



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016
OF THE CONDITION AND AFFAIRS OF THE

PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

NAIC Group Code 00382 , 00382 NAIC Company Code 15040 Employer's ID Number 05-0204000
(Current Period) (Prior Period)

Organized under the Laws of Rhode Island , State of Domicile or Port of Entry Rhode Island
Country of Domicile United States

Incorporated/Organized 10/27/1800 Commenced Business 10/27/1800

Statutory Home Office 340 East Avenue , Warwick, RI, US 02886-1802
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 340 East Avenue Warwick, RI, US 02886-1802 401-827-1800
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 6066 , Providence, RI, US 02940-6066
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 340 East Avenue Warwick, RI, US 02886-1802 401-827-1800-125
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.providencemutual.com

Statutory Statement Contact Richard Albert Sinnigen 401-827-1800-125
(Name) (Area Code) (Telephone Number) (Extension)
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(E-Mail Address) (Fax Number)

OFFICERS

Name	Title	Name	Title
<u>Sandra Glaser Parrillo</u>	<u>President</u>	<u>Earl Francis Cottam Jr.</u>	<u>Treasurer</u>
<u>Richard Albert Sinnigen</u>	<u>Secretary</u>		

OTHER OFFICERS

<u>Thomas Francis Burkart</u>	<u>Vice President</u>	<u>Leonard John Ryer</u>	<u>Vice President</u>
<u>Joseph John Muccio</u>	<u>Vice President</u>	<u>Duc Tu Ngo</u>	<u>Vice President</u>

DIRECTORS OR TRUSTEES

<u>Leslie Adams Gardner</u>	<u>Robert White Parsons</u>	<u>Mary Louise Fazzano</u>	<u>John Scott Lombardo</u>
<u>Sandra Glaser Parrillo</u>	<u>Alan Henry Litwin</u>	<u>David Martin Gilden</u>	<u>B. Michael Rauh Jr.</u>
<u>Edwin Joseph Santos</u>			

State of Rhode Island

County of Kent ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Sandra Glaser Parrillo
President

Earl Francis Cottam Jr.
Treasurer

Richard Albert Sinnigen
Secretary

a. Is this an original filing? Yes [X] No []

Subscribed and sworn to before me this
10th day of November, 2016

- b. If no:
1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

Stephanie J. Williamson, Notary Public
January 16, 2017

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	109,278,604		109,278,604	107,830,727
2. Stocks:				
2.1 Preferred stocks	218,711		218,711	229,680
2.2 Common stocks	44,577,564		44,577,564	44,460,477
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	2,776,519		2,776,519	2,849,527
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$3,910,984), cash equivalents (\$0) and short-term investments (\$5,017,010)	8,927,994		8,927,994	8,519,793
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	0		0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	165,779,392	0	165,779,392	163,890,204
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	720,343		720,343	630,453
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	1,201,739	168,986	1,032,753	1,263,323
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	12,815,148		12,815,148	12,095,413
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	337,296		337,296	1,532,140
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	44,978		44,978	44,978
18.2 Net deferred tax asset	7,609,902	2,745,942	4,863,960	4,262,928
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software	109,288	5,747	103,541	184,150
21. Furniture and equipment, including health care delivery assets (\$)	513,762	513,762	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates			0	0
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other-than-invested assets	6,475,193	0	6,475,193	6,377,445
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	195,607,041	3,434,437	192,172,604	190,281,034
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	195,607,041	3,434,437	192,172,604	190,281,034
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Other Assets	348,977		348,977	293,972
2502. Pools and Associations	6,126,216		6,126,216	6,083,473
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	6,475,193	0	6,475,193	6,377,445

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 19,286,846)	37,312,757	36,421,029
2. Reinsurance payable on paid losses and loss adjustment expenses		0
3. Loss adjustment expenses	11,946,166	11,969,924
4. Commissions payable, contingent commissions and other similar charges	2,306,305	1,914,431
5. Other expenses (excluding taxes, licenses and fees)	1,826,231	1,707,096
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	99,862	339,193
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		0
7.2 Net deferred tax liability		0
8. Borrowed money \$ and interest thereon \$		0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 1,903,244 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	46,265,666	45,154,969
10. Advance premium	1,264,362	938,476
11. Dividends declared and unpaid:		
11.1 Stockholders		0
11.2 Policyholders		0
12. Ceded reinsurance premiums payable (net of ceding commissions)	710,485	954,162
13. Funds held by company under reinsurance treaties	378,207	390,740
14. Amounts withheld or retained by company for account of others	14,391	12,882
15. Remittances and items not allocated	28,942	11,172
16. Provision for reinsurance (including \$ certified)	98,000	98,000
17. Net adjustments in assets and liabilities due to foreign exchange rates		0
18. Drafts outstanding		0
19. Payable to parent, subsidiaries and affiliates		40,241
20. Derivatives	0	0
21. Payable for securities		0
22. Payable for securities lending		0
23. Liability for amounts held under uninsured plans		0
24. Capital notes \$ and interest thereon \$		0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	102,251,374	99,952,315
27. Protected cell liabilities		0
28. Total liabilities (Lines 26 and 27)	102,251,374	99,952,315
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock		0
31. Preferred capital stock		0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		0
34. Gross paid in and contributed surplus		0
35. Unassigned funds (surplus)	89,921,230	90,328,719
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		0
36.2 shares preferred (value included in Line 31 \$)		0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	89,921,230	90,328,719
38. Totals (Page 2, Line 28, Col. 3)	192,172,604	190,281,034
DETAILS OF WRITE-INS		
2501.		0
2502.		0
2503.		0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	0	0
2901.		0
2902.		0
2903.		0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0
3201.		0
3202.		0
3203.		0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 68,440,614)	67,287,607	62,630,085	84,309,257
1.2 Assumed (written \$ 479,348)	483,365	460,117	620,176
1.3 Ceded (written \$ 9,771,115)	9,732,822	11,340,814	14,759,406
1.4 Net (written \$ 59,148,847)	58,038,150	51,749,388	70,170,027
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 38,397,247):			
2.1 Direct	38,053,422	58,502,900	72,618,589
2.2 Assumed	212,283	338,562	424,046
2.3 Ceded	3,688,454	21,160,670	24,575,600
2.4 Net	34,577,251	37,680,792	48,467,035
3. Loss adjustment expenses incurred	5,408,124	6,879,781	8,481,122
4. Other underwriting expenses incurred	23,180,266	21,824,513	28,472,184
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	63,165,641	66,385,086	85,420,341
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	(5,127,491)	(14,635,698)	(15,250,314)
INVESTMENT INCOME			
9. Net investment income earned	1,842,046	1,838,768	2,651,261
10. Net realized capital gains (losses) less capital gains tax of \$	26,507	156,832	12,100,778
11. Net investment gain (loss) (Lines 9 + 10)	1,868,553	1,995,600	14,752,039
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 3,099 amount charged off \$ 50,074)	(46,975)	(50,461)	(71,891)
13. Finance and service charges not included in premiums	356,030	346,199	469,311
14. Aggregate write-ins for miscellaneous income	(200,793)	(169,428)	(229,674)
15. Total other income (Lines 12 through 14)	108,262	126,310	167,746
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(3,150,676)	(12,513,788)	(330,529)
17. Dividends to policyholders	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(3,150,676)	(12,513,788)	(330,529)
19. Federal and foreign income taxes incurred	0	0	0
20. Net income (Line 18 minus Line 19)(to Line 22)	(3,150,676)	(12,513,788)	(330,529)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	90,328,719	103,308,242	103,308,241
22. Net income (from Line 20)	(3,150,676)	(12,513,788)	(330,529)
23. Net transfers (to) from Protected Cell accounts	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 625,976	1,173,893	(3,051,603)	(9,929,509)
25. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
26. Change in net deferred income tax	1,227,008	4,492,290	476,944
27. Change in nonadmitted assets	342,286	(27,110)	(3,156,428)
28. Change in provision for reinsurance	0	0	(40,000)
29. Change in surplus notes	0	0	0
30. Surplus (contributed to) withdrawn from protected cells	0	0	0
31. Cumulative effect of changes in accounting principles	0	0	0
32. Capital changes:			
32.1 Paid in	0	0	0
32.2 Transferred from surplus (Stock Dividend)	0	0	0
32.3 Transferred to surplus	0	0	0
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)	0	0	0
33.3 Transferred from capital	0	0	0
34. Net remittances from or (to) Home Office	0	0	0
35. Dividends to stockholders	0	0	0
36. Change in treasury stock	0	0	0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	(407,489)	(11,100,211)	(12,979,522)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	89,921,230	92,208,031	90,328,719
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0
1401. Policyholder Service Fees	(200,793)	(169,428)	(229,674)
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	(200,793)	(169,428)	(229,674)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	59,048,484	54,444,958	71,855,924
2. Net investment income	2,202,967	2,340,952	3,362,160
3. Miscellaneous income	108,262	126,310	167,746
4. Total (Lines 1 to 3)	61,359,713	56,912,220	75,385,830
5. Benefit and loss related payments	32,490,679	40,672,892	45,241,424
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	28,340,468	27,382,310	35,861,271
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	436,170	436,169
10. Total (Lines 5 through 9)	60,831,147	68,491,372	81,538,864
11. Net cash from operations (Line 4 minus Line 10)	528,566	(11,579,152)	(6,153,034)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	19,780,551	22,396,856	29,601,973
12.2 Stocks	5,843,515	7,439,294	51,015,152
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	976,613	566,448
12.8 Total investment proceeds (Lines 12.1 to 12.7)	25,624,066	30,812,763	81,183,573
13. Cost of investments acquired (long-term only):			
13.1 Bonds	21,562,470	14,716,952	22,410,651
13.2 Stocks	4,167,020	6,914,131	49,536,996
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	12,439	12,439
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	566,445	566,448
13.7 Total investments acquired (Lines 13.1 to 13.6)	25,729,490	22,209,967	72,526,534
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(105,424)	8,602,796	8,657,039
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied).....	(14,941)	2,738,721	145,061
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(14,941)	2,738,721	145,061
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	408,201	(237,635)	2,649,066
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	8,519,793	5,870,727	5,870,727
19.2 End of period (Line 18 plus Line 19.1)	8,927,994	5,633,092	8,519,793

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A) Accounting Practices

The accompanying financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the State of Rhode Island Department of Business Regulation Insurance Division. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as prescribed or permitted practices by the State of Rhode Island Department of Business Regulation Insurance Division.

The Company, with the explicit permission of the State of Rhode Island Department of Business Regulation Insurance Division, records its investment in an unaudited wholly owned subsidiary as an admitted asset. If the investment was non-admitted, common stocks and statutory surplus would be decreased by \$1,214,293 and \$1,229,815 as of September 30, 2016 and 2015, respectively.

Net Income	<u>State</u>	<u>2016</u>	<u>2015</u>
Company state basis	RI	(3,150,676)	(12,513,788)
State prescribed practices	RI	0	0
State permitted practices	RI	<u>0</u>	<u>0</u>
NAIC SAP	RI	<u>(3,150,676)</u>	<u>(12,513,788)</u>
Surplus			
Company state basis	RI	89,921,230	92,208,031
State prescribed practices	RI	0	0
State permitted practices	RI	<u>(1,214,293)</u>	<u>(1,229,815)</u>
NAIC SAP	RI	<u>88,706,937</u>	<u>90,978,216</u>

B) Use of Estimates in Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C) Accounting Policies

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by semi-monthly pro-rata methods for direct and ceded business.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition the Company uses the following accounting policies:

1. Short-term investments are stated at amortized cost.
2. Bonds not backed by other loans are stated at either amortized cost using the interest method or the lower of amortized cost or fair market value.
3. Unaffiliated common stocks are stated at fair market value.
4. Unaffiliated preferred stocks are stated at fair market value.
5. The Company does not hold mortgage loans.
6. Loan-backed securities, including Mortgage-Backed Securities and Asset-Backed Securities, are stated at either amortized cost or the lower of amortized cost or fair value, using the interest method. Prepayment assumptions are reviewed on a periodic basis. If changes in prepayments are deemed necessary, securities are revalued based upon the new prepayment assumptions. The retrospective adjustment method is used to revalue all securities except for interest only securities, securities where the yield had become negative or securities where an other than temporary impairment was recognized as adopted under SSAP No. 43R, that are valued using the prospective method.
7. Common stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the equity basis.
8. Real estate is stated at cost less accumulated depreciation.
9. The Company does not hold derivative instruments.
10. The Company does utilize anticipated investment income as a factor in premium deficiency calculations.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessary based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has no pharmaceutical rebate receivables.

D) Going Concern

Not Applicable

2. Accounting Changes and Corrections of Errors

There have been no accounting changes or corrections of errors during the statement periods.

3. Business Combinations and Goodwill

Not applicable.

NOTES TO FINANCIAL STATEMENTS

4. Discontinued Operations

Not applicable.

5. Investments

A) Mortgage Loans

Not applicable.

B) Debt Restructuring

Not applicable.

C) Reverse Mortgages

Not applicable.

D) Loan-Backed Securities

1) Prepayment assumptions for Mortgage-Backed Securities and Asset-Backed Securities were generated using a third-party prepayment model. The multi-factor model captures house price change trends, housing turnover, borrower default, and refinance incentive, among other factors.

2) Other-than-temporary impairments were \$0 and \$0 in 2016 and 2015, respectively.

3) Not Applicable.

4) All impaired securities (fair value is less than cost or amortized cost) for which an other than temporary impairment has not been recognized in earnings.

	<u>Fair Value</u>	<u>Unrealized Losses</u>
Unrealized losses less than 12 months	4,901,968	30,557
Unrealized losses greater than 12 months	<u>8,022,243</u>	<u>73,997</u>
Total	<u>12,924,211</u>	<u>104,554</u>

5) Loan backed securities in an unrealized loss position are reviewed to determine whether other-than-temporary impairments should be recognized. The Company asserts that it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered.

E) Repurchase Agreements

Not applicable.

F) Real Estate

Not applicable.

G) Low-Income Housing Tax Credits

Not applicable.

H) Restricted Assets

Not applicable

I) Working Capital Finance Investments

Not applicabl

J) Offsetting and Netting of Assets and Liabilities

Not applicableStructured Notes

Not applicable

6. Joint Ventures, Partnerships and Limited Liability Companies

Not applicable.

7. Investment Income

All due and accrued income was included in investment income during the statement periods.

8. Derivative Instruments

Not applicable.

Note 9. Income Taxes

A. 1. Components of the net deferred income tax asset or net deferred tax liability;

	<u>Ordinary</u>	<u>Capital</u>	<u>Total</u>
September 30, 2016			
(a) Gross deferred tax assets	8,288,318	2,454	8,290,772
(b) Statutory Valuation Allowance Adjustments	0	0	0
(c) Adjusted gross deferred tax assets (1a-1b)	<u>8,288,318</u>	<u>2,454</u>	<u>8,290,772</u>
(d) Deferred tax assets nonadmitted	<u>(2,745,942)</u>	<u>0</u>	<u>(2,745,942)</u>
(e) Subtotal net admitted deferred tax assets (1c-1d)	5,542,376	2,454	5,544,830
(f) Deferred tax liabilities	<u>(55,039)</u>	<u>(625,832)</u>	<u>(680,871)</u>
(g) Net admitted deferred tax assets / (net deferred tax liabilities) (1e-1f)	5,487,337	(623,378)	4,863,959

NOTES TO FINANCIAL STATEMENTS

December 31, 2015

(a) Gross deferred tax assets	7,040,364	2,598	7,042,962
(b) Statutory Valuation Allowance Adjustments	0	0	0
(c) Adjusted gross deferred tax assets (1a-1b)	7,040,364	2,598	7,042,962
(d) Deferred tax assets nonadmitted	(2,745,942)	0	(2,745,942)
(e) Subtotal net admitted deferred tax assets (1c-1d)	4,294,422	2,598	4,297,020
(f) Deferred tax liabilities	(34,092)	0	(34,092)
(g) Net admitted deferred tax assets / (net deferred tax liabilities) (1e-1f)	4,260,330	2,598	4,262,928

Change

(a) Gross deferred tax assets	1,247,954	(144)	1,247,810
(b) Statutory Valuation Allowance Adjustments	0	0	0
(c) Adjusted gross deferred tax assets (1a-1b)	1,247,954	(144)	1,247,810
(d) Deferred tax assets nonadmitted	0	0	0
(e) Subtotal net admitted deferred tax assets (1c-1d)	1,247,954	(144)	1,247,810
(f) Deferred tax liabilities	(20,947)	(625,832)	(646,779)
(g) Net admitted deferred tax assets / (net deferred tax liabilities) (1e-1f)	1,227,007	(625,976)	601,031

2. Admission Calculation Components

September 30, 2016

	Ordinary	Capital	Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	0	0	0
(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations (Lesser of 11bi or 11bii)	0	0	0
1. Adjusted gross DTAs expected to be realized following the balance sheet date (11bi)	N/A	N/A	4,341,465
2. Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	13,488,185
Lesser of (b)1. or (b)2.	4,964,843	(623,378)	4,341,465
(c) Adjusted gross DTAs offset by gross DTLs (11c)	(55,039)	(625,832)	(680,871)
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101	5,019,882	2,454	5,022,336

December 31, 2015

(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	0	0	0
(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations (Lesser of 11bi or 11bii)	0	0	0
1. Adjusted gross DTAs expected to be realized following the balance sheet date (11bi)	N/A	N/A	4,262,928
2. Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	15,496,236
Lesser of (b)1. or (b)2.	4,260,330	2,598	4,262,928
(c) Adjusted gross DTAs offset by gross DTLs (11c)	(34,092)	0	(34,092)
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101	4,294,422	2,598	4,297,020

Change

(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	0	0	0
(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations (Lesser of 11bi or 11bii)	0	0	0
1. Adjusted gross DTAs expected to be realized following the balance sheet date (11bi)	N/A	N/A	78,537
2. Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	(2,008,051)
Lesser of (b)1. or (b)2.	704,513	(625,976)	78,537
(c) Adjusted gross DTAs offset by gross DTLs (11c)	(20,947)	(625,832)	(646,779)
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101	725,460	(144)	725,316

3. Disclosure of ratios used for threshold limitation (for 11b);

	09/30/16	12/31/15
(a) Ratio percentage used to determine recovery period and threshold limitation amount	0%	0%
(b) Amount of adjusted capital and surplus used to determine recovery period threshold limitation in 2(b)2 above	85,579,765	86,065,791

4. Impact of Tax Planning Strategies On the Determination of:

	Ordinary Percentage	Capital Percentage	Total Percentage
September 30, 2016			
(a) Adjusted gross deferred tax assets (Percentage of total adjusted gross deferred tax assets)	0	0	0
(b) Net admitted adjusted gross deferred tax assets (Percentage of total net admitted adjusted gross deferred tax assets)	N/A	N/A	0%
(c) Does the Company's tax-planning strategies include the use of reinsurance?	0	0	0
	N/A	N/A	0%
	Yes ___	No ___	X ___

December 31, 2015

(a) Adjusted gross deferred tax assets (Percentage of total adjusted gross deferred tax assets)	0	0	0
	N/A	N/A	0%

NOTES TO FINANCIAL STATEMENTS

(b) Net admitted adjusted gross deferred tax assets	0	0	0
(Percentage of total net admitted adjusted gross deferred tax assets)	N/A	N/A	0%

Change

(a) Adjusted gross deferred tax assets	0	0	0
(Percentage of total adjusted gross deferred tax assets)	N/A	N/A	0%
(b) Net admitted adjusted gross deferred tax assets	0	0	0
(Percentage of total net admitted adjusted gross deferred tax assets)	N/A	N/A	0%

B. Unrecognized deferred tax liabilities

(1) There are no temporary differences for which deferred tax liabilities are not recognized.

C. Current income taxes incurred consist of the following major components:

1 Current tax expense incurred	09/30/16	12/31/15	Change
(a) Current year federal tax expense (benefit)- ordinary income	0	0	0
(b) Current year foreign tax expense (benefit)- ordinary income	0	0	0
(c) Subtotal	0	0	0
(d) Current year tax expense (benefit) - net realized capital gains(losses)	0	0	0
(e) Utilization of operating loss carry forwards	0	0	0
(f) Tax on Capital Gains	0	0	0
(g) Federal and foreign income taxes incurred	0	0	0

2 Deferred income tax assets and liabilities consist of the following major components:

Deferred tax assets:	09/30/16	12/31/15	Change
(a) Ordinary			
(1) Discounting of unpaid losses and loss adjustment expenses	728,272	724,929	3,343
(2) Unearned premium reserve	3,146,065	3,070,538	75,527
(3) Fixed assets	99,522	99,521	1
(4) Compensation and benefit accruals	517,021	517,021	0
(5) Receivables nonadmitted	161,697	161,697	0
(6) Net operating loss carryforward	2,168,365	999,282	1,169,083
(7) Tax Credit C/F	987,402	987,402	0
(8) Anticipated Salvage and Subrogation	420,286	420,286	0
(9) Other (including items <5% of total ordinary tax assets)	59,688	59,688	0
Subtotal	8,288,318	7,040,364	1,247,954
(b) Statutory Valuation Allowance Adjustment	0	0	0
(c) Nonadmitted ordinary deferred tax assets	(2,745,942)	(2,745,942)	0
(d) Admitted ordinary deferred tax assets	5,542,376	4,294,422	1,247,954
(e) Capital			
(1) Investments	2,454	2,454	0
(2) Unrealized capital losses	0	145	(145)
(3) Other (including items <5% of total capital tax assets)	0	(1)	1
Subtotal	2,454	2,598	(144)
(f) Statutory Valuation Allowance Adjustment	0	0	0
(g) Nonadmitted capital deferred tax assets	0	0	0
(h) Admitted capital deferred tax assets	2,454	2,598	(144)
(i) Admitted deferred tax assets	5,544,830	4,297,020	1,247,810

3**Deferred tax liabilities:**

(a) Ordinary			
(1) Other (including items <5% of ordinary tax liabilities)	(55,039)	(34,092)	(20,947)
Subtotal	(55,039)	(34,092)	(20,947)
(b) Capital			
(1) Unrealized capital gains	(625,832)	0	(625,832)
(2) Other (including items <5% of capital tax liabilities)	0	0	0
Subtotal	(625,832)	0	(625,832)
(c) Deferred tax liabilities	(680,871)	(34,092)	(646,779)

4 Net deferred tax asset (liability)

4,863,959 4,262,928 601,031

The valuation allowance adjustment to gross deferred tax assets as of September 30, 2016 and December 31, 2015 was \$0 and \$ 0, respectively.

The realization of the deferred tax asset is dependent upon the Company's ability to generate sufficient taxable income in future periods. Based on historical results and the prospects for future current operations, management anticipates that it is more likely than not that future taxable income will be sufficient for the realization the remaining deferred tax assets.

The change in the net deferred income taxes is comprised of the following (this analysis is exclusive of the nonadmitted DTAs as the Change in Nonadmitted Assets is reported separately from the Change in Net Deferred Income Taxes in the surplus section of the Annual Statement);

	09/30/16	12/31/15	Change
Total deferred tax assets	8,290,772	7,042,962	1,247,810
Total deferred tax liabilities	(680,871)	(34,092)	(646,779)

NOTES TO FINANCIAL STATEMENTS

Net deferred tax assets/liabilities	7,609,901	7,008,870	601,031
Statutory valuation allowance adjustment	0	0	0
Net deferred tax assets/liabilities after SVA	7,609,901	7,008,870	601,031
Tax effect of unrealized gains/(losses)	(625,832)	145	(625,977)
Statutory valuation allowance adjustment allocated to unrealized	0	0	0
Change in net deferred income tax	8,235,733	7,008,725	1,227,008

D. Reconciliation of federal income tax rate to actual effective rate:

The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate to income before income taxes. The significant items causing this difference are as follows;

	Tax effect	Effective
	34%	Tax Rate
September 30, 2016		
Provision at statutory rate	(1,071,230)	(34.0%)
Tax-exempt interest (net of proration)	(64,380)	(2.0%)
Dividends received deduction (net of proration)	(91,398)	(2.9%)
Change in Non-Admitted Assets	0	0.0%
Other, net	0	0.0%
	(1,227,008)	(38.9%)
Federal and foreign income taxes incurred	0	0.0%
Change in net deferred taxes	(1,227,008)	(38.9%)
	(1,227,008)	(38.9%)
December 31, 2015		
Provision at statutory rate	(112,380)	(34.0%)
Tax-exempt interest (net of proration)	(112,463)	(34.0%)
Dividends received deduction (net of proration)	(169,844)	(51.4%)
Change in Non-Admitted Assets	0	0.0%
Other, net	(82,257)	(24.9%)
	(476,944)	(144.3%)
Federal and foreign income taxes incurred	0	0.0%
Change in net deferred taxes	(476,944)	(144.3%)
	(476,944)	(144.3%)

E. Carryforward, recoverable taxes and IRC section 6603 deposits;

1 As of September 30, 2016, there are the following net operating loss carryforwards available for tax purposes:

Origination Year	Expiration Year	Amount
2012	2032	1,554,984
2013	2033	0
2014	2034	0
2015	2035	1,384,082
2016	2036	3,438,479
Total		6,377,545

Alternative minimum tax credit carryover in the amount of \$987,402 which do not have an expiration date.

2 The amount of Federal income taxes incurred that are available for recoupment in the event of future net losses are:

	Ordinary	Capital	Total
2016	0	0	0
2015	0	0	0

3 The aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Code are \$ 0.

F. Consolidated federal income tax return

1 The Company's federal income tax return is consolidated with The Providence Plantations Insurance Company

2 Income taxes are allocated based on a ratio of each member's taxable income or loss to the consolidated taxable income. Intercompany tax balances are settled annually.

10. Information Concerning Parent, Subsidiaries and Affiliates

A) Nature of Relationships

The Company is not directly or indirectly owned or controlled by any other entity.

B-L) Not applicable.

11. Debt

Not applicable.

NOTES TO FINANCIAL STATEMENTS

12. Retirement Plans, Deferred Compensation and Other Postretirement Benefit Plans

A) Defined Benefit Plan

Not applicable.

B-D) Not applicable.

E) Defined Contribution Plan

The Company maintains a profit sharing and 401(k) savings plan to provide benefits for substantially all employees, including officers, upon retirement or, to the extent of vested amounts, upon termination of employment.

The Company maintains a nonqualified excess benefit plan which is credited for benefits which exceed the government's restrictions on how much pre-tax investment an employee may make. In addition, the Company maintains nonqualified pension plans covering key employees.

F-I) Not applicable.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

1-5) Not applicable.

6) No restrictions have been placed upon the unassigned surplus funds and there are no outstanding unpaid advances to surplus as of the reporting periods.

7-9) Not applicable.

10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$2,062,392.

11-13) Not applicable.

14. Contingencies

A) Contingent Commitments

Not applicable.

B) Assessments

The Company is subject to guaranty fund assessments by the state in which it writes business. The Company has established a guaranty fund accrual which represents management's best estimate based on the information received by the Company as of the current reporting period.

C) Gain Contingencies

Not applicable.

D) Claims Relating to Extra Contractual Obligations & Bad Faith Losses

Not applicable.

E) Product Warranties

Not applicable.

F) Joint and Several Liability

Not applicable.

G) All Other Contingencies

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.

15. Leases

The Company does not have any material lease obligations at this time.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable.

18. Gain or Loss to the Insurer from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.

19. Direct Premium Written / Produced by Managing General Agents / Third Party Administrators

Not applicable.

20. Fair Value Measurements

A) Fair Value Measurements at September 30, 2016.

NOTES TO FINANCIAL STATEMENTS

The Company's valuation techniques are based on observable and unobservable inputs. Observable inputs reflect market data obtained pricing from independent sources based on trades of securities while unobservable inputs reflect the Company's market assumptions.

Level 1 – Observable inputs in the form of quoted process for identical instruments in active markets.

Level 2 – Observable inputs other than Level 1 prices, such as quoted prices for similar assets or liabilities, quoted prices in markets that are not active or other inputs that are observable or can be derived from observable market data for substantially the full term of the assets and liabilities.

Level 3 – One or more unobservable inputs that are supported by little or no market activity and are significant to the fair value of the assets and liabilities. Level 3 assets and liabilities include financial instruments whose fair value is determined using internal models, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

<u>Description</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level3</u>	<u>Total</u>
Bonds				
Industrial & Misc	0	2,222,053	0	2,222,053
Preferred Stocks				
Industrial & Misc	0	218,711	0	218,711
Common Stock				
Industrial & Misc	21,541,102	0	17,289	21,558,391
Mutual Funds	21,804,879	0	0	21,804,879
Parent, Sub & Affiliate	0	0	1,214,293	1,214,293
Total Assets as Fair Value	43,345,981	2,440,764	1,231,582	47,018,327

As of September 30, 2016, the common stocks in level 3 are privately held securities.

B) Not applicable.

C) Fair Value Measurements for All Financial Instruments at September 30, 2016.

<u>Description</u>	<u>Aggregate Fair Value</u>	<u>Admitted Assets</u>	<u>Level1</u>	<u>Level 2</u>	<u>Level 3</u>
Bonds					
Governments	2,944,734	2,804,583	405,816	2,538,918	0
Industrial & Misc	72,798,763	71,474,606	225,515	72,573,248	0
Political Subdivisions	577,232	571,664	0	577,232	0
Special Revenue	<u>35,630,235</u>	<u>34,427,752</u>	<u>0</u>	<u>35,630,235</u>	<u>0</u>
Total Bonds	111,950,964	109,278,605	631,331	111,319,633	0
Preferred Stocks					
Industrial & Misc	218,711	218,711	0	218,711	0
Common Stock					
Industrial & Misc	21,558,391	21,558,391	21,541,102	0	17,289
Mutual Funds	21,804,879	21,804,879	21,804,879	0	0
Parent, Sub & Affiliate	<u>1,214,293</u>	<u>1,214,293</u>	<u>0</u>	<u>0</u>	<u>1,214,293</u>
Total Common Stock	44,577,563	44,577,563	43,345,981	0	1,231,582
Short-term Investments					
Money Market Funds	5,017,010	5,017,010	5,017,010	0	0
Industrial & Misc	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Short Term Inv	5,017,010	5,017,010	5,017,010	0	0
Total Assets	161,764,248	159,091,889	48,994,322	111,538,344	1,231,582

21. Other Items

A) Extraordinary Items
Not applicable.

B) Troubled Debt Restructuring: Debtors
Not applicable.

C) Other Disclosures

Assets in the amount of \$397,225 and \$396,813 at September 30, 2016 and 2015, respectively, were on deposit with government authorities as required by law.

NOTES TO FINANCIAL STATEMENTS

D) Business Interruption Insurance Recoveries

Not applicable.

E) State Transferable Tax Credits

Not applicable.

F) Subprime Mortgage Related Risk Exposure

The Company does not engage in direct subprime residential mortgage lending. The Company's exposure to subprime is limited to investments within the fixed income investment portfolio which contains securities collateralized by mortgages that have characteristics of subprime lending. Such characteristics include an interest rate above prime to borrowers who do not qualify for prime rate loans, borrowers with low credit ratings (FICO scores), unconventionally high initial loan-to-value ratios, and borrowers with less than conventional documentation of their income and / or net assets.

The Company minimizes risk exposure by holding securities which carry higher credit ratings and by monitoring the underlying collateral performance on an ongoing basis.

The chart below summarizes the Actual Cost, Book Adjusted Carrying Value and the Fair Value of subprime mortgage related risk exposure.

<u>Cost</u>	<u>Book Adjusted Carrying Value</u>	<u>Fair Value</u>
\$0	\$0	\$15,842

22. Events Subsequent

Not applicable.

23. Reinsurance

A) Unsecured Reinsurance Recoverables

The Company does not have any unsecured aggregate recoverables for losses; paid and unpaid including IBNR, loss adjustment expenses, and unearned premium that exceeds 3% of the Company's policyholder surplus.

B) Reinsurance Recoverable in Dispute

There are no individual reinsurance recoverables on paid and unpaid (including IBNR) losses in dispute by reason of notification, arbitration or litigation with any company which exceeds 5% of the Company's policyholder surplus or aggregate reinsurance recoverables on paid and unpaid (including IBNR) losses in dispute which exceed 10% of the Company's policyholder surplus.

C) Reinsurance Assumed and Ceded

	ASSUMED REINSURANCE		CEDED REINSURANCE		NET	
	Premium Reserve (1)	Commission Equity (2)	Premium Reserve (3)	Commission Equity (4)	Premium Reserve (5)	Commission Equity (6)
Affiliates	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
All Other	365,682	58,293	1,903,244	178,987	(1,537,562)	(120,694)
Total	365,682	58,293	1,903,244	178,987	(1,537,562)	(120,694)

Direct Unearned Premium Reserve \$47,803,228

D-I) Not applicable.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company was not involved in any retrospectively rated contracts during the statement periods.

25. Change in Incurred Losses and Loss Adjustment Expenses

The estimated cost of loss and loss adjustment expenses attributable to insured events of prior years decreased by \$6,310,000 during 2016, compared to a decrease of \$5,465,000 during 2015. The increase / decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

The Company has not purchased any annuities under which the claimant is payee but for which the Company is contingently liable.

28. Health Care Receivables

Not applicable.

NOTES TO FINANCIAL STATEMENTS

- 29. Participating Policies
Not applicable.
- 30. Premium Deficiency Reserves
Not applicable.
- 31. High Deductibles
Not applicable.
- 32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses
Not applicable.
- 33. Asbestos/Environmental Reserves
The Company has minor exposure to asbestos and / or environmental claims.
- 34. Subscriber Savings Accounts
Not applicable.
- 35. Multiple Peril Crop Insurance
Not applicable.
- 36. Financial Guaranty Insurance
Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes No
- 1.2 If yes, has the report been filed with the domiciliary state? Yes No
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes No
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes No
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes No
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
NO.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes No
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes No NA
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.12/31/2010
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).05/12/2012
- 6.4 By what department or departments?
Rhode Island.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes No NA
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes No NA
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes No
- 7.2 If yes, give full information:
NO.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes No
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
NO.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes No
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0

13. Amount of real estate and mortgages held in short-term investments: \$0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$1,214,293	\$1,214,293
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$1,214,293	\$1,214,293
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No

If no, attach a description with this statement.

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
 - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$
 - 16.3 Total payable for securities lending reported on the liability page \$

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
U.S. Bank National Association.....	1025 Connecticut Avenue NW, Suite 517, Washington, DC 20036.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
SEC File# 801-22445.....	New England Asset Management.....	74 Batterson Park Road, Farmington, CT 06032-3065.....
SEC File# 801-72830.....	LVW Advisors, LLC.....	67 Monroe Avenue, Pittsford, NY 14534.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes No

18.2 If no, list exceptions:
.....

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] NA [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.
 NO.....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
TOTAL			0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent %
 5.2 A&H cost containment percent %
 5.3 A&H expense percent excluding cost containment expenses %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

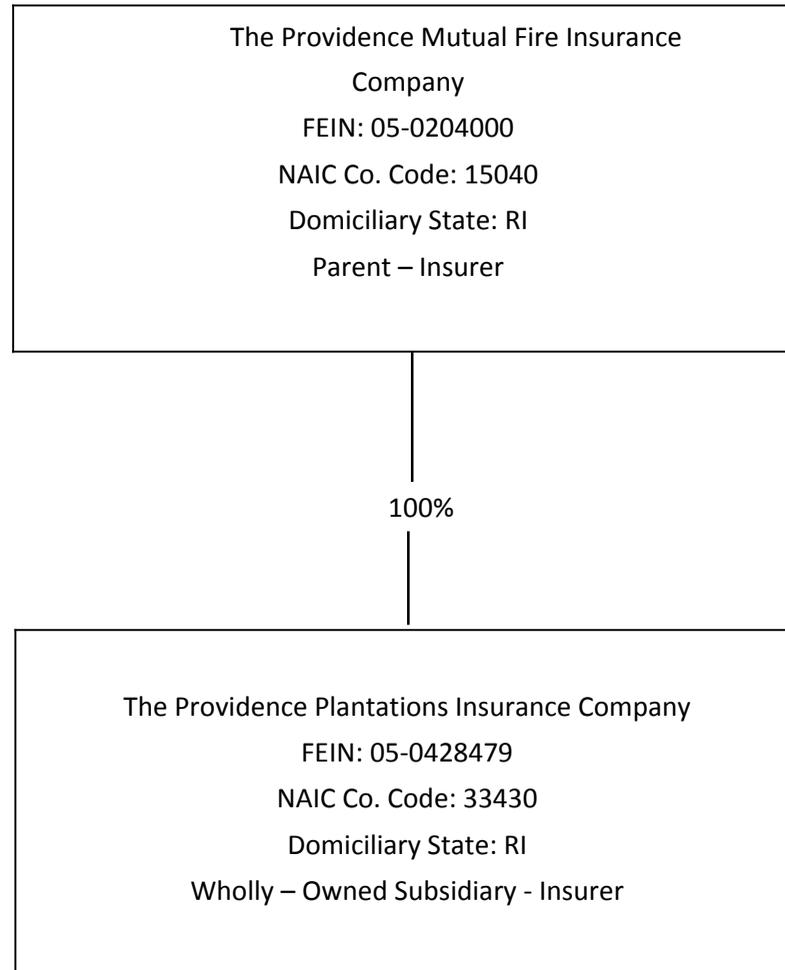
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	N	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0
5. California	CA	N	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0
7. Connecticut	CT	L	9,994,665	7,999,734	3,542,353	4,516,202	5,446,019
8. Delaware	DE	N	0	0	0	0	0
9. Dist. Columbia	DC	N	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0
14. Illinois	IL	N	0	0	0	0	0
15. Indiana	IN	N	0	0	0	0	0
16. Iowa	IA	N	0	0	0	0	0
17. Kansas	KS	N	0	0	0	0	0
18. Kentucky	KY	N	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0
20. Maine	ME	L	2,312,336	2,016,138	1,654,902	780,938	1,523,452
21. Maryland	MD	N	0	0	0	0	0
22. Massachusetts	MA	L	17,504,311	17,237,394	9,390,386	16,955,926	11,367,982
23. Michigan	MI	N	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0
30. New Hampshire	NH	L	5,813,891	5,342,234	2,409,450	3,313,758	3,054,978
31. New Jersey	NJ	L	9,786,848	9,517,443	4,185,065	3,504,190	6,116,211
32. New Mexico	NM	N	0	0	0	0	0
33. New York	NY	L	3,422,057	3,689,709	2,073,874	1,413,371	2,270,130
34. No. Carolina	NC	N	0	0	0	0	0
35. No. Dakota	ND	N	0	0	0	0	0
36. Ohio	OH	N	0	0	0	0	0
37. Oklahoma	OK	N	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0
40. Rhode Island	RI	L	19,606,506	18,954,971	17,026,554	17,523,986	13,651,622
41. So. Carolina	SC	N	0	0	0	0	0
42. So. Dakota	SD	N	0	0	0	0	0
43. Tennessee	TN	N	0	0	0	0	0
44. Texas	TX	N	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0
46. Vermont	VT	L	0	0	0	0	0
47. Virginia	VA	N	0	0	0	0	0
48. Washington	WA	N	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0
59. Totals	(a)	8	68,440,614	64,757,623	40,282,584	48,008,371	43,430,394
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX		0	0	0	0	0
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX		0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire	1,452,634	989,395	68.1	23.6
2. Allied lines	1,229,900	213,936	17.4	43.5
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril	38,112,124	18,559,733	48.7	97.3
5. Commercial multiple peril	12,228,144	6,454,588	52.8	112.9
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine	514,499	81,601	15.9	29.7
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability -occurrence			0.0	0.0
11.2 Medical professional liability -claims made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation			0.0	0.0
17.1 Other liability occurrence	998,350	793,203	79.5	59.3
17.2 Other liability-claims made			0.0	0.0
17.3 Excess Workers' Compensation			0.0	0.0
18.1 Products liability-occurrence			0.0	0.0
18.2 Products liability-claims made			0.0	0.0
19.1,19.2 Private passenger auto liability	7,996,200	6,974,909	87.2	73.0
19.3,19.4 Commercial auto liability	209,250	46,665	22.3	31.1
21. Auto physical damage	4,546,506	3,939,392	86.6	103.8
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. TOTALS	67,287,607	38,053,422	56.6	93.4
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire	446,745	1,352,986	1,479,052
2. Allied lines	405,428	1,203,280	1,212,305
3. Farmowners multiple peril	0		0
4. Homeowners multiple peril	14,041,598	37,864,311	38,311,142
5. Commercial multiple peril	4,026,112	12,601,048	10,746,286
6. Mortgage guaranty	0		0
8. Ocean marine	0		0
9. Inland marine	182,404	510,736	523,842
10. Financial guaranty	0		0
11.1 Medical professional liability-occurrence	0		0
11.2 Medical professional liability-claims made	0		0
12. Earthquake	0		0
13. Group accident and health	0		0
14. Credit accident and health	0		0
15. Other accident and health	0		0
16. Workers' compensation	0		0
17.1 Other liability occurrence	317,032	962,986	986,269
17.2 Other liability-claims made	0		0
17.3 Excess Workers' Compensation	0		0
18.1 Products liability-occurrence	0		0
18.2 Products liability-claims made	0		0
19.1,19.2 Private passenger auto liability	2,961,670	8,560,524	7,343,218
19.3,19.4 Commercial auto liability	78,927	263,056	154,213
21. Auto physical damage	1,835,404	5,121,687	4,001,296
22. Aircraft (all perils)	0		0
23. Fidelity	0		0
24. Surety	0		0
26. Burglary and theft	0		0
27. Boiler and machinery	0		0
28. Credit	0		0
29. International	0		0
30. Warranty	0		0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. TOTALS	24,295,320	68,440,614	64,757,623
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2016 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2013 + Prior	5,862	5,915	11,777	3,634	41	3,675	2,796	74	3,537	6,407	568	(2,263)	(1,695)
2. 2014	4,867	4,338	9,205	2,498	21	2,519	3,037	134	2,408	5,579	668	(1,775)	(1,107)
3. Subtotals 2014 + prior	10,729	10,253	20,982	6,132	62	6,194	5,833	208	5,945	11,986	1,236	(4,038)	(2,802)
4. 2015	12,421	14,988	27,409	8,752	1,043	9,795	5,982	393	4,764	11,139	2,313	(8,788)	(6,475)
5. Subtotals 2015 + prior	23,150	25,241	48,391	14,884	1,105	15,989	11,815	601	10,709	23,125	3,549	(12,826)	(9,277)
6. 2016	XXX	XXX	XXX	XXX	23,128	23,128	XXX	12,226	13,908	26,134	XXX	XXX	XXX
7. Totals	23,150	25,241	48,391	14,884	24,233	39,117	11,815	12,827	24,617	49,259	3,549	(12,826)	(9,277)
8. Prior Year-End Surplus As Regards Policy-holders	90,329												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 15.3	2. (50.8)	3. (19.2)
													Col. 13, Line 7 Line 8
													4. (10.3)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	<u>Response</u>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....

Explanation:

- 1.
- 2.
- 3.
- 4.

Bar Code:

1.	 1 5 0 4 0 2 0 1 6 4 9 0 0 0 0 0 3
2.	 1 5 0 4 0 2 0 1 6 4 5 5 0 0 0 0 3
3.	 1 5 0 4 0 2 0 1 6 3 6 5 0 0 0 0 3
4.	 1 5 0 4 0 2 0 1 6 5 0 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,849,527	2,934,421
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		12,439
2.2 Additional investment made after acquisition		0
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		0
5. Deduct amounts received on disposals		0
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other-than-temporary impairment recognized		0
8. Deduct current year's depreciation	73,008	97,333
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	2,776,519	2,849,527
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	2,776,519	2,849,527

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		0
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals		0
8. Deduct amortization of premium and depreciation		0
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other-than-temporary impairment recognized		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8+9-10)	0	0
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

NONE

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	152,520,883	164,699,482
2. Cost of bonds and stocks acquired	25,729,489	71,947,647
3. Accrual of discount	17,751	27,903
4. Unrealized valuation increase (decrease)	1,799,869	(15,044,639)
5. Total gain (loss) on disposals	26,514	12,667,228
6. Deduct consideration for bonds and stocks disposed of	25,624,066	80,617,128
7. Deduct amortization of premium	395,554	593,169
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other-than-temporary impairment recognized		566,448
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	154,074,879	152,520,876
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	154,074,879	152,520,876

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	94,966,171	6,800,634	4,037,769	(1,355,849)	95,758,912	94,966,171	96,373,187	96,313,030
2. NAIC 2 (a).....	14,923,550	799,440		(22,615)	15,978,786	14,923,550	15,700,375	15,755,530
3. NAIC 3 (a).....	977,380		509,020	1,753,693	499,862	977,380	2,222,052	1,244,500
4. NAIC 4 (a).....	488,325			(488,325)	513,750	488,325	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	111,355,425	7,600,074	4,546,789	(113,096)	112,751,309	111,355,425	114,295,614	113,313,061
PREFERRED STOCK								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	235,683		13,707	(3,265)	228,810	235,683	218,711	229,680
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	235,683	0	13,707	(3,265)	228,810	235,683	218,711	229,680
15. Total Bonds & Preferred Stock	111,591,108	7,600,074	4,560,495	(116,361)	112,980,119	111,591,108	114,514,325	113,542,741

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	5,017,010	XXX	5,017,010	2,127	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	5,482,335	2,906,605
2. Cost of short-term investments acquired	9,682,550	6,705,749
3. Accrual of discount0
4. Unrealized valuation increase (decrease).....		.0
5. Total gain (loss) on disposals0
6. Deduct consideration received on disposals	10,147,875	4,130,019
7. Deduct amortization of premium.....		.0
8. Total foreign exchange change in book/adjusted carrying value.....		.0
9. Deduct current year's other-than-temporary impairment recognized.....		.0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	5,017,010	5,482,335
11. Deduct total nonadmitted amounts.....		.0
12. Statement value at end of current period (Line 10 minus Line 11)	5,017,010	5,482,335

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Verification

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Special Revenue									
59333P-V3-9	MIAMI-DADE CNTY FL AVIATION RE		08/04/2016	MERRILL LYNCH		750,000	750,000		1FE
644693-KT-9	NEW HAMPSHIRE ST TURNPIKE SYS		08/04/2016	LOOP CAPITAL MARKETS		1,022,644	850,000	12,442	1FE
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						1,772,644	1,600,000	12,442	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
06406F-AD-5	BANK OF NY MELLON CORP		08/09/2016	GOLDMAN SACHS		998,260	1,000,000		1FE
172967-KV-2	CITIGROUP INC		07/26/2016	CITIGROUP GLOBAL MARKETS		799,440	800,000		2FE
437303-AC-4	HOME PARTNERS OF AMERICA TRUST 16-2 C		08/25/2016	CITIGROUP GLOBAL MARKETS		500,000	500,000		1FE
00913R-AD-8	AIR LIQUIDE FINANCE	F	09/22/2016	HSBC SECURITIES USA INC		498,110	500,000		1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,795,810	2,800,000	0	XXX
8399997 - Subtotals - Bonds - Part 3						4,568,454	4,400,000	12,442	XXX
8399999 - Subtotals - Bonds						4,568,454	4,400,000	12,442	XXX
Common Stocks - Industrial and Miscellaneous									
166764-10-0	CHEVRON CORP		07/15/2016	JONESTRADING INST SVCS LLC	2,083,000	222,634			L
92343V-10-4	VERIZON COMMUNICATIONS		07/15/2016	JONESTRADING INST SVCS LLC	4,246,000	237,058			L
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						459,692	XXX	0	XXX
9799997 - Subtotals - Common Stocks - Part 3						459,692	XXX	0	XXX
9799999 - Subtotals - Common Stocks						459,692	XXX	0	XXX
9899999 - Subtotals- Preferred and Common Stocks						459,692	XXX	0	XXX
9999999 Totals						5,028,146	XXX	12,442	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

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STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
36179R-XD-6..	GOVERNMENT NATL MTG ASSOC 11 #M3376		09/01/2016	PAYDOWN		31,048	31,048	32,629			(98)		(98)		31,048			0	564	01/20/2046	1FE
3620AR-MG-3..	GOVERNMENT NATL MTG ASSOC #737559		09/01/2016	PAYDOWN		47,365	47,365	48,653	47,511		(146)		(146)		47,365			0	1,284	10/15/2040	1FE
3620C4-YF-1..	GOVERNMENT NATL MTG ASSOC #748710		09/01/2016	PAYDOWN		36,562	36,562	37,556	36,674		(112)		(112)		36,562			0	902	10/15/2040	1FE
3620C4-YH-7..	GOVERNMENT NATL MTG ASSOC #748712		09/01/2016	PAYDOWN		77,665	77,665	79,776	77,924		(260)		(260)		77,665			0	2,224	10/15/2040	1FE
36241K-V7-0..	GOVERNMENT NATL MTG ASSOC #782438		09/01/2016	PAYDOWN		30,541	30,541	30,508	30,536		5		5		30,541			0	1,043	10/15/2038	1FE
912828-P2-0..	UNITED STATES TREASURY NOTE		08/04/2016	BARCLAYS CAPITAL		1,502,807	1,500,000	1,500,650			(158)		(158)		1,500,491		2,316	2,316	5,778	01/31/2018	1
0599999 - Bonds - U.S. Governments						1,725,988	1,723,181	1,729,771	192,646	0	(769)	0	(769)	0	1,723,672	0	2,316	2,316	11,794	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																					
3128MJ-X4-7..	FEDERAL HOME LN MTG CORP #G08698		09/01/2016	PAYDOWN		44,398	44,398	46,618			(45)		(45)		44,398			0	434	03/01/2046	1FE
3128MM-P6-4..	FEDERAL HOME LN MTG CORP #G18444		09/01/2016	PAYDOWN		15,230	15,230	15,816	15,300		(69)		(69)		15,230			0	255	09/01/2027	1FE
3128MM-P8-0..	FEDERAL HOME LN MTG CORP #G18446		09/01/2016	PAYDOWN		60,460	60,460	62,822	60,717		(257)		(257)		60,460			0	1,002	10/01/2027	1FE
3128MM-P0-0..	FEDERAL HOME LN MTG CORP #G18430		09/01/2016	PAYDOWN		20,159	20,159	20,752	20,239		(80)		(80)		20,159			0	412	04/01/2027	1FE
3128PS-TP-2..	FEDERAL HOME LN MTG CORP #J13258		09/01/2016	PAYDOWN		22,129	22,129	22,703	22,195		(65)		(65)		22,129			0	530	10/01/2025	1FE
3128PV-AL-4..	FEDERAL HOME LN MTG CORP #J15411		09/01/2016	PAYDOWN		13,867	13,867	14,268	13,953		(85)		(85)		13,867			0	370	05/01/2026	1FE
3128PV-BD-1..	FEDERAL HOME LN MTG CORP #J15436		09/01/2016	PAYDOWN		19,717	19,717	20,287	19,806		(89)		(89)		19,717			0	527	05/01/2026	1FE
3128PV-CG-3..	FEDERAL HOME LN MTG CORP #J15471		09/01/2016	PAYDOWN		26,492	26,492	27,258	26,594		(102)		(102)		26,492			0	755	05/01/2026	1FE
3128PV-QT-0..	FEDERAL HOME LN MTG CORP #J15866		09/01/2016	PAYDOWN		39,660	39,660	41,166	39,921		(261)		(261)		39,660			0	1,057	07/01/2026	1FE
312945-6J-2..	FEDERAL HOME LN MTG CORP #A97173		09/01/2016	PAYDOWN		47,775	47,775	49,156	47,868		(93)		(93)		47,775			0	1,402	03/01/2041	1FE
312946-LR-5..	FEDERAL HOME LN MTG CORP #A97536		09/01/2016	PAYDOWN		73,044	73,044	75,132	73,081		(37)		(37)		73,044			0	1,944	03/01/2041	1FE
3132L5-SE-4..	FEDERAL HOME LN MTG CORP #V80517		09/01/2016	PAYDOWN		58,853	58,853	62,264	59,204		(352)		(352)		58,853			0	1,580	10/01/2043	1FE
3132L7-ED-7..	FEDERAL HOME LN MTG CORP #V81932		09/01/2016	PAYDOWN		68,432	68,432	71,191	68,683		(251)		(251)		68,432			0	1,653	10/01/2045	1FE
3132QL-NJ-8..	FEDERAL HOME LN MTG CORP #O30392		09/01/2016	PAYDOWN		5,906	5,906	6,220	5,921		(14)		(14)		5,906			0	136	12/01/2044	1FE
3132OS-4S-4..	FEDERAL HOME LN MTG CORP #O36232		09/01/2016	PAYDOWN		23,697	23,697	24,755	23,747		(50)		(50)		23,697			0	579	09/01/2045	1FE
3132QU-DC-4..	FEDERAL HOME LN MTG CORP #O37298		09/01/2016	PAYDOWN		26,100	26,100	26,993	26,173		(73)		(73)		26,100			0	554	11/01/2045	1FE
3138EN-NY-1..	FEDERAL NATIONAL MTG ASSOC #AL5806		09/01/2016	PAYDOWN		113,638	113,638	120,190	114,301		(663)		(663)		113,638			0	2,690	09/01/2029	1FE
3138EN-WV-5..	FEDERAL NATIONAL MTG ASSOC #AL6060		09/01/2016	PAYDOWN		47,139	47,139	50,299	47,389		(250)		(250)		47,139			0	1,230	11/01/2044	1FE
3138WA-WV-5..	FEDERAL NATIONAL MTG ASSOC #AS1559		09/01/2016	PAYDOWN		41,193	41,193	43,529	41,402		(209)		(209)		41,193			0	1,098	01/01/2044	1FE
3138WF-UV-6..	FEDERAL NATIONAL MTG ASSOC #AS5995		09/01/2016	PAYDOWN		37,561	37,561	39,152	37,626		(65)		(65)		37,561			0	872	10/01/2045	1FE
3138Y6-NS-9..	FEDERAL NATIONAL MTG ASSOC #AX4900		09/01/2016	PAYDOWN		90,678	90,678	94,808	91,019		(342)		(342)		90,678			0	2,105	12/01/2044	1FE
3138YW-KA-4..	FEDERAL NATIONAL MTG ASSOC #AZ4788		09/01/2016	PAYDOWN		64,654	64,654	69,109	69,777		(209)		(209)		64,654			0	1,374	10/01/2045	1FE
313970-S6-6..	FANNIE MAE 11 20 CA		09/01/2016	PAYDOWN		56,361	56,361	57,823	56,777		(416)		(416)		56,361			0	1,283	07/25/2024	1FE
31419A-Y3-5..	FEDERAL NATIONAL MTG ASSOC #AE0729		09/01/2016	PAYDOWN		18,289	18,289	19,121	18,374		(85)		(85)		18,289			0	486	01/01/2026	1FE
31419A-YA-9..	FEDERAL NATIONAL MTG ASSOC #AE0704		09/01/2016	PAYDOWN		19,691	19,691	20,574	19,818		(127)		(127)		19,691			0	532	01/01/2026	1FE

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STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						1,055,124	1,055,124	1,102,004	950,108	0	(4,290)	0	(4,290)	0	1,055,124	0	0	0	24,861	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
12532L-AW-5	CBS COMMERCIAL MORTGAGE TR 16 RNDB BFL		09/15/2016	PAYDOWN		86,403	86,403	86,403					0		86,403			0	1,119	02/15/2033	1FE
12625J-AA-6	CPS AUTO TRUST 13 B A ELARA HGV TIMESHARE		09/15/2016	PAYDOWN		61,178	61,178	61,177	61,150		29		29		61,178			0	743	09/15/2020	1FE
28415P-AA-2	ISSUER 16-A A HSI ASSET SECURITIZATION		09/25/2016	PAYDOWN		42,008	42,008	42,007			77		77		42,008			0	212	04/25/2028	1FE
40431J-AF-0	CORP 07 OPT1 M1 MARRIOTT VACATION CLUB		09/25/2016	PAYDOWN			1,065	15					0					0	9	12/25/2036	1FM
57165L-AA-2	OWNER 10 1A A NOVASTAR HOME EQUITY LOAN		09/20/2016	PAYDOWN		20,096	20,096	20,094	20,067		30		30		20,096			0	475	10/20/2032	1FE
66989E-AF-2	07 2 M1 OHIO PHASE-IN-RECOVERY		09/25/2016	PAYDOWN			1,651	20					0					0	8	09/25/2037	1FM
67741Y-AA-6	FUNDING 13 1 A1 ONEMAIN FINANCIAL		07/01/2016	PAYDOWN		140,194	140,194	140,192	140,194				0		140,194			0	1,343	07/01/2018	1FE
68267Y-AA-8	ISSUANCE TR 14 1A A ONEMAIN FINANCIAL		09/18/2016	PAYDOWN		209,791	209,791	209,787	209,629		162		162		209,791			0	3,386	06/18/2024	1FE
68268B-AA-7	ISSUANCE TR 14 2A A SIERRA RECEIVABLES		09/18/2016	PAYDOWN		172,771	172,771	172,744	172,577		193		193		172,771			0	2,946	09/18/2024	1FE
82650H-AA-1	FUNDING CO 13 3A A SIERRA RECEIVABLES		09/20/2016	PAYDOWN		29,672	29,672	29,663	29,644		27		27		29,672			0	436	10/20/2030	1FE
82651U-AA-1	FUNDING CO 12 2A A SIERRA RECEIVABLES		09/20/2016	PAYDOWN		7,707	7,707	7,705	7,699		7		7		7,707			0	122	03/20/2029	1FE
82652D-AA-8	FUNDING CO 14 2A A SOUTHWESTERN ENERGY CO		09/20/2016	PAYDOWN		34,942	34,942	34,937	34,915		27		27		34,942			0	476	06/20/2031	1FE
845467-AL-3	VARIOUS		07/15/2016	VARIOUS		492,513	510,000	508,888	508,971		49		49		509,020		(16,508)	(16,508)	24,766	01/23/2025	3FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,297,275	1,317,478	1,313,632	1,184,847	0	601	0	601	0	1,313,783	0	(16,508)	(16,508)	36,041	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						4,078,386	4,095,782	4,145,408	2,327,600	0	(4,457)	0	(4,457)	0	4,092,578	0	(14,192)	(14,192)	72,697	XXX	XXX
8399999 - Subtotals - Bonds						4,078,386	4,095,782	4,145,408	2,327,600	0	(4,457)	0	(4,457)	0	4,092,578	0	(14,192)	(14,192)	72,697	XXX	XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)																					
94988U-73-0	WELLS FARGO & COMPANY 6%		07/05/2016	ROSENBLATT SECURITIES LLC		530,000	14,329	25,00	13,707		13,992	(285)	(285)		13,707		622	622	398	XXX	P2LFE
8499999 - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)						14,329	XXX	13,707	13,992	(285)	0	0	(285)	0	13,707	0	622	622	398	XXX	XXX
8999997 - Total - Preferred Stocks - Part 4						14,329	XXX	13,707	13,992	(285)	0	0	(285)	0	13,707	0	622	622	398	XXX	XXX
8999999 - Subtotals - Preferred Stocks						14,329	XXX	13,707	13,992	(285)	0	0	(285)	0	13,707	0	622	622	398	XXX	XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																					
02209S-10-3	ALTRIA GROUP INC		07/05/2016	ROSENBLATT SECURITIES LLC		500,000	34,839	XXX	28,856		29,105	(249)	(249)		28,856		5,983	5,983	851	XXX	L
084670-70-2	BERKSHIRE HATHAWAY INC-CL B		07/05/2016	ROSENBLATT SECURITIES LLC		80,000	11,361	XXX	10,043		10,043	0	0		10,043		1,318	1,318	229	XXX	L
09247X-10-1	BLACKROCK INC		07/05/2016	ROSENBLATT SECURITIES LLC		50,000	16,770	XXX	18,147		17,026	1,121	1,121		18,147		(1,378)	(1,378)	403	XXX	L
093671-10-5	H&R BLOCK INC		07/05/2016	ROSENBLATT SECURITIES LLC		650,000	14,965	XXX	23,994		21,652	2,342	2,342		23,994		(9,029)	(9,029)	288	XXX	L
12673P-10-5	CA INC		07/05/2016	ROSENBLATT SECURITIES LLC		570,000	18,708	XXX	16,024		16,279	(255)	(255)		16,024		2,684	2,684	394	XXX	L
166764-10-0	CHEVRON CORP		07/05/2016	ROSENBLATT SECURITIES LLC		80,000	8,269	XXX	8,258		7,197	1,062	1,062		8,258		11	11	171	XXX	L
172062-10-1	CINCINNATI FINANCIAL CORP		07/05/2016	ROSENBLATT SECURITIES LLC		270,000	20,174	XXX	16,499		15,976	523	523		16,499		3,675	3,675	389	XXX	L
17275R-10-2	CISCO SYSTEMS INC		07/05/2016	ROSENBLATT SECURITIES LLC		540,000	15,272	XXX	9,429		14,664	(5,235)	(5,235)		9,429		5,843	5,843	394	XXX	L
191216-10-0	COCA-COLA CO/THE CORRECTIONS CORP OF AMERICA		07/05/2016	ROSENBLATT SECURITIES LLC		470,000	21,351	XXX	20,117		20,191	(75)	(75)		20,117		1,235	1,235	329	XXX	L
22025Y-40-7	AMERICA		09/16/2016	VARIOUS		15,425,000	259,162	XXX	396,789		407,681	(12,101)	(12,101)		396,789		(137,627)	(137,627)	24,951	XXX	L
25746U-10-9	DOMINION RESOURCES INC		07/05/2016	ROSENBLATT SECURITIES LLC		120,000	9,399	XXX	8,111		8,117	(6)	(6)		8,111		1,289	1,289	168	XXX	L
26441C-20-4	DUKE ENERGY CORP		07/05/2016	ROSENBLATT SECURITIES LLC		140,000	12,154	XXX	9,524		9,955	(470)	(470)		9,524		2,629	2,629	231	XXX	L
369550-10-8	GENERAL DYNAMICS CORP		07/05/2016	VARIOUS		170,000	23,529	XXX	24,948		23,351	1,597	1,597		24,948		(1,419)	(1,419)	376	XXX	L
369604-10-3	GENERAL ELECTRIC CO		07/05/2016	ROSENBLATT SECURITIES LLC		1,000,000	31,417	XXX	30,143		31,150	(1,007)	(1,007)		30,143		1,274	1,274	690	XXX	L
370334-10-4	GENERAL MILLS INC		07/05/2016	ROSENBLATT SECURITIES LLC		350,000	25,261	XXX	21,589		21,589	0	0		21,589		3,672	3,672	161	XXX	L
418056-10-7	HASBRO INC		07/05/2016	ROSENBLATT SECURITIES LLC		120,000	9,871	XXX	8,783		8,083	700	700		8,783		1,088	1,088	116	XXX	L
458140-10-0	INTEL CORP		07/05/2016	ROSENBLATT SECURITIES LLC		950,000	31,014	XXX	33,109		32,728	382	382		33,109		(2,095)	(2,095)	494	XXX	L
49456B-10-1	KINDER MORGAN INC		07/05/2016	ROSENBLATT SECURITIES LLC		560,000	10,213	XXX	13,214		8,355	4,858	4,858		13,214		(3,001)	(3,001)	140	XXX	L
532457-10-8	ELI LILLY & CO		07/05/2016	ROSENBLATT SECURITIES LLC		220,000	17,554	XXX	18,159		18,537	(378)	(378)		18,159		(605)	(605)	224	XXX	L
548661-10-7	LOWES COS INC		07/15/2016	VARIOUS		6,046,000	493,113	XXX	464,647		459,738	4,909	4,909		464,647		28,466	28,466	3,386	XXX	L
58933V-10-5	MERCK & CO INC		07/05/2016	ROSENBLATT SECURITIES LLC		320,000	18,591	XXX	16,676		16,676	0	0		16,676		1,915	1,915	300	XXX	L
594918-10-4	MICROSOFT CORP		07/05/2016	ROSENBLATT SECURITIES LLC		280,000	14,320	XXX	4,861		15,534	(10,674)	(10,674)		4,861		9,459	9,459	202	XXX	L
61945C-10-3	THE MOSAIC COMPANY		07/05/2016	ROSENBLATT SECURITIES LLC		360,000	9,158	XXX	11,432		9,832	1,500	1,500		11,432		(2,274)	(2,274)	192	XXX	L
651587-10-7	NEWMARKET CORP		07/05/2016	ROSENBLATT SECURITIES LLC		40,000	16,259	XXX	16,515		15,229	1,286	1,286		16,515		(257)	(257)	192	XXX	L
655844-10-8	NORFOLK SOUTHERN CORP		07/05/2016	ROSENBLATT SECURITIES LLC		310,000	26,026	XXX	29,535		28,223	3,312	3,312		29,535		(3,509)	(3,509)	386	XXX	L
704326-10-7	PAYCHEX INC		07/05/2016	ROSENBLATT SECURITIES LLC		250,000	15,041	XXX	13,583		13,223	360	360		13,583		1,458	1,458	210	XXX	L

EO5.1

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE PROVIDENCE MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
717081-10-3	PFIZER INC.		07/05/2016	ROSENBLATT SECURITIES LLC	500.000	17,938	XXX	1,064	16,140	(15,076)			(15,076)		1,064		16,874	16,874	300	XXX	L	
761713-10-6	REYNOLDS AMERICAN INC.		07/05/2016	ROSENBLATT SECURITIES LLC	310.000	16,699	XXX	14,483	14,307	176			176		14,483		2,216	2,216	372	XXX	L	
92343V-10-4	VERIZON COMMUNICATIONS		07/05/2016	ROSENBLATT SECURITIES LLC	220.000	12,457	XXX	10,034	10,168	(134)			(134)		10,034		2,423	2,423	249	XXX	L	
949746-10-1	WELLS FARGO & CO.		07/05/2016	ROSENBLATT SECURITIES LLC	440.000	20,270	XXX	6,036	23,918	(17,882)			(17,882)		6,036		14,234	14,234	332	XXX	L	
143658-30-0	CARNIVAL CORPORATION	R	07/05/2016	ROSENBLATT SECURITIES LLC	320.000	13,954	XXX	16,207	17,434	(1,226)			(1,226)		16,207		(2,253)	(2,253)	208	XXX	L	
252430-20-5	DIAGEO PLC-SPONSORED ADR	R	07/05/2016	ROSENBLATT SECURITIES LLC	160.000	18,131	XXX	16,436					0		16,436		1,694	1,694		XXX	L	
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						1,283,240	XXX	1,337,246	1,311,932	(40,639)	0	0	(40,639)	0	1,337,246	0	(54,006)	(54,006)	36,921	XXX	XXX	
Common Stock - Mutual Funds																						
921943-85-8	VANGUARD EUROPE PACIFIC ETF		07/05/2016	CHARLES SCHWAB	20,155.000	699,760	XXX	767,059	740,092	26,967			26,967		767,059		(67,299)	(67,299)	12,879	XXX	L	
922908-73-6	VANGUARD GROWTH ETF		07/05/2016	CHARLES SCHWAB	5,613.000	600,286	XXX	617,487	597,167	20,320			20,320		617,487		(17,201)	(17,201)	3,609	XXX	L	
9299999 - Common Stocks - Mutual Funds						1,300,046	XXX	1,384,546	1,337,259	47,287	0	0	47,287	0	1,384,546	0	(84,499)	(84,499)	16,488	XXX	XXX	
9799997 - Subtotals - Common Stocks - Part 4						2,583,287	XXX	2,721,792	2,649,191	6,648	0	0	6,648	0	2,721,792	0	(138,506)	(138,506)	53,409	XXX	XXX	
9799999 - Subtotals - Common Stocks						2,583,287	XXX	2,721,792	2,649,191	6,648	0	0	6,648	0	2,721,792	0	(138,506)	(138,506)	53,409	XXX	XXX	
9899999 - Subtotals - Preferred and Common Stocks						2,597,616	XXX	2,735,499	2,663,183	6,363	0	0	6,363	0	2,735,499	0	(137,883)	(137,883)	53,806	XXX	XXX	
9999999 Totals						6,676,002	XXX	6,880,907	4,990,783	6,363	(4,457)	0	0	1,906	0	6,828,077	0	(152,075)	(152,075)	126,503	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05.2

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
NONE							
8699999 Total Cash Equivalents					0	0	0