

State of Rhode Island DEPARTMENT OF BUSINESS REGULATION 1511 Pontiac Avenue, Bldg. 69-1 Cranston, Rhode Island 02920

### Division of Gaming and Athletics Licensing

# 2024 LICENSE APPLICATION

## NON-FACILITY/VENDOR GAMING EMPLOYEES

Check Location(s):	🔲 Bally's Twin River Lincoln Casino Resort			
Bally's Tiverton Casino & Hotel				
Vendor (Concessionaire	) Employee (\$75.00)	Pari-Mutuel Totalizator Co. Employee (\$150.00)		
Licenses will expire on December 31, 2026				

EMPLOYER NAME:			
PRINT NAME:	Middle	Last	Maiden
E-MAIL ADDRESS:			_
DATE OF BIRTH:			
Have you ever been licensed for Rh	node Island Gaming? 🛛 🗆 YES	□ NO	
If yes, please explain:			_
Do you have a Driver's License?	□ YES □ NO		
State:	Number:	Expiration Da	ite:
	FOR OFFICIAL USE C	DNLY	
Case #:	Upgrade?	Yes	No
Date Reviewed:	Previous Cas	se #:	
Initials:			
Comments:			

### **APPLICATION INSTRUCTIONS**

- 1. <u>All questions must be answered.</u> Must be typed or printed using black or blue ink. If the application is not legible, it will not be accepted. Do not leave blank spaces. If a question does not apply to you, please indicate "Does Not Apply" in the response section. If there is nothing to disclose in response to a particular question, please state "None" in the response section.
- 2. All pages must be initialed, properly signed where indicated.
- 3. The following type of original documents will be acceptable to establish the identity of the applicant:
  - U.S. birth certificate issued by a state, county, or municipal authority with an official seal.
  - Current and valid photo driver's license.
  - Current and valid US military identification card.
  - Current and valid U.S. passport or Certificate of Naturalization or current INS identification card.
  - Current and valid photo identification card issued by a federal, state, or local government agency.
- 4. If the name on your application is different than on the identification provided then you must also provide a court ordered name change, marriage certificate or divorce decree to establish the reason for the different name.
- \*\*\*Applicants who are NON-US Citizens AND Reside outside of the US must submit a "Certificate of Good Standing – Criminal Record" from the country which they reside along with their Gaming License Application. This is in lieu of a US National Background Check.

\*\*\*US citizens must have a complete National BCI Check conducted before a license is issued. Please see instructions below:

#### RI State Police (BCI)

- Located either at the Bally's Twin River Lincoln Casino Resort (Location on the 3rd floor). /Bally's Tiverton Casino & Hotel State Office. Please see dates and times on the Department of Business Regulation's website at: www.dbr.ri.gov.
- Check or Money Order (Only) payable to: "The State of Rhode Island" in the amount of \$45.00.
- Applicant must bring positive ID.
- Applicant must bring a signed Release Authorization Form indicating the specific statute.

#### From the Rhode Island Department of Attorney General (BCI)

#### IN-STATE/OUT-OF STATE Employees (BCI)

 Include below mentioned correspondence along with one (1) FBI fingerprint card along with a \$45.00 Check or Money Order <u>pavable to: "BCI"</u> mailed to:

> Department of Business Regulation Division of Gaming and Athletics Licensing Attn: Christina Tobiasz John O. Pastore Center 1511 Pontiac Avenue, Bldg. 69-1 Cranston, Rhode Island 02920

- A signed and notarized release/disclaimer form.
- A completed fingerprint forms.
  - The 'BCI Certification of Fingerprints' form completed by the agency that fingerprinted you onto fingerprint cards.
- Your fingerprint on a fingerprint card.
  - A copy of a valid form of photo identification:
    - Valid state-issued driver's license
      - Valid state-issued identification card
      - Valid United States passport
    - Check or money order for \$45.00, payable to "<u>BCI</u>". Credit cards and cash are not accepted by mail.

#### Rhode Island Department of Attorney General In-Person Transactions (BCI)

- Credit/Debit Cards are the only form of payment accepted (fees apply):
  - o Credit cards accepted include Visa, MasterCard, American Express, and Discover
  - A processing fee of 2% plus .50 will be charged per credit card transaction

Forms may be obtained on our website at: Gaming and Athletics | Dept. of Business Regulation (ri.gov)

6. An original, completed, application will be reviewed by the Division of Gaming and Athletics Licensing ("Division"). Application fees are non-refundable and applications become the property of the Division. Paper application, along with a check or money order, no cash is accepted, payable to: "State of Rhode Island, General Treasurer", may be obtained from and submitted to either satellite office of the Division located at:

Bally's Twin River Lincoln Casino Resort 100 Twin River Road Lincoln, Rhode Island 02865

OR

Bally's Tiverton Casino & Hotel 777 Tiverton Casino Boulevard Tiverton, RI 02878

Individual offices at each facility can be located by contacting any employee for directions upon arrival at the facility. YOU SHOULD SUBMIT YOUR APPLICATION TO THE DEPARTMENT OF BUSINESS REGULATION AND NOT YOUR EMPLOYER IN ORDER TO PROTECT THE CONFIDENTIALITY OF THE INFORMATION IN YOUR APPLICATION.

- 7. Once your application is approved and your identity verified by the State Office at Bally's Twin River Lincoln Casino Resort/Bally's Tiverton Casino & Hotel, you will be photographed.
- 8. Should you be unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.

Si usted no puede entender este formulario completamente en Ingles, es su responsabilidad de obtener los metodos necesarios de traduccion.

- 9. You must provide the Division with any change of address as all notices concerning your license are sent to the address you have provided on this form.
- 10. Failure to answer any question completely and truthfully will result in denial of your Non-Facility/Vendor Gaming Employee Application.
- 11. All written correspondence regarding this form shall be directed to the following:

Department of Business Regulation Division of Gaming and Athletics Licensing Attn: Christina Tobiasz John O. Pastore Center 1511 Pontiac Avenue, Bldg. 69-1 Cranston, Rhode Island 02920

FOR OFFICE USE ONLY				
Credential Number:	Date Submitted:	Fee:	_	
Check/Money Order:	Date Entered:	Entered by:		
DBR Approved Signature	Арр	roval Date:		

First Name:		Middle Na	me:		La	st Name:		Social Security Number:
aiden Name(s), Alias(es), Nickname(s), Other Name hange(s)- Legal or Otherwise			Driver's License Number:			State of Issuance:		
Present Residence Addre	ess (Do Not Use F	ost Office Box #	<b>#):</b>					I
City:		State:		Zip C	ode:	Coun	try:	How Long?
Race:		Gender:	M 🗌 I	F Date	of Birth:			Place of Birth:
Eye Color:	Hair	Color:	V	Neight:		Heig	ht:	
Please Describe Any an	d All Scars, Tatto	os, or Distinguis	shing Ma	arks and/or (	haracter	istics:		
Telephone Number:			Busi	Business Telephone Number: E-Mail Address:		Address:		
Are you a Citizen of the	United States?	Yes N	o If Reg	gistered Alie	n, <b>Provid</b>	e Registra	ation Numb	per:
If Naturalized, Provide ( (Attach Certified (			alization/ ation Da		Place	of Natura	alization (C	ity/State):

### 1.) Personal Information

### 2.) Past Residences

#### List all residences for the past ten (10) years:

ADDRESS No., Apt. #, City, State, Zip Code	Name, Address of Landlord or Mortgage Holder	Own/Rent	FROM (MO./YR.) -TO (MO./YR.)

(REV 12/21)

### 3.) Motor Vehicle Data

a.) List all current motor vehicle drivers' licenses issued to you by this state or any other jurisdiction.						
Date Issued	License Number	Type of License	Jurisdiction Issuing License	Expiration Date of License		

	License	

### 4.) Employment Information

a.) List the last three (3) jobs beginning with the applicant's current employment and work backwards. List the applicant's work history, including all periods of unemployment, military service, and self-employment, including any work performed or services provided as an independent contractor.

Name of Employer:	Telephone N	Telephone Number of Employer:						
Address of Employer:	City:	County:	State:	Zip Code:				
Position Held:	Name of Sup	Name of Supervisor:						
Description of Duties:	I							

Name of Employer:	Telephone Nu	Telephone Number of Employer:						
Address of Employer:	City:	County:	State:	Zip Code:				
Position Held:	Name of Sup	Name of Supervisor:						
Description of Duties:	I							

Name of Employer:	Telephone Number	(MO./YR.)				
Address of Employer:	City:	County:	State:	Zip Code:		
Position Held:	Name of Supervisor:					
Description of Duties:						

### 5.) Licensing Information

a.) Has the applicant ever applied for or certification in any jurisdiction	n? If YES, provide details.			YES NO
Name of Licensing Authority:	License Number (If License Issued):	Date of App	Dication:	
Address of Licensing Authority:	City:	County:	State:	Zip Code:
If application was denied, withdrawn, otherwise not ap	proved, or conditionally approved, give detail	ed reasons why.		

Name of Licensing Authority:	License Number (If License Issued): Date of Application:				tion:	
Address of Licensing Authority:		City:		L unty:	State:	Zip Code:
Address of Elcensing Additionty.		City.	00	unty.	State.	Zip Code.
If application was denied, withdrawn, otherwise not ap	proved, or conditio	nally approved, give	e detailed re	asons why.		

Name of Licensing Authority:	License Number (If License Issued):		Date of Application:			
Address of Licensing Authority:		City:	Co	unty:	State:	Zip Code:
If application was denied, withdrawn, otherwise not app	proved, or conditio	nally approved, give detail	ed re	asons why.		

(REV 12/21)

Date\_\_\_\_\_INITIALS\_\_\_\_\_

•

b.) Has the license, permit, certification, or other determination identified in the previous question ever been subject to any regulatory action including, but not limited to, non-renewal, suspension, revocation, investigation, penalty, fine, or any condition in any jurisdiction? If YES, provide details.	YES NO
Name of Licensing Authority:	
Details of Regulatory Action:	
Name of Licensing Authority:	
Details of Regulatory Action:	

### 6.) Marital Information

Single Married	Legally Separated		Divorced	Widowed
Current Spouse's Full Name (Include Maiden Name):	s	ocial Security Numbe	er: D	ate of Birth:
Current Spouse's Residence Address:	City:	State:	Country:	Zip Code:
Current Spouse's Driver's License Number:	State Issued:	Date of Marriage:		of Marriage y/State):
Current Spouse's Occupation:			nt Spouse's Employe	er:
Former Spouse's Full Name (Include Maiden Name):		Date of Birth:		g Were You rried?

a.) Has the applicant's spouse and/or family member(s) ever applied for					
a casino or g	a casino or gaming/gambling related license, permit or certification in any				
jurisdiction?		YES		NO	If YES, please compete the following:

- I. Relationship:
- II. Type of license applied for:
- III. Date Application was filed:
- IV. Disposition (Granted, Pending, Denied)\_\_\_\_\_
- V. If issued provide location/license number:

VI.	Relationship:
VII.	Type of license applied for:
VIII.	Date Application was filed:
IX.	Disposition (Granted, Pending, Denied)
Х.	If issued provide location/license number:

(REV 12/21)

Date	INITIAL

### 7.) CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS CONFIDENTIAL

The next question asks about any arrests, charges, or offenses you may have committed. Prior to answering the question, carefully review the definitions and instructions which follow:

For purposes of this question, the words:

<u>"Arrest"</u> includes any detaining, holding, or taking into custody by any police or other law enforcement authorities to answer for the alleged performance of any "offense".

<u>"Charge"</u> includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense".

<u>"Offense"</u> includes all felonies, crimes, misdemeanors, disorderly person offenses, driving while intoxicated/impaired motor vehicle offenses, violation of probation or any other court order. Juvenile offenses are not reportable.

a) Has the applicant ever been detained, issued a summons or citation, arrested, charged, indicted or forfeited bail for any criminal offense or violation for any reason whatsoever within the last ten (10) years? If YES, provide details below. All detentions, summonses and citations, arrests, charges, and indictments shall be included even if the final result was the dismissal of charges or expungement. Applicant shall include all DWI/DUI charges; however, minor traffic violations need not be included.
YES

Date of Arrest:	Type of Charge or Offense:	Location of Offense (Include	e City, State):	
Name of Arresting L	aw Enforcement Agency:			
Sentence Received:		Disposition of Arre	st (Check All Applicable):	Has This Arrest
		Charges Dismissed		Been Expunged?
		Convicted	Pending	
		Acquitted	Nolo Contendere	
		Complaint or Summon	s Issued	
		Date of Disposition:		

Date of Arrest:	Type of Charge or Offense:	Location of Offense (Include City, State):	
	51 0		
Name of Arresting L	aw Enforcement Agency:		
Sentence Received:		Disposition of Arrest (Check All Applicable):	Has This Arrest
		Charges Dismissed Charges Reduced	Been Expunged?
		Convicted Pending	
		Acquitted Nolo Contendere	
		Complaint or Summons Issued	
		Date of Disposition:	
Date of Arrest:	Type of Charge or Offense:	Location of Offense (Include City, State):	
Bute of Arrest.			
Name of Arresting L	aw Enforcement Agency:		
Sentence Received:		Disposition of Arrest (Check All Applicable):	Has This Arrest
		☐ Charges Dismissed ☐ Charges Reduced	Been Expunged?
		Convicted Pending	
		Acquitted Nolo Contendere	
		Complaint or Summons Issued	
		Date of Disposition:	

b.) Has the applicant ever been convicted of, or plead guilty or nolo contendere to, any charge or offense within the last 20 years? If YES, provide details below. Applicant shall include all DWI/DUI convictions; however, minor traffic convictions need not be included. Attach certified copies of documents relating to each matter to this application.
YES | NO

1)	Date of Offense:	Offense:	Location of Offense (City, State):
1.)	Date of Offense.	Ollense.	Eocation of onense (only, State).
-			
Sentence	e (Convicted, Afford Plea, Plea of Nolo Contender	e, Acquitted, Dismissed, Pene	ding, Etc.):
2.)	Date of Offense:	Offense:	Location of Offense (City, State):
,			
Sentence	e (Convicted, Afford Plea, Plea of Nolo Contender	e. Acquitted, Dismissed, Pen	ding. Etc.):
	- (	•, · · • • • • • • • • • • • • • • • • •	

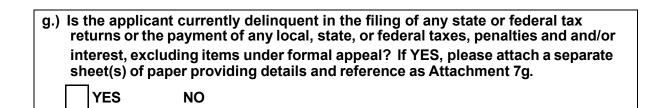
		ver been questioned, subpoenaed, or investigated by any icy, law enforcement agency, state or federal grand jury, i or committee in any jurisdiction? If YES, please explain
below.	YES	NO

d.) Has the applicant had a lien or financial judgment filed against him/her in the				
past ten (10) years? (This includes child support orders or judgments and				
federal, state, and local tax liens). If YES, please attach certified copies of				
documents relating to each matter to this application and reference as				
Attachment 7d. YES NO				

e.) Is the applicant currently delinquent in the payment of any child support order or judgment? If YES, please provide details. Attach certified copies of documents relating to each matter to this application and reference as Attachment 7e.

(REV 12/21)

f.)	Is the applicant currently in def	fault o	n the payment of any student loan? If
	YES, please attach a separate s	sheet(	s) of paper providing details and
	reference as Attachment 7f.	YES	NO
		[	



h	.) Has the applicant ever filed a bankruptcy petition or had a petition for involuntary bankruptcy filed against the applicant? If YES, attach certified

NO

YES

(REV 12/21)

as Attachment 7h.

### 8.) **REFERENCES**

# List the name, address, and telephone number, including area code, of three references: (Do not list relatives as references).

Name:	
Address:	
	Reference #2
Name:	
Address:	
Occupation/Former Occupation:	
	Reference #3
Name:	
Address:	
Occupation/Former Occupation:	

(REV 12/21)

### **RELEASE AUTHORIZATION**

To All Courts, Probation Departments, Employers, Banks, and other financial institutions, and All Governmental Agencies – Federal, State and Local, without exception, both foreign and domestic.

Ι,\_\_\_

### (PRINT NAME)

authorized the Rhode Island Department of Business Regulation, Division of Gaming and Athletics Licensing, the Rhode Island State Police, and/or the Rhode Island Division of Lotteries, pursuant to R.I. General Law §41-1-1, to conduct a full investigation into my background and activities.

Therefore, you are hereby authorized to release any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Division of Gaming and Athletics Licensing ("Division"), provided that he or she certifies to you that I have an application pending before the Division or that I am presently a licensee, registrant or to her person required to be qualified under the provision of Rhode Island General Laws § 41-4-1 et seq:

I understand that this Authorization is to investigate records relating to or referenced in this application or any licensed activity.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photostatic copy of this authorization will be considered as effective and valid as the original.

DATED:\_\_\_\_\_(Legal Signature)\_\_\_\_

Signature of Applicant

Subscribed and sworn to (or affirmed) before me on this \_\_\_\_\_ day of \_\_\_\_\_,20\_\_\_, by \_\_\_\_\_ who proved to me through satisfactory evidence of identification to be the person who appeared before me.

Printed Name of

*Expiration Date of Authority Notary Public/Authorizing Official* 

Signature of

*Notary Public/Authorizing Official* 

State/Region/Country

have

Page **15** of **20** 



Rhode Island Department of Business Regulation Division of Gaming and Athletics 1511 Pontiac Ave., Bldg. 69-1 Cranston, RI 02920

### RELEASE AUTHORIZATION FOR EXTRA-UNITED STATES' CRIMINAL HISTORY RESULTS

To All Extra-United States' Governmental Agencies, without exception.

I,

### (PRINT NAME)

hereby authorize for release my extra-United States criminal history background information, including but not limited to those certifications or other similar documents issued or maintained by a regional or police authority, confirming the existence or absence of my criminal record, and the contents of that criminal record if one exists, from the country wherein I reside ("Criminal History Background"). The aforementioned Criminal History Background is being requested by an employee or agent of the Rhode Island Department of Business Regulation ("Department") through its Division of Gaming and Athletics Licensing ("Division"), provided that he/she/they verify to you that I have an application for licensure pending before the Division or that I am presently a licensee, registrant or a person otherwise required to be qualified, licensed or registered by the Department under the provisions of Rhode Island General Laws § 41-4-1, *et seq*.

Any Criminal History Background information released to or received by the Division as a result of this Release Authorization for Extra-United States' Criminal History Results ("Release") will be used solely for the purpose of my background investigation by the Division and the Division will not give, sell, transfer, or otherwise relay the Criminal History Background information to any non-Departmental personnel without first obtaining my written consent, which states the need for the proposed extra-Departmental transmission of the Criminal History Background information.

This Release shall supersede and countermand any prior request or authorization to the contrary.

Date

Applicant's Legal Signature

[THIS SPACE INTENTIONALLY BLANK]



Rhode Island Department of Business Regulation Division of Gaming and Athletics 1511 Pontiac Ave., Bldg. 69-1 Cranston, RI 02920

Subscribed and sworn to (or affirmed) before me on this \_\_\_\_\_ day of \_\_\_\_\_,20\_\_\_, by \_\_\_\_\_

who proved to me through satisfactory evidence of identification to be the person who appeared before me.

Printed Name of Notary Public/Authorizing Official Expiration Date of Authority

Signature of Notary Public/Authorizing Official State/Region/Country

### STATEMENT OF APPLICANT

In accepting a license issued pursuant to Rhode Island General Laws § 41-4-1 et seq., 42-61.3 et. seq.:

I agree to abide by all applicable statutes and regulations.

I understand that I am freely consenting to any warrantless search by any governmental agency within the grounds of Bally's Twin River Lincoln Casino Resort or Bally's Tiverton Casino & Hotel ("the facility"), of the premises, which I occupy, or control, and my personal property and effects at the Facility, and to the seizure of any illegal item, which said search, may produce. I hereby certify that I have read the foregoing application and affirm that every statement contained therein is true, complete, and correct. I understand that if I misstate or omit any fact, I am subject to the penalties provided by law and by the above-mentioned rules and regulations and my application may be denied.

I hereby authorize the Rhode Island Department of Business Regulation, Division of Gaming and Athletics Licensing, Rhode Island Division of Lotteries and the Gaming Enforcement Unit of the Rhode Island State Police to investigate any and all records relating to or referenced to in this application, including, but not limited to, any criminal conviction. I FULLY UNDERSTAND THE PRECEDING WAIVER.

DATED:\_\_\_\_\_(Legal Signature)\_\_\_\_\_ Signature of Applicant

Subscribed and sworn to (or affirmed) before me on this \_\_\_\_\_ day of \_\_,20\_\_\_, by \_\_\_\_\_ who proved to me through satisfactory evidence of identification to be the person who appeared before me.

Printed Name of

*Expiration Date of Authority Notary Public/Authorizing Official* 

Signature of

State/Region/Country

*Notary Public/Authorizing Official* 

### STATEMENT OF TRUTH

STATE OF\_\_\_\_\_:

NAME (Print)

being duly sworn according to law deposes and says:

- 1. I am the applicant who is submitting this application form.
- 2. I personally supplied the information contained in this form.
- 3. I understand and read the English language, or I have had an interpreter read, explain, and record the answer to each and every question on this application form.
- 4. I swear (or affirm) that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

DATED:\_\_\_\_\_(Legal Signature)\_\_\_\_\_ Signature of Applicant

Subscribed and sworn to (or affirmed) before me on this	day of
,20, by	who
proved to me through satisfactory evidence of identification to be who appeared before me.	e the person

Printed Name of

*Expiration Date of Authority Notary Public/Authorizing Official* 

Signature of

State/Region/Country

*Notary Public/Authorizing Official* 



### Tax Payer Status Affidavit / Identity Verification

All persons applying or renewing any license, registration, permit, or other authority (hereinafter called "licensee") to conduct a business or occupation in the state of Rhode Island are required to file all applicable tax returns and pay all taxes owed to the state prior to receiving a license as mandated by state law (RIGL 5-76) except as noted below.

In order to verify that the state is not owed taxes, licensees are required to provide their Social Security Number and Federal Tax Identification Number as appropriate. These numbers will be transmitted to the Division of Taxation to verify tax status prior to the issuance of a license. This declaration must be made prior to the issuance of a license.

Please complete this affidavit along with your license application.

1.				
Licensee Declaration				
I hereby declare, under penalty of perjury, that I have filed all required state tax returns and have paid all taxes owed.				
I have entered a written installment agreement to pay delinquent taxes that is				
satisfactory to the Tax Administrator.				
I am in federal bankruptcy. (Case #)				
I am in state receivership. (Case #)				
,				
Type of Professional License for which you are applying				
Full Name (Please Print or Type)   Social Security Number (or FEIN if appropriate)				
Signature				
Date				