



## Department of Business Regulation Insurance Division

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<http://www.dbr.ri.gov/divisions/insurance/>

### ~ RHODE ISLAND SPECIAL REPORTING REQUIREMENTS 2026~

#### RESPOND ACCORDINGLY

- Fraternal Organizations #2 only**
- Life Insurers #2, #5, #8, #9, and #10**
- Property and Casualty Insurers #1, #2, #3 and #5 through #7**
- Surplus Line Insurers #3 and #7 only**
- Surplus Line Brokers #1 and #4 only**
- Self-Insured Entities #3 & #7 only**
- Title Companies #2 only**
- Health Insurers (HMOs or HMDIs) #2 only**

#### INSURERS WHO DO NOT HAVE TO RESPOND:

- Risk Retention Groups**
- Accredited or Approved Reinsurers**

- #1 Insurance Coverage for Lead Poisoning** **Due February 1**  
[230-RICR-20-05-9](#) §9.10 enumerates the filing requirements. Property and Casualty Insurers shall file reports on an individual company basis utilizing the respective form provided in [Insurance Bulletin 2018-11](#). Surplus Line Brokers shall file on an individual licensee basis utilizing the respective form provided in Exhibit B. Exhibit A and Exhibit B are form-fillable; when done completing, save a copy to your computer and then email the saved copy to [dbr.specialreports@dbr.ri.gov](mailto:dbr.specialreports@dbr.ri.gov). If there is nothing to report, do not submit a report – the absence of a filed report will be deemed to be a “none” report.
- #2 Producer Appointment Renewals Invoiced by NIPR** **Due By January 31**  
[230-RICR-20-50-5](#) §5.7 Requires annual producer appointment renewals. The fee for each appointment renewal is \$15. Effective January 1, 2026, producer appointment renewals will be invoiced by NIPR annually, with an invoice renewal period of January 1 through January 31. Failure to remit payment by January 31<sup>st</sup> will result in termination of all appointments, thus requiring new appointment filings thereafter. All producers with active appointments as of December 25, 2025, will be included on the appointment renewal invoice. This means appointment terminations must be completed **before** December 25, 2025. All information regarding appointment renewal invoicing can be found on the “Rhode Island company and renewal” page at NIPR with this link → <https://nipr.com/industry-solutions/company-appointment-renewals/rhode-island>.
- #3 Professional Liability Annual Report** **Due March 1**  
All entities, including self-insured entities, providing professional liability insurance coverage to licensed healthcare professionals or licensed healthcare facilities are required to submit annual reports. [R.I. Gen. Laws § 42-14-2.1\(c\)](#) enumerates the filing requirements. Reports must be submitted electronically to [dbr.specialreports@dbr.ri.gov](mailto:dbr.specialreports@dbr.ri.gov). There is no specific form required for this report. The Department suggests that the report be filed on company letterhead utilizing the guidance enumerated in the statute and/or Regulation. If there is nothing to report, do not submit a report – the absence of a filed report will be deemed to be a “none” report.
- #4 Surplus Line Broker Annual Report** **Due April 1**  
[R.I. Gen. Laws §27-3-38](#) (d) and [230-RICR-20-50-1§1.7](#) requires every licensed surplus line broker to report the total number of policies and premium written in the preceding calendar year utilizing the annual report form provided in [Insurance Bulletin 2018-9](#). The annual report is form-fillable; when done completing, save a copy to your computer and then email the saved copy to [dbr.specialreports@dbr.ri.gov](mailto:dbr.specialreports@dbr.ri.gov). If there is nothing to report, do not submit a report – the absence of a filed report will be deemed to be a “none” report. Do not send Form T-71A to the Department of Business Regulation. This form is required by the RI Division of Taxation and should be sent to that agency for proper reporting/filing.

- #5 Credit Life/Accident & Health Filing** **Due June 1**  
[230-RICR-20-60-1](#) §1.10 enumerates the filing requirements. **Effective in 2018**, to streamline and eliminate duplicate reporting, insurers subject to filing will only be required to file with the National Association of Insurance Commissioners (NAIC) Support and Services Office a report of consumer credit insurance written on a calendar year basis. The report shall utilize the Credit Insurance Supplement—Annual Statement Blank as approved by the NAIC, and shall contain data separately for each state, rather than an allocation of the company’s countrywide experience. The filing shall be made in accordance with and no later than the due date in the Instructions to the Annual Statement. **Duplicate reporting to the commissioner is no longer required.**
- #6. Auto Body Labor Rate Survey Reports** **Due Sept 1**  
[R.I. Gen. Laws § 27-29-4.4](#) and [230-RICR-20-05-10](#) §10.7 (formerly Insurance Regulation 108), enumerate the filing requirements. Prior to May 1 the Department will publish a Bulletin on its website providing a list of those insurers and insurance groups determined by the Department to have ≥1% market share that are required to conduct a survey and submit the report to the Department by September 1. Insurers and insurance groups determined to have <1% market share may voluntarily conduct the survey and file the report by September 1<sup>st</sup> or negotiate the payment of auto body labor rates with each licensed Full Collision Repair Auto Body Facility. Please visit the Department’s website yearly to determine if your insurer is required to conduct the survey based upon market share. The Bulletin will also provide information for insurers with <1% market share. Insurers may submit reports on a group basis. For those licensees subject to filing with the Department, reports must be submitted electronically to [dbr.specialreports@dbr.ri.gov](mailto:dbr.specialreports@dbr.ri.gov). A hard copy is no longer required.
- #7. Professional Liability Claim Settlement Report** **Due: See below**  
 Requires all entities, including self-insured entities, providing professional liability insurance coverage to licensed healthcare professionals or licensed healthcare facilities to report within thirty (30) days after notice of the claim, settlement, judgment or arbitration award. Please see [R.I. Gen. Laws § 42-14-2.1](#)(a) for detailed reporting requirements. Reports must be submitted electronically to [dbr.specialreports@dbr.ri.gov](mailto:dbr.specialreports@dbr.ri.gov). There is no specific form required for this report. The Department suggests that the report be filed on company letterhead utilizing the guidance enumerated in the statute and/or Regulation. If there is nothing to report, do not submit a report – the absence of a filed report will be deemed to be a “none” report.
- #8. Life Illustrations Certifications** **Due: Annually**  
 Life Illustrations Certifications subject to [230-RICR-20-25-14](#) §14.11 and Advertising Certifications of Compliance subject to [230-RICR-20-25-5](#) §5.10 must be filed via SERFF as informational filings. The correct TOI to use is “L08 Life - Other” or “A10 Annuities – Other”, whichever is applicable. A filing fee of \$25 is required if the retaliatory fee is not greater. Filings will not be accepted via any method other than SERFF.
- #9. Long-Term Care Reporting** **Due: June 30**  
 Long-Term Care Reporting Requirements subject to [230-RICR-20-35--01 §1.15](#) must be filed via SERFF using the Special Reports filing type. The correct TOI to use is “LTC06 Long Term Care - Other”. Reports will not be accepted via any method other than SERFF. A filing fee of \$25 is required if the retaliatory fee is not greater.
- #10. Retained Asset Account Reporting** **Due: Annually**  
 Retained Asset Account reports subject to the [R.I. Gen. Laws § 27-4.9-6](#) must be filed via SERFF using the Special Reports filing type. The correct TOI to use is “L08 Life - Other”. Reports will not be accepted via any method other than SERFF. A filing fee of \$25 is required if the retaliatory fee is not greater.
- #11. Lender Placed Insurance Annual Data Reports** **Due: April 1**  
 Any insurer who is required to submit an Annual Data Report with both Rhode Island and National loss ratio should do so through an electronic submission to [dbr.specialreports@dbr.ri.gov](mailto:dbr.specialreports@dbr.ri.gov). There is no specific form required for this report. The Department suggests that the report be filed on company letterhead with all required information.

**PLEASE FORWARD TO APPROPRIATE AREAS WITHIN YOUR COMPANY**