



**State of Rhode Island**  
**Department of Business Regulation**



**DIVISION OF BANKING**  
**1511 Pontiac Avenue, Building 68-1**  
**Cranston, RI 02920**  
**Telephone (401) 462-9503**

**RHODE ISLAND BANK HOLDING COMPANY SUPPLEMENT  
TO THE FEDERAL RESERVE BANK HOLDING COMPANY CALL REPORT  
AT THE CLOSE OF BUSINESS DECEMBER 31, 2023**

This Report is required by law: Chapter 6 of Title 19 of the General Laws of Rhode Island and is to be filed by Rhode Island Bank Holding Companies that file annual reports with the Board of Governors of the Federal Reserve.

A Rhode Island Bank Holding Company is any company, association, partnership, corporation or any other entity, however formed that controls a regulated institution. Any entity has control over a regulated institution if: (A) the entity directly or indirectly owns, controls, or has power to vote 25% or more of any class of voting securities of the regulated institution; (B) the entity controls in any manner the election of a majority of the directors or trustees of the regulated institution; or (C) the Director of Business Regulation ("Director") determines, after notice and opportunity for hearing, that the entity directly or indirectly exercised a controlling influence over the management or policies of the regulated institution.

An entity includes any business trust, or similar organization, or any other trust unless by its terms it must terminate within twenty-five years or not later than twenty-one years and ten months after the death of individuals living on the effective date of the trust. Subsidiary with respect to a specified Rhode Island Bank Holding Company means any regulated institution: (A) 25% or more of whose voting shares is directly or indirectly owned or controlled by such Rhode Island Bank Holding Company; (B) the election of a majority of whose directors is controlled in any manner by such Rhode Island Bank Holding Company; or (C) with respect to the management of policies, such Rhode Island Bank Holding Company has the power, directly or indirectly, to exercise a controlling influence, as determined by the Director, after notice and opportunity for hearing.

**NOTE:** The Consolidated Financial Statements for Rhode Island Bank Holding Companies must be signed on this page by one director of the bank holding company and must be attested to on page 4 by not less than three (3) directors. This individual should also be a senior official of the bank holding company. In the event that the bank holding company does not have an individual who is a senior official and is also a director, the Chairman of the Board must sign the report.

I, \_\_\_\_\_ (Type or print name) \_\_\_\_\_ (Type or print title)

have reviewed the Consolidated Financial Statements for Rhode Island Bank Holding Companies filed by the herein named Rhode Island Bank Holding Company and have transmitted a copy of the report to the Board of Directors for their information.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Legal Title of Rhode Island Bank Holding Company

\_\_\_\_\_  
Street Address of the Rhode Island Bank Holding Company

\_\_\_\_\_  
City State Zip Code

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**Schedule HO -- Officers of Corporation**

Name	Title

**Schedule HD -- Directors/Trustees of Corporation**

Name	Name

**Schedule HS -- Report of Stockholders**

1. Total Number of Stockholders: \_\_\_\_\_
2. Types and Number of Shares of Capital Stock Authorized and Outstanding:
 

Type	Number Authorized	Number Outstanding

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**Schedule HS -- Report of Stockholders (continued)**

3. Date and Amount of Dividends Declared on Capital Stock During Period:

Type _____	Date _____	Rate _____%	Amount \$ _____
Type _____	Date _____	Rate _____%	Amount \$ _____
Type _____	Date _____	Rate _____%	Amount \$ _____
Type _____	Date _____	Rate _____%	Amount \$ _____

4. Stockholders Owning 15% or More of Stock:

Name _____	_____ %	Name _____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %
_____	_____ %	_____	_____ %

5. Date of the Annual Meeting of Shareholders/Stockholders: \_\_\_\_\_

6. Person to whom questions about this report should be directed:

Name \_\_\_\_\_

Title \_\_\_\_\_

Telephone number \_\_\_\_\_

Facsimile number \_\_\_\_\_

E-mail address \_\_\_\_\_

7. Complete **Confidential Exhibit A** (enclosed) with the Holding Company's Federal Employer Identification Number.

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**CERTIFICATION**

STATE OF RHODE ISLAND )

County of \_\_\_\_\_)

We \_\_\_\_\_ President/Vice-President  
and \_\_\_\_\_ Secretary/Treasurer  
of \_\_\_\_\_

do solemnly swear that the foregoing State Supplement and the Federal Reserve Bank Call Report are true and that the schedules of these reports correctly represent the true state of the several matters herein contained to the best of our knowledge and belief.

\_\_\_\_\_ President/Vice-President

\_\_\_\_\_ Secretary/Treasurer

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_ 2023.

\_\_\_\_\_ Notary Public

\_\_\_\_\_ My commission expires

Seal

Attest: \_\_\_\_\_)

\_\_\_\_\_)

Directors

\_\_\_\_\_)

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**CONFIDENTIAL EXHIBIT A  
FEDERAL EMPLOYER IDENTIFICATION NUMBER**

Name of Holding Company: \_\_\_\_\_

Federal Employer Identification Number: \_\_\_\_\_

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Name of Bank Holding Company: \_\_\_\_\_

**PAYMENT TRANSMITTAL VOUCHER**

**\$50.00 Filing Fee**

Check must be payable to: "General Treasurer, State of Rhode Island"

***Mail a copy of this page with your check to:***

***State of Rhode Island  
Department of Business Regulation  
Division of Banking  
1511 Pontiac Avenue  
Building 68-1  
Cranston, RI 02920-4407  
Telephone: (401) 462-9503***

**Return Completed Pages 1 through 5  
via email as a PDF attachment  
on or before February 28, 2024 to  
[Melanie.Aragao@dbr.ri.gov](mailto:Melanie.Aragao@dbr.ri.gov)**