - Bonds - U.S. Governments</b>							10,210	10,210	10,516	10,236	0	(25)	0	(25)	0	10,210	0	0	269	XXX	XXX	
<b>Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>																						
25477P-NT-8	DIST OF COLUMBIA HSG FIN AGY M		09/01/2022	SINKING FUND REDEMPTION	.XXX	.811	.811	.811	.811				.0		.811		.0	.18	.03/01/2049	.1 A FE		
3131WR-M9-3	UMBS - POOL ZJ1284		09/01/2022	MBS PAYDOWN	.XXX	22,137	22,137	22,777	22,212		(75)		(75)		22,137		.0	.621	.03/01/2041	.1 A FE		
3131WR-RE-7	UMBS - POOL ZJ1385		09/01/2022	MBS PAYDOWN	.XXX	957	957	985	980		(23)		(23)		957		.0	.29	.03/01/2041	.1 A FE		
3131X5-3Y-6	UMBS - POOL ZK2615		09/01/2022	MBS PAYDOWN	.XXX	4,205	4,205	4,314	4,218		(13)		(13)		4,205		.0	.99	.10/01/2025	.1 A FE		
3131X6-S6-8	UMBS - POOL ZK3241		09/01/2022	MBS PAYDOWN	.XXX	4,994	4,994	5,139	5,029		(34)		(34)		4,994		.0	.133	.05/01/2026	.1 A FE		
3131X6-TG-5	UMBS - POOL ZK3251		09/01/2022	MBS PAYDOWN	.XXX	4,894	4,894	5,035	4,914		(20)		(20)		4,894		.0	.131	.05/01/2026	.1 A FE		
3131X6-TY-6	UMBS - POOL ZK3267		09/01/2022	MBS PAYDOWN	.XXX	4,733	4,733	4,870	4,773		(40)		(40)		4,733		.0	.128	.05/01/2026	.1 A FE		
3131X6-WS-5	UMBS - POOL ZK3357		09/01/2022	MBS PAYDOWN	.XXX	3,113	3,113	3,231	3,154		(42)		(42)		3,113		.0	.83	.07/01/2026	.1 A FE		
3131XQ-2U-9	UMBS - POOL ZL8887		09/01/2022	MBS PAYDOWN	.XXX	3,918	3,918	4,126	3,934		(16)		(16)		3,918		.0	.92	.12/01/2044	.1 A FE		
3131XT-FY-1	UMBS - POOL ZM0183		09/01/2022	MBS PAYDOWN	.XXX	10,749	10,749	11,229	10,780		(31)		(31)		10,749		.0	.250	.09/01/2045	.1 A FE		
3131XT-PV-6	UMBS - POOL ZM0436		09/01/2022	MBS PAYDOWN	.XXX	8,757	8,757	9,057	8,770		(14)		(14)		8,757		.0	.189	.11/01/2045	.1 A FE		
31329M-YT-1	UMBS - POOL ZA4322		09/01/2022	MBS PAYDOWN	.XXX	9,622	9,622	10,179	9,645		(23)		(23)		9,622		.0	.267	.10/01/2043	.1 A FE		
31329N-CM-8	UMBS - POOL ZA4576		09/01/2022	MBS PAYDOWN	.XXX	12,588	12,588	13,096	12,639		(51)		(51)		12,588		.0	.291	.10/01/2045	.1 A FE		
3132A5-E4-3	UMBS - POOL ZS4655		09/01/2022	MBS PAYDOWN	.XXX	6,456	6,456	6,779	6,495		(39)		(39)		6,456		.0	.148	.03/01/2046	.1 A FE		
3132A9-MH-7	UMBS - POOL ZS8460		09/01/2022	MBS PAYDOWN	.XXX	6,335	6,335	6,521	6,363		(28)		(28)		6,335		.0	.127	.04/01/2027	.1 A FE		
3132A9-MU-8	UMBS - POOL ZS8471		09/01/2022	MBS PAYDOWN	.XXX	4,419	4,419	4,589	4,440		(20)		(20)		4,419		.0	.73	.09/01/2027	.1 A FE		
3132A9-MV-6	UMBS - POOL ZS8472		09/01/2022	MBS PAYDOWN	.XXX	19,214	19,214	19,965	19,302		(87)		(87)		19,214		.0	.320	.10/01/2027	.1 A FE		
3138EN-NY-1	UMBS - POOL AL5806		09/01/2022	MBS PAYDOWN	.XXX	39,191	39,191	41,450	39,456		(265)		(265)		39,191		.0	.912	.09/01/2029	.1 A FE		
3138EN-WV-5	UMBS - POOL AL6060		09/01/2022	MBS PAYDOWN	.XXX	7,422	7,422	7,919	7,439		(17)		(17)		7,422		.0	.198	.11/01/2044	.1 A FE		
3138WA-WV-5	UMBS - POOL AS1559		09/01/2022	MBS PAYDOWN	.XXX	3,572	3,572	3,774	3,575		(3)		(3)		3,572		.0	.101	.01/01/2044	.1 A FE		
3138WF-UV-6	UMBS - POOL AS5995		09/01/2022	MBS PAYDOWN	.XXX	5,573	5,573	5,809	5,588		(15)		(15)		5,573		.0	.130	.10/01/2045	.1 A FE		
3138Y6-NS-9	UMBS - POOL AX4900		09/01/2022	MBS PAYDOWN	.XXX	21,235	21,235	22,202	21,316		(80)		(80)		21,235		.0	.499	.12/01/2044	.1 A FE		
3138YW-KA-4	UMBS - POOL AZ4788		09/01/2022	MBS PAYDOWN	.XXX	1,437	1,437	1,536	1,450		(13)		(13)		1,437		.0	.38	.10/01/2045	.1 A FE		
31400H-MJ-1	UMBS - POOL CA9360		09/01/2022	MBS PAYDOWN	.XXX	32,825	32,825	34,508	32,969		(144)		(144)		32,825		.0	.540	.03/01/2041	.1 A FE		
31419A-Y3-5	UMBS - POOL AE0729		09/01/2022	MBS PAYDOWN	.XXX	5,522	5,522	5,773	5,560		(38)		(38)		5,522		.0	.145	.01/01/2026	.1 A FE		
31419A-YA-9	UMBS - POOL AE0704		09/01/2022	MBS PAYDOWN	.XXX	3,771	3,771	3,940	3,798		(27)		(27)		3,771		.0	.100	.01/01/2026	.1 A FE		
544445-BC-2	LOS ANGELES CA DEPT OF ARPTS A		08/22/2022	OPPENHEIMER & CO. INC	.XXX	746,880	750,000	736,538	746,887		1,453		1,453		748,340		(1,460)	(1,460)	.16,240	.05/15/2023	.1 C FE	
57419R-L8-6	MARYLAND ST CMNTY DEV ADMIN DE		07/05/2022	SINKING FUND REDEMPTION	.XXX	10,000	10,000	10,000	10,000				.0		10,000		.0	.193	.09/01/2048	.1 B FE		
64972C-M6-7	NEW YORK CITY NY HSG DEV CORP		09/01/2022	SINKING FUND REDEMPTION	.XXX	957	957	957	957				.0		957		.0	.20	.10/01/2046	.1 B FE		
954507-FC-8	W NIFFLIN PA SAN SWR MUNI AUTH		08/01/2022	MATURITY at 100.0000	.XXX	500,000	500,000	500,000	500,000				.0		500,000		.0	4,475	.08/01/2022	.1 C FE		
<b>0909999999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>							1,506,287	1,509,407	1,507,109	1,507,454	0	295	0	295	0	1,507,747	0	(1,460)	(1,460)	26,590	XXX	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																						
03464K-AA-6	ANGEL OAK MORTGAGE TRUST 19-5 A1		09/01/2022	MBS PAYDOWN	.XXX	14,263	14,263	14,272	14,263				.0		14,263		.0	.241	.10/25/2049	.1 A FE		
042858-AA-8	ARROYO MORTGAGE TRUST 19-2 A1		09/01/2022	MBS PAYDOWN	.XXX	34,713	34,713	34,713	34,713				.0		34,713		.0	.784	.04/25/2049	.1 A FE		
07336N-AA-7	BAYVIEW MSR OPPORTUNITY MASTER 22-2 A1		09/01/2022	MBS PAYDOWN	.XXX	40,213	40,213	40,477	40,213		(14)		(14)		40,213		.0	.718	.12/25/2051	.1 A FE		
07876M-AA-5	BELLEMEADE RE LT 21-1A M1A		09/25/2022	MBS PAYDOWN	.XXX	91,854	91,854	91,854	91,854				.0		91,854		.0	1,377	.03/25/2031	.1 G FE		
09228Y-AB-8	BLACKBIRD CAPITAL AIRCRAFT 16-1A A		09/15/2022	MBS PAYDOWN	.XXX	4,653	4,653	4,653	4,646		7		7		4,653		.0	.142	.12/16/2041	.1 G FE		
12479R-AE-7	CAPITAL AUTOMOTIVE REIT 17-1A A2		09/15/2022	MBS PAYDOWN	.XXX	1,186	1,186	1,186	1,184		2		2		1,186		.0	.33	.04/15/2047	.1 E FE		

EOS

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Desig. Modifier and SVO Administrative Symbol
12510H-AD-2	CAPITAL AUTOMOTIVE REIT 20-1A A4		09/15/2022	MBS PAYDOWN	.XXX	.625	.625	.625	.624		.1		.1		.625			.0	.13	.02/15/2050	1.E FE
20268W-AA-2	COMMONBOND STUDENT LOAN TRUST 21-AGS A		09/25/2022	MBS PAYDOWN	.XXX	23,383	23,383	23,377	23,367		.16		.16		23,383			.0	.189	.03/25/2052	1.A FE
21872U-AA-2	COLONY AMERICAN FINANCE LTD 20-1 A1		09/01/2022	MBS PAYDOWN	.XXX	25,659	25,659	25,659	25,659				.0		25,659			.0	.371	.03/15/2050	1.A FE
21873A-AA-5	COLONY AMERICAN FINANCE LTD 20-4 A		09/01/2022	MBS PAYDOWN	.XXX	2,986	2,986	2,986	2,986				.0		2,986			.0	.24	.12/15/2052	1.A FE
233046-AL-5	DB MASTER FINANCE LLC 19-1A A23		08/20/2022	MBS PAYDOWN	.XXX	1,250	1,250	1,250	1,250				.0		1,250			.0	.41	.05/20/2049	2.B FE
25755T-AH-3	DOMINOS PIZZA MASTER ISSUER LL 17-1A A23		07/25/2022	MBS PAYDOWN	.XXX	.625	.625	.625	.625				.0		.625			.0	.19	.07/25/2047	2.A FE
25755T-AL-4	DOMINOS PIZZA MASTER ISSUER LL 19-1A A2		07/25/2022	MBS PAYDOWN	.XXX	.625	.625	.625	.625				.0		.625			.0	.17	.10/25/2049	2.A FE
28415P-AA-2	ELARA HGV TIMESHARE ISSUER 16-A A		09/25/2022	MBS PAYDOWN	.XXX	10,827	10,827	10,827	10,812		.15		.15		10,827			.0	.200	.04/25/2028	1.F FE
302985-AC-0	FWD SECURITIZATION TRUST 2020- 20-INV1 A		09/01/2022	MBS PAYDOWN	.XXX	5,471	5,471	5,470	5,470				.0		5,471			.0	.89	.01/25/2050	1.D FE
33830J-AA-3	FIVE GUYS FUNDING LLC 17-1A A2		07/25/2022	MBS PAYDOWN	.XXX	.625	.625	.625	.625				.0		.625			.0	.22	.07/25/2047	2.C FE
34417M-AB-3	FOCUS BRANDS FUNDING LLC 17-1A A211		07/30/2022	MBS PAYDOWN	.XXX	.625	.625	.625	.625				.0		.625			.0	.24	.04/30/2047	2.B FE
36167C-AA-4	GCAT 19-RPL1 A1		09/01/2022	MBS PAYDOWN	.XXX	20,467	20,467	20,525	20,479		(12)		(12)		20,467			.0	.365	.10/25/2068	1.A FE
36249K-AG-5	GS MORTGAGE SECURITIES TRUST 10 C1 B		09/01/2022	MBS PAYDOWN	.XXX	13,114	13,114	13,508	13,159		(45)		(45)		13,114			.0	.448	.08/10/2043	1.A FM
43732V-AC-0	HOME PARTNERS OF AMERICA TRUST 21-2 C		09/01/2022	MBS PAYDOWN	.XXX	4,848	4,848	4,848	4,848				.0		4,848			.0	.74	.12/17/2026	1.G FE
476681-AB-7	JERSEY MIKES FUNDING LLC 21-1A A21		08/15/2022	MBS PAYDOWN	.XXX	1,250	1,250	1,250	1,250				.0		1,250			.0	.25	.02/15/2052	2.B FE
50219Q-AC-8	LSTAR COMMERCIAL MORTGAGE TRUS 16-4 A2		09/01/2022	MBS PAYDOWN	.XXX	8,987	8,987	8,851	8,942		.44		.44		8,987			.0	.154	.03/10/2049	1.A FE
55037L-AA-2	LUNAR AIRCRAFT 2020-1 LIMITED 20-1A A		09/15/2022	MBS PAYDOWN	.XXX	9,965	9,965	9,965	9,953		.12		.12		9,965			.0	.215	.10/15/2045	2.B FE
55821C-AA-2	ATRIUM CDO CORP -9A AR2		08/28/2022	MBS PAYDOWN	.XXX	.713	.713	.712	.712				.0		.712		.1	.1	.9	.05/28/2030	1.A FE
59166B-AA-9	METLIFE SECURITIZATION TRUST 17-1A A		09/01/2022	MBS PAYDOWN	.XXX	10,519	10,519	10,628	10,536		(17)		(17)		10,519			.0	.209	.04/25/2055	1.A FE
60920L-AE-4	MONDELEZ INTL HLDINGS NE MORGAN STANLEY RESIDENTIAL	D	07/13/2022	MARKETAXESS	.XXX	748,538	750,000	763,098	753,787		(2,846)		(2,846)		750,942		(2,404)	(2,404)	.13,104	.09/19/2022	2.B FE
617710-AJ-0	MOR 20-1 A2A		09/01/2022	MBS PAYDOWN	.XXX	3,436	3,436	3,565	3,443		(7)		(7)		3,436			.0	.56	.12/25/2050	1.A FE
63942B-AA-2	NAVIENT STUDENT LOAN TRUST 21-A A		09/15/2022	MBS PAYDOWN	.XXX	6,510	6,510	6,509	6,508		.2		.2		6,510			.0	.36	.05/15/2069	1.A FE
63942M-AA-8	NAVIENT STUDENT LOAN TRUST 22-A A		09/15/2022	MBS PAYDOWN	.XXX	17,031	17,031	17,031	17,031		.14		.14		17,031			.0	.195	.07/15/2070	1.A FE
67648B-AA-0	BAYVIEW MSR OPPORTUNITY MASTER 22-1 A1		09/01/2022	MBS PAYDOWN	.XXX	16,870	16,870	17,152	16,870		(16)		(16)		16,870			.0	.342	.12/25/2051	1.A FE
68267B-AB-6	ONEMAIN FINANCIAL ISSUANCE TRU 18-1A B		09/14/2022	MBS PAYDOWN	.XXX	443,675	443,675	443,645	443,742		(66)		(66)		443,675			.0	.10,713	.03/14/2029	1.A FE
68267B-AC-4	ONEMAIN FINANCIAL ISSUANCE TRU 18-1A C		09/14/2022	MBS PAYDOWN	.XXX	250,000	250,000	249,966	249,992		.8		.8		250,000			.0	.7,069	.03/14/2029	1.C FE
72703P-AD-5	PLANET FITNESS MASTER ISSUER L 22-1A A21		09/05/2022	MBS PAYDOWN	.XXX	1,250	1,250	1,250	1,250				.0		1,250			.0	.23	.12/05/2051	2.C FE
74333D-AA-2	PROGRESS RESIDENTIAL TRUST 21-SFR2 A		09/01/2022	MBS PAYDOWN	.XXX	.597	.597	.597	.597				.0		.597			.0	.7	.04/19/2038	1.A FE
784309-AA-4	S-JETS LIMITED 17-1 A		09/15/2022	MBS PAYDOWN	.XXX	18,472	18,472	18,472	18,447		.25		.25		18,472			.0	.490	.08/15/2042	2.C FE
78449A-AA-0	SLAM 2021-1 LLC 21-1A A		09/15/2022	MBS PAYDOWN	.XXX	11,700	11,700	11,689	11,700		.11		.11		11,700			.0	.190	.06/15/2046	1.F FE
81746D-AU-4	SEQUOIA MORTGAGE TRUST 17-5 A19		09/01/2022	MBS PAYDOWN	.XXX	1,955	1,955	1,963	1,957		(2)		(2)		1,955			.0	.47	.08/25/2047	1.A FE
81746H-BT-7	SEQUOIA MORTGAGE TRUST 17-CH1 A20		09/01/2022	MBS PAYDOWN	.XXX	.95	.95	.95	.95		(1)		(1)		.95			.0	.2	.08/25/2047	1.A FE
81746X-AU-0	SEQUOIA MORTGAGE TRUST 17-3 A19		09/01/2022	MBS PAYDOWN	.XXX	1,536	1,536	1,509	1,531		.5		.5		1,536			.0	.37	.04/25/2047	1.A FE
81746Y-AU-8	SEQUOIA MORTGAGE TRUST 19-2 A19		09/01/2022	MBS PAYDOWN	.XXX	.743	.743	.752	.745		(3)		(3)		.743			.0	.18	.06/25/2049	1.A FE
81748X-AA-2	SIERRA RECEIVABLES FUNDING 5 A1		09/01/2022	MBS PAYDOWN	.XXX	6,851	6,851	6,922	6,856		(5)		(5)		6,851			.0	.114	.07/25/2051	1.A FE
82652M-AB-6	SONIC CAPITAL LLC 21-1A A21		09/20/2022	MBS PAYDOWN	.XXX	13,177	13,177	13,209	13,162		.15		.15		13,177			.0	.246	.05/20/2036	1.F FE
83546D-AN-8	SONIC CAPITAL LLC 21-1A A21		09/20/2022	MBS PAYDOWN	.XXX	1,250	1,250	1,250	1,250				.0		1,250			.0	.18	.08/20/2051	2.B FE

EO5.1

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Desig. Modifier and SVO Administrative Symbol
85209F-AA-4	SPRUCE HILL MORTGAGE LOAN TRUS 20-SH1 A1		09/01/2022	MBS PAYDOWN	.XXX	5,144	5,144	5,154	5,144				.0		5,144			.0	.86	.01/28/2050	1.A FE.
85209F-AC-0	SPRUCE HILL MORTGAGE LOAN TRUS 20-SH1 A3		09/01/2022	MBS PAYDOWN	.XXX	10,805	10,805	10,824	10,804				.0		10,805			.0	.204	.01/28/2050	1.C FE.
85236K-AA-0	STACK INFRASTRUCTURE ISSUER LL 19-1A A2		09/25/2022	MBS PAYDOWN	.XXX	1,875	1,875	1,874	1,869		.6		.6		1,875			.0	(2,677)	.02/25/2044	1.G FE.
89175M-AA-1	TOWD POINT MORTGAGE TRUST 18-3 A1		09/01/2022	MBS PAYDOWN	.XXX	11,750	11,750	11,754	11,750				.0		11,750			.0	.295	.05/25/2058	1.A FE.
89175T-AA-6	TOWD POINT MORTGAGE TRUST 18-4 A1		09/01/2022	MBS PAYDOWN	.XXX	10,663	10,663	10,340	10,608		.55		.55		10,663			.0	.211	.06/25/2058	1.A FE.
89178B-AA-2	TOWD POINT MORTGAGE TRUST 19-4 A1		09/01/2022	MBS PAYDOWN	.XXX	13,606	13,606	13,737	13,633		(27)		(27)		13,606			.0	.260	.10/25/2059	1.A FE.
89179J-AA-4	TOWD POINT MORTGAGE TRUST 20-4 A1		09/01/2022	MBS PAYDOWN	.XXX	15,313	15,313	15,603	15,355		(42)		(42)		15,313			.0	.177	.10/25/2060	1.A FE.
89180D-AA-4	TOWD POINT ASSET FUNDING LLC 21-HE1 A1		09/01/2022	MBS PAYDOWN	.XXX	12,362	12,362	12,362	12,362				.0		12,362			.0	.76	.02/25/2063	1.A FE.
92211M-AE-3	VANTAGE DATA CENTERS LLC 18-2A A2		09/15/2022	MBS PAYDOWN	.XXX	1,875	1,875	1,875	1,875				.0		1,875			.0	.52	.11/16/2043	1.G FE.
92212K-AA-4	VANTAGE DATA CENTERS LLC 19-1A A2		09/15/2022	MBS PAYDOWN	.XXX	1,875	1,875	1,875	1,875				.0		1,875			.0	.40	.07/15/2044	1.G FE.
92939F-AT-6	WF-RBS COMMERCIAL MORTGAGE TR 14 C21 A4		09/01/2022	MBS PAYDOWN	.XXX	10,075	10,075	10,176	10,085		(10)		(10)		10,075			.0	.271	.08/15/2047	1.A FE.
95058X-AE-8	WENDYS FUNDING LLC 18-1A A21		09/15/2022	MBS PAYDOWN	.XXX	.625	.625	.625	.625				.0		.625			.0	.18	.03/15/2048	2.B FE.
95058X-AK-4	WENDYS FUNDING LLC 21-1A A21		09/15/2022	MBS PAYDOWN	.XXX	1,250	1,250	1,250	1,250				.0		1,250			.0	.22	.06/15/2051	2.B FE.
1109999999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,970,350	1,971,812	1,986,270	1,900,243	0	(2,875)	0	(2,875)	0	1,972,753	0	(2,403)	(2,403)	37,545	XXX	XXX
2509999997 - Bonds - Subtotals - Bonds - Part 4						3,486,847	3,491,429	3,503,895	3,417,933	0	(2,605)	0	(2,605)	0	3,490,710	0	(3,863)	(3,863)	64,404	XXX	XXX
2509999999 - Bonds - Subtotals - Bonds						3,486,847	3,491,429	3,503,895	3,417,933	0	(2,605)	0	(2,605)	0	3,490,710	0	(3,863)	(3,863)	64,404	XXX	XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated) - Publicly Traded																					
002824-10-0	ABBOTT LABORATORIES		09/01/2022	VARIOUS	497,000	52,579	.XXX	62,644	36,592	(3,920)			(3,920)		62,644		(10,065)	(10,065)	589	XXX	XXX
00287Y-10-9	ABBVIE INC		09/01/2022	DIRECT	100,000	13,613	.XXX	8,040	13,540	(5,500)			(5,500)		8,040		5,572	5,572	423	XXX	XXX
00724F-10-1	ADOBE SYSTEMS INC		09/01/2022	DIRECT	34,000	12,708	.XXX	8,337	19,280	(10,943)			(10,943)		8,337		4,371	4,371		XXX	XXX
007903-10-7	ADVANCED MICRO DEVICES		07/25/2022	DIRECT	88,000	7,627	.XXX	4,410	12,663	(8,254)			(8,254)		4,410		3,218	3,218		XXX	XXX
02079K-10-7	ALPHABET INC - CL C		07/25/2022	DIRECT	257,000	27,737	.XXX	13,983	477,981	(463,998)			(463,998)		13,983		13,754	13,754		XXX	XXX
02079K-30-5	ALPHABET INC - CL A		09/01/2022	DIRECT	420,000	45,251	.XXX	23,071	778,408	(755,337)			(755,337)		23,071		22,180	22,180		XXX	XXX
02209S-10-3	ALTRIA GROUP INC		07/01/2022	PRIOR PERIOD INCOME			.XXX			.0			.0				.0	.0	433	XXX	XXX
023135-10-6	AMAZON.COM INC		07/25/2022	DIRECT	143,000	17,254	.XXX	22,490	49,496	(27,006)			(27,006)		22,490		(5,237)	(5,237)		XXX	XXX
025816-10-9	AMERICAN EXPRESS CO		07/25/2022	VARIOUS	169,000	26,019	.XXX	27,043	27,648	(605)			(605)		27,043		(1,024)	(1,024)	248	XXX	XXX
031162-10-0	AMGEN INC		09/01/2022	DIRECT	120,000	28,750	.XXX	22,327	26,996	(4,669)			(4,669)		22,327		6,423	6,423	698	XXX	XXX
032654-10-5	ANALOG DEVICES INC		09/01/2022	DIRECT	81,000	12,306	.XXX	13,102		.0			.0		13,102		(795)	(795)	71	XXX	XXX
070830-10-4	BATH & BODY WORKS INC		09/01/2022	DIRECT	392,000	14,651	.XXX	26,570	27,358	(788)			(788)		26,570		(11,919)	(11,919)	235	XXX	XXX
086516-10-1	BEST BUY CO INC		09/01/2022	DIRECT	473,000	35,655	.XXX	49,510	48,057	1,454			1,454		49,510		(13,855)	(13,855)	1,164	XXX	XXX
09247X-10-1	BLACKROCK INC		09/01/2022	DIRECT	62,000	41,662	.XXX	43,886	56,765	(12,878)			(12,878)		43,886		(2,224)	(2,224)	605	XXX	XXX
09260D-10-7	BLACKSTONE GROUP INC/THE		09/01/2022	DIRECT	261,000	24,761	.XXX	27,115	22,643	(5,398)			(5,398)		27,115		(2,354)	(2,354)	1,392	XXX	XXX
093671-10-5	H&R BLOCK INC		07/01/2022	PRIOR PERIOD INCOME			.XXX			.0			.0				.0	.0	686	XXX	XXX
110122-10-8	BRISTOL-MYERS SQUIBB CO		09/01/2022	DIRECT	304,000	20,349	.XXX	23,622		.0			.0		23,622		(3,272)	(3,272)	164	XXX	XXX
12508E-10-1	CDK GLOBAL INC		07/11/2022	CASH MERGER	1,249,000	68,533	.XXX	54,697		.0			.0		54,697		13,836	13,836	375	XXX	XXX
149123-10-1	CATERPILLAR INC		07/25/2022	VARIOUS	181,000	32,819	.XXX	37,568	26,463	(686)			(686)		37,568		(4,749)	(4,749)	559	XXX	XXX
172967-42-4	CITIGROUP INC		09/01/2022	DIRECT	1,209,000	62,076	.XXX	76,790	73,012	3,778			3,778		76,790		(14,714)	(14,714)	1,746	XXX	XXX
197236-10-2	COLUMBIA BANKING SYSTEM INC		09/01/2022	DIRECT	1,612,000	48,585	.XXX	56,893		.0			.0		56,893		(8,308)	(8,308)	1,451	XXX	XXX
244199-10-5	DEERE & CO		07/25/2022	VARIOUS	71,000	22,717	.XXX	24,848	24,345	503			503		24,848		(2,131)	(2,131)	229	XXX	XXX
254687-10-6	WALT DISNEY CO/THE		07/25/2022	DIRECT	64,000	6,569	.XXX	6,920		.0			.0		6,920		(352)	(352)		XXX	XXX
256677-10-5	DOLLAR GENERAL CORP		09/01/2022	DIRECT	93,000	22,073	.XXX	20,164		.0			.0		20,164		1,909	1,909	83	XXX	XXX
26875P-10-1	EOG RESOURCES INC		07/25/2022	DIRECT	195,000	20,518	.XXX	20,977		.0			.0		20,977		(459)	(459)	313	XXX	XXX
278642-10-3	EBAY INC		09/01/2022	DIRECT	489,000	21,676	.XXX	26,582	32,519	(5,937)			(5,937)		26,582		(4,906)	(4,906)	301	XXX	XXX
30212W-10-0	EXP WORLD HOLDINGS INC		07/25/2022	DIRECT	855,000	12,046	.XXX	42,574	28,805	13,769			13,769		42,574		(30,528)	(30,528)	85	XXX	XXX
32051X-10-8	FIRST HAWAIIAN INC		07/25/2022	DIRECT	1,733,000	40,980	.XXX	46,090	44,633	(1,474)			(1,474)		46,090		(5,110)	(5,110)	901	XXX	XXX
35671D-85-7	FREEMONT-MCMORAN INC		07/25/2022	VARIOUS	1,115,000	31,466	.XXX	37,866	46,529	(8,663)			(8,663)		37,866		(6,399)	(6,399)	502	XXX	XXX
40412C-10-1	HCA HOLDINGS INC		07/25/2022	DIRECT	35,000	6,988	.XXX	8,664	2,569	12			12		8,664		(1,676)	(1,676)	39	XXX	XXX
42250P-10-3	HEALTHPEAK PROPERTIES INC		09/01/2022	DIRECT	2,060,000	54,942	.XXX	72,614		.0			.0		72,614		(17,672)	(17,672)	1,319	XXX	XXX
431284-10-8	HIGHWOODS PROPERTIES INC		09/01/2022	DIRECT	1,601,000	49,413	.XXX	71,760		.0			.0		71,760		(22,347)	(22,347)	2,402	XXX	XXX

EO5 2

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Fore ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Desig. Modifier and SVO Administrative Symbol
438516-10-6...	HONEYWELL INTERNATIONAL INC.		07/25/2022	DIRECT	180.000	32,573	XXX	38,007					.0		38,007		(5,435)	(5,435)	353	XXX	XXX
459200-10-1...	INTL BUSINESS MACHINES CORP.		07/25/2022	DIRECT	405.000	51,891	XXX	56,073					.0		56,073		(4,182)	(4,182)		XXX	XXX
460146-10-3...	INTERNATIONAL PAPER COMPANY		09/01/2022	DIRECT	648.000	27,291	XXX	31,057	30,443	614			614		31,057		(3,766)	(3,766)	899	XXX	XXX
46284V-10-1...	IRON MOUNTAIN INC.		07/01/2022	PRIOR PERIOD INCOME			XXX						.0						1,864	XXX	XXX
500255-10-4...	KOHL'S CORP.		07/25/2022	DIRECT	1,207.000	34,843	XXX	66,673	59,614	7,060			7,060		66,673		(31,831)	(31,831)	1,207	XXX	XXX
512816-10-9...	LAMAR ADVERTISING CO-A...		07/25/2022	DIRECT	212.000	20,346	XXX	23,217					.0		23,217		(2,871)	(2,871)	488	XXX	XXX
532457-10-8...	ELI LILLY & CO.		09/01/2022	DIRECT	63.000	19,417	XXX	7,283	17,402	(10,119)			(10,119)		7,283		12,134	12,134	185	XXX	XXX
571903-20-2...	MARRIOTT INTERNATIONAL -CL A...		07/25/2022	DIRECT	81.000	12,338	XXX	11,351	13,384	(2,034)			(2,034)		11,351		987	987	24	XXX	XXX
576360-10-4...	MASTERCARD INC-CLASS A...		09/01/2022	DIRECT	58.000	19,010	XXX	11,758	20,841	(9,083)			(9,083)		11,758		7,252	7,252	85	XXX	XXX
58933Y-10-5...	MERCK & CO INC.		09/01/2022	VARIOUS	735.000	65,048	XXX	67,629					.0		67,629		(2,581)	(2,581)	2,432	XXX	XXX
595112-10-3...	MICRON TECHNOLOGY INC.		07/25/2022	DIRECT	107.000	6,444	XXX	7,457					.0		7,457		(1,014)	(1,014)	12	XXX	XXX
60770K-10-7...	MODERNA INC.		09/01/2022	DIRECT	14.000	1,868	XXX	2,295					.0		2,295		(426)	(426)		XXX	XXX
64110L-10-6...	NETFLIX INC.		09/01/2022	DIRECT	57.000	12,593	XXX	27,130	34,339	(7,210)			(7,210)		27,130		(14,536)	(14,536)		XXX	XXX
655664-10-0...	NORDSTROM INC.		09/01/2022	DIRECT	537.000	9,472	XXX	12,379					.0		12,379		(2,907)	(2,907)	102	XXX	XXX
668771-10-8...	NORTONLIFELOCK INC.		09/01/2022	DIRECT	767.000	17,380	XXX	19,729	19,927	(197)			(197)		19,729		(2,349)	(2,349)	288	XXX	XXX
67018T-10-5...	NU SKIN ENTERPRISES INC - A...		09/01/2022	DIRECT	370.000	15,518	XXX	18,699	18,778	(79)			(79)		18,699		(3,181)	(3,181)	427	XXX	XXX
670666-10-4...	NVIDIA CORP.		09/01/2022	DIRECT	159.000	24,372	XXX	6,371	46,763	(40,393)			(40,393)		6,371		18,001	18,001	13	XXX	XXX
679580-10-0...	OLD DOMINION FREIGHT LINE		09/01/2022	DIRECT	84.000	23,212	XXX	26,156					.0		26,156		(2,944)	(2,944)	50	XXX	XXX
681919-10-6...	OMNICO GROUP		07/25/2022	DIRECT	300.000	20,728	XXX	22,178	21,981	197			197		22,178		(1,450)	(1,450)	682	XXX	XXX
68389X-10-5...	ORACLE CORPORATION		09/01/2022	DIRECT	365.000	26,984	XXX	31,054	7,674	219			219		31,054		(4,070)	(4,070)	262	XXX	XXX
716382-10-6...	PETMED EXPRESS INC.		07/25/2022	DIRECT	612.000	12,590	XXX	16,917	15,459	1,458			1,458		16,917		(4,327)	(4,327)	397	XXX	XXX
737630-10-3...	POTLATCH CORP.		09/01/2022	DIRECT	422.000	19,398	XXX	22,323					.0		22,323		(2,924)	(2,924)	371	XXX	XXX
742718-10-9...	PROCTER & GAMBLE CO/THE		09/01/2022	DIRECT	259.000	36,508	XXX	39,906					.0		39,906		(3,398)	(3,398)	371	XXX	XXX
743606-10-5...	PROSPERITY BANCSHARES INC.		09/01/2022	DIRECT	121.000	8,613	XXX	9,275					.0		9,275		(661)	(661)	126	XXX	XXX
744320-10-2...	PRUDENTIAL FINANCIAL INC.		09/01/2022	DIRECT	209.000	20,338	XXX	14,463	22,622	(8,159)			(8,159)		14,463		5,875	5,875	752	XXX	XXX
747525-10-3...	QUALCOMM INC.		09/01/2022	DIRECT	247.000	32,999	XXX	27,824	45,169	(17,344)			(17,344)		27,824		5,174	5,174	522	XXX	XXX
74967R-10-6...	RMR GROUP INC/THE - A		07/25/2022	VARIOUS	950.000	26,009	XXX	31,665					.0		31,665		(5,657)	(5,657)	1,121	XXX	XXX
758849-10-3...	REGENCY CENTERS CORP.		09/01/2022	DIRECT	360.000	22,450	XXX	25,872					.0		25,872		(3,422)	(3,422)	450	XXX	XXX
770323-10-3...	ROBERT HALF INTL INC.		07/25/2022	DIRECT	237.000	18,336	XXX	25,710					.0		25,710		(7,375)	(7,375)	204	XXX	XXX
78440X-88-7...	SL GREEN REALTY CORP.		07/25/2022	DIRECT	839.000	40,618	XXX	67,153					.0		67,153		(26,535)	(26,535)	1,304	XXX	XXX
79466L-30-2...	SALESFORCE.COM INC.		09/01/2022	DIRECT	62.000	9,909	XXX	9,141	15,756	(6,615)			(6,615)		9,141		768	768		XXX	XXX
81762P-10-2...	SERVICENOW INC.		07/25/2022	DIRECT	41.000	18,032	XXX	13,819	26,614	(12,795)			(12,795)		13,819		4,214	4,214		XXX	XXX
825698-10-3...	SHYFT GROUP INC/THE		07/25/2022	DIRECT	418.000	9,554	XXX	16,238	20,536	(4,298)			(4,298)		16,238		(6,684)	(6,684)	42	XXX	XXX
84265V-10-5...	SOUTHERN COPPER CORP.		07/25/2022	DIRECT	7.000	345	XXX	235	432	(197)			(197)		235		110	110	16	XXX	XXX
855244-10-9...	STARBUCKS CORP.		07/25/2022	DIRECT	252.000	20,489	XXX	28,847	29,476	(630)			(630)		28,847		(8,358)	(8,358)	123	XXX	XXX
883556-10-2...	THERMO FISHER SCIENTIFIC INC.		07/01/2022	PRIOR PERIOD INCOME			XXX						.0						44	XXX	XXX
88579Y-10-1...	3M COMPANY		07/25/2022	DIRECT	312.000	41,886	XXX	54,557					.0		54,557		(12,671)	(12,671)	930	XXX	XXX
894650-10-0...	TREDEGAR CORP.		07/01/2022	PRIOR PERIOD INCOME			XXX						.0						366	XXX	XXX
90187B-40-8...	TWO HARBORS INVESTMENT CORP.		09/01/2022	DIRECT	7,502.000	36,442	XXX	42,622					.0		42,622		(6,180)	(6,180)	2,551	XXX	XXX
90353T-10-0...	UBER TECHNOLOGIES INC.		07/25/2022	DIRECT	357.000	8,308	XXX	14,354	8,134	696			696		14,354		(6,046)	(6,046)		XXX	XXX
92532F-10-0...	VERTEX PHARMACEUTICALS INC.		07/25/2022	DIRECT	54.000	15,271	XXX	12,459					.0		12,459		2,812	2,812		XXX	XXX
959802-10-9...	WESTERN UNION COMPANY		09/01/2022	DIRECT	1,803.000	27,009	XXX	33,373					.0		33,373		(6,364)	(6,364)	847	XXX	XXX
G4474Y-21-4...	JANUS HENDERSON GROUP PLC.	C	07/25/2022	DIRECT	1,059.000	26,071	XXX	41,975	28,855	187			187		41,975		(15,904)	(15,904)	840	XXX	XXX
G5494J-10-3...	LINDE PLC.	C	07/25/2022	DIRECT	82.000	23,455	XXX	24,341					.0		24,341		(886)	(886)		XXX	XXX
N6596X-10-9...	NXP SEMICONDUCTORS NV	C	07/01/2022	PRIOR PERIOD INCOME			XXX						.0						47	XXX	XXX
5019999999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated) - Publicly Traded					1,798,281	XXX	2,040,722	2,380,498	(1,405,262)	0	0	(1,405,262)	0	2,040,722	0	(242,441)	(242,441)	37,810	XXX	XXX	
5989999997 - Common Stocks - Subtotals - Common Stocks - Part 4					1,798,281	XXX	2,040,722	2,380,498	(1,405,262)	0	0	(1,405,262)	0	2,040,722	0	(242,441)	(242,441)	37,810	XXX	XXX	
5989999999 - Common Stocks - Subtotals - Common Stocks					1,798,281	XXX	2,040,722	2,380,498	(1,405,262)	0	0	(1,405,262)	0	2,040,722	0	(242,441)	(242,441)	37,810	XXX	XXX	
5999999999 - Common Stocks - Subtotals - Preferred and Common Stocks					1,798,281	XXX	2,040,722	2,380,498	(1,405,262)	0	0	(1,405,262)	0	2,040,722	0	(242,441)	(242,441)	37,810	XXX	XXX	
6009999999 Totals					5,285,128	XXX	5,544,617	5,798,431	(1,405,262)	(2,605)	0	(1,407,867)	0	5,531,432	0	(246,304)	(246,304)	102,214	XXX	XXX	

E05.3



Schedule DB - Part A - Section 1

**NONE**

Schedule DB - Part B - Section 1

**NONE**

Schedule DB - Part D - Section 1

**NONE**

Schedule DB - Part D - Section 2

**NONE**

Schedule DB - Part E

**NONE**

Schedule DL - Part 1

**NONE**

Schedule DL - Part 2

**NONE**



