



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019
OF THE CONDITION AND AFFAIRS OF THE

Providence Washington Insurance Company

NAIC Group Code 04725 , 04725 NAIC Company Code 24295 Employer's ID Number 05-0204450
(Current Period) (Prior Period)

Organized under the Laws of Rhode Island , State of Domicile or Port of Entry Rhode Island

Country of Domicile United States

Incorporated/Organized 01/05/1799 Commenced Business 02/01/1799

Statutory Home Office 475 Kilvert Street, Suite 330 , Warwick, RI, US 02886
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 475 Kilvert Street, Suite 330 Warwick, RI, US 02886 401-453-7000
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 475 Kilvert Street, Suite 330 , Warwick, RI, US 02886
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 475 Kilvert Street, Suite 330 Warwick, RI, US 02886 401-453-7101
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.enstargroup.com

Statutory Statement Contact Teresa Marie Reali 401-453-7101
(Name) (Area Code) (Telephone Number) (Extension)

teresa.reali@enstargroup.com 401-453-7354
(E-Mail Address) (Fax Number)

OFFICERS

Name	Title	Name	Title
<u>PAUL MICHAEL JAMES BROCKMAN</u>	<u>President / CEO / Chairman</u>	<u>THOMAS JOHN BALKAN</u>	<u>Corporate Secretary</u>
<u>TERESA MARIE REALI</u>	<u>Treasurer and Vice President</u>	<u>JENNIFER MIU</u>	<u>Chief Financial Officer</u>

OTHER OFFICERS

<u>RICHARD SEELINGER</u>	<u>Senior Vice President</u>	<u>ROBERT FRANCIS REDPATH</u>	<u>Senior Vice President</u>
<u>NADJA STAVENTHAGEN</u>	<u>Assistant Secretary</u>	<u>SHARON FLETCHER</u>	<u>Assistant Vice President</u>

DIRECTORS OR TRUSTEES

<u>RICHARD SEELINGER</u>	<u>TERESA MARIE REALI</u>	<u>JENNIFER MIU</u>	<u>ROBERT FRANCIS REDPATH</u>
<u>PAUL MICHAEL JAMES BROCKMAN</u>			

State ofRhode Island.....

County ofKent.....ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

PAUL MICHAEL JAMES BROCKMAN
President / CEO / Chairman

THOMAS JOHN BALKAN
Corporate Secretary

TERESA MARIE REALI
Treasurer and Vice President

a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

Subscribed and sworn to before me this
6th day of November, 2019

Deborah Marquis, Notary
February 17, 2023

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	37,282,734		37,282,734	95,744,846
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$967,134), cash equivalents (\$5,810,355) and short-term investments (\$ 0)	6,777,489		6,777,489	3,493,535
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	5,768,816		5,768,816	11,164,249
9. Receivables for securities	164,406		164,406	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	49,993,445	0	49,993,445	110,402,630
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	261,901		261,901	747,865
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection			0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	2,398,734
16.2 Funds held by or deposited with reinsured companies	52,793		52,793	186,792
16.3 Other amounts receivable under reinsurance contracts	4,316,840		4,316,840	2,604,313
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset	7,397,452	5,892,119	1,505,333	1,505,332
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	5,266,399		5,266,399	0
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other-than-invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	67,288,829	5,892,119	61,396,710	117,845,666
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	67,288,829	5,892,119	61,396,710	117,845,666
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. EQUITIES AND DEPOSITS IN POOLS AND ASSOCIATIONS			0	0
2502. RECOVERABLE ON RETROACTIVE REINSURANCE -CEDED			0	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$)		0
2. Reinsurance payable on paid losses and loss adjustment expenses	4,315,968	3,946,475
3. Loss adjustment expenses		0
4. Commissions payable, contingent commissions and other similar charges		(3,946,475)
5. Other expenses (excluding taxes, licenses and fees)	10,416,139	9,546,453
6. Taxes, licenses and fees (excluding federal and foreign income taxes)		0
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		0
7.2 Net deferred tax liability		0
8. Borrowed money \$ and interest thereon \$		0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)		0
10. Advance premium		0
11. Dividends declared and unpaid:		
11.1 Stockholders		0
11.2 Policyholders		0
12. Ceded reinsurance premiums payable (net of ceding commissions)		0
13. Funds held by company under reinsurance treaties	28,383,457	70,114,971
14. Amounts withheld or retained by company for account of others		0
15. Remittances and items not allocated		0
16. Provision for reinsurance (including \$ certified)	1,340,045	1,340,045
17. Net adjustments in assets and liabilities due to foreign exchange rates		0
18. Drafts outstanding		0
19. Payable to parent, subsidiaries and affiliates	1,306,092	18,174,789
20. Derivatives	0	0
21. Payable for securities	299,980	0
22. Payable for securities lending		0
23. Liability for amounts held under uninsured plans		0
24. Capital notes \$ and interest thereon \$		0
25. Aggregate write-ins for liabilities	(1,750,508)	(1,750,508)
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	44,311,173	97,425,750
27. Protected cell liabilities		0
28. Total liabilities (Lines 26 and 27)	44,311,173	97,425,750
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	5,021,200	5,021,200
31. Preferred capital stock		0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		0
34. Gross paid in and contributed surplus	80,329,828	80,329,828
35. Unassigned funds (surplus)	(68,265,491)	(64,931,112)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)	0	0
36.2 shares preferred (value included in Line 31 \$)		0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	17,085,537	20,419,916
38. Totals (Page 2, Line 28, Col. 3)	61,396,710	117,845,666
DETAILS OF WRITE-INS		
2501. RETROACTIVE REINSURANCE RESERVES ASSUMED		0
2502. RETROACTIVE REINSURANCE RESERVES CEDED	(410,463)	(410,463)
2503. BAD DEBT PROVISION REINSURANCE	(1,340,045)	(1,340,045)
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	(1,750,508)	(1,750,508)
2901.		0
2902.		0
2903.		0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0
3201.		0
3202.		0
3203.		0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$0)	0	0	0
1.2 Assumed (written \$)	146	360	417
1.3 Ceded (written \$)	146	32	37,897,298
1.4 Net (written \$0)	0	328	(37,896,881)
DEDUCTIONS:			
2. Losses incurred (current accident year \$):			
2.1 Direct	(854,968)	2,976,035	(2,910,960)
2.2 Assumed	(1,305,076)	(1,807,281)	(2,449,714)
2.3 Ceded	(2,160,044)	2,035,895	27,279,191
2.4 Net	0	(867,141)	(32,639,865)
3. Loss adjustment expenses incurred	696	554,915	(3,467,647)
4. Other underwriting expenses incurred	2,000,526	2,261,210	4,257,685
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	2,001,222	1,948,984	(31,849,827)
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	(2,001,222)	(1,948,656)	(6,047,054)
INVESTMENT INCOME			
9. Net investment income earned	1,316,668	3,224,396	4,098,381
10. Net realized capital gains (losses) less capital gains tax of \$	1,053,072	(1,173,487)	(1,173,471)
11. Net investment gain (loss) (Lines 9 + 10)	2,369,740	2,050,909	2,924,910
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)		0	0
13. Finance and service charges not included in premiums		0	0
14. Aggregate write-ins for miscellaneous income	(4,481)	621,344	3,345,387
15. Total other income (Lines 12 through 14)	(4,481)	621,344	3,345,387
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	364,037	723,597	223,243
17. Dividends to policyholders		0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	364,037	723,597	223,243
19. Federal and foreign income taxes incurred		0	0
20. Net income (Line 18 minus Line 19)(to Line 22)	364,037	723,597	223,243
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	20,419,917	47,938,474	47,938,475
22. Net income (from Line 20)	364,037	723,597	223,243
23. Net transfers (to) from Protected Cell accounts		0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$	(2,525,510)	581,532	638,494
25. Change in net unrealized foreign exchange capital gain (loss)		0	0
26. Change in net deferred income tax		0	(143,963)
27. Change in nonadmitted assets		0	437,526
28. Change in provision for reinsurance		0	150,528
29. Change in surplus notes		0	0
30. Surplus (contributed to) withdrawn from protected cells		0	0
31. Cumulative effect of changes in accounting principles		0	0
32. Capital changes:			
32.1 Paid in		0	0
32.2 Transferred from surplus (Stock Dividend)		0	0
32.3 Transferred to surplus		0	0
33. Surplus adjustments:			
33.1 Paid in		0	(30,762,225)
33.2 Transferred to capital (Stock Dividend)		0	0
33.3 Transferred from capital		0	0
34. Net remittances from or (to) Home Office		0	0
35. Dividends to stockholders		(12,824,792)	0
36. Change in treasury stock		0	0
37. Aggregate write-ins for gains and losses in surplus	(1,172,907)	0	1,937,839
38. Change in surplus as regards policyholders (Lines 22 through 37)	(3,334,380)	(11,519,663)	(27,518,558)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	17,085,537	36,418,811	20,419,917
DETAILS OF WRITE-INS			
0501.		0	0
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0
1401. OTHER INCOME (EXPENSE)	32	635,750	684,336
1402. RETROACTIVE REINSURANCE RESERVE - CEDED	2,142,631	11,463,183	7,555,771
1403. RETROACTIVE REINSURANCE RESERVE - ASSUMED	(2,147,144)	(11,477,589)	(8,002,531)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	3,107,811
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	(4,481)	621,344	3,345,387
3701. CHANGE IN ADDITIONAL PENSION LIABILITY	(1,172,907)	0	1,937,839
3702. Prior Period Adjustment		0	0
3703.		0	0
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	(1,172,907)	0	1,937,839

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	0	1,560	(38,288,406)
2. Net investment income	1,819,326	3,448,923	4,302,174
3. Miscellaneous income	2,733,833	632,263	811,927
4. Total (Lines 1 to 3)	4,553,159	4,082,746	(33,174,305)
5. Benefit and loss related payments	1,548,613	2,989,599	850,273
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	(2,848,339)	4,112,467	11,688,357
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	1	1	1
10. Total (Lines 5 through 9)	(1,299,725)	7,102,066	12,538,630
11. Net cash from operations (Line 4 minus Line 10)	5,852,884	(3,019,320)	(45,712,936)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	60,727,090	63,519,083	65,230,604
12.2 Stocks	0	0	1,300
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	10,285,656	2,229,212	2,795,381
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	135,575	0	1
12.8 Total investment proceeds (Lines 12.1 to 12.7)	71,148,320	65,748,295	68,027,286
13. Cost of investments acquired (long-term only):			
13.1 Bonds	3,832,012	34,918,630	36,373,961
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	4,845,721	0	0
13.6 Miscellaneous applications	0	250,000	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	8,677,733	35,168,630	36,373,961
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	62,470,587	30,579,665	31,653,325
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	(30,762,225)
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	12,824,792	0
16.6 Other cash provided (applied).....	(65,039,517)	(15,166,706)	41,939,409
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(65,039,517)	(27,991,498)	11,177,184
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	3,283,954	(431,153)	(2,882,427)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,493,534	6,375,961	6,375,961
19.2 End of period (Line 18 plus Line 19.1)	6,777,489	5,944,808	3,493,534

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying statutory financial statements of Providence Washington Insurance Company (the "Company") have been prepared in conformity with accounting practices prescribed or permitted by the Rhode Island Department of Business Regulation (RIDBR).

The RIDBR recognizes only statutory accounting practices prescribed or permitted by the state of Rhode Island for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under Rhode Island Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual version effective January 1, 2001, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Rhode Island.

The Rhode Island Insurance Department has the right to permit specific practices that deviate from prescribed practices.

Net Income	State of Domicile	September 30, 2019	December 31, 2018
The Company's state basis (page 4, Line 20, Columns 1 & 3)	Rhode Island	364,037	223,243
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
NAIC SAP (1-2-3=4)	Rhode Island	<u>364,037</u>	<u>223,243</u>
Surplus			
The Company's state basis (page 3, Line 37, Columns 1 & 2)	Rhode Island	17,085,537	20,419,916
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
NAIC SAP (5-6-7=8)	Rhode Island	<u>17,085,537</u>	<u>20,419,916</u>

B. Use of estimates in the preparation of the financial statements

No material change

C. Accounting Policy

Loan-backed bonds and structured securities are stated at either amortized cost or the lower of amortized cost or fair market value. The constant yield scientific method including anticipated prepayment assumptions is used to value all securities. Changes in current prepayment assumptions are accounted for using the prospective method.

2. Accounting Changes and Corrections of Errors

Not applicable

3. Business Combinations and Goodwill

Not applicable

4. Discontinued Operations

Not applicable

5. Investments

A. Mortgage Loans

Not applicable

B. Debt Restructuring

Not applicable

C. Reverse Mortgages

Not applicable

D. Loan-Backed and Structured Securities

- (1) Our asset manager uses a proprietary model for loss assumptions and widely accepted models for prepayment assumptions in valuing mortgage-backed and asset-backed securities with inputs from major third party data providers. It combines the effects of interest rates, volatility, and prepayment speeds based on Monte Carlo simulation with credit loss analysis and resulting effective analytics (spreads, duration, and convexity) and cash-flows are reported to clients on a monthly basis. Model assumptions are specific to asset class and collateral types and are regularly evaluated and adjusted where appropriate.
- (2) The Company did not recognize any other-than-temporary-impairment during the current year.
- (3) The Company did not recognize any other-than-temporary-impairment during the current year.
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains)

NOTES TO FINANCIAL STATEMENTS

(a) Aggregate amount of unrealized losses:

1. Less than twelve months	\$	157,029
2. Twelve months or longer	\$	2,093

(a) Aggregate fair value of securities with unrealized losses:

1. Less than twelve months	\$	254,427
2. Twelve months or longer	\$	293,340

(5) There are a number of factors that are considered in determining if there is not an other-than-temporary impairment on an investment, including but not limited to, debt burden, credit ratings sector, liquidity, financial flexibility, company management, expected earnings and cash flow stream, and economic prospects associated with the investment.

E. Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

H. Repurchase Agreements Transactions Accounted for as Sale

Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as Sale

Not applicable

J. Offsetting and Netting of Assets and Liabilities

Not applicable

K. Structured Notes

Not applicable

L. Restricted Assets

No material change

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

Not applicable

O. Structured Notes

Not applicable

P. 5* Securities

No material change

Q. Short Sales

Not applicable

R. Prepayment Penalty and Acceleration Fees

No material change

6. Joint Ventures, Partnerships and Limited Liability Companies

Not applicable

7. Investment income

Not applicable

NOTES TO FINANCIAL STATEMENTS

8. Derivative Instruments

Not applicable

9. Income Taxes

No material change

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. Nature of Relationships

No material change

B. Detail of Transactions greater than ½% of Admitted Assets

No material change

C. Change in Terms of Intercompany Arrangements

No material change

D. Amount Due to or From Related Parties

Amount Due to or From Related Parties

At September 30, 2019, the Company reported \$3,960,307 due (to)/from affiliates. All intercompany balances are settled within 45 days.

<u>Affiliate</u>	<u>September 30, 2019</u>
Enstar (US) Inc	(771,301)
Enstar Group Limited	(13,473)
Fitzwilliam #30 – PWIC Reciprocal	(479,373)
Paladin Managed Care Services	(41,945)
Yosemite Insurance Company	5,266,399
Total	3,960,307

E. Guarantees or Undertakings for Related Parties

Not applicable

F. Management, Service Contracts, Cost Sharing Arrangements

No material change.

G. Nature of Relationships that could affect Operations

No material change.

H. Amount Deducted for Investment in Upstream Company\

No material change.

I. Detail of Investments in Affiliates greater than 10% of Admitted Assets

Not applicable.

J. Write-downs for Impairment of Investments in Affiliates

Not applicable.

K. Foreign Insurance Subsidiary Valued Using CARVM

Not applicable.

L. Downstream Holding Company Valued Using Look-Through Method

Not applicable.

11. Debt

Not applicable

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No material change

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

(1) No material change

NOTES TO FINANCIAL STATEMENTS

(2) No material change

(3) No material change

(4) \$17,937,433 Extra Ordinary Dividend declared on December 31, 2018 was settled on February 15, 2019.

(5) No material change

(6) No material change

(7) No material change

(8) No material change

(9) No material change

(10) Change in Unassigned Funds

The portion of unassigned funds (surplus) represented unrealized capital losses of \$2,525,510. Change in additional pension liability offset by net income of \$364,037.

(11) No material change

(12) No material change

(13) No material change

14. Contingencies

No material change

15. Leases

No material change

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentration of Credit Risk

Not applicable

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not applicable

18. Gain or Loss from Uninsured A&H Plans and Uninsured Portion of Partially Insured Plans

Not applicable

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable

20. Fair Value Measurements

A.

(1) Asset Measured at Fair Value - Recurring Basis

Description	Level 1	Level 2	Level 3	Total
a. Asset on balance sheet at fair value				
Cash Equivalents	5,810,355			5,810,355
Bonds	—	233,926	—	233,926
Common Stocks	—	—	—	—
Short-term Investment	—	—	—	—
Other Assets	—	—	5,068,814	5,068,814
Total assets at Fair Value	5,810,355	233,926	5,068,814	11,113,095

b. Liabilities on balance sheet at fair value

Not applicable

(2) Asset Measured at Fair Value Using Unobservable Inputs (Level 3)

Type	Ending Bal. as of Prior Quarter End	Transfer In/Out Level 3	Total gain/(loss) in Net Income	Total gain/(loss) in Surplus	Purchases/Sales/Settlements	Ending Bal. Current Quarter End
Bonds	—	—	—	—	—	—
Other Invested Assets	10,023,290	—	2,661,730	(2,448,958)	(5,167,246)	5,068,816
Total	10,023,290	—	2,661,730	(2,448,958)	(5,167,246)	5,068,816

NOTES TO FINANCIAL STATEMENTS

(3) Policy on Transfers Into and Out of Level 3

The Company's policy is to recognize transfers in and out at the end of the reporting period, consistent with the date of the determination of fair value

(4) Inputs and Techniques Used for Level 2 and Level 3 Fair Values

- Level 1- Fair value measurements of the equity securities and derivatives within this category are based on quoted prices in active markets and are thus classified as Level 1.
- Level 2 - Fair value measurements of these items were determined by independent pricing services using observable inputs. Others were based on quotes from markets which were not considered actively traded. The Company has no assets or liabilities measured at fair value in Level 2.
- Level 3 - Fair value measurements of these items use significant inputs that are unobservable. The Company has no assets or liabilities measured at fair value in Level 3.

(5) Derivative Fair Values

Not applicable

B. Other Fair Value Disclosures

Not Applicable.

C. Aggregated Fair Value by Hierarchy Level

The table below shows the fair values of all financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and ventures).

Type of Finance Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 39,217,178	\$ 37,282,734	—	\$ 39,217,178	\$ —	—
Common Stock	—	—	—	—	—	—
Cash Equivalents	5,810,355	5,810,355	5,810,355	—	—	—
Other Invested Assets	542,629	700,000	—	—	542,629	—

D. Items for which Not Practicable to Estimate Fair Values

Not applicable.

21. Other Items

No material change

22. Events Subsequent

No material change

23. Reinsurance

No material change

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

No material change

25. Changes in Incurred Losses and Loss Adjustment Expenses

The Company has no incurred losses, as of September 30, 2019, due to the NRLA agreement with Yosemite Insurance Company.

26. Intercompany Pooling Arrangements

Not applicable

27. Structured Settlements

No material change

28. Health Care Receivables

Not applicable

29. Participating Accident and Health Policies

Not applicable

30. Premium Deficiency Reserves

No material change

31. High Deductibles

NOTES TO FINANCIAL STATEMENTS

No material change

32. Discounting of Liabilities for Unpaid Losses and Unpaid Loss Adjustment Expenses

No material change

33. Asbestos and Environmental Reserves

No material change

34. Subscriber Savings Accounts

Not applicable

35. Multiple Peril Crop Insurance

Not applicable

36. Financial Guaranty Insurance

Not applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes No
- 1.2 If yes, has the report been filed with the domiciliary state? Yes No
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes No
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes No
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes No
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes No
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....1363829
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes No
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes No NA
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).06/30/2015
- 6.4 By what department or departments?
RI Department of Business Regulation / Insurance Division.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes No NA
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes No NA
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes No
- 7.2 If yes, give full information:
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes No
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes No
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... Yes No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:..... \$

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$

13. Amount of real estate and mortgages held in short-term investments: \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$
14.22 Preferred Stock	\$0	\$
14.23 Common Stock	\$0	\$
14.24 Short-Term Investments	\$0	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No

If no, attach a description with this statement.

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$0
16.3 Total payable for securities lending reported on the liability page	\$0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon.....	BNY Mellon Center, 500 Grant St, Pittsburgh, PA 15258.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
DWS Investment Management America, Inc.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s assets?

Yes [X] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s assets?

Yes [X] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
104518.....	DWS Investment Management America, Inc.....	549300T0V6WM1SRW072.....	Securities Exchange Commission.....	NO.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [X] No []

18.2 If no, list exceptions:

.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or

- a. PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes [] No [X]

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
NONE						

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date – Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	L	0	204	408	48,223	78,953
2. Alaska	AK	L	0	308,261	598,688	4,389,562	5,282,881
3. Arizona	AZ	L	0	50,052	25,816	1,399,371	1,702,904
4. Arkansas	AR	L	0	0	0	0	0
5. California	CA	L	0	375,356	2,071,097	5,992,536	9,973,808
6. Colorado	CO	L	0	51	1,574	19,424	38,839
7. Connecticut	CT	L	0	29,891	316	2,242,678	2,263,645
8. Delaware	DE	L	0	0	0	3	0
9. Dist. Columbia	DC	L	0	0	0	0	1,885
10. Florida	FL	L	0	0	0	11,866	13,177
11. Georgia	GA	L	0	32,662	6,729	111,763	157,465
12. Hawaii	HI	N	0	0	0	0	0
13. Idaho	ID	L	0	(244)	(78)	0	0
14. Illinois	IL	L	0	0	0	835,025	861,570
15. Indiana	IN	L	0	3,820	4,420	3,949	13,068
16. Iowa	IA	L	0	0	0	0	0
17. Kansas	KS	L	0	0	0	0	0
18. Kentucky	KY	L	0	0	44,188	1,029,182	307,142
19. Louisiana	LA	L	0	15,767	26,030	106,953	106,953
20. Maine	ME	L	0	0	25,055	266	266
21. Maryland	MD	L	0	0	0	0	0
22. Massachusetts	MA	L	0	55,156	36,845	1,185,911	1,185,911
23. Michigan	MI	L	0	0	2,398	273,134	282,115
24. Minnesota	MN	L	0	0	0	0	0
25. Mississippi	MS	L	0	2,549	1,436	24,239	30,355
26. Missouri	MO	L	0	0	0	0	0
27. Montana	MT	L	0	0	0	23	145
28. Nebraska	NE	L	0	0	0	0	0
29. Nevada	NV	L	0	0	0	0	0
30. New Hampshire	NH	L	0	1,420	1,625	23,235	27,141
31. New Jersey	NJ	L	0	11,065	12,804	1,771,286	1,841,250
32. New Mexico	NM	L	0	0	0	0	0
33. New York	NY	L	0	300,501	202,682	5,605,331	6,812,806
34. No. Carolina	NC	L	0	9,306	8,508	199,098	224,541
35. No. Dakota	ND	L	0	0	0	0	0
36. Ohio	OH	L	0	(75)	(297)	95,423	95,831
37. Oklahoma	OK	L	0	0	0	0	0
38. Oregon	OR	L	0	8,066	3,760	854,039	1,054,074
39. Pennsylvania	PA	L	0	42,304	68,655	502,453	656,985
40. Rhode Island	RI	L	0	5,801	5,133	229,366	237,906
41. So. Carolina	SC	L	0	0	0	0	0
42. So. Dakota	SD	L	0	0	(30)	0	0
43. Tennessee	TN	L	0	14,131	27,771	632,401	687,031
44. Texas	TX	L	0	27,128	99,024	2,410,681	2,511,413
45. Utah	UT	N	0	342	1,308	88,573	137,735
46. Vermont	VT	L	0	0	0	0	0
47. Virginia	VA	L	0	0	0	0	0
48. Washington	WA	L	0	647,300	2,875,724	5,795,199	7,919,842
49. West Virginia	WV	L	0	0	0	0	0
50. Wisconsin	WI	L	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0
59. Totals	XXX	0	0	1,940,814	6,151,589	34,588,063	44,507,637
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG48 R – Registered – Non-domiciled RRGs 0
 E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile – See DSLI) 0 Q – Qualified – Qualified or accredited reinsurer 0
 D – Domestic Surplus Lines Insurer (DSLI) – Reporting entities authorized to write surplus lines in the state of domicile0 N – None of the above – Not allowed to write business in the state9

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000			1363829	NASDAQ	Enstar Group Limited	BMU	UIP		Ownership, Board, Management			N	.0
00000		00000					Enstar Australia Holdings Pty Limited	AUS	NIA	Enstar Asia Pacific Pty Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					AG Australia Holdings Limited	AUS	NIA	Enstar Australia Holdings Pty Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Kenmare Holdings Ltd	BMU	NIA	Enstar Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Shelbourne Group Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					B.H. Acquisition Limited	BMU	NIA	Enstar USA, Inc	Ownership, Board, Management	90.8	Enstar Group Limited	N	.0
00000		00000					B.H. Acquisition Limited	BMU	NIA	Enstar Limited	Ownership, Board, Management	9.2	Enstar Group Limited	N	.0
00000		00000					Laguna Life Holdings SARL	LUX	NIA	Kenmare Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar (EU) Holdings Limited	GBR	NIA	Enstar Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Rombalds Run-Off Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Acquisitions Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cavell Holdings Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Northshore Holdings Limited	BMU	NIA	North Bay Holdings Limited	Ownership, Board, Management	93.9	Enstar Group Limited	N	.0
00000		00000					Northshore Holdings Limited	BMU	NIA	Atrium Nominees Limited	Ownership, Board, Management	6.1	Enstar Group Limited	N	.0
00000		00000					Enstar (US Asia-Pac) Holdings Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Harper Holding SARL	LUX	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Goshawk Insurance Holdings Limited	GBR	NIA	Enstar Acquisitions Limited	Ownership, Board, Management	99.6	Enstar Group Limited	N	.0
00000		00000	20-0683870				Enstar Holdings (US) LLC	DE	NIA	Enstar USA, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	63-0590560				Enstar USA, Inc	GA	NIA	Enstar (US Asia-Pac) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	59-2686314				Enstar Financial Services, Inc.	FL	NIA	Enstar USA, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Australia Limited	AUS	NIA	Enstar Australia Holdings Pty Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Australia Pty Limited	AUS	NIA	Enstar Australia Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Limited	BMU	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Insurance Management Services Ireland Limited	IRL	NIA	Enstar Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Insurance & Reinsurance Services Europe Limited	IRL	NIA	Enstar Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar (EU) Limited	GBR	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore (UK) Limited	GBR	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Asia Pte. Limited	SGP	NIA	Enstar Asia Pacific Pty Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Kinsale Brokers Limited	GBR	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Regis Agencies Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	20-0683870				Enstar (US) Inc.....	DE	NIA	Enstar Holdings (US) LLC.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000	20-0683950				Cranmore (US) Inc.....	DE	NIA	Enstar Holdings (US) LLC.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					SGL No.3 Limited.....	GBR	IA	Shelbourne Group Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					SGL No.1 Limited.....	GBR	IA	Shelbourne Group Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Gordian Runoff Limited.....	AUS	IA	AG Australia Holdings Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Inter-Ocean Reinsurance (Ireland) Limited.....	IRL	IA	Cavello Bay Reinsurance Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Enhanced Reinsurance Limited.....	BMU	IA	Cavello Bay Reinsurance Limited.....	Ownership, Board, Management.....	47.4	Enstar Group Limited.....	N	.0
00000		00000					River Thames Insurance Company Limited.....	GBR	IA	Kenmare Holdings Ltd.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Guillamene Holdings Limited.....	IRL	IA	Kenmare Holdings Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Copper Coast Funds ICAV.....	IRL	NIA	Kenmare Holdings Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Fitzwilliam Insurance Limited.....	BMU	IA	Kenmare Holdings Ltd.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Mercantile Indemnity Company Limited.....	GBR	IA	Kenmare Holdings Ltd.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Goshawk Dedicated Limited.....	GBR	IA	Goshawk Insurance Holdings Limited.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
00000		00000					Harper Insurance Limited.....	BMU	IA	Harper Holding SARL.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0
04725	Enstar Grp	20532	52-0266645				Clarendon National Insurance Company.....	TX	IA	Enstar Holdings (US) LLC.....	Ownership, Board, Management.....	100.0	Enstar Group Limited.....	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
04725	Enstar Grp	24295	05-0204450				Providence Washington Insurance Company	RI	RE	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04794	One Thousand One	15563	43-1436329				Clear Spring Property and Casualty Company	TX	IA	Clear Spring PC Acquisition Corp	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	33-0904816				Paladin Managed Care Services, Inc	CA	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04725	Enstar Grp	79340	13-2686783				Pavonia Life Insurance Company of New York	NY	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					DLCM No. 1 Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					DLCM No. 2 Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					DLCM No. 3 Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Global Legacy Acquisition L.P	BMU	NIA	Cavello Bay Reinsurance Limited	Ownership, Board, Management	97.0	Enstar Group Limited	N	.0
00000		00000					Global Legacy Acquisition L.P	BMU	NIA	Enstar Limited	Ownership, Board, Management	1.0	Enstar Group Limited	N	.0
00000		00000					StarStone Specialty Holdings Limited	BMU	NIA	North Bay Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Alopuc Limited	GBR	NIA	Northshore Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Underwriting Group Limited	GBR	IA	Alopuc Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Risk Management Services (Washington) Limited	WA	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Risk Management Services (British Columbia) Ltd	CAN	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 5 Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Atrium Insurance Agency Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Group Services Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Underwriters Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Underwriting Holdings Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 1 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 2 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 3 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 4 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 6 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 7 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 8 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 9 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium 10 Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Nominees Limited	GBR	IA	Atrium Group Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					609 Capital Limited	GBR	IA	Atrium Underwriting Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Cavello Bay Reinsurance Limited	BMU	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Hong Kong Reinsurance Company Limited	HKG	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					East Point Reinsurance Company of Hong Kong Limited	HKG	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Arden Reinsurance Company Ltd	BMU	NIA	Northshore Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Corporate Capital 2 Limited	GBR	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Underwriting Limited	GBR	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Corporate Capital Limited	IRL	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Corporate Capital 1 Limited	GBR	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Bermuda Intermediaries Ltd	BMU	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Insurance SE	LIE	IA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Insurance Bermuda Limited	BMU	UDP	StarStone Specialty Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Finance Limited	GBR	UDP	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	26-3792997				StarStone US Holdings Inc	DE	UDP	StarStone Finance Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Specialty Insurance Company Escritorio de Representagao no Brasil Ltda	BRA	NIA	StarStone US Holdings Inc	Ownership, Board, Management	0.0	Enstar Group Limited	N	.0
00000		00000					StarStone Specialty Insurance Company Escritorio de Representagao no Brasil Ltda	BRA	NIA	StarStone Specialty Insurance Company	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
04725	Enstar Grp	44776	51-0335732				StarStone Specialty Insurance Company	DE	IA	StarStone US Holdings Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04725	Enstar Grp	25496	95-1429618				StarStone National Insurance Company	DE	IA	StarStone Specialty Insurance Company	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	26-3600669				StarStone US Services, Inc	NJ	NIA	StarStone US Holdings Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	10-1000118				StarStone US Intermediaries, Inc	NJ	NIA	StarStone US Services, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Insurance Services Limited	GBR	IA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Underwriting Services B.V	NLD	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Torus Business Solutions Private Ltd	IND	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Torus Business Solutions Private Ltd	IND	NIA	StarStone Finance Limited	Ownership, Board, Management	0.0	Enstar Group Limited	N	.0
00000		00000					Vander Haeghen & Co SA	BEL	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	99.9	Enstar Group Limited	N	.0
00000		00000					Vander Haeghen & Co SA	BEL	NIA	StarStone Finance Limited	Ownership, Board, Management	0.1	Enstar Group Limited	N	.0
00000		00000					Arena SA	BEL	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Arena SA	BEL	NIA	StarStone Finance Limited	Ownership, Board, Management	0.0	Enstar Group Limited	N	.0
00000		00000					North Bay Holdings Limited	BMU	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	59.0	Enstar Group Limited	N	.0
00000		00000					Alpha Insurance SA	BEL	IA	Harper Holdings SARL	Ownership, Board, Management	99.0	Enstar Group Limited	N	.0
00000		00000					Alpha Insurance SA	BEL	IA	Enstar (EU) Limited	Ownership, Board, Management	0.0	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Monument Insurance Group Limited	BMU	IA	Kenmare Holdings Ltd	Ownership, Board, Management	20.0	Enstar Group Limited	N	.0
00000		00000					Monument Midco Limited	BMU	IA	Monument Insurance Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Finco Limited	KY	IA	Monument Midco Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Re Limited	BMU	IA	Monument Finco Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Nordben Life and Pension Insurance Co. Limited	GGY	IA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Assurance DAC	IRL	IA	Monument Insurance DAC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance DAC	IRL	IA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Services Limited	IRL	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Laguna Life DAC	IRL	IA	Monument Assurance DAC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Inora Life DAC	IRL	IA	Lagua Life DAC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Assurance Belgium N.V.	BEL	IA	Bridge Strategic Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Belgium Services Sprl	BEL	NIA	Monument Assurance Belgium N.V.	Ownership, Board, Management	10.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Belgium Services Sprl	BEL	NIA	Monument Insurance Services Limited	Ownership, Board, Management	90.0	Enstar Group Limited	N	.0
00000		00000					Bridge Strategic Holdings Limited	GBR	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Knight Strategic Holdings Limited	GBR	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Robein Leven N.V.	NLD	IA	Knight Strategic Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Hypotheken B.V.	NLD	NIA	Robein Leven N.V.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Effectendienstveriening N.V.	NLD	NIA	Robein Leven N.V.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Vermogensopbouw B.V.	NLD	NIA	Robein Leven N.V.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cannon Strategic Holdings Limited	GBR	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Assurance Luxembourg S. A.	LUX	IA	Cannon Strategic Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Quality Insurance Services Luxembourg S.à.r.l.	LUX	IA	Monument Assurance Luxembourg S. A.	Ownership, Board, Management	25.0	Enstar Group Limited	N	.0
00000		00000	45-0587344				Dco LLC	VA	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1176572				Resource Development Gas Partners 1986-1 L.P.	CT	NIA	EFMG LLC	Ownership, Board, Management	29.3	Enstar Group Limited	N	.0
00000		00000	34-1635926				Flight Operations, Inc.	DE	NIA	Dco LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	16-1638371				CP Product, LLC	VA	NIA	Dco LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	13-3061467				Reinz Wisconsin Gasket LLC	DE	NIA	Dco LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1043482				BWDAC, Inc.	DE	NIA	Dco LLC	Ownership, Board, Management	95.0	Enstar Group Limited	N	.0
00000		00000	06-1043482				BWDAC, Inc.	DE	NIA	EFMG LLC	Ownership, Board, Management	5.0	Enstar Group Limited	N	.0
00000		00000	16-1638383				EFMG LLC	VA	NIA	Dco LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	06-1179038				Friction Materials, Inc.	MA	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1248286				Friction, Inc.	DE	NIA	Friction Material, Inc.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1121716				Brake Systems, Inc.	DE	NIA	Friction, Inc.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	94-1529218				EPE, Inc.	CA	NIA	Friction, Inc.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1181144				Prattville Mfg., Inc.	DE	NIA	Friction, Inc.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1188455				United Brake Systems, Inc.	DE	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Echlin Argentina S.A.	AR	NIA	Kenmare Holdings Ltd.	Ownership, Board, Management	99.9	Enstar Group Limited	N	.0
00000		00000	22-2598656				Lipe Corporation	DE	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Lipe Rollway Mexicana S.A. de C.V.	MEX	NIA	Kenmare Holdings Ltd.	Ownership, Board, Management	98.4	Enstar Group Limited	N	.0
00000		00000	62-1141811				Midland Brake, Inc.	DE	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Asia Pacific Pty Ltd.	AUS	JA	Enstar (US Asia-Pac) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Managing Agency Limited	GBR	NIA	Kenmare Holdings Ltd.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04794	One Thousand One	00000	81-4004263				Clear Spring PC Acquisition Corp.	DE	NIA	Clear Spring PC Holdings, LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04794	One Thousand One	00000	81-3986786				Clear Spring PC Holdings, LLC	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	20.0	Enstar Group Limited	N	.0
00000		00000	46-2416695				Diversus, Inc.	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	30.7	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	27-2302682				Andrews Outsource Solutions, LLC	FL	NIA	Diversus, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	47-4233575				Diversus Management, LLC	PA	NIA	Diversus, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	25-1866106				Gateway Risk Services, LLC	PA	NIA	Diversus, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	83-2168864				Positive Physicians Captive Insurance Company	NJ	NIA	Positive Physicians Insurance Company	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	83-0824448				Positive Physicians Holdings, Inc	PA	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	27.0	Enstar Group Limited	N	.0
00000		00000	83-2897202				Positive Physicians Insurance Company	PA	IA	Positive Physicians Holdings, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	36-4924114				Specialty Insurance Agency, LLC	PA	NIA	Diversus, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Malta Limited	MLT	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Europe BVBA	BEL	NIA	Cranmore Insurance & Reinsurance Services Europe Limited	Ownership, Board, Management	99.5	Enstar Group Limited	N	.0
00000		00000					Aerie Income LLC	DE	NIA	DCo LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04725	Enstar Grp	26220	94-1590201				Yosemite Insurance Company	OK	IA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		11054	43-1898350				Maiden Reinsurance North America, Inc	MO	IA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

12.10

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation

PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril		(594)	0.0	0.0
5. Commercial multiple peril		(694,247)	0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability -occurrence			0.0	0.0
11.2 Medical professional liability -claims made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation		2,499,990	0.0	0.0
17.1 Other liability occurrence		(2,877,281)	0.0	0.0
17.2 Other liability-claims made			0.0	0.0
17.3 Excess Workers' Compensation			0.0	0.0
18.1 Products liability-occurrence		(130,311)	0.0	0.0
18.2 Products liability-claims made			0.0	0.0
19.1,19.2 Private passenger auto liability		(667)	0.0	0.0
19.3,19.4 Commercial auto liability		350,100	0.0	0.0
21. Auto physical damage		(1,942)	0.0	0.0
22. Aircraft (all perils)			0.0	0.0
23. Fidelity		(16)	0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. TOTALS	0	(854,968)	0.0	0.0
DETAILS OF WRITE-INS				
3401.			0.0	0.0
3402.				
3403.				
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire	0		0
2. Allied lines	0		0
3. Farmowners multiple peril	0		0
4. Homeowners multiple peril	0		0
5. Commercial multiple peril	0		0
6. Mortgage guaranty	0		0
8. Ocean marine	0		0
9. Inland marine	0		0
10. Financial guaranty	0		0
11.1 Medical professional liability-occurrence	0		0
11.2 Medical professional liability-claims made	0		0
12. Earthquake	0		0
13. Group accident and health	0		0
14. Credit accident and health	0		0
15. Other accident and health	0		0
16. Workers' compensation	0		0
17.1 Other liability occurrence	0		0
17.2 Other liability-claims made	0		0
17.3 Excess Workers' Compensation	0		0
18.1 Products liability-occurrence	0		0
18.2 Products liability-claims made	0		0
19.1,19.2 Private passenger auto liability	0		0
19.3,19.4 Commercial auto liability	0		0
21. Auto physical damage	0		0
22. Aircraft (all perils)	0		0
23. Fidelity	0		0
24. Surety	0		0
26. Burglary and theft	0		0
27. Boiler and machinery	0		0
28. Credit	0		0
29. International	0		0
30. Warranty	0		0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. TOTALS	0	0	0
DETAILS OF WRITE-INS			
3401.	0		0
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0

NONE

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2019 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2016 + Prior0			.0				.0	.0	.0	.0
2. 20170			.0				.0	.0	.0	.0
3. Subtotals 2017 + prior0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. 20180			.0				.0	.0	.0	.0
5. Subtotals 2018 + prior0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. 2019	XXX	XXX	XXX	XXX		.0	XXX			.0	XXX	XXX	XXX
7. Totals	0	0	0	0	0	0	0	0	0	0	0	0	0
8. Prior Year-End Surplus As Regards Policy-holders	20,420										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 0.0	2. 0.0	3. 0.0
													Col. 13, Line 7 Line 8
													4. 0.0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	<u>Response</u>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....

Explanation:

Bar Code:

1.	 2 4 2 9 5 2 0 1 9 4 9 0 0 0 0 0 3
2.	 2 4 2 9 5 2 0 1 9 4 5 5 0 0 0 0 3
3.	 2 4 2 9 5 2 0 1 9 3 6 5 0 0 0 0 3
4.	 2 4 2 9 5 2 0 1 9 5 0 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

PQ004 Additional Aggregate Lines for Page 04 Line 14.
 *STMTINCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1404. BAD DEBT - REINSURANCE.....		0	3,107,811
1405.		0	0
1406.		0	0
1407.		0	0
1408.		0	0
1497. Summary of remaining write-ins for Line 14 from Page 04	0	0	3,107,811

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Current year change in encumbrances0	.0
4. Total gain (loss) on disposals0	.0
5. Deduct amounts received on disposals0	.0
6. Total foreign exchange change in book/adjusted carrying value0	.0
7. Deduct current year's other-than-temporary impairment recognized0	.0
8. Deduct current year's depreciation0	.0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)0	.0
10. Deduct total nonadmitted amounts0	.0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

NONE

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)0	.0
6. Total gain (loss) on disposals0	.0
7. Deduct amounts received on disposals0	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees0	.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest0	.0
10. Deduct current year's other-than-temporary impairment recognized0	.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)0	.0
12. Total valuation allowance0	.0
13. Subtotal (Line 11 plus Line 12)0	.0
14. Deduct total nonadmitted amounts0	.0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	11,164,249	13,076,241
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	4,845,721	.0
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)	(2,617,228)	883,390
6. Total gain (loss) on disposals	2,661,730	.0
7. Deduct amounts received on disposals	10,285,656	2,795,381
8. Deduct amortization of premium and depreciation0	.0
9. Total foreign exchange change in book/adjusted carrying value0	.0
10. Deduct current year's other-than-temporary impairment recognized0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	5,768,816	11,164,249
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	5,768,816	11,164,249

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	95,744,845	126,149,066
2. Cost of bonds and stocks acquired	3,832,013	36,373,961
3. Accrual of discount	13,051	35,199
4. Unrealized valuation increase (decrease)	91,718	(233,254)
5. Total gain (loss) on disposals	(1,608,658)	(1,185,114)
6. Deduct consideration for bonds and stocks disposed of	60,727,090	65,245,562
7. Deduct amortization of premium	63,145	163,110
8. Total foreign exchange change in book/adjusted carrying value0	.0
9. Deduct current year's other-than-temporary impairment recognized0	.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees0	13,658
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	37,282,734	95,744,845
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	37,282,734	95,744,845

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	32,939,583	937,068	1,062,980	80,920	32,082,118	32,939,583	32,894,591	75,520,755
2. NAIC 2 (a).....	3,931,091	302,819		(79,692)	3,852,118	3,931,091	4,154,218	19,685,847
3. NAIC 3 (a).....	790,762		623,778	66,941	557,590	790,762	233,926	538,244
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	37,661,436	1,239,886	1,686,757	68,169	36,491,826	37,661,436	37,282,734	95,744,846
PREFERRED STOCK								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	0				0	0	0	0
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	37,661,436	1,239,886	1,686,757	68,169	36,491,826	37,661,436	37,282,734	95,744,846

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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Schedule DA - Part 1

NONE

Schedule DA - Verification

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,125,022	5,021,250
2. Cost of cash equivalents acquired	22,330,245	77,350,693
3. Accrual of discount		4,033
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals.....		0
6. Deduct consideration received on disposals	18,644,913	80,250,954
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	5,810,355	2,125,022
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	5,810,355	2,125,022

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		City	State									
Joint, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Other - Unaffiliated												
000000-00-0	STEPSTONE E OPPORTUNITIES FUND, L.P.	USA		STEPSTONE E OPPORTUNITIES FUND, L.P.		07/01/2019		4,851,236	(5,516)		11,644,440	
2199999 - Joint, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Other - Unaffiliated												
								4,851,236	(5,516)	0	11,644,440	XXX
4499999 - Subtotals - Unaffiliated												
								4,851,236	(5,516)	0	11,644,440	XXX
4599999 - Subtotals - Affiliated												
								0	0	0	0	XXX
4699999 Totals												
								4,851,236	(5,516)	0	11,644,440	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances Prior Year	9-14 Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income	
		City	State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.							
Joint, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Other - Unaffiliated																				
000000-00-0	VARADERO PARTNERS LP	Chicago	IL	VARADERO PARTNERS LP	12/31/2016	09/01/2019	5,039,305	(2,672,053)					(2,672,053)	5,161,730	2,500,000		2,661,730	2,661,730		
000000-00-0	GOLDMAN SACHS VINTAGE FUND VI	Chicago	NY	GOLDMAN SACHS VINTAGE FUND VI	12/31/2016	09/01/2019	5,330,663	(1,747,508)					(1,747,508)	3,349,456	3,349,456		2,661,730	2,661,730	(2,622)	
2199999 - Joint, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Other - Unaffiliated																				
							10,369,968	(4,419,560)	0	0	0	0	(4,419,560)	8,511,186	5,849,456	0	2,661,730	2,661,730	(2,622)	
Any Other Class of Assets - Unaffiliated																				
193990-11-6	COLLEGE & UNIV FACILITY LOAN TRUST ONE CLASS B CE	USA		COLLEGE & UNIV FACILITY LOAN TRUST ONE C	12/06/1989	07/01/2019							0	.750			(750)	(750)		
4299999 - Any Other Class of Assets - Unaffiliated																				
							0	0	0	0	0	0	0	750	0	0	(750)	(750)	0	
4499999 - Subtotals - Unaffiliated																				
							10,369,968	(4,419,560)	0	0	0	0	(4,419,560)	8,511,936	5,849,456	0	2,660,980	2,660,980	(2,622)	
4599999 - Subtotals - Affiliated																				
							0	0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 Totals																				
							10,369,968	(4,419,560)	0	0	0	0	(4,419,560)	8,511,936	5,849,456	0	2,660,980	2,660,980	(2,622)	

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
Bonds - U.S. Governments									
912828-5D-8	UNITED STATES TREASURY		09/19/2019	JP MORGAN CHASE BANK/HSECSI	XXX	52,315	50,000	679	1
0599999 - Bonds - U.S. Governments						52,315	50,000	679	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
036752-AL-7	ANTHEM INC		09/04/2019	JP MORGAN SECURITIES LLC	XXX	149,819	150,000		2FE
09256B-AL-1	BLACKSTONE HOLDINGS FINANCE CO LLC		09/03/2019	MORGAN STANLEY CO	XXX	365,316	370,000		1FE
191216-CL-2	COCA-COLA CO		09/04/2019	Citigroup (SSB)	XXX	299,457	300,000		1FE
55336V-BG-4	MPLX LP		09/04/2019	Citigroup (SSB)	XXX	153,000	153,000		2FE
74153W-CL-1	PRICOA GLOBAL FUNDING I		09/16/2019	JP MORGAN SECURITIES LLC	XXX	219,980	220,000		1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,187,572	1,193,000	0	XXX
8399997 - Subtotals - Bonds - Part 3						1,239,886	1,243,000	679	XXX
8399999 - Subtotals - Bonds						1,239,886	1,243,000	679	XXX
9999999 Totals						1,239,886	XXX	679	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

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STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/Market Indicator ⁽⁶⁾
Bonds - U.S. Governments																					
36200A-QN-2	GN 595461 - RMBS		09/01/2019	Paydown	.XXX	.44	.44	.47	.45	.0	.0	.0	.0	.44	.0	.0	.0	.0	.2	11/15/2032	.1
36200B-3W-5	GN 596713 - RMBS		09/01/2019	Paydown	.XXX	2,272	2,272	2,427	2,286	(13)	.0	.0	(13)	2,272	.0	.0	.0	.0	.83	11/15/2032	.1
36200B-6C-6	GN 596767 - RMBS		09/01/2019	Paydown	.XXX	.303	.303	.306	.306	(3)	.0	.0	(3)	.303	.0	.0	.0	.0	.26	12/15/2032	.1
36200B-6N-2	GN 596777 - RMBS		09/01/2019	Paydown	.XXX	.534	.534	.560	.534	.0	.0	.0	.0	.534	.0	.0	.0	.0	.18	12/15/2032	.1
36200B-BZ-9	GN 595956 - RMBS		09/01/2019	Paydown	.XXX	.179	.179	.192	.180	.0	.0	.0	.0	.179	.0	.0	.0	.0	.7	12/15/2032	.1
36200D-ZB-8	GN 598470 - RMBS		09/01/2019	Paydown	.XXX	.31	.31	.34	.32	.0	.0	.0	.0	.31	.0	.0	.0	.0	.1	11/15/2032	.1
36200E-HZ-7	GN 598848 - RMBS		09/01/2019	Paydown	.XXX	14,134	14,134	15,089	14,252	(117)	.0	.0	(117)	14,134	.0	.0	.0	.0	.518	11/15/2032	.1
36201P-5K-7	GN 589550 - RMBS		09/01/2019	Paydown	.XXX	.72	.72	.77	.72	.0	.0	.0	.0	.72	.0	.0	.0	.0	.3	12/15/2032	.1
36201S-NW-5	GN 591805 - RMBS		09/01/2019	Paydown	.XXX	.41	.41	.44	.41	.0	.0	.0	.0	.41	.0	.0	.0	.0	.2	12/15/2032	.1
36201V-WZ-1	GN 594764 - RMBS		09/01/2019	Paydown	.XXX	.7	.7	.7	.7	.0	.0	.0	.0	.7	.0	.0	.0	.0	.0	12/15/2032	.1
36202D-XD-8	G2 003376 - RMBS		09/01/2019	Paydown	.XXX	3,846	3,846	4,029	3,939	(92)	.0	.0	(92)	3,846	.0	.0	.0	.0	.147	04/20/2033	.1
36202E-XT-1	G2 004290 - RMBS		09/01/2019	Paydown	.XXX	1,350	1,350	1,373	1,357	(6)	.0	.0	(6)	1,350	.0	.0	.0	.0	.40	11/20/2038	.1
36203L-F8-0	GN 352191 - RMBS		09/01/2019	Paydown	.XXX	.399	.399	.433	.400	(1)	.0	.0	(1)	.399	.0	.0	.0	.0	.19	05/15/2023	.1
36203L-SH-6	GN 352520 - RMBS		09/01/2019	Paydown	.XXX	.431	.431	.469	.432	(1)	.0	.0	(1)	.431	.0	.0	.0	.0	.20	11/15/2023	.1
36203N-GT-0	GN 354682 - RMBS		09/01/2019	Paydown	.XXX	1,253	1,253	1,359	1,259	(7)	.0	.0	(7)	1,253	.0	.0	.0	.0	.58	11/15/2023	.1
36206R-HK-5	GN 418834 - RMBS		09/01/2019	Paydown	.XXX	.133	.133	.138	.133	.0	.0	.0	.0	.133	.0	.0	.0	.0	.5	03/15/2029	.1
36208C-FW-2	GN 446681 - RMBS		09/01/2019	Paydown	.XXX	.136	.136	.149	.136	.0	.0	.0	.0	.136	.0	.0	.0	.0	.6	08/15/2028	.1
36208Y-C6-4	GN 464593 - RMBS		09/01/2019	Paydown	.XXX	.123	.123	.128	.125	(1)	.0	.0	(1)	.123	.0	.0	.0	.0	.5	02/15/2029	.1
36209F-CW-7	GN 469985 - RMBS		09/01/2019	Paydown	.XXX	.278	.278	.299	.279	(2)	.0	.0	(2)	.278	.0	.0	.0	.0	.11	02/15/2029	.1
36209H-4E-2	GN 472521 - RMBS		09/01/2019	Paydown	.XXX	.170	.170	.183	.172	(2)	.0	.0	(2)	.170	.0	.0	.0	.0	.7	04/15/2029	.1
36210F-D9-4	GN 490728 - RMBS		09/01/2019	Paydown	.XXX	.395	.395	.425	.397	(2)	.0	.0	(2)	.395	.0	.0	.0	.0	.16	10/15/2028	.1
36212D-56-0	GN 531047 - RMBS		09/01/2019	Paydown	.XXX	.782	.782	.835	.786	(4)	.0	.0	(4)	.782	.0	.0	.0	.0	.29	11/15/2032	.1
36213A-CC-6	GN 548267 - RMBS		09/01/2019	Paydown	.XXX	.5	.5	.5	.5	.0	.0	.0	.0	.5	.0	.0	.0	.0	.0	01/15/2032	.1
36213E-3U-8	GN 552611 - RMBS		09/01/2019	Paydown	.XXX	.64	.64	.69	.64	.0	.0	.0	.0	.64	.0	.0	.0	.0	.3	06/15/2032	.1
36213J-DP-7	GN 555510 - RMBS		09/01/2019	Paydown	.XXX	.4	.4	.4	.4	.0	.0	.0	.0	.4	.0	.0	.0	.0	.0	09/15/2031	.1
36294N-SC-3	GN 654915 - RMBS		09/16/2019	Paydown	.XXX	19,392	19,392	19,808	19,455	(63)	.0	.0	(63)	19,392	.0	.0	.0	.0	.839	06/15/2021	.1
36294S-Y2-7	GN 658729 - RMBS		09/01/2019	Paydown	.XXX	.969	.969	.990	.970	(1)	.0	.0	(1)	.969	.0	.0	.0	.0	.19	05/15/2022	.1
36295P-Y4-9	GN 676699 - RMBS		09/01/2019	Paydown	.XXX	5,818	5,818	6,128	5,836	(17)	.0	.0	(17)	5,818	.0	.0	.0	.0	.211	02/15/2023	.1
36295U-DH-1	GN 680604 - RMBS		09/01/2019	Paydown	.XXX	4,358	4,358	4,587	4,373	(15)	.0	.0	(15)	4,358	.0	.0	.0	.0	.159	12/15/2022	.1
36296K-K8-4	GN 693419 - RMBS		09/01/2019	Paydown	.XXX	12,922	12,922	13,636	12,993	(71)	.0	.0	(71)	12,922	.0	.0	.0	.0	.515	06/15/2023	.1
912828-5D-8	UNITED STATES TREASURY		07/01/2019	Adjustment	.XXX	(34,815)	(35,000)	(34,795)	(34,795)	.0	.0	.0	.0	(34,815)	.0	.0	.0	.0	(234)	09/30/2023	.1
0599999 - Bonds - U.S. Governments						35,633	35,447	39,061	36,073	0	(421)	0	(421)	0	35,633	0	0	0	2,531	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																					
31292L-5D-3	FH C04444 - RMBS		09/01/2019	Paydown	.XXX	1,885	1,885	1,962	1,937	(52)	.0	.0	(52)	1,885	.0	.0	.0	.0	.34	01/01/2043	.1
31306Y-R3-7	FH J21406 - RMBS		09/01/2019	Paydown	.XXX	1,048	1,048	1,094	1,069	(21)	.0	.0	(21)	1,048	.0	.0	.0	.0	.14	12/01/2027	.1
31324P-QD-9	FH Q13152 - RMBS		09/01/2019	Paydown	.XXX	3,040	3,040	3,179	3,135	(95)	.0	.0	(95)	3,040	.0	.0	.0	.0	.52	11/01/2042	.1
3132L6-AK-7	FH Y80910 - RMBS		09/01/2019	Paydown	.XXX	1,059	1,059	1,096	1,089	(30)	.0	.0	(30)	1,059	.0	.0	.0	.0	.25	12/01/2043	.1
313560-Z6-1	FEDERAL NATIONAL MORTGAGE ASSOCIATION		09/12/2019	Maturity @ 100.00	.XXX	850,000	850,000	851,471	850,309	(309)	.0	.0	(309)	850,000	.0	.0	.0	.0	14,875	09/12/2019	.1
31388A-RT-3	FN 599098 - RMBS		09/01/2019	Paydown	.XXX	.51	.51	.55	.51	.0	.0	.0	.0	.51	.0	.0	.0	.0	.2	12/01/2031	.1
31388N-DC-7	FN 609499 - RMBS		09/01/2019	Paydown	.XXX	.32	.32	.35	.32	.0	.0	.0	.0	.32	.0	.0	.0	.0	.1	10/01/2031	.1
31388U-K6-6	FN 615117 - RMBS		09/01/2019	Paydown	.XXX	.49	.49	.52	.49	.0	.0	.0	.0	.49	.0	.0	.0	.0	.2	11/01/2031	.1
3138AW-R0-8	FN A34994 - RMBS		09/01/2019	Paydown	.XXX	2,960	2,960	3,186	3,172	(212)	.0	.0	(212)	2,960	.0	.0	.0	.0	.80	11/01/2041	.1
3138EJ-RA-8	FN AL2280 - RMBS		09/01/2019	Paydown	.XXX	.819	.819	.868	.866	(47)	.0	.0	(47)	.819	.0	.0	.0	.0	.19	09/01/2042	.1
3138EK-FB-6	FN AL2861 - RMBS		09/01/2019	Paydown	.XXX	2,692	2,692	2,765	2,761	(69)	.0	.0	(69)	2,692	.0	.0	.0	.0	.56	12/01/2042	.1
3138EK-HJ-7	FN AL2932 - RMBS		09/01/2019	Paydown	.XXX	.619	.619	.648	.651	(29)	.0	.0	(29)	.619	.0	.0	.0	.0	.14	07/01/2042	.1
3138EN-3Z-2	FN AL5315 - RMBS		09/01/2019	Paydown	.XXX	3,149	3,149	3,326	3,319	(170)	.0	.0	(170)	3,149	.0	.0	.0	.0	.75	06/01/2042	.1
3138LS-L7-3	FN A02149 - RMBS		09/01/2019	Paydown	.XXX	1,023	1,023	1,083	1,083	(60)	.0	.0	(60)	1,023	.0	.0	.0	.0	.25	05/01/2042	.1
3138W9-HL-7	FN AS0234 - RMBS		09/01/2019	Paydown	.XXX	1,099	1,099	1,152	1,144	(46)	.0	.0	(46)	1,099	.0	.0	.0	.0	.21	08/01/2043	.1
31417E-GF-2	FN AB7397 - RMBS		09/01/2019	Paydown	.XXX	1,546	1,546	1,618	1,599	(53)	.0	.0	(53)	1,546	.0	.0	.0	.0	.29	12/01/2042	.1
31417G-Y6-7	FN AB9732 - RMBS		09/01/2019	Paydown	.XXX	3,637	3,637	3,605	3,612	24	.0	.0	24	3,637	.0	.0	.0	.0	.53	06/01/2028	.1
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						874,709	874,709	877,203	875,876	0	(1,168)	0	(1,168)	0	874,709	0	0	0	15,377	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
05530W-AA-7	BCAP 06AA2 A1 - RMBS	C.	09/25/2019	Paydown	.XXX	13,077	14,176	13,432	13,465	.711	.711	.711	.711	14,176	.0	.0	(1,100)	(1,100)	.242	01/25/2037	1FW
073879-KD-9	BSABS 04HE9 M2 - RMBS		09/25/2019	Paydown	.XXX	15,935	15,935	16,005	15,779	.467	.467	.467	.467	15,935	.0	.0	.0	.0	.473	11/25/2034	1FW
12593A-AW-5	COMM 15CC23 A1 - CMBS	C.	09/01/2019	Paydown	.XXX	22,161	22,161	22,160	22,153	.7	.7	.7	.7	22,161	.0	.0	.0	.0	.114	05/12/2048	1FW
126281-AW-4	CSAIL 15C1 A1 - CMBS		08/01/2019	Paydown	.XXX	5,387	5,387	5,387	5,387	.0	.0	.0	.0	5,387	.0	.0	.0	.0	.132	04/15/2050	1FW
17318U-AE-4																					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Administrative Symbol/Market Indicator ^(a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
94989H-AC-4	WFCM 15NKS1 A1 - CMBS		09/01/2019	Paydown	XXX	21,512	21,512	21,512	21,503		9	0	9	0	21,512		0	0	194	05/15/2048	1FM
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						659,414	777,638	774,747	689,160	85,819	1,438	0	87,256	0	776,416	0	(117,002)	(117,002)	20,680	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						1,569,755	1,687,794	1,691,011	1,601,109	85,819	(151)	0	85,667	0	1,686,757	0	(117,002)	(117,002)	38,589	XXX	XXX
8399999 - Subtotals - Bonds						1,569,755	1,687,794	1,691,011	1,601,109	85,819	(151)	0	85,667	0	1,686,757	0	(117,002)	(117,002)	38,589	XXX	XXX
9999999 Totals						1,569,755	XXX	1,691,011	1,601,109	85,819	(151)	0	85,667	0	1,686,757	0	(117,002)	(117,002)	38,589	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05.1

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

