STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
DEPARTMENT OF BUSINESS REGULATION
1511 PONTIAC AVENUE
CRANSTON, RHODE ISLAND 02920

IN THE MATTER OF:

DAVID L. ULLOM

RESPONDENT.

DBR No. 08-1-0067

CONSENT ORDER

It is hereby agreed between the Department of Business Regulation ("Department")
and David L. Ullom ("Respondent") as follows:

1. Respondent is the holder of Rhode Island nonresident insurance producer
license number 1058526 which provides authority for Life, Health and
Variable Life and Variable Annuity lines of authority.

2. On March 11, 2008 the Rhode Island insurance division issued an Order to
Show Cause to Respondent based upon action previously taken by the
Securities and Exchange Commission and the Rhode Island Securities
Division.

Based on the foregoing, Respondent and the Department have determined to resolve
this matter without instituting further administrative proceedings and hereby agree to the
following resolution:

1. Respondent hereby voluntarily surrenders Rhode Island nonresident
insurance producer license number 1058526;

2. Respondent voluntarily agrees not to reapply for a Rhode Island insurance
producers license.
3. The Department hereby dismisses the within administrative proceeding with prejudice; no interest, costs, penalties or fines to be assessed.

Department of Business Regulation
By its Legal Counsel,

[Signature]
Dated: April 7, 2009

Respondent
By its attorney,

[Signature]
Dated: April 7, 2009

I hereby approve of the foregoing Consent Order and recommend its adoption.

[Signature]
Neena Sinha Savage, Esq.
Hearing Officer
Dated: April 7, 2009

I have read the Hearing Officer's Decision and Recommendation in this matter, and I hereby

[Initial]
ADOPT
REJECT
MODIFY

the Decision and Recommendation.

09-95

A Michael Marques
Director
Dated: April 7, 2009

THIS DECISION CONSTITUTES A FINAL ORDER OF THE DEPARTMENT OF BUSINESS REGULATION PURSUANT TO RHODE ISLAND GENERAL LAWS TITLE 42 CHAPTER 35. AS SUCH, THIS DECISION MAY BE APPEALED TO THE SUPERIOR COURT SITTING IN AND FOR THE COUNTY OF PROVIDENCE WITHIN THIRTY (30) DAYS OF THE DATE OF THIS ORDER. SUCH APPEAL, IF TAKEN, MAY BE COMPLETED BY FILING A PETITION FOR REVIEW IN SAID COURT.