

**Department of Business Regulation Annual Report**

**Fair Chance Licensing Act [R.I. Gen. Laws § 28-5.1-14 (I)]**

*For the period 01/01/2023 to 12/31/2023*

The Department issues a variety of licenses, registrations, permits and certificates (collectively, “licenses”) including certain occupational licenses that are subject to the requirements of § 28-5.1-14. Due to system reporting functionality and limitations, in addition to occupational license data, this report may include data regarding licenses that are outside the scope of § 28-5.1-14 and/or exempt per federal law as provided in § 28-5.1-14(m). It may also include applications filed by corporate entities and renewal applications for some license types particularly those where filings are made using national filing systems.

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|--|--|
| Number of initial applicants   | 198,289 initial applications received <sup>1</sup>   |
| Number of applicants granted   | 198,274 applications granted   |
| Number of applicants denied for any reason                                     | 2 professional engineer registration applications<br>13 contractor registration applications |
| If available, breakdown of race/ethnicity                                      | N/A <sup>2</sup>   |
| If available, breakdown by gender  | N/A  |
| If available, breakdown by city/town of residence                              | N/A  |
| Number of applicants denied solely, or in part, because of criminal conviction | 0  |

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<sup>1</sup> See attached for a breakdown of applications by license/registration type.

<sup>2</sup> The Department does not require information regarding applicant race, ethnicity, or gender as part of licensing. Although residential addresses may be provided by some applicants for certain licenses, reports containing a breakdown by city/town are not readily available from the licensing systems used by the Department.

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| Division/Subject Area/Board | Credential Type                                   | # Initial Applicants [for license, registration, permit, certificate] | *If available, breakdown of race/ethnicity; gender; city/town of residence | # Granted | # Denied for any reason | # Denied solely, or in part, because of criminal conviction |
|-----------------------------|---|---|--|-----------|-------------------------|---|
| Appraisers Board            | Certified General Real Estate Appraiser           | 98  | n/a  | 98        | 0                       | 0   |
| Appraisers Board            | Certified Residential Real Estate Appraiser       | 111   | n/a  | 111       | 0                       | 0   |
| Appraisers Board            | Licensed Real Estate Appraiser                    | 27  | n/a  | 27        | 0                       | 0   |
| Appraisers Board            | Real Estate Appraiser Temporary Practice Permit   | 97  | n/a  | 97        | 0                       | 0   |
| Appraisers Board            | Real Estate Appraiser Trainee                     | 24  | n/a  | 24        | 0                       | 0   |
| Constables                  | Constables  | 95  | n/a  | 95        | 0                       | 0   |
| Auto Body & Salvage         | Auto Body - LTD Paint Restoration & Customization | 1   | n/a  | 1         | 0                       | 0   |
| Auto Body & Salvage         | Auto Body - Motor Vehicle Body Class B License    | 8   | n/a  | 8         | 0                       | 0   |
| Auto Body & Salvage         | Auto Glass  | 3   | n/a  | 3         | 0                       | 0   |
| Auto Body & Salvage         | Auto Salvage Rebuilder                            | 30  | n/a  | 30        | 0                       | 0   |
| Auto Body & Salvage         | Auto Wrecking & Salvage Yard                      | 5   | n/a  | 5         | 0                       | 0   |
| Auto Body & Salvage         | Motor Vehicle Body Class A License                | 20  | n/a  | 20        | 0                       | 0   |
| Mobile Food Est.            | Mobile Food Trucks                                | 369   | n/a  | 369       | 0                       | 0   |
| Gaming & Athletics          | Athlete   | 38  | n/a  | 38        | 0                       | 0   |
| Gaming & Athletics          | Athletics Manager/Second                          | 68  | n/a  | 68        | 0                       | 0   |
| Gaming & Athletics          | Athletics Occupational                            | 45  | n/a  | 45        | 0                       | 0   |
| Gaming & Athletics          | Concessionaire & Vendor Employee                  | 308   | n/a  | 308       | 0                       | 0   |
| Gaming & Athletics          | Gaming Facility - Key Employee                    | 29  | n/a  | 29        | 0                       | 0   |
| Gaming & Athletics          | Gaming Facility - Operation Employee              | 399   | n/a  | 399       | 0                       | 0   |
| Gaming & Athletics          | Gaming Facility - Service Employee                | 119   | n/a  | 119       | 0                       | 0   |
| Gaming & Athletics          | Gaming Vendor Employee                            | 104   | n/a  | 104       | 0                       | 0   |
| Liquor Regulation           | Brewery   | 33  | n/a  | 33        | 0                       | 0   |
| Liquor Regulation           | Brewpub Manufacturer                              | 9   | n/a  | 9         | 0                       | 0   |
| Liquor Regulation           | Class P - Caterer                                 | 74  | n/a  | 74        | 0                       | 0   |
| Liquor Regulation           | Farmer Winery                                     | 20  | n/a  | 20        | 0                       | 0   |
| Liquor Regulation           | Liquor Agent                                      | 71  | n/a  | 71        | 0                       | 0   |
| Liquor Regulation           | Rectifier   | 9   | n/a  | 9         | 0                       | 0   |
| Liquor Regulation           | Sales   | 246   | n/a  | 246       | 0                       | 0   |
| Liquor Regulation           | Wholesale Class A                                 | 15  | n/a  | 15        | 0                       | 0   |
| Liquor Regulation           | Wholesale Class B                                 | 25  | n/a  | 25        | 0                       | 0   |
| Liquor Regulation           | Winery  | 4   | n/a  | 4         | 0                       | 0   |
| Real Estate Commission      | Real Estate Broker                                | 338   | n/a  | 338       | 0                       | 0   |
| Real Estate Commission      | Real Estate Salesperson                           | 1,567   | n/a  | 1,567     | 0                       | 0   |
| Securities Regulation       | Fundraising Counsel                               | 215   | n/a  | 215       | 0                       | 0   |
| Securities Regulation       | Professional Fundraiser                           | 68  | n/a  | 68        | 0                       | 0   |
| Securities Regulation       | Investment Adviser Representative                 | 3,948   | n/a  | 3,948     | 0                       | 0   |
| Securities Regulation       | Broker Dealer Sales Representative                | 145,396   | n/a  | 145,396   | 0                       | 0   |
| Cannabis Regulation         | Licensee Registry ID Cardholder                   | 1,340   | n/a  | 1,340     | 0                       | 0   |
| Building, Design & Fire     | Contractor Registration & Licensing               | 1285  | n/a  | 1272      | 13                      | 0   |
| Building, Design & Fire     | Architects  | 169   | n/a  | 169       | 0                       | 0   |
| Building, Design & Fire     | Landscape Architects                              | 22  | n/a  | 22        | 0                       | 0   |
| Building, Design & Fire     | Engineers   | 481   | n/a  | 479       | 2                       | 0   |
| Building, Design & Fire     | Land Surveyors                                    | 4   | n/a  | 4         | 0                       | 0   |
| Building, Design & Fire     | Accountants                                       | 55  | n/a  | 55        | 0                       | 0   |
| Building, Design & Fire     | Fireworks/Pyrotechnician                          | 43  | n/a  | 43        | 0                       | 0   |
| Building, Design & Fire     | Blasting  | 57  | n/a  | 57        | 0                       | 0   |
| Building, Design & Fire     | Blasting Apprentice                               | 12  | n/a  | 12        | 0                       | 0   |
| Building, Design & Fire     | Fixed/Potable Extinguisher                        | 234   | n/a  | 234       | 0                       | 0   |
| Building, Design & Fire     | Fixed/Portable Extinguisher Apprentice            | 10  | n/a  | 10        | 0                       | 0   |
| Insurance Regulation        | Company/Independent Adjuster                      | 17,011  | n/a  | 17,011    | 0                       | 0   |
| Insurance Regulation        | Emergency MVDA or Adjuster                        | 0   | n/a  | 0         | 0                       | 0   |
| Insurance Regulation        | Insurance Producer                                | 22,283  | n/a  | 22,283    | 0                       | 0   |
| Insurance Regulation        | Motor Vehicle Damage Appraiser                    | 176   | n/a  | 176       | 0                       | 0   |
| Insurance Regulation        | Public Adjuster                                   | 49  | n/a  | 49        | 0                       | 0   |
| Insurance Regulation        | Reinsurance Intermediary Broker or Manager        | 19  | n/a  | 19        | 0                       | 0   |
| Insurance Regulation        | Surplus Lines Broker                              | 295   | n/a  | 295       | 0                       | 0   |
| Insurance Regulation        | Temporary Insurance Producer                      | 0   | n/a  | 0         | 0                       | 0   |
| Insurance Regulation        | Title Insurance                                   | 69  | n/a  | 69        | 0                       | 0   |
| Banking Regulation          | Mortgage Loan Originator                          | 639   | n/a  | 639       | 0                       | 0   |
| TOTALS                      |   | 198,289   |  | 198,274   | 15                      | 0   |