



State of Rhode Island Department of Business Regulation



DIVISION OF BANKING

Please submit this filing along with all required responses and attachments in PDF form to;

DBR.Bankinquiry@dbr.ri.gov

If you have any questions, please contact the Division at; 401-462-9503

**CREDIT UNION
EXPEDITED APPLICATION FOR APPROVAL TO AMEND AGREEMENT
TO FORM – FOR PURPOSE OF NAME CHANGE ONLY
PURSUANT TO R. I. GEN. LAWS § 19-2-10**

Applicant's Name and Address: _____

Date of Filing: _____

The above applicant hereby makes application to the Director of the Department of Business Regulation for approval to amend its Agreement to Form pursuant to Rhode Island General Laws § 19-2-10. Applicant shall file with the Division of Banking the following:

1. One (1) original and two (2) duplicate originals of the Articles of Amendment under corporate seal. The articles shall include a section for recording the approval of the Director of Business Regulation (See Page 3).
2. Certified copy of the resolution of the board of directors which indicates approval of the amendment by at least a two thirds (2/3) majority of those board members present at a meeting called for the purpose.
3. A certified copy of the minutes of the meeting of the members which indicates the affirmative vote of two thirds (2/3) of the members of the credit union at a meeting of the members, duly called for that purpose.
4. A certified copy of the notice of the meeting of the members of the credit union which states that an amendment to the agreement to form would be considered along with evidence that the notice was given within the time frame specified in the bylaws of the credit union.
5. In lieu of a meeting of the members of the credit union, the secretary of the credit union shall provide certification that a written vote of the members was taken, indicating the following:
 - a. The number of members voting in favor, against, or abstaining on the amendment question,
 - b. That the vote in favor of the amendment represented a minimum of two-thirds (2/3) of the members voting,
 - c. That the number of members voting was at least equal to the number of members needed for a quorum at an annual or special meeting of the members of the credit union, and
 - d. That the notice of the vote was given to the members within the time frame specified in the bylaws of the credit union for giving notice of meetings of the members.
6. Evidence that the vote taken to amend the Agreement to Form complies with applicable provisions in the credit union's existing Agreement to Form and/or bylaws.

7. Other Regulatory Agencies

Please list the name, address, telephone number and contact person of any state or federal bank regulatory or licensing authority having jurisdiction over the transaction.

8. A copy of the application, if applicable, submitted to the NCUA or other state or federal regulatory or licensing authority having jurisdiction over the transaction as well as a copy of said agency's approval or lack of objection, if applicable, to the proposed amendment.

9. Notice of application filed which shall be posted for three consecutive weeks on the Department of Business Regulation's website. The Division of Banking will determine publication dates and comments period dates.

10. Certification by the Board's Chair / President or Vice Chair / Vice President and the Board's Secretary or Treasurer that the information contained in the application is true and that any schedules provided correctly represent the true state of the several matters contained within the application to the best of their knowledge and belief.

11. Within thirty (30) days of the date of the Decision on the application by the Director of Business Regulation, applicant shall pay an application-filing fee pursuant to R. I. Gen. Laws § 19-1-3 and Banking Regulation 98-1-5.

12. Questions with respect to this Application should be directed to the following:

Name: _____
 Title: _____
 Address: _____
 City, State & Zip Code: _____
 Telephone Number: _____
 Email address: _____

13. Filing Method:

Except for Item 1 (Articles of Amendment), all Requests are to be filed as a PDF attachment via a secure email method to *Melanie.Aragao@DBR.RI.GOV* and *Sara.Cabral@DBR.RI.GOV*

Post approval procedures

1. The credit union shall file with the Division of Banking evidence that the Articles of Amendment to the Agreement to Form, in triplicate, evidencing the Director's approval, have been filed with the Office of the Rhode Island Secretary of State. Please copy NCUA's Regional Office in Albany, NY on this item
2. The credit union shall file with the Division of Banking a red-lined electronic copy and a clean electronic copy of the revised Bylaws. The only change allowed under this application is the name of the credit union. The revision date will be the same date as the Decision and Order.

WHEREAS, the above Articles of Amendment to the Articles of Incorporation have been submitted to the Director of the Department of Business Regulation;

WHEREAS, the Director finds that the Articles of Amendment to the Articles of Incorporation conform to the applicable Banking Laws of the State of Rhode Island;

NOW THEREFORE, the undersigned Director grants approval of said Articles of Amendment to the Articles of Incorporation.

Dated this _____ day of _____, 20__

Elizabeth Kelleher Dwyer
Superintendent of Banking