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HEALTH COMPANIES – ASSOCIATION EDITION

QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2022
OF THE CONDITION AND AFFAIRS OF THE
NEIGHBORHOOD HEALTH PLAN OF RHODE ISLAND

NAIC Group Code 0000 0000 NAIC Company Code 95402 Employer's ID Number 05-0477052
(Current) (Prior)

Organized under the Laws of RI State of Domicile or Port of Entry RI
Country of Domicile US
Licensed as business type: Health Maintenance Organization Is HMO Federally Qualified? NO
Incorporated/Organized 12/09/1993 Commenced Business 12/01/1994
Statutory Home Office 910 Douglas Pike Smithfield, RI, US 02917
Main Administrative Office 910 Douglas Pike Smithfield, RI, US 02917 401-459-6000
(Telephone Number)
Mail Address 910 Douglas Pike Smithfield, RI, US 02917
Primary Location of Books and Records 910 Douglas Pike Smithfield, RI, US 02917 401-459-6124
(Telephone Number)
Internet Website Address http://www.nhpri.org/
Statutory Statement Contact Mihaela Miha 401-443-5931
(Telephone Number)
mmiha@nhpri.org 401-459-6043
(E-Mail Address) (Fax Number)

OFFICERS

Peter Marino, Chief Executive Officer Karen Carlson, Chief Operating Officer
Michelle Sears, Chief Financial Officer Christopher Ottiano, Chief Medical Officer

OTHER

Brenda Dowlatshahi, Chair
Alison Croke, Vice Chair Keith Oliveira, Secretary
Merrill Thomas, Treasurer Peter Marino, President

DIRECTORS OR TRUSTEES

Raymond Joseph Lavoie Jr. Yahaira Placencia#
Pablo Rodriguez MD Lisa Ranglin
Peter Bancroft CPA Elena Nicolella
Jeanne LaChance Daniel Da Ponte
Dennis Roy William Hochstrasser-Walsh
Gary Furtado Dioscaris Garcia PhD#

State of Rhode Island
County of Providence SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

x Peter Marino x Michelle Sears x Karen Carlson
Chief Executive Officer Chief Financial Officer Chief Operating Officer

Subscribed and sworn to before me
this _____ day of _____

- a. Is this an original filing? Yes
b. If no:
1. State the amendment number:
2. Date filed:
3. Number of pages attached:

x _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	143,884,603		143,884,603	116,610,586
2. Stocks:				
2.1 Preferred stocks.....				
2.2 Common stocks.....				
3. Mortgage loans on real estate:				
3.1 First liens.....				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances).....				
4.2 Properties held for the production of income (less \$ encumbrances).....				
4.3 Properties held for sale (less \$ encumbrances).....				
5. Cash (\$ 120,628,568), cash equivalents (\$ 861,775) and short-term investments (\$ 77,180,283).....	198,670,625		198,670,625	199,990,562
6. Contract loans (including \$ premium notes).....				
7. Derivatives.....				
8. Other invested assets.....				
9. Receivables for securities.....				1,394,449
10. Securities lending reinvested collateral assets.....				
11. Aggregate write-ins for invested assets.....				
12. Subtotals, cash and invested assets (Lines 1 to 11).....	342,555,228		342,555,228	317,995,597
13. Title plants less \$ charged off (for Title insurers only).....				
14. Investment income due and accrued.....	996,422		996,422	711,484
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	95,136,569	680,778	94,455,791	81,637,091
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums).....				
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$).....	4,172,352		4,172,352	9,054,984
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	4,355,506		4,355,506	1,099,908
16.2 Funds held by or deposited with reinsured companies.....				
16.3 Other amounts receivable under reinsurance contracts.....				
17. Amounts receivable relating to uninsured plans.....	24,195,486		24,195,486	38,585,817
18.1 Current federal and foreign income tax recoverable and interest thereon.....				
18.2 Net deferred tax asset.....				
19. Guaranty funds receivable or on deposit.....				
20. Electronic data processing equipment and software.....	9,013,036	4,906,206	4,106,830	3,763,571
21. Furniture and equipment, including health care delivery assets (\$).....	2,564,871	2,564,871	-	-
22. Net adjustment in assets and liabilities due to foreign exchange rates.....				
23. Receivables from parent, subsidiaries and affiliates.....				
24. Health care (\$) and other amounts receivable.....	27,401,636	2,193,651	25,207,985	18,947,549
25. Aggregate write-ins for other-than-invested assets.....	10,922,421	8,293,009	2,629,412	1,765,716
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	521,313,528	18,638,515	502,675,012	473,561,715
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....				
28. Total (Lines 26 and 27).....	521,313,528	18,638,515	502,675,012	473,561,715
Details of Write-Ins				
1101.....				
1102.....				
1103.....				
1198. Summary of remaining write-ins for Line 11 from overflow page.....				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above).....				
2501. Prepaid Expenses.....	1,231,521	1,231,521	-	
2502. Receivable from State.....	2,629,412		2,629,412	1,765,716
2503. Deposits.....	5,478,431	5,478,431	-	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	1,583,058	1,583,058	-	
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	10,922,421	8,293,009	2,629,412	1,765,716

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)	156,542,960		156,542,960	158,329,392
2. Accrued medical incentive pool and bonus amounts	24,960,787		24,960,787	28,202,654
3. Unpaid claims adjustment expenses	4,127,736		4,127,736	3,919,347
4. Aggregate health policy reserves, including the liability of \$ for medical loss ratio rebate per the Public Health Service Act	4,499,997		4,499,997	4,000,000
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	127,981,985		127,981,985	104,534,573
9. General expenses due or accrued	42,803,842		42,803,842	39,785,327
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable				
12. Amounts withheld or retained for the account of others	14,074,135		14,074,135	8,551,656
13. Remittances and items not allocated				
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)				
15. Amounts due to parent, subsidiaries and affiliates				
16. Derivatives				
17. Payable for securities	198,049		198,049	
18. Payable for securities lending				
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$) companies				
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans				
23. Aggregate write-ins for other liabilities (including \$ current)	101,401		101,401	100,325
24. Total liabilities (Lines 1 to 23)	375,290,892		375,290,892	347,423,275
25. Aggregate write-ins for special surplus funds	XXX	XXX		
26. Common capital stock	XXX	XXX		
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX		
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX		
31. Unassigned funds (surplus)	XXX	XXX	127,384,121	126,138,440
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	127,384,121	126,138,440
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	502,675,013	473,561,715
Details of Write-Ins				
2301. Unclaimed Property Payable	101,401		101,401	100,325
2302. Penalty and Interest				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page				
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	101,401		101,401	100,325
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX		
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX		
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX		

STATEMENT OF REVENUE AND EXPENSES

	Current Year to Date		Prior Year To Date	Prior Year Ended December 31
	1	2	3	4
	Uncovered	Total	Total	Total
1. Member Months.....	XXX	1,978,790	1,903,297	2,555,041
2. Net premium income (including \$ non-health premium income).....	XXX	1,227,545,640	1,145,676,171	1,518,921,093
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue.....	XXX			
6. Aggregate write-ins for other health care related revenues.....	XXX	1,481,523	1,422,092	1,913,576
7. Aggregate write-ins for other non-health revenues.....	XXX			
8. Total revenues (Lines 2 to 7).....	XXX	1,229,027,163	1,147,098,263	1,520,834,669
Hospital and Medical:				
9. Hospital/medical benefits.....		385,340,995	384,091,531	509,458,335
10. Other professional services.....		270,550,013	253,480,951	326,503,517
11. Outside referrals.....		176,248,087	157,629,627	204,017,887
12. Emergency room and out-of-area.....		42,288,879	36,577,108	48,916,032
13. Prescription drugs.....		195,213,227	175,120,228	235,784,515
14. Aggregate write-ins for other hospital and medical.....		(40,309)	(3,753,849)	(3,753,849)
15. Incentive pool, withhold adjustments and bonus amounts.....		20,711,710	14,170,593	27,592,669
16. Subtotal (Lines 9 to 15).....		1,090,312,603	1,017,316,189	1,348,519,106
Less:				
17. Net reinsurance recoveries.....		5,695,056	551,551	6,193,547
18. Total hospital and medical (Lines 16 minus 17).....		1,084,617,547	1,016,764,638	1,342,325,559
19. Non-health claims (net).....				
20. Claims adjustment expenses, including \$18,796,286 cost containment expenses.....		29,604,080	27,705,256	36,830,486
21. General administrative expenses.....		102,756,388	99,013,661	131,365,273
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....				
23. Total underwriting deductions (Lines 18 through 22).....		1,216,978,015	1,143,483,555	1,510,521,318
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	12,049,148	3,614,708	10,313,351
25. Net investment income earned.....		1,849,334	765,686	974,533
26. Net realized capital gains (losses) less capital gains tax of \$.....		(604,465)	521,042	467,872
27. Net investment gains (losses) (Lines 25 plus 26).....		1,244,868	1,286,728	1,442,405
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)].....		(12,283,787)	(1,500,000)	(8,226,367)
29. Aggregate write-ins for other income or expenses.....		(24,999)	(25,000)	(25,000)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	985,231	3,376,436	3,504,390
31. Federal and foreign income taxes incurred.....	XXX			
32. Net income (loss) (Lines 30 minus 31).....	XXX	985,231	3,376,436	3,504,390
Details of Write-Ins				
0601. EOHHS Incentive Income.....	XXX	1,481,523	1,422,092	1,913,576
0602.....	XXX			
0603.....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX			
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above).....	XXX	1,481,523	1,422,092	1,913,576
0701.....	XXX			
0702.....	XXX			
0703.....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX			
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above).....	XXX			
1401. Stop Loss Recoveries from EOHHS.....		(40,309)	(3,753,849)	(3,753,849)
1402.....				
1403.....				
1498. Summary of remaining write-ins for Line 14 from overflow page.....				
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above).....		(40,309)	(3,753,849)	(3,753,849)
2901. Penalty.....		(24,999)	(25,000)	(25,000)
2902.....				
2903.....				
2998. Summary of remaining write-ins for Line 29 from overflow page.....				
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above).....		(24,999)	(25,000)	(25,000)

STATEMENT OF REVENUE AND EXPENSES (CONTINUED)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	126,138,439	122,648,133	122,648,133
34. Net income or (loss) from Line 32.....	985,231	3,376,436	3,504,390
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	536,952	926,089	1,266,064
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....			
39. Change in nonadmitted assets.....	(276,501)	(5,082,683)	(1,280,148)
40. Change in unauthorized and certified reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....			
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....			
48. Net change in capital and surplus (Lines 34 to 47).....	1,245,682	(780,158)	3,490,306
49. Capital and surplus end of reporting period (Line 33 plus 48).....	127,384,121	121,867,975	126,138,439
Details of Write-Ins			
4701.....			
4702.....			
4703.....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....			
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above).....			

CASH FLOW

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	1,243,421,297	1,172,470,406	1,599,082,694
2. Net investment income	1,858,224	906,112	1,397,692
3. Miscellaneous income	(6,972,565)	(3,822,187)	4,258,871
4. Total (Lines 1 to 3)	1,238,306,957	1,169,554,331	1,604,739,256
5. Benefit and loss related payments	1,092,901,444	973,821,837	1,295,957,774
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	127,052,019	113,049,149	176,986,423
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)			
10. Total (Lines 5 through 9)	1,219,953,463	1,086,870,985	1,472,944,197
11. Net cash from operations (Line 4 minus Line 10)	18,353,493	82,683,345	131,795,059
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	44,723,053	40,339,140	54,522,785
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(1,318,448)	(3,842)	
12.7 Miscellaneous proceeds	-	-	-
12.8 Total investment proceeds (Lines 12.1 to 12.7)	43,404,605	40,335,298	54,522,785
13. Cost of investments acquired (long-term only):			
13.1 Bonds	72,203,302	60,613,049	74,465,532
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications	-	896,367	1,055,511
13.7 Total investments acquired (Lines 13.1 to 13.6)	72,203,302	61,509,417	75,521,043
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(28,798,697)	(21,174,119)	(20,998,257)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	9,125,268	(33,345,988)	(21,156,089)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	9,125,268	(33,345,988)	(21,156,089)
Reconciliation of Cash, Cash Equivalents and Short-Term Investments			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(1,319,936)	28,163,239	89,640,713
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	199,990,562	110,349,845	110,349,849
19.2 End of period (Line 18 plus Line 19.1)	198,670,626	138,513,084	199,990,562
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001.			

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year.....	216,581	24,742	1,874						189,965	
2. First Quarter.....	218,855	25,025	1,875						191,955	
3. Second Quarter.....	220,830	24,246	1,937						194,647	
4. Third Quarter.....	222,529	23,459	1,957						197,113	
5. Current Year.....										
6. Current Year Member Months.....	1,978,790	218,499	17,245						1,743,046	
Total Member Ambulatory Encounters for Period:										
7. Physician.....	1,646,142	119,903	8,408						1,517,831	
8. Non-Physician.....	222,216	19,226	1,207						201,783	
9. Total.....	1,868,358	139,129	9,615						1,719,614	
10. Hospital Patient Days Incurred.....	151,678	2,387	168						149,123	
11. Number of Inpatient Admissions.....	10,245	399	25						9,821	
12. Health Premiums Written (a).....	1,229,914,072	85,040,957	6,420,917						1,138,452,199	
13. Life Premiums Direct.....										
14. Property/Casualty Premiums Written.....										
15. Health Premiums Earned.....	1,229,914,072	85,040,957	6,420,917						1,138,452,199	
16. Property/Casualty Premiums Earned.....										
17. Amount Paid for Provision of Health Care Services.....	1,063,905,837	60,227,053	5,099,797						998,578,987	
18. Amount Incurred for Provision of Health Care Services.....	1,090,312,603	63,977,053	5,144,534						1,021,191,017	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (REPORTED AND UNREPORTED)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999 – Aggregate accounts not individually listed-uncovered	20,624,891	582,299	134,298	187,624	86,595	21,615,707
0499999 – Subtotals	20,624,891	582,299	134,298	187,624	86,595	21,615,707
0599999 – Unreported claims and other claim reserves						134,927,253
0799999 – Total claims unpaid						156,542,960
0899999 – Accrued medical incentive pool and bonus amounts						24,960,787

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec. 31 of Prior Year	On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
1. Comprehensive (hospital and medical).....	(4,581,470)	69,801,951	2,952,202	10,119,888	(1,629,268)	15,725,075
2. Medicare Supplement.....						
3. Dental only.....						
4. Vision only.....						
5. Federal Employees Health Benefits Plan.....						
6. Title XVIII – Medicare.....						
7. Title XIX – Medicaid.....	118,289,040	888,443,185	10,435,948	133,034,922	128,724,988	142,604,318
8. Other health.....						
9. Health subtotal (Lines 1 to 8).....	113,707,570	958,245,136	13,388,150	143,154,810	127,095,720	158,329,392
10. Health care receivables (a).....				25,207,985		18,947,549
11. Other non-health.....						
12. Medical incentive pools and bonus amounts.....	23,953,577			24,960,787	23,953,577	28,202,654
13. Totals (Lines 9-10+11+12).....	137,661,147	958,245,136	13,388,150	142,907,612	151,049,297	167,584,498

(a) Excludes \$ loans or advances to providers not yet expensed.

Notes to the Financial Statements

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Neighborhood Health Plan of Rhode Island (the "Company" or "Neighborhood") have been prepared in conformity with accounting practices prescribed or permitted by the state of Rhode Island for determining and reporting the financial conditions and results of operations of an insurance company for determining its solvency under Rhode Island Insurance law. The National Association of Insurance Commissioners ("NAIC") Accounting Practices and Procedures Manual, ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Rhode Island.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Rhode Island is shown below:

	SSAP #	F/S Page	F/S Line #	09/30/2022	12/31/2021
Net Income					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 985,231	\$ 3,504,390
(2) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(3) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 985,231</u>	<u>\$ 3,504,390</u>
Surplus					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 127,384,121	\$ 126,138,440
(6) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(7) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 127,384,121</u>	<u>\$ 126,138,440</u>

B. Use of Estimates in the Preparation of the Financial Statements - No Significant Changes

C. Accounting Policy

- (1) Short-term investments - No Significant Changes
- (2) Bonds are stated at amortized cost using the scientific interest method. The Company does not have any mandatory convertible securities and SVO-identified investments.
- (3) Common stocks - No Significant Changes
- (4) Preferred stocks - No Significant Changes
- (5) Mortgage loans - No Significant Changes
- (6) The Company only had loan-backed securities designated with NAIC 1 and 2 designations and are reported at amortized cost.
- (7) Investments in subsidiaries, controlled and affiliated entities - No Significant Changes
- (8) Investments in joint ventures, partnerships and limited liability companies - No Significant Changes
- (9) Derivatives - No Significant Changes
- (10) Investment income as a factor in the premium deficiency calculation - No Significant Changes
- (11) Liabilities for losses and loss/claim adjustment expenses - No Significant Changes
- (12) Changes in capitalization policy - No Significant Changes
- (13) Pharmaceutical rebate receivables - No Significant Changes

D. Going Concern

Management has evaluated the Company's ability to continue as a going concern. There is no substantial doubt in its ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors - None

3. Business Combinations and Goodwill - None

4. Discontinued Operations - None

5. Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans - None
- B. Debt Restructuring - None
- C. Reverse Mortgages - None
- D. Loan-Backed Securities - Not Applicable
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - None
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- H. Repurchase Agreements Transactions Accounted for as a Sale - None

Notes to the Financial Statements

5. Investments (Continued)

- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - None
- J. Real Estate - None
- K. Low-Income Housing Tax Credits (LIHTC) - None
- L. Restricted Assets - No Significant Changes
- M. Working Capital Finance Investments - None
- N. Offsetting and Netting of Assets and Liabilities - None
- O. 5GI Securities - None
- P. Short Sales - None
- Q. Prepayment Penalty and Acceleration Fees - None
- R. Reporting Entity's Share of Cash Pool by Asset type - None

6. Joint Ventures, Partnerships and Limited Liability Companies - None

7. Investment Income - No Significant Changes

8. Derivative Instruments - None

9. Income Taxes

The Company is a non-profit HMO.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties - No Significant Changes

11. Debt - None

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan - None
- B. Investment Policies and Strategies of Plan Assets - None
- C. Fair Value of Each Class of Plan Assets - None
- D. Expected Long-Term Rate of Return for the Plan Assets - None
- E. Defined Contribution Plans - No Significant Changes
- F. Multiemployer Plans - None
- G. Consolidated/Holding Company Plans - None
- H. Postemployment Benefits and Compensated Absences - None
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - None

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations - No Significant Changes

14. Liabilities, Contingencies and Assessments - None

15. Leases - No Significant Changes

16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk - None

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities - None

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - None

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - None

20. Fair Value Measurements

A. Fair Value Measurement

(1) Fair value measurements at reporting date

Fair Value Measurements at reporting date: During 2022, Neighborhood only reports Money Market Mutual Funds in Cash Equivalents at fair value using Level 2. The Company has no other assets or liabilities reported at fair value. Level 1 measurement is the unadjusted quoted price for identical assets or liabilities in active markets accessible at the measurement date, Level 2 measurement requires significant other observable inputs, and Level 3 requires significant unobservable inputs. Neighborhood's investments, comprised principally of bonds, are recorded at amortized cost.

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Money Market Mutual Fund.....	\$.....	\$..... 861,774	\$.....	\$.....	\$..... 861,774
Total assets at fair value/NAV.....	<u>\$.....</u>	<u>\$..... 861,774</u>	<u>\$.....</u>	<u>\$.....</u>	<u>\$..... 861,774</u>
b. Liabilities at fair value					
Total liabilities at fair value.....	<u>\$.....</u>	<u>\$.....</u>	<u>\$.....</u>	<u>\$.....</u>	<u>\$.....</u>

(2) Fair value measurements in Level 3 of the fair value hierarchy - None

(3) The Company's policy for determining when transfers between levels are recognized is determined at the end of the reporting period.

Notes to the Financial Statements

20. Fair Value Measurements (Continued)

(4) The valuation techniques and inputs used in the fair value measurement Level 2: The fair value of most securities is priced automatically through Neighborhood’s primary pricing vendor: Interactive Data Pricing and Reference Data, Inc., and other industry leading pricing sources like Bloomberg and PricingDirect Inc. In the event an automated price is not available from pricing vendors, other pricing sources, like investment managers and brokers, are used to ensure accurate and timely pricing.

The valuation techniques and inputs used in the fair value measurement Level 3: not applicable.

(5) Derivatives - None

B. Other Fair Value Disclosures - None

C. Fair Values for All Financial Instruments by Level 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 131,886,662	\$ 143,884,603	\$	\$ 131,886,662	\$	\$	\$
Short-term Investments	76,920,953	77,280,337	76,920,953
Cash Equivalents	861,774	861,774	861,774

D. Not Practicable to Estimate Fair Value - None

E. Nature and Risk of Investments Reported at NAV - None

21. Other Items - None

22. Events Subsequent

Type I. – Recognized Subsequent Events

Subsequent events have been considered through October 31, 2022 for the statutory statement issued on November 15, 2022.

None

Type II. – Nonrecognized Subsequent Events

Subsequent events have been considered through October 31, 2022 for the statutory statement issued on November 15, 2022.

None

23. Reinsurance - No Significant Changes

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. Method Used to Estimate - None

B. Method Used to Record - None

C. Amount and Percent of Net Retrospective Premiums - None

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act - None

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

(1) Accident and health insurance premium subject to the Affordable Care Act risk-sharing provisions

Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions? YES

Notes to the Financial Statements

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year

	<u>Amount</u>
a. Permanent ACA Risk Adjustment Program	
Assets	
1. Premium adjustments receivable due to the ACA risk adjustment (including high-risk pool payments).....	\$.....
Liabilities	
2. Risk adjustment user fees payable for ACA risk adjustment.....	\$..... 45,266
3. Premium adjustments payable due to ACA risk adjustment (including high-risk pool premium).....	4,499,997
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA risk adjustment.....	\$..... (11,610,486)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid).....	58,744
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA reinsurance.....	\$.....
2. Amounts recoverable for claims unpaid due to ACA reinsurance (contra liability).....
3. Amounts receivable relating to uninsured plans for contributions for ACA reinsurance.....
Liabilities	
4. Liabilities for contributions payable due to ACA reinsurance – not reported as ceded premium.....	\$.....
5. Ceded reinsurance premiums payable due to ACA reinsurance.....
6. Liabilities for amounts held under uninsured plans contributions for ACA reinsurance.....
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA reinsurance.....	\$.....
8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments.....
9. ACA reinsurance contributions - not reported as ceded premium.....
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA risk corridors liabilities.....	\$.....
2. Reserve for rate credits or policy experience rating refunds due to ACA risk corridors.....
Operations (Revenue & Expense)	
3. Effect of ACA risk corridors on net premium income (paid/received).....	\$.....
4. Effect of ACA risk corridors on change in reserves for rate credits.....

Notes to the Financial Statements

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance

	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date	
	(1)	(2)	(3)	(4)	Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program										
1. Premium adjustments receivable (including high risk pool payments)	\$	4,000,000	\$	(499,997)	\$	4,499,997	\$		A	\$ 4,499,997
2. Premium adjustments payable (including high risk pool premium)									B	
3. Subtotal ACA Permanent Risk Adjustment Program	\$	4,000,000	\$	(499,997)	\$	4,499,997	\$			\$ 4,499,997
b. Transitional ACA Reinsurance Program										
1. Amounts recoverable for claims paid	\$		\$		\$		\$		C	\$
2. Amounts recoverable for claims unpaid (contra liability)									D	
3. Amounts receivable relating to uninsured plans									E	
4. Liabilities for contributions payable due to ACA reinsurance - not reported as ceded premium									F	
5. Ceded reinsurance premiums payable									G	
6. Liability for amounts held under uninsured plans									H	
7. Subtotal ACA Transitional Reinsurance Program	\$		\$		\$		\$			\$
c. Temporary ACA Risk Corridors Program										
1. Accrued retrospective premium	\$		\$		\$		\$		I	\$
2. Reserve for rate credits or policy experience rating refunds									J	
3. Subtotal ACA Risk Corridors Program	\$		\$		\$		\$			\$
d. Total for ACA risk sharing provisions	\$	4,000,000	\$	(499,997)	\$	4,499,997	\$			\$ 4,499,997

Explanations of Adjustments: None

(4) Roll-forward of risk corridors asset and liability balances by program benefit year - None

(5) ACA risk corridors receivable as of reporting date - None

25. Change in Incurred Claims and Claim Adjustment Expenses

A. Reasons for Changes in the Provision for Incurred Claim and Claim Adjustment Expenses Attributable to Insured Events of Prior Years

Reserves as of December 31, 2021 were \$190,451,393. As of September 30, 2022, \$141,580,494 has been paid for incurred claims and claims adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$13,388,150 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$35,482,749 favorable prior-year development since December 31, 2021 to September 30, 2022. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. Significant Changes in Methodologies and Assumptions Used in Calculating the Liability for Unpaid Claims and Claim Adjustment Expenses - None

26. Intercompany Pooling Arrangements - None

27. Structured Settlements - None

28. Health Care Receivables - No Significant Changes

29. Participating Policies - None

30. Premium Deficiency Reserves - No Significant Changes

31. Anticipated Salvage and Subrogation - None

GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?..... **NO**
- 1.2 If yes, has the report been filed with the domiciliary state?.....
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?..... **NO**
- 2.2 If yes, date of change:.....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?..... **NO**
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?..... **NO**
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group?..... **NO**
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?..... **NO**
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?..... **NO**
If yes, attach an explanation.
.....
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/28/2019
- 6.4 By what department or departments?
Rhode Island Department of Business Regulation, Insurance Division.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?..... **YES**
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?..... **YES**
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?..... **NO**
- 7.2 If yes, give full information
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... **NO**
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... **NO**
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliates primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?..... **YES**
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
.....
- 9.2 Has the code of ethics for senior managers been amended?..... **NO**
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
.....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?..... **NO**
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... **NO**
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:..... \$

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)..... **NO**
- 11.2 If yes, give full and complete information relating thereto:
.....
- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA:..... \$
- 13. Amount of real estate and mortgages held in short-term investments:..... \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?..... **NO**
- 14.2 If yes, please complete the following:

GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES

	1 Prior Year-End Book / Adjusted Carrying Value	2 Current Quarter Book / Adjusted Carrying Value
14.21 Bonds.....	\$.....	\$.....
14.22 Preferred Stock.....		
14.23 Common Stock.....		
14.24 Short-Term Investments.....		
14.25 Mortgage Loans on Real Estate.....		
14.26 All Other.....		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....		

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?..... NO.....
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?.....
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2..... \$.....
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2..... \$.....
- 16.3 Total payable for securities lending reported on the liability page..... \$.....

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... YES.....

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Comerica Bank.....	411 West Lafayette, Detroit, MI 48226.....
RBS Citizens Bank.....	One Citizens Plaza, Providence, RI 02903.....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?..... NO.....
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Income Research & Management.....	U.....
RBS Citixen's Bank - Private Wealth.....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?..... NO.....
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... NO.....

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
124567.....	Silicon Valley Bank.....	SEC.....	DS.....

GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?.....YES.....
- 18.2 If no, list exceptions:
-
19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities?.....NO.....
20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities?.....NO.....
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?.....NO.....

GENERAL INTERROGATORIES

PART 2 – HEALTH

1.	Operating Percentages:	
1.1	A&H loss percent.....	89.888 %
1.2	A&H cost containment percent.....	1.531 %
1.3	A&H expense percent excluding cost containment expenses.....	9.251 %
2.1	Do you act as a custodian for health savings accounts?.....	NO.....
2.2	If yes, please provide the amount of custodial funds held as of the reporting date.....	\$.....
2.3	Do you act as an administrator for health savings accounts?.....	NO.....
2.4	If yes, please provide the balance of the funds administered as of the reporting date.....	\$.....
3.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	NO.....
3.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	NO.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
Accident & Health - Non-Affiliates									
	94-3195221	01/01/2022	IOA Re	CA	SS/I	MC	Authorized		
	94-3195221	01/01/2022	IOA Re	CA	SSL/I	CMM	Authorized		
	94-3195221	01/01/2022	IOA Re	CA	SSL/G	CMM	Authorized		

SCHEDULE T – PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

		1	Direct Business Only								
			2	3	4	5	6	7	8	9	10
States, Etc.		Active Status (a)	Accident & Health Premiums, Including Policy, Membership and Other Fees	Medicare Title XVIII	Medicaid Title XIX	CHIP Title XXI	Federal Employees Health Benefits Plan Premiums	Life & Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 8	Deposit-Type Contracts
1.	Alabama	AL	N								
2.	Alaska	AK	N								
3.	Arizona	AZ	N								
4.	Arkansas	AR	N								
5.	California	CA	N								
6.	Colorado	CO	N								
7.	Connecticut	CT	N								
8.	Delaware	DE	N								
9.	District of Columbia	DC	N								
10.	Florida	FL	N								
11.	Georgia	GA	N								
12.	Hawaii	HI	N								
13.	Idaho	ID	N								
14.	Illinois	IL	N								
15.	Indiana	IN	N								
16.	Iowa	IA	N								
17.	Kansas	KS	N								
18.	Kentucky	KY	N								
19.	Louisiana	LA	N								
20.	Maine	ME	N								
21.	Maryland	MD	N								
22.	Massachusetts	MA	N								
23.	Michigan	MI	N								
24.	Minnesota	MN	N								
25.	Mississippi	MS	N								
26.	Missouri	MO	N								
27.	Montana	MT	N								
28.	Nebraska	NE	N								
29.	Nevada	NV	N								
30.	New Hampshire	NH	N								
31.	New Jersey	NJ	N								
32.	New Mexico	NM	N								
33.	New York	NY	N								
34.	North Carolina	NC	N								
35.	North Dakota	ND	N								
36.	Ohio	OH	N								
37.	Oklahoma	OK	N								
38.	Oregon	OR	N								
39.	Pennsylvania	PA	N								
40.	Rhode Island	RI	L	91,461,874		1,138,452,199				1,229,914,072	
41.	South Carolina	SC	N								
42.	South Dakota	SD	N								
43.	Tennessee	TN	N								
44.	Texas	TX	N								
45.	Utah	UT	N								
46.	Vermont	VT	N								
47.	Virginia	VA	N								
48.	Washington	WA	N								
49.	West Virginia	WV	N								
50.	Wisconsin	WI	N								
51.	Wyoming	WY	N								
52.	American Samoa	AS	N								
53.	Guam	GU	N								
54.	Puerto Rico	PR	N								
55.	US Virgin Islands	VI	N								
56.	Northern Mariana Islands	MP	N								
57.	Canada	CAN	N								
58.	Aggregate Other Alien	OT	XXX								
59.	Subtotal	XXX	91,461,874		1,138,452,199					1,229,914,072	
60.	Reporting entity contributions for employee benefits plans	XXX									
61.	Total (Direct Business)	XXX	91,461,874		1,138,452,199					1,229,914,072	
Details of Write-Ins											
58001.		XXX									
58002.		XXX									
58003.		XXX									
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX									
58999.	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX									

(a) Active Status Counts

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG 1 R – Registered - Non-domiciled RRGs –
 E – Eligible - Reporting entities eligible or approved to write surplus lines in the state – Q – Qualified - Qualified or accredited reinsurer –
 N – None of the above - Not allowed to write business in the state 56

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

NONE

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Yes/No)	*

NONE

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?.....	NO
August Filing	
2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.....	N/A

EXPLANATION:

1.
2.

BARCODES:

1. 
 9 5 4 0 2 2 0 2 2 3 6 5 0 0 0 3

- 2.

OVERFLOW PAGE FOR WRITE-INS

ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1197. Summary of remaining write-ins for Line 11 from overflow page				
2504. Other Receivables	1,180,783	1,180,783	-	
2505. Due from PPC	132,671	132,671	-	
2506. Prepaid Premium Tax				
2507. Notes Receivable	269,603	269,603	-	
2597. Summary of remaining write-ins for Line 25 from overflow page	1,583,058	1,583,058	-	

SCHEDULE A – VERIFICATION

Real Estate

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year.....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition.....		
2.2	Additional investment made after acquisition.....		
3.	Current year change in encumbrances.....		
4.	Total gain (loss) on disposals.....		
5.	Deduct amounts received on disposals.....		
6.	Total foreign exchange change in book / adjusted carrying value.....		
7.	Deduct current year's other-than-temporary impairment recognized.....		
8.	Deduct current year's depreciation.....		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).....		
10.	Deduct total nonadmitted amounts.....		
11.	Statement value at end of current period (Line 9 minus Line 10).....		

NONE

SCHEDULE B – VERIFICATION

Mortgage Loans

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year.....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition.....		
2.2	Additional investment made after acquisition.....		
3.	Capitalized deferred interest and other.....		
4.	Accrual of discount.....		
5.	Unrealized valuation increase (decrease).....		
6.	Total gain (loss) on disposals.....		
7.	Deduct amounts received on disposals.....		
8.	Deduct amortization of premium and mortgage interest points and comm. net fees.....		
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10.	Deduct current year's other-than-temporary impairment recognized.....		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12.	Total valuation allowance.....		
13.	Subtotal (Line 11 plus Line 12).....		
14.	Deduct total nonadmitted amounts.....		
15.	Statement value at end of current period (Line 13 minus Line 14).....		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year.....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition.....		
2.2	Additional investment made after acquisition.....		
3.	Capitalized deferred interest and other.....		
4.	Accrual of discount.....		
5.	Unrealized valuation increase (decrease).....		
6.	Total gain (loss) on disposals.....		
7.	Deduct amounts received on disposals.....		
8.	Deduct amortization of premium and depreciation.....		
9.	Total foreign exchange change in book / adjusted carrying value.....		
10.	Deduct current year's other-than-temporary impairment recognized.....		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12.	Deduct total nonadmitted amounts.....		
13.	Statement value at end of current period (Line 11 minus Line 12).....		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1	2
		Year to Date	Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	116,610,586	95,353,045
2.	Cost of bonds and stocks acquired.....	71,093,865	74,465,532
3.	Accrual of discount.....	170,733	86,590
4.	Unrealized valuation increase (decrease).....	1,801,238	1,265,749
5.	Total gain (loss) on disposals.....	(604,203)	467,676
6.	Deduct consideration for bonds and stocks disposed of.....	44,723,053	54,536,167
7.	Deduct amortization of premium.....	464,562	505,221
8.	Total foreign exchange change in book / adjusted carrying value.....		
9.	Deduct current year's other-than-temporary impairment recognized.....		
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		13,382
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	143,884,603	116,610,586
12.	Deduct total nonadmitted amounts.....		
13.	Statement value at end of current period (Line 11 minus Line 12).....	143,884,603	116,610,586

SCHEDULE D – PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book / Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book / Adjusted Carrying Value End of First Quarter	6 Book / Adjusted Carrying Value End of Second Quarter	7 Book / Adjusted Carrying Value End of Third Quarter	8 Book / Adjusted Carrying Value December 31 Prior Year
Bonds								
1. NAIC 1 (a)	215,974,697	39,855,803	39,141,865	560,005	215,360,641	215,974,697	217,248,640	179,700,477
2. NAIC 2 (a)	3,616,446		16,087	(1,693)	2,904,565	3,616,446	3,598,666	2,809,409
3. NAIC 3 (a)				317,634			317,634	
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	219,591,143	39,855,803	39,157,952	875,947	218,265,206	219,591,143	221,164,940	182,509,886
Preferred Stock								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	219,591,143	39,855,803	39,157,952	875,947	218,265,206	219,591,143	221,164,940	182,509,886

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$ 77,280,337; NAIC 2 \$; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book / Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
770999999 Total	77,180,283	XXX	77,332,407	302,719	242,728

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	65,899,300	42,283,949
2. Cost of short-term investments acquired	91,370,979	101,371,114
3. Accrual of discount	118,018	214
4. Unrealized valuation increase (decrease)	(315)	315
5. Total gain (loss) on disposals	(280)	416
6. Deduct consideration received on disposals	79,820,746	76,657,809
7. Deduct amortization of premium	386,673	1,098,899
8. Total foreign exchange change in book / adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	77,180,283	65,899,300
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	77,180,283	65,899,300

(SI-04) Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

(SI-04) Schedule DB - Part B - Verification - Futures Contracts

NONE

(SI-05) Schedule DB - Part C - Section 1

NONE

(SI-06) Schedule DB - Part C - Section 2

NONE

(SI-07) Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION
(Cash Equivalents)

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	36,774,802	9,655,946
2. Cost of cash equivalents acquired.....	156,226,889	216,984,945
3. Accrual of discount.....	6,496	
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	18	(221)
6. Deduct consideration received on disposals.....	192,137,649	189,838,280
7. Deduct amortization of premium.....	8,781	27,589
8. Total foreign exchange change in book / adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	861,775	36,774,802
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	861,775	36,774,802

(E-01) Schedule A - Part 2

NONE

(E-01) Schedule A - Part 3

NONE

(E-02) Schedule B - Part 2

NONE

(E-02) Schedule B - Part 3

NONE

(E-03) Schedule BA - Part 2

NONE

(E-03) Schedule BA - Part 3

NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
Bonds: U.S. Governments									
83162C-Q2-2	SBAP 2022-25 G G - ABS		09/06/2022	MLPFS INC FIXED INCOME	XXX	217,473	222,000	1,357	1.A
83162C-Q4-8	SBAP 2022-25 H H - ABS		08/11/2022	MLPFS INC FIXED INCOME	XXX	692,000	692,000		1.A
83162C-Q7-1	SBAP 2022-25 I I - ABS		09/08/2022	MLPFS INC FIXED INCOME	XXX	166,000	166,000		1.A
912828-Z7-8	UNITED STATES TREASURY		08/30/2022	Various	XXX	5,495,091	5,882,000	4,697	1.A
91282C-DY-4	UNITED STATES TREASURY		09/22/2022	Various	XXX	278,016	319,000	1,238	1.A FE
91282C-EZ-0	UNITED STATES TREASURY		07/29/2022	BMO Capital Markets	XXX	1,073,700	1,020,104	295	1.A
91282C-EZ-0	UNITED STATES TREASURY		09/29/2022	WELLS FARGO SECURITIES	XXX	305,805	335,488	439	1.A FE
0109999999 – Bonds: U.S. Governments						8,228,085	8,636,592	8,025	XXX
Bonds: U.S. Political Subdivisions of States, Territories and Possessions									
686053-GG-8	OREGON SCH BRDS ASSN		08/05/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	408,395	399,779	2,061	1.C FE
0709999999 – Bonds: U.S. Political Subdivisions of States, Territories and Possessions						408,395	399,779	2,061	XXX
Bonds: U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions									
25477G-CY-9	DISTRICT COLUMBIA INCOME TAX REV.		08/02/2022	RAYMOND JAMES/FI	XXX	643,519	570,000	5,577	1.B FE
35563P-4U-0	SCRT 2021-3 MA - CMO/RMBS		03/22/2022	WELLS FARGO SECURITIES	XXX			(150)	1.A
0909999999 – Bonds: U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						643,519	570,000	5,427	XXX
Bonds: Industrial and Miscellaneous (Unaffiliated)									
010392-FY-9	ALABAMA POWER CO		08/09/2022	MIZUHO_SECURITIES	XXX	130,890	131,000		1.G FE
037833-EN-6	APPLE INC		08/01/2022	CHASE SECURITIES	XXX	573,288	574,000		1.B FE
24422E-WK-1	JOHN DEERE CAPITAL CORP		09/06/2022	CREDIT AGRICOLE SECURITIES (USA) I	XXX	118,797	119,000		1.F FE
36250P-AD-7	GSMS 2015-GC32 A4 - CMBS		08/24/2022	SG COWEN SECURITIES CORP	XXX	386,822	395,000	1,032	1.A FE
89236T-KF-1	TOYOTA MOTOR CREDIT CORP		09/14/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	64,854	66,000	187	1.E FE
931142-EX-7	WALMART INC		09/06/2022	BARCLAYS CAPITAL INC FIXED INC	XXX	291,369	292,000		1.C FE
1109999999 – Bonds: Industrial and Miscellaneous (Unaffiliated)						1,566,020	1,577,000	1,220	XXX
2509999997 – Subtotals - Bonds - Part 3						10,846,018	11,183,372	16,733	XXX
2509999999 – Subtotals - Bonds						10,846,018	11,183,372	16,733	XXX
6009999999 – Totals						10,846,018	XXX	16,733	XXX

E04

Quarterly Statement as of September 30, 2022 of the Neighborhood Health Plan of Rhode Island

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book / Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares	Consideration	Par Value	Actual Cost	Prior Year Book / Adjusted Carrying Value	Unrealized Valuation Increase / (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B. / A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book / Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Contractual Maturity Date	NAIC Designation, NAIC Designation and SVO Administrative Modifier and Symbol	
3140JA-3J-5	FN BM6200 - RMBS		09/01/2022	Paydown	XXX	7,940	7,940	8,287	8,345		(405)		(405)		7,940				102	07/01/2043	1.A	
3140JA-3P-1	FN BM6205 - RMBS		09/01/2022	Paydown	XXX	42,059	42,059	43,857			(1,799)		(1,799)		42,059				266	01/01/2040	1.A	
3140JA-GZ-5	FN BM5615 - RMBS		09/01/2022	Paydown	XXX	12,631	12,631	12,970	12,952		(321)		(321)		12,631				176	12/01/2044	1.A	
3140JA-UN-6	FN BM5988 - RMBS		09/01/2022	Paydown	XXX	7,574	7,574	7,732	7,734		(161)		(161)		7,574				167	08/01/2048	1.A	
3140JB-HN-9	FN BM6536 - RMBS		09/01/2022	Paydown	XXX	40,103	40,103	42,228	42,288		(2,186)		(2,186)		40,103				696	09/01/2045	1.A	
3140JB-J7-2	FN BM6585 - RMBS		09/01/2022	Paydown	XXX	27,431	27,431	28,694	28,752		(1,321)		(1,321)		27,431				425	04/01/2047	1.A	
3140JB-V5-2	FN BM6935 - RMBS		09/01/2022	Paydown	XXX	11,223	11,223	11,258			(35)		(35)		11,223				97	07/01/2049	1.A	
3140JB-VV-5	FN BM6927 - RMBS		09/01/2022	Paydown	XXX	3,279	3,279	3,145			134		134		3,279				17	05/01/2052	1.A	
3140JL-ZZ-0	FN BN4359 - RMBS		09/01/2022	Paydown	XXX	6,920	6,920	7,311	7,292		(373)		(373)		6,920				195	02/01/2049	1.A	
3140MA-X5-8	FN BU8799 - RMBS		09/01/2022	Paydown	XXX	10,773	10,773	10,497			276		276		10,773				77	04/01/2052	1.A	
3140QC-6G-6	FN CA5370 - RMBS		09/01/2022	Paydown	XXX	10,805	10,805	11,688	11,921		(1,116)		(1,116)		10,805				261	03/01/2050	1.A	
3140QD-X3-3	FN CA6097 - RMBS		09/01/2022	Paydown	XXX	15,903	15,903	16,741	7,662		(807)		(807)		15,903				334	06/01/2050	1.A	
3140X4-DE-3	FN FM1000 - RMBS		09/01/2022	Paydown	XXX	2,910	2,910	3,086	3,101		(191)		(191)		2,910				45	04/01/2047	1.A	
3140X4-UD-6	FN FM1479 - RMBS		09/01/2022	Paydown	XXX	3,007	3,007	3,259	3,279		(272)		(272)		3,007				80	03/01/2047	1.A	
3140X5-S2-0	FN FM2336 - RMBS		09/01/2022	Paydown	XXX	13,643	13,643	14,607			(964)		(964)		13,643				333	01/01/2050	1.A	
3140X6-T2-7	FN FM3268 - RMBS		09/01/2022	Paydown	XXX	11,233	11,233	11,979	12,183		(950)		(950)		11,233				260	03/01/2050	1.A	
3140X9-MH-5	FN FM5759 - RMBS		09/01/2022	Paydown	XXX	12,965	12,965	14,314	14,296		(1,331)		(1,331)		12,965				407	04/01/2050	1.A	
3140X9-Q5-7	FN FM5875 - RMBS		09/01/2022	Paydown	XXX	7,941	7,941	8,875	8,895		(954)		(954)		7,941				231	04/01/2050	1.A	
3140XA-5H-1	FN FM7147 - RMBS		09/01/2022	Paydown	XXX	4,989	4,989	5,363	5,361		(372)		(372)		4,989				116	08/01/2050	1.A	
3140XB-FW-5	FN FM7380 - RMBS		09/01/2022	Paydown	XXX	6,862	6,862	7,201	7,192		(330)		(330)		6,862				112	06/01/2051	1.A	
3140XB-JZ-4	FN FM7479 - RMBS		09/01/2022	Paydown	XXX	16,101	16,101	16,969			(868)		(868)		16,101				231	01/01/2050	1.A	
3140XB-LM-0	FN FM7531 - RMBS		09/01/2022	Paydown	XXX	8,872	8,872	9,414	9,442		(570)		(570)		8,872				180	05/01/2051	1.A	
3140XD-QP-4	FN FM9461 - RMBS		09/01/2022	Paydown	XXX	5,806	5,806	6,153			(347)		(347)		5,806				131	11/01/2051	1.A	
3140XF-CB-5	FN FS0065 - RMBS		09/01/2022	Paydown	XXX	19,306	19,306	20,880			(1,575)		(1,575)		19,306				431	12/01/2050	1.A	
31418D-RJ-3	FN MA4088 - RMBS		09/01/2022	Paydown	XXX	16,103	16,103	17,326	17,204		(1,101)		(1,101)		16,103				366	07/01/2035	1.A	
35563P-4U-0	SCRT 2021-3 MA - CMO/RMBS		09/01/2022	Paydown	XXX	9,720	10,717	10,535	(14,744)		308		308		10,717		(997)	(997)	(1,440)	03/25/2061	1.A	
35563P-BC-2	SCRT 2017-2 MA - CMO/RMBS		09/01/2022	Paydown	XXX	11,575	11,807	11,779			28		28		11,807		(232)	(232)		143	08/25/2056	1.A
35563P-FG-9	SCRT 2018-2 MA - CMO/RMBS		09/01/2022	Paydown	XXX	3,595	3,595	3,740	3,739		(145)		(145)		3,595				84	11/26/2057	1.A	
35563P-GG-8	SCRT 2018-3 MA - CMO/RMBS		09/01/2022	Paydown	XXX	9,993	9,993	9,987			6		6		9,993				136	08/27/2057	1.A	
35563P-HF-9	SCRT 2018-4 MA - CMO/RMBS		09/01/2022	Paydown	XXX	14,532	14,532	14,257	14,323		209		209		14,532				338	03/25/2058	1.A	
35563P-JF-7	SCRT 2019-1 MA - CMO/RMBS		09/01/2022	Paydown	XXX	9,318	9,318	9,398	9,424		(107)		(107)		9,318				214	07/25/2058	1.A	
35563P-KG-3	SCRT 2019-2 MA - CMO/RMBS		09/01/2022	Paydown	XXX	8,563	8,563	8,694	8,662		(100)		(100)		8,563				199	08/26/2058	1.A	
35563P-LH-0	SCRT 2019-3 MA - CMO/RMBS		09/01/2022	Paydown	XXX	27,877	27,877	29,208	29,064		(1,187)		(1,187)		27,877				643	10/25/2058	1.A	
64990C-EQ-0	NEW YORK STATE DORMITORY AUTHORITY		07/01/2022	Maturity @ 100.00	XXX	510,000	510,000	510,000	510,000						510,000				10,787	07/01/2022	1.D FE	
0909999999 - Bonds: U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions							1,167,535	1,186,902	1,218,327	962,185		(32,184)		(32,184)	1,186,902		(19,367)	(19,367)	21,708	XXX	XXX	
Bonds: Industrial and Miscellaneous (Unaffiliated)																						
02377B-AB-2	AMERICAN AIRLINES 2015-2 PASS THROUGH TR		09/22/2022	Paydown	XXX	16,087	16,087	16,094	16,091		(4)		(4)		16,087				579	03/22/2029	2.A FE	
084664-CZ-2	BERKSHIRE HATHAWAY FINANCE CORP		08/12/2022	GOLDMAN	XXX	890,544	925,000	924,824			14		14		924,838		(34,294)	(34,294)	8,924	03/15/2027	1.C FE	
12624P-AE-5	COMM 2012-CCRE3 A3 - CMBS		09/01/2022	Paydown	XXX	182,776	182,776	183,877	183,395		(619)		(619)		182,776				3,311	10/17/2045	1.A FM	
12624Q-AR-4	COMM 2012-CCRE4 A3 - CMBS		09/01/2022	Paydown	XXX	177,287	177,287	180,949	179,842		(2,555)		(2,555)		177,287				3,340	10/17/2045	1.A FM	
24736X-AA-6	DELTA AIR LINES 2015-1 PASS THROUGH TRUS		07/30/2022	Paydown	XXX	5,128	5,128	5,126	5,126		2		2		5,128				186	01/30/2029	1.F FE	
302966-AL-0	FRESB 2018-SB56 A1F - CMBS		09/01/2022	Paydown	XXX	8,197	8,197	8,219			(18)		(18)		8,197				204	10/25/2028	1.A FM	
30308M-AG-2	FRESB 2019-SB58 AF - CMBS		09/01/2022	Paydown	XXX	34,971	34,971	35,134	35,094		(123)		(123)		34,971				943	10/25/2038	1.A FM	
30313K-AA-2	FRESB 2020-SB71 A5H - CMBS		09/01/2022	Paydown	XXX	533	533	536	526		8		8		533				7	11/25/2039	1.A FM	
341081-GG-6	FLORIDA POWER & LIGHT CO		08/09/2022	RBC CAPITAL MARKETS	XXX	418,537	464,000	463,258			42		42		463,299		(44,762)	(44,762)	6,537	02/03/2032	1.D FE	
36250G-AN-5	GSMS 2015-GC30 A3 - CMBS		07/01/2022	Paydown	XXX	2,793	2,793	2,819			(26)		(26)		2,793				22	05/12/2050	1.A FE	
61690G-AF-8	MSBAM 2014-C14 A5 - CMBS		09/01/2022	Paydown	XXX	45,209	45,209	48,398	47,991		(2,782)		(2,782)		45,209				1,482	02/15/2047	1.A FE	
61690K-AE-2	MSBAM 2013-C7 A4 - CMBS		09/01/2022	Paydown	XXX	35,862	35,862	36,038			(177)		(177)		35,862				610	02/16/2046	1.A FE	
61691G-AQ-3	MSBAM 2016-C32 ASB - CMBS		09/01/2022	Paydown	XXX	5,803	5,803	6,205	6,171		(368)		(368)		5,803				136	12/17/2049	1.A FE	
61762D-AV-3	MSBAM 2013-C9 A3 - CMBS		07/01/2022	Paydown	XXX	1,018	1,018	1,025	1,019		(1)		(1)		1,018				17	05/17/2046	1.A	
713448-EQ-7	PEPSICO INC		08/12/2022	JANE STREET EXECUTION SERVICES LLC	XXX	309,535	318,000	317,774	317,852		28		28		317,880		(8,345)	(8,345)	6,499	03/19/2025	1.E FE	

E05.1

Quarterly Statement as of September 30, 2022 of the Neighborhood Health Plan of Rhode Island

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book / Adjusted Carrying Value	Change in Book / Adjusted Carrying Value					16 Book / Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest / Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
										11 Unrealized Valuation Increase / (Decrease)	12 Current Year's (Amortization) / Accretion	13 Current Year's Other-Than-Temporary Impairment Recognized	14 Total Change in B. / A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
81744Y-AB-2	SEMT 2013-4 A2 - CMO/RMBS		09/01/2022	Paydown	XXX	7,104	7,104	7,121	7,119		(15)		(15)		7,104				117	04/27/2043	1.A	
841215-AA-4	SOUTHAVEN COMBINED CYCLE GENERATION LLC		08/15/2022	Paydown	XXX	8,367	8,367	8,692	8,609		(242)		(242)		8,367				322	08/15/2033	1.D FE	
84474Y-AA-4	SOUTHWEST AIRLINES CO PASS THROUGH TRUST		08/01/2022	Various	XXX	29,505	29,505	33,740	29,893		(388)		(388)		29,505				1,815	02/01/2024	1.F FE	
88579Y-BB-6	3M CO		08/12/2022	RBC CAPITAL MARKETS	XXX	9,984	10,000	10,117	10,051		(15)		(15)		10,036		(52)	(52)	327	02/14/2024	1.E FE	
89236T-JX-4	TOYOTA MOTOR CREDIT CORP		09/14/2022	CITIGROUP GLOBAL MARKETS INC.	XXX	64,471	66,000	65,959		10		10		65,969		(1,497)	(1,497)	798	03/22/2024	1.E FE		
90269C-AD-2	UBSBB 2012-C2 A4 - CMBS		09/01/2022	Paydown	XXX	18,105	18,105	18,606	18,237		(132)		(132)		18,105		-	-	389	05/11/2063	1.A FM	
90349G-BE-4	UBSBB 2013-C6 A3 - CMBS		09/01/2022	Paydown	XXX	262,366	262,366	262,100	262,155		211		211		262,366				5,684	04/12/2046	1.A	
91159H-HZ-6	US BANCORP		07/21/2022	NO BROKER	XXX	5,672	6,000	5,993	5,995		1		1		5,996		(324)	(324)	61	05/12/2025	1.E FE	
92890N-AU-3	WFRBS 2012-C10 A3 - CMBS		09/01/2022	Paydown	XXX	351,443	351,443	354,046	352,058		(614)		(614)		351,443		-	-	7,188	12/15/2045	1.A FM	
92939L-AD-8	WFRBS 2014-C25 A4 - CMBS		09/01/2022	Paydown	XXX	7	7	7			-		-		7				-	11/18/2047	1.A FE	
98162H-AC-4	WOLS 2020-A A3 - ABS		09/16/2022	Paydown	XXX	133,972	133,972	133,960	133,970		2		2		133,972				1,439	01/17/2023	1.A FE	
98163J-AB-1	WOLS 2021-A A2 - ABS		09/15/2022	Paydown	XXX	69,153	69,153	69,146	69,149		4		4		69,153				98	04/15/2024	1.A FE	
1109999999 - Bonds: Industrial and Miscellaneous (Unaffiliated)						3,094,431	3,184,688	3,199,763	1,698,557		(7,757)		(7,757)		3,183,705		(89,275)	(89,275)	51,032	XXX	XXX	
2509999997 - Subtotals - Bonds - Part 4						10,652,089	11,177,295	10,866,926	6,159,816		(128,032)		(35,872)		(163,904)	10,827,972		(175,883)	(175,883)	107,222	XXX	XXX
2509999999 - Subtotals - Bonds						10,652,089	11,177,295	10,866,926	6,159,816		(128,032)		(35,872)		(163,904)	10,827,972		(175,883)	(175,883)	107,222	XXX	XXX
6009999999 - Totals						10,652,089	XXX	10,866,926	6,159,816		(128,032)		(35,872)		(163,904)	10,827,972		(175,883)	(175,883)	107,222	XXX	XXX

(E-06) Schedule DB - Part A - Section 1

NONE

(E-06) Schedule DB - Part A - Section 1 - Description of Hedged Risk(s)

NONE

(E-06) Schedule DB - Part A - Section 1 - Financial or Economic Impact of The Hedge

NONE

(E-07) Schedule DB - Part B - Section 1

NONE

(E-07) Schedule DB - Part B - Section 1 - Broker Name

NONE

(E-07) Schedule DB - Part B - Section 1 - Description of Hedged Risk(s)

NONE

(E-07) Schedule DB - Part B - Section 1 - Financial or Economic Impact of The Hedge

NONE

(E-08) Schedule DB - Part D - Section 1

NONE

(E-09) Schedule DB - Part D - Section 2 - By Reporting Entity

NONE

(E-09) Schedule DB - Part D - Section 2 - To Reporting Entity

NONE

(E-10) Schedule DB - Part E

NONE

(E-11) Schedule DL - Part 1

NONE

(E-12) Schedule DL - Part 2

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Bak of America – Providence, RI				393,084	149,670,413	8,117,664	120,623,233	XXX
0199998 – Deposits in depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories					–	–		XXX
0199999 – Total Open Depositories				393,084	149,670,413	8,117,664	120,623,233	XXX
0299998 – Deposits in depositories that do not exceed the allowable limit in any one depository (see Instructions) - Suspended Depositories								XXX
0299999 – Total Suspended Depositories								XXX
0399999 – Total Cash on Deposit				393,084	149,670,413	8,117,664	120,623,233	XXX
0499999 – Cash in Company's Office			XXX	XXX	5,335	5,335	5,335	XXX
0599999 – Total				393,084	149,675,748	8,122,999	120,628,568	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book / Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
Exempt Money Market Mutual Funds – as Identified by SVO								
38142B-50-0	GOLDMAN:FS TRS I INST		09/30/2022	2.540	XXX	455,227	1,584	1,055
38142B-50-0	GOLDMAN:FS TRS I INST	R	09/27/2022	2.630	XXX	221,416	1,276	
8209999999 – Exempt Money Market Mutual Funds – as Identified by SVO						676,643	2,861	1,055
All Other Money Market Mutual Funds								
262006-20-8	DREYFUS GVT CSH MGT INST		07/15/2022	2.780	XXX		3,017	
31607A-70-3	FIDELITY IMM:GOVT INSTL		09/01/2022	2.830	XXX	185,131	499	148
8309999999 – All Other Money Market Mutual Funds						185,131	3,516	148
8609999999 – Total Cash Equivalents						861,774	6,377	1,202