



# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020  
OF THE CONDITION AND AFFAIRS OF THE

## Providence Washington Insurance Company

NAIC Group Code	04725	04725	NAIC Company Code	24295	Employer's ID Number	05-0204450
	(Current Period)	(Prior Period)				
Organized under the Laws of	Rhode Island		State of Domicile or Port of Entry	Rhode Island		
Country of Domicile	United States					
Incorporated/Organized	01/05/1799		Commenced Business	02/01/1799		
Statutory Home Office	475 Kilvert Street, Suite 330		Warwick, RI, US 02886			
	(Street and Number)		(City or Town, State, Country and Zip Code)			
Main Administrative Office	475 Kilvert Street, Suite 330		Warwick, RI, US 02886		401-453-7000	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Mail Address	475 Kilvert Street, Suite 330		Warwick, RI, US 02886			
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	475 Kilvert Street, Suite 330		Warwick, RI, US 02886		401-453-7101	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Internet Web Site Address	www.enstargroup.com					
Statutory Statement Contact	Teresa Marie Reali		401-453-7101			
	(Name)		(Area Code) (Telephone Number) (Extension)			
	teresa.reali@enstargroup.com		401-453-7354			
	(E-Mail Address)		(Fax Number)			

### OFFICERS

Name	Title	Name	Title
RICHARD WILLIAM SEELINGER	President / CEO / Chairman	THOMAS JOHN BALKAN	Corporate Secretary
TERESA MARIE REALI	Senior Vice President & Treasurer	JENNIFER MIU	Chief Financial Officer

### OTHER OFFICERS

MARK ANDREW KERN #	Senior Vice President	ROBERT FRANCIS REDPATH	Senior Vice President
NADJA STAVENTHAGEN	Assistant Secretary	SHARON FLETCHER	Vice President
VYACHESLAV KOLKER #	SVP-Tax Officer	MICHAEL WILLIAM SHEEHAN #	Senior Vice President
KENG MUI #	Senior Vice President	BEN ALLARD #	Vice President
JOSEPH MCNALLY #	Vice President		

### DIRECTORS OR TRUSTEES

RICHARD WILLIAM SEELINGER	TERESA MARIE REALI	JENNIFER MIU	ROBERT FRANCIS REDPATH
MARK ANDREW KERN #			

State of .....Rhode Island.....

County of .....Kent.....ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

RICHARD WILLIAM SEELINGER  
President / CEO / Chairman

THOMAS JOHN BALKAN  
Corporate Secretary

TERESA MARIE REALI  
Senior Vice President & Treasurer

a. Is this an original filing? Yes [X] No [ ]

Subscribed and sworn to before me this  
9th day of November, 2020

b. If no:  
1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

Stacey L. Nolan, Notary Public  
June 8, 2021

**STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company**

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	30,360,970		30,360,970	36,802,460
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....			0	0
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....1,448,090 ), cash equivalents (\$ .....1,749,589 ) and short-term investments (\$ ..... 0 ) .....	3,197,679		3,197,679	1,358,080
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....	0		0	0
8. Other invested assets .....	700,000		700,000	700,000
9. Receivables for securities .....	1,296,218		1,296,218	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	35,554,867	0	35,554,867	38,860,540
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	219,356		219,356	280,368
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	0		0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,405,622		1,405,622	2,367,182
16.2 Funds held by or deposited with reinsured companies .....	23,205		23,205	23,568
16.3 Other amounts receivable under reinsurance contracts .....	2,274,919		2,274,919	2,096,037
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	6,201,212	4,725,779	1,475,433	1,475,433
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	4,206,731		4,206,731	5,692,132
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other-than-invested assets .....	398,966	398,966	0	(1)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	50,284,878	5,124,745	45,160,133	50,795,259
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	50,284,878	5,124,745	45,160,133	50,795,259
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) .....	0	0	0	0
2501. RECOVERABLE ON RETROACTIVE REINSURANCE -CEDED .....	398,966	398,966	0	(1)
2502. ....			0	0
2503. ....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	398,966	398,966	0	(1)

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... )		0
2. Reinsurance payable on paid losses and loss adjustment expenses	3,769,139	4,483,935
3. Loss adjustment expenses	0	0
4. Commissions payable, contingent commissions and other similar charges	1,082	0
5. Other expenses (excluding taxes, licenses and fees)	599,563	664,704
6. Taxes, licenses and fees (excluding federal and foreign income taxes)		0
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses))	9,565	0
7.2 Net deferred tax liability		0
8. Borrowed money \$ ..... and interest thereon \$ .....		0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ ..... for medical loss ratio rebate per the Public Health Service Act)		0
10. Advance premium		0
11. Dividends declared and unpaid:		
11.1 Stockholders		0
11.2 Policyholders		0
12. Ceded reinsurance premiums payable (net of ceding commissions)		0
13. Funds held by company under reinsurance treaties	20,338,946	23,671,302
14. Amounts withheld or retained by company for account of others		0
15. Remittances and items not allocated		0
16. Provision for reinsurance (including \$ ..... certified)	864,986	864,986
17. Net adjustments in assets and liabilities due to foreign exchange rates		0
18. Drafts outstanding		0
19. Payable to parent, subsidiaries and affiliates	109,027	4,246,990
20. Derivatives	0	0
21. Payable for securities	966,340	299,980
22. Payable for securities lending		0
23. Liability for amounts held under uninsured plans		0
24. Capital notes \$ ..... and interest thereon \$ .....		0
25. Aggregate write-ins for liabilities	(855,920)	(864,986)
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	25,802,728	33,366,911
27. Protected cell liabilities		0
28. Total liabilities (Lines 26 and 27)	25,802,728	33,366,911
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	5,021,200	5,021,200
31. Preferred capital stock		0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		0
34. Gross paid in and contributed surplus	80,329,828	80,329,828
35. Unassigned funds (surplus)	(65,993,623)	(67,922,680)
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... )		0
36.2 ..... shares preferred (value included in Line 31 \$ ..... )		0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	19,357,405	17,428,348
38. Totals (Page 2, Line 28, Col. 3)	45,160,133	50,795,259
<b>DETAILS OF WRITE-INS</b>		
2501. OTHER LIABILITIES	(196,218)	402,506
2502. RETROACTIVE REINSURANCE RESERVES CEDED	205,284	(402,506)
2503. BAD DEBT PROVISION REINSURANCE	(864,986)	(864,986)
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	(855,920)	(864,986)
2901. ....		0
2902. ....		0
2903. ....		0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0
3201. ....		0
3202. ....		0
3203. ....		0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ .....0 )	0	0	0
1.2 Assumed (written \$ .....19 )	19	146	151
1.3 Ceded (written \$ .....19 )	19	146	151
1.4 Net (written \$ .....0 )	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$ .....):			
2.1 Direct	(3,849,587)	(854,968)	8,525,973
2.2 Assumed	(304,035)	(1,305,076)	(1,619,286)
2.3 Ceded	(4,153,622)	(2,160,044)	6,906,700
2.4 Net	0	0	(13)
3. Loss adjustment expenses incurred	0	696	0
4. Other underwriting expenses incurred	938,995	2,000,526	2,745,696
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	938,995	2,001,222	2,745,683
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	(938,995)	(2,001,222)	(2,745,683)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	832,462	1,316,668	1,701,778
10. Net realized capital gains (losses) less capital gains tax of \$	1,987,515	1,053,072	2,674,793
11. Net investment gain (loss) (Lines 9 + 10)	2,819,977	2,369,740	4,376,571
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ ..... amount charged off \$ .....)		0	0
13. Finance and service charges not included in premiums		0	0
14. Aggregate write-ins for miscellaneous income	303,381	(4,481)	(509,972)
15. Total other income (Lines 12 through 14)	303,381	(4,481)	(509,972)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	2,184,363	364,037	1,120,917
17. Dividends to policyholders		0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	2,184,363	364,037	1,120,917
19. Federal and foreign income taxes incurred	9,565	0	(92,574)
20. Net income (Line 18 minus Line 19)(to Line 22)	2,174,798	364,037	1,213,491
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	17,428,361	20,419,917	20,419,916
22. Net income (from Line 20)	2,174,798	364,037	1,213,491
23. Net transfers (to) from Protected Cell accounts		0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$	153,212	(2,525,510)	(4,248,570)
25. Change in net unrealized foreign exchange capital gain (loss)		0	0
26. Change in net deferred income tax		0	(1,196,239)
27. Change in nonadmitted assets	(398,966)	0	1,166,340
28. Change in provision for reinsurance		0	475,059
29. Change in surplus notes		0	0
30. Surplus (contributed to) withdrawn from protected cells		0	0
31. Cumulative effect of changes in accounting principles		0	0
32. Capital changes:			
32.1 Paid in		0	0
32.2 Transferred from surplus (Stock Dividend)		0	0
32.3 Transferred to surplus		0	0
33. Surplus adjustments:			
33.1 Paid in		0	0
33.2 Transferred to capital (Stock Dividend)		0	0
33.3 Transferred from capital		0	0
34. Net remittances from or (to) Home Office		0	0
35. Dividends to stockholders		0	0
36. Change in treasury stock		0	0
37. Aggregate write-ins for gains and losses in surplus	0	(1,172,907)	(401,636)
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,929,044	(3,334,380)	(2,991,555)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	19,357,405	17,085,537	17,428,361
<b>DETAILS OF WRITE-INS</b>			
0501. ....		0	0
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0
1401. OTHER INCOME (EXPENSE)	(95,586)	32	4,226
1402. RETROACTIVE REINSURANCE RESERVE - CEDED	2,673,920	2,142,631	8,054,719
1403. RETROACTIVE REINSURANCE RESERVE - ASSUMED	(2,274,953)	(2,147,144)	(8,095,379)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	(473,538)
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	303,381	(4,481)	(509,972)
3701. CHANGE IN ADDITIONAL PENSION LIABILITY		(1,172,907)	(401,636)
3702. ....		0	0
3703. ....		0	0
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	0	(1,172,907)	(401,636)

## CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	0	0	0
2. Net investment income .....	970,178	1,819,326	2,159,824
3. Miscellaneous income .....	(274,091)	2,733,833	161,528
4. Total (Lines 1 to 3) .....	696,087	4,553,159	2,321,352
5. Benefit and loss related payments .....	(246,765)	1,548,613	(569,012)
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	1,001,655	(2,848,339)	7,607,702
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses).....	0	1	0
10. Total (Lines 5 through 9) .....	754,890	(1,299,725)	7,038,691
11. Net cash from operations (Line 4 minus Line 10) .....	(58,803)	5,852,884	(4,717,339)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	32,889,703	60,727,090	64,771,955
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	10,285,656	15,311,574
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	2	0	0
12.7 Miscellaneous proceeds .....	0	135,575	299,980
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	32,889,705	71,148,320	80,383,509
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	24,385,590	3,832,012	7,370,677
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	4,845,721	4,851,236
13.6 Miscellaneous applications .....	629,858	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	25,015,449	8,677,733	12,221,913
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	7,874,256	62,470,587	68,161,596
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied).....	(5,975,852)	(65,039,517)	(65,579,714)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(5,975,852)	(65,039,517)	(65,579,714)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	1,839,601	3,283,954	(2,135,457)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	1,358,078	3,493,534	3,493,534
19.2 End of period (Line 18 plus Line 19.1) .....	3,197,679	6,777,489	1,358,078

## NOTES TO FINANCIAL STATEMENTS

**1. Summary of Significant Accounting Policies****A. Accounting Practices**

The accompanying statutory financial statements of Providence Washington Insurance Company (the "Company") have been prepared in conformity with accounting practices prescribed or permitted by the Rhode Island Department of Business Regulation (RIDBR).

The RIDBR recognizes only statutory accounting practices prescribed or permitted by the state of Rhode Island for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under Rhode Island Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual version effective January 1, 2001, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Rhode Island.

The Rhode Island Insurance Department has the right to permit specific practices that deviate from prescribed practices.

<b>Net Income</b>	<b>State of Domicile</b>	<b>September 30, 2020</b>	<b>December 31, 2019</b>
The Company's state basis (page 4, Line 20, Columns 1 & 3)	Rhode Island	2,174,798	1,213,491
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
NAIC SAP (1-2-3=4)	Rhode Island	2,174,798	1,213,491
<b>Surplus</b>			
The Company's state basis (page 3, Line 37, Columns 1 & 2)	Rhode Island	19,357,405	17,428,361
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
State prescribed practices that increase/(decrease) NAIC SAP:	Rhode Island	—	—
NAIC SAP (5-6-7=8)	Rhode Island	19,357,405	17,428,361

**B. Use of estimates in the preparation of the financial statements**

No material change.

**C. Accounting Policy**

Loan-backed bonds and structured securities are stated at either amortized cost or the lower of amortized cost or fair market value. The constant yield scientific method including anticipated prepayment assumptions is used to value all securities. Changes in current prepayment assumptions are accounted for using the prospective method.

**D. Going Concern**

No material change.

**2. Accounting Changes and Corrections of Errors**

Not applicable.

**3. Business Combinations and Goodwill**

Not applicable.

**4. Discontinued Operations**

Not applicable.

**5. Investments****A. Mortgage Loans, including Mezzanine Real Estate Loans**

Not applicable.

**B. Debt Restructuring**

Not applicable.

**C. Reverse Mortgages**

Not applicable.

**D. Loan-Backed Securities**

- (1) Our asset manager uses a proprietary model for loss assumptions and widely accepted models for prepayment assumptions in valuing mortgage-backed and asset-backed securities with inputs from major third party data providers. It combines the effects of interest rates, volatility, and prepayment speeds based on Monte Carlo simulation with credit loss analysis and resulting effective analytics (spreads, duration, and convexity) and cash-flows are reported to clients on a monthly basis. Model assumptions are specific to asset class and collateral types and are regularly evaluated and adjusted where appropriate.
- (2) The Company did not recognize any other-than-temporary-impairment during the current year.
- (3) The Company did not recognize any other-than-temporary-impairment during the current year.
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains)

## NOTES TO FINANCIAL STATEMENTS

(a) Aggregate amount of unrealized losses:

1. Less than twelve months	\$	157,523
1. Twelve months or longer	\$	6,484

(a) Aggregate fair value of securities with unrealized losses:

1. Less than twelve months	\$	1,136,232
1. Twelve months or longer	\$	218,730

(5) There are a number of factors that are considered in determining if there is not an other-than-temporary impairment on an investment, including but not limited to, debt burden, credit ratings sector, liquidity, financial flexibility, company management, expected earnings and cash flow stream, and economic prospects associated with the investment.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

J. Real Estate

Not applicable.

K. Low Income Housing Tax Credits (LIHTC)

Not applicable.

L. Restricted Assets

No material change.

M. Working Capital Finance Investments

Not applicable.

N. Offsetting and Netting of Assets and Liabilities

Not applicable.

O. 5GI Securities

No material change.

P. Short Sale

Not applicable.

Q. Prepayment Penalty and Acceleration Fees

No material change.

## 6. Joint Ventures, Partnerships and Limited Liability Companies

Not applicable.

## 7. Investment income

Not applicable.

## 8. Derivative Instruments

A. Derivatives under SSAP No. 86-Derivatives

Not applicable.

B. Derivatives under SASP No. 108-Derivatives Hedging Variable Annuity Guarantees (Life/Fraternal Only)

## NOTES TO FINANCIAL STATEMENTS

Not applicable.

**9. Income Taxes**

No material change.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No material change.

**11. Debt**

Not applicable.

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No material change.

**13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No material change.

**14. Contingencies**

No material change.

**15. Leases**

No material change.

**16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentration of Credit Risk**

Not applicable.

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

Not applicable.

**18. Gain or Loss from Uninsured A&H Plans and Uninsured Portion of Partially Insured Plans**

Not applicable.

**19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable.

**20. Fair Value Measurements**

A .

(1) Asset Measured at Fair Value - Recurring Basis

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Asset on balance sheet at fair value					
Bonds	—	—	—		—
Common Stocks	—	—	—		—
Short-term Investment	—	—	—		—
Other Assets	—	—	—		—
<b>Total assets at Fair Value</b>	—	—	—	—	—

b. Liabilities on balance sheet at fair value

Not applicable

(2) Asset Measured at Fair Value Using Unobservable Inputs (Level 3)

Type	Ending Bal. as of Prior Quarter End	Transfer In/Out Level 3	Total gain/(loss) in Net Income	Total gain/(loss) in Surplus	Purchases/Sales/Settlements	Ending Bal. Current Quarter End
Bonds	—	—	—	—	—	—
Other Invested Assets	—	—	—	—	—	—
<b>Total</b>	—	—	—	—	—	—

## NOTES TO FINANCIAL STATEMENTS

## (3) Policy on Transfers Into and Out of Level 3

The Company's policy is to recognize transfers in and out at the end of the reporting period, consistent with the date of the determination of fair value

## (4) Inputs and Techniques Used for Level 2 and Level 3 Fair Values

- Level 1 - Fair value measurements of the equity securities and derivatives within this category are based on quoted prices in active markets and are thus classified as Level 1.
- Level 2 - Fair value measurements of these items were determined by independent pricing services using observable inputs. Others were based on quotes from markets which were not considered actively traded. The Company has no assets or liabilities measured at fair value in Level 2.
- Level 3 - Fair value measurements of these items use significant inputs that are unobservable. The Company has no assets or liabilities measured at fair value in Level 3.

## (5) Derivative Fair Values

Not applicable.

## B. Other Fair Value Disclosures

Not Applicable.

## C. Aggregated Fair Value by Hierarchy Level

The table below shows the fair values of all financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and ventures).

Type of Finance Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 31,811,854	\$ 30,360,970	—	\$ 31,811,854	\$ —	—	—
Common Stock	—	—	—	—	—	—	—
Cash Equivalents	1,749,589	1,749,589	1,749,589	—	—	—	—
Other Invested Assets	496,252	700,000	—	—	496,252	—	—

## D. Items for which Not Practicable to Estimate Fair Values

Not applicable.

**21. Other Items**

The Oklahoma IBT Act allows the Oklahoma County District Court to order a Statutory Novation transferring business to an Oklahoma-domiciled insurer. On October 15, 2020, the final hearing was held and the District Court of Oklahoma County State of Oklahoma issued order and approval and implementation of the IBT Plan of the business of PW into Yosemite. Order is effective October 15, 2020.

The World Health Organization (WHO), on March 11, 2020, declared the coronavirus, COVID-19, outbreak as a global pandemic. The Company is closely monitoring the outbreak and actively assessing the potential impact to all stakeholders. The Company has a formal business continuity plan which is being continually reviewed in light of current developments and being actively deployed as events require, which includes office closures where required. From an employee wellbeing and business continuity perspective Enstar is proactively monitoring this outbreak by maintaining continuous dialogue regarding its status and issuing periodic updates and guidance to all staff on preventative measures to maintaining good health. The Company is not able to estimate the ultimate impact of the COVID-19 pandemic and the recent volatility in the financial markets will have on the Company's business, financial position, results of operations or liquidity in the future. As the situation evolves the Company is regularly assessing the impact on solvency capital in line with established risk metrics and in compliance with the Company's risk appetite.

**22. Events Subsequent**

No material change.

**23. Reinsurance**

No material change.

**24. Retrospectively Rated Contracts and Contracts Subject to Redetermination**

No material change.

**25. Changes in Incurred Losses and Loss Adjustment Expenses**

The Company has no incurred losses, as of September 30, 2020, due to the Net Retained Liability Agreement (NRLA) with Yosemite Insurance Company.

NOTES TO FINANCIAL STATEMENTS

**26. Intercompany Pooling Arrangements**

Not applicable.

**27. Structured Settlements**

No material change.

**28. Health Care Receivables**

Not applicable.

**29. Participating Accident and Health Policies**

Not applicable.

**30. Premium Deficiency Reserves**

No material change.

**31. High Deductibles**

No material change.

**32. Discounting of Liabilities for Unpaid Losses and Unpaid Loss Adjustment Expenses**

No material change.

**33. Asbestos and Environmental Reserves**

No material change.

**34. Subscriber Savings Accounts**

Not applicable.

**35. Multiple Peril Crop Insurance**

Not applicable.

**36. Financial Guaranty Insurance**

Not applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [X] No [ ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
Paul Brockman has resigned from all official positions, due to assuming new position within Enstar. Rich Seelinger has been appointed as President and CEO. Mark Kern and Michael Sheehan have been appointed as Senior Vice President, and Sharon Fletcher has been appointed as Vice President .....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group..... 1363829
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [X] NA [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ....12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....06/30/2020
- 6.4 By what department or departments?  
Rhode Island Department of Business Regulation.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [X] No [ ] NA [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] NA [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]
- 7.2 If yes, give full information:  
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
 .....

- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
 .....

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
 .....

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... Yes  No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:..... \$ .....0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No

11.2 If yes, give full and complete information relating thereto:  
 .....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....

13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....0	\$ .....
14.22 Preferred Stock .....	\$ .....0	\$ .....
14.23 Common Stock .....	\$ .....0	\$ .....
14.24 Short-Term Investments .....	\$ .....0	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ .....0	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No

- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  NA

If no, attach a description with this statement.

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ .....0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ .....0
16.3 Total payable for securities lending reported on the liability page	\$ .....0

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon.....	BNY Mellon Center, 500 Grant St, Pittsburgh, PA 15258.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? .....

Yes  No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [“...that have access to the investment accounts”; “...handle securities”]

1 Name of Firm or Individual	2 Affiliation
Wellington Management Company LLP.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s invested assets?

Yes  No

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s invested assets?

Yes  No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? .....

Yes  No

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?.....

Yes  No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?.....

Yes  No

## GENERAL INTERROGATORIES

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:.....
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [ ] No [X]



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
<b>NONE</b>						

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date – Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	L	0	386	204	48,391	48,223
2. Alaska	AK	L	0	423,577	308,261	3,729,348	4,389,562
3. Arizona	AZ	L	0	(126,086)	50,052	1,131,441	1,399,371
4. Arkansas	AR	L	0	0	0	0	0
5. California	CA	L	0	358,272	375,356	7,632,782	5,992,536
6. Colorado	CO	L	0	1,128	51	91,134	19,424
7. Connecticut	CT	L	0	0	29,891	586,861	2,242,678
8. Delaware	DE	L	0	0	0	137,331	3
9. Dist. Columbia	DC	L	0	0	0	0	0
10. Florida	FL	L	0	0	0	8,959	11,866
11. Georgia	GA	L	0	(20,684)	32,662	186,668	111,763
12. Hawaii	HI	N	0	0	0	0	0
13. Idaho	ID	L	0	0	(244)	0	0
14. Illinois	IL	L	0	0	0	858,508	835,025
15. Indiana	IN	L	0	4,839	3,820	14,513	3,949
16. Iowa	IA	L	0	0	0	0	0
17. Kansas	KS	L	0	0	0	0	0
18. Kentucky	KY	L	0	0	0	0	1,029,182
19. Louisiana	LA	L	0	0	15,767	0	0
20. Maine	ME	L	0	0	0	0	0
21. Maryland	MD	L	0	0	0	0	0
22. Massachusetts	MA	L	0	52,870	55,156	946,834	0
23. Michigan	MI	L	0	4,927	0	274,405	273,134
24. Minnesota	MN	L	0	0	0	0	0
25. Mississippi	MS	L	0	4,309	2,549	15,248	24,239
26. Missouri	MO	L	0	0	0	21,973	0
27. Montana	MT	L	0	0	0	0	23
28. Nebraska	NE	L	0	0	0	0	0
29. Nevada	NV	L	0	0	0	0	0
30. New Hampshire	NH	L	0	1,157	1,420	22,117	23,235
31. New Jersey	NJ	L	0	17,285	11,065	1,843,389	1,771,286
32. New Mexico	NM	L	0	0	0	0	0
33. New York	NY	L	0	1,109,522	300,501	5,575,714	5,605,331
34. No. Carolina	NC	L	0	7,245	9,306	191,910	199,098
35. No. Dakota	ND	L	0	0	0	0	0
36. Ohio	OH	L	0	0	(75)	82,400	95,423
37. Oklahoma	OK	L	0	0	0	3	0
38. Oregon	OR	L	0	(7,797)	8,066	831,033	854,039
39. Pennsylvania	PA	L	0	34,634	42,304	438,405	502,453
40. Rhode Island	RI	L	0	5,473	5,801	214,330	229,366
41. So. Carolina	SC	L	0	0	0	0	0
42. So. Dakota	SD	L	0	0	0	0	0
43. Tennessee	TN	L	0	14,242	14,131	622,261	632,401
44. Texas	TX	L	0	145,030	27,128	2,424,091	2,410,681
45. Utah	UT	N	0	0	342	77,124	88,573
46. Vermont	VT	L	0	0	0	0	0
47. Virginia	VA	L	0	0	0	0	0
48. Washington	WA	L	0	717,284	647,300	8,521,933	5,795,199
49. West Virginia	WV	L	0	0	0	0	0
50. Wisconsin	WI	L	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0
59. Totals	XXX	0	0	2,747,613	1,940,814	36,529,106	34,588,063
<b>DETAILS OF WRITE-INS</b>							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG .....48 R – Registered – Non-domiciled RRGs ..... 0  
 E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile – See DSLI) ..... 0 Q – Qualified – Qualified or accredited reinsurer ..... 0  
 D – Domestic Surplus Lines Insurer (DSLI) – Reporting entities authorized to write surplus lines in the state of domicile .....0 N – None of the above – Not allowed to write business in the state .....9



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					AG Australia Holdings Limited	AUS	NIA	Enstar Australia Holdings Pty Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Alopuc Limited	GBR	NIA	Northshore Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Alpha Insurance NV	BEL	IA	Harper Holding, S.à.r.l	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Arden Reinsurance Company Ltd	BMU	NIA	Northshore Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Arena SA	BEL	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Arena SA	BEL	NIA	StarStone Finance Limited	Ownership, Board, Management	0.0	Enstar Group Limited	N	.0
00000		00000					Atrium 5 Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Corporate Capital Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Group Services Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Insurance Agency Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Nominees Limited	GBR	IA	Atrium Group Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Risk Management Services (Washington) Limited	WA	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Underwriters Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Underwriting Group Limited	GBR	IA	Alopuc Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Atrium Underwriting Holdings Limited	GBR	IA	Atrium Underwriting Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					B.H. Acquisition Limited	BMU	NIA	Enstar USA, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1121716				Brake Systems, Inc	DE	NIA	Friction, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Bridge Strategic Holdings Limited	GBR	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1043482				BWDAC, Inc	DE	NIA	Dco LLC	Ownership, Board, Management	95.0	Enstar Group Limited	N	.0
00000		00000	06-1043482				BWDAC, Inc	DE	NIA	EFMG LLC	Ownership, Board, Management	5.0	Enstar Group Limited	N	.0
00000		00000					Cannon Strategic Holdings Limited	GBR	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cavello Bay Reinsurance Limited	BMU	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Citco III Limited	CYM	NIA	HH CTCO Holdings, Ltd	Ownership, Board, Management	6.2	Enstar Group Limited	N	.0
04725	Enstar Grp	20532	52-0266645				Clarendon National Insurance Company	TX	IA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04794	Group One Thousand One	00000	81-4004263				Clear Spring PC Acquisition Corp	DE	NIA	Clear Spring PC Holdings, LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04794	Group One Thousand One	00000	81-3986786				Clear Spring PC Holdings, LLC	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	20.0	Enstar Group Limited	N	.0
04794	Group One Thousand One	15563	43-1436329				Clear Spring Property and Casualty Company	TX	IA	Clear Spring PC Acquisition Corp	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	16-1638371				CP Product, LLC	VA	NIA	Dco LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore (UK) Limited	GBR	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	20-0683950				Cranmore (US) Inc	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Asia Pacific Pty Limited	AUS	NIA	Enstar Australia Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

12.1

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Cranmore Asia Pte. Limited	SGP	NIA	Enstar Asia Pacific Pty Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Europe BVBA	BEL	NIA	Cranmore Insurance & Reinsurance Services Europe Limited	Ownership, Board, Management	99.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Europe BVBA	BEL	NIA	Alpha Insurance SA	Ownership, Board, Management	1.0	Enstar Group Limited	N	.0
00000		00000					Cranmore Insurance & Reinsurance Services Europe Limited	IRL	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	45-0587344				Dco LLC	VA	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	47-4233575				Diversus Management, LLC	PA	NIA	Diversus, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	46-2416695				Diversus, Inc	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	29.5	Enstar Group Limited	N	.0
00000		00000					East Point Reinsurance Company of Hong Kong Limited	HKG	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Echlin Argentina S.A	ARG	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	99.9	Enstar Group Limited	N	.0
00000		00000	16-1638383				EFMG LLC	VA	NIA	Dco LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enhanced Reinsurance Limited	BMU	IA	Cavello Bay Reinsurance Limited	Ownership, Board, Management	47.4	Enstar Group Limited	N	.0
00000		00000					Enstar (EU) Holdings Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar (EU) Limited	GBR	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar (US Asia-Pac) Holdings Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	20-0683870				Enstar (US) Inc	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Acquisitions Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Enstar Asia Pacific Pty Ltd	AUS	IA	Enstar (US Asia-Pac) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Australia Holdings Pty Limited	AUS	NIA	Enstar Asia Pacific Pty Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Australia Limited	AUS	NIA	Enstar Australia Holdings Pty Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	84-4965738				Enstar Finance LLC	DE	NIA	Enstar USA, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000			0001363829	NASDAQ	Enstar Group Limited	BMU	UIP		Ownership, Board, Management			N	.0
00000		00000	20-0684031				Enstar Holdings (US) LLC	DE	NIA	Enstar USA, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Insurance Management Services Ireland Limited	IRL	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Limited	BMU	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Malta Limited	MLT	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Enstar Managing Agency Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	63-0590560				Enstar USA, Inc	GA	NIA	Enstar (US Asia-Pac) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	94-1529218				EPE, Inc	CA	NIA	Friction, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Fitzwilliam Insurance Limited	BMU	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		11054	43-1898350				Fletcher Reinsurance Company	MO	IA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	34-1635926				Flight Operations, Inc	DE	NIA	DCo LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	06-1248286				Friction Inc.	DE	NIA	Friction Material, Inc.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1179038				Friction Materials, Inc.	MA	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	25-1866106				Gateway Risk Services, LLC	PA	NIA	Diversus, Inc.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Global Legacy Acquisition L.P.	BMU	NIA	Cavello Bay Reinsurance Limited	Ownership, Board, Management	97.0	Enstar Group Limited	N	.0
00000		00000					Global Legacy Acquisition L.P.	BMU	NIA	Enstar Limited	Ownership, Board, Management	1.0	Enstar Group Limited	N	.0
00000		00000					Gordian Runoff Limited	AUS	IA	AG Australia Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Goshawk Insurance Holdings Limited	GBR	NIA	Enstar Acquisitions Limited	Ownership, Board, Management	99.6	Enstar Group Limited	N	.0
00000		00000					Harper Holding, S.à r.l.	LUX	NIA	Kenmare Holdings Ltd.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Harper Insurance Limited	BMU	IA	Kenmare Holdings Ltd.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					HH CTCO Holdings, Ltd.	CYM	NIA	Cavello Bay Reinsurance Limited	Ownership, Board, Management	31.9	Enstar Group Limited	N	.0
00000		00000					Hong Kong Reinsurance Company Limited	HKG	IA	Kenmare Holdings Ltd.	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Inora Life DAC	IRL	IA	Monument Life Insurance DAC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Inter-Ocean Reinsurance (Ireland) Limited	IRL	IA	Cavello Bay Reinsurance Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Kenmare Holdings Ltd	BMU	NIA	Enstar Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Kinsale Brokers Limited	GBR	NIA	Enstar (EU) Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Knight Strategic Holdings Limited	GBR	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	22-2598656				Lipe Corporation	DE	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Lipe Rollway Mexicana S.A. de C.V.	MEX	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	98.4	Enstar Group Limited	N	.0
00000		00000					Mercantile Indemnity Company Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	62-1141811				Midland Brake, Inc	DE	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Assurance Belgium N.V.	BEL	IA	Bridge Strategic Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Assurance Luxembourg S. A.	LUX	IA	Cannon Strategic Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Assurance Services Luxembourg S.à. r.l.	LUX	IA	Monument Assurance Luxembourg S. A.	Ownership, Board, Management	25.0	Enstar Group Limited	N	.0
00000		00000					Monument Finco Limited	CYM	IA	Monument Midco Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Holdings Limited	BMU	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Belgium Services Sprl	BEL	NIA	Monument Assurance Belgium N.V.	Ownership, Board, Management	10.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Belgium Services Sprl	BEL	NIA	Monument Insurance Services Limited	Ownership, Board, Management	90.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Group Limited	BMU	IA	Cavello Bay Reinsurance Limited	Ownership, Board, Management	20.0	Enstar Group Limited	N	.0
00000		00000					Monument Insurance Services Limited	IRL	NIA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Life Insurance DAC	IRL	IA	Monument Assurance DAC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Midco Limited	BMU	IA	Monument Insurance Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Monument Re Limited	BMU	IA	Monument Finco Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Re Services (UK) Ltd	GBR	NIA	Greycastle Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Segregated Account Company Limited	BMU	IA	Greycastle Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Trinity A Designated Activity Company	IRL	IA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Monument Trinity B Designated Activity Company	IRL	IA	Monument Insurance DAC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	13-3404507				Morse TEC LLC	DE	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Nordben Life and Pension Insurance Co. Limited	GGY	IA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					North Bay Holdings Limited	BMU	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	59.0	Enstar Group Limited	N	.0
00000		00000					Northshore Holdings Limited	BMU	NIA	North Bay Holdings Limited	Ownership, Board, Management	92.1	Enstar Group Limited	N	.0
00000		00000					Northshore Holdings Limited	BMU	NIA	Atrium Nominees Limited	Ownership, Board, Management	7.9	Enstar Group Limited	N	.0
00000		00000					Omega Life DAC	IRL	IA	Monument Re Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	33-0904816				Paladin Managed Care Services, Inc	CA	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04725	Enstar Grp	79340	13-2686783				Pavonia Life Insurance Company of New York	NY	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	83-2168864				Positive Physicians Captive Insurance Company	NJ	IA	Positive Physicians Insurance Company	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	83-0824448			0001752039 NASDAQ	Positive Physicians Holdings, Inc	PA	NIA	Enstar Holdings (US) LLC	Ownership, Board, Management	27.0	Enstar Group Limited	N	.0
00000		00000	83-2897202				Positive Physicians Insurance Company	PA	IA	Positive Physicians Holdings, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000	06-1181144				Prattville Mfg., Inc	DE	NIA	Friction, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
04725	Enstar Grp	24295	05-0204450				Providence Washington Insurance Company	RI	RE	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Regis Agencies Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	13-3061467				Reinz Wisconsin Gasket LLC	DE	NIA	DCo LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	06-1176572				Resource Development Gas Partners 1986-1 L.P.	CT	NIA	EFMG LLC	Ownership	29.3	Enstar Group Limited	N	.0
00000		00000					River Thames Insurance Company Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Effectendienstverlening N.V	NLD	NIA	Robein Leven N.V	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Hypotheken B.V	NLD	NIA	Robein Leven N.V	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Leven N.V	NLD	IA	Knight Strategic Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Robein Vermogensopbouw B.V	NLD	NIA	Robein Leven N.V	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Rombalds Run-Off Limited	GBR	IA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					SGL No.1 Limited	GBR	IA	Shelbourne Group Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Shelbourne Group Limited	GBR	NIA	Kenmare Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000	36-4924114				Specialty Insurance Agency LLC	PA	IA	Diversus, Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Bermuda Intermediaries Ltd	BMU	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					StarStone Corporate Capital 1 Limited	GBR	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					StarStone Corporate Capital Limited	IRL	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Finance Limited	GBR	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Insurance Bermuda Limited	BMU	IA	StarStone Specialty Holdings Ltd	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Insurance SE	LIE	IA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Insurance Services Limited	GBR	IA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
04725	Enstar Grp	25496	95-1429618				StarStone National Insurance Company	DE	IA	StarStone Specialty Insurance Company	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Specialty Holdings Limited	BMU	NIA	North Bay Holdings Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
04725	Enstar Grp	44776	51-0335732				StarStone Specialty Insurance Company	DE	IA	StarStone US Holdings Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Specialty Insurance Company Escritorio de Representagao no Brasil Ltda	BRA	NIA	StarStone US Holdings Inc	Ownership, Board, Management	0.0	Enstar Group Limited	N	0
00000		00000					StarStone Specialty Insurance Company Escritorio de Representagao no Brasil Ltda	BRA	NIA	StarStone Specialty Insurance Company	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Underwriting Limited	GBR	NIA	StarStone Insurance Bermuda Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					StarStone Underwriting Services B.V	NLD	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000	26-3792997				StarStone US Holdings Inc	DE	NIA	StarStone Finance Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000	27-0173350				StarStone US Intermediaries, Inc	NJ	NIA	StarStone US Holdings Inc	Ownership, Board, Management	100.0	Enstar Group Limited	N	0
00000		00000					Torus Business Solutions Private Ltd	IND	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	100.0	Enstar Group Limited	N	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00000		00000					Torus Business Solutions Private Ltd.	IND	NIA	StarStone Finance Limited	Ownership, Board, Management	0.0	Enstar Group Limited	N	.0
00000		00000	06-1188455				United Brake Systems, Inc.	DE	NIA	EFMG LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0
00000		00000					Vander Haeghen & Co SA	BEL	NIA	StarStone Insurance Services Limited	Ownership, Board, Management	89.9	Enstar Group Limited	N	.0
00000		00000					Vander Haeghen & Co SA	BEL	NIA	StarStone Finance Limited	Ownership, Board, Management	0.1	Enstar Group Limited	N	.0
04725	Enstar Grp	26220	94-1590201				Yosemite Insurance Company	OK	IA	Enstar Holdings (US) LLC	Ownership, Board, Management	100.0	Enstar Group Limited	N	.0

12.9

Asterisk	Explanation

**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril		(300)	0.0	0.0
5. Commercial multiple peril		(223,193)	0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability -occurrence			0.0	0.0
11.2 Medical professional liability -claims made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation		1,468,982	0.0	0.0
17.1 Other liability occurrence		(6,662,190)	0.0	0.0
17.2 Other liability-claims made			0.0	0.0
17.3 Excess Workers' Compensation			0.0	0.0
18.1 Products liability-occurrence		1,518,226	0.0	0.0
18.2 Products liability-claims made			0.0	0.0
19.1,19.2 Private passenger auto liability		(483)	0.0	0.0
19.3,19.4 Commercial auto liability		50,000	0.0	0.0
21. Auto physical damage		(603)	0.0	0.0
22. Aircraft (all perils)			0.0	0.0
23. Fidelity		(26)	0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. TOTALS	0	(3,849,587)	0.0	0.0
<b>DETAILS OF WRITE-INS</b>				
3401.			0.0	0.0
3402.				
3403.				
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire	0		0
2. Allied lines	0		0
3. Farmowners multiple peril	0		0
4. Homeowners multiple peril	0		0
5. Commercial multiple peril	0		0
6. Mortgage guaranty	0		0
8. Ocean marine	0		0
9. Inland marine	0		0
10. Financial guaranty	0		0
11.1 Medical professional liability-occurrence	0		0
11.2 Medical professional liability-claims made	0		0
12. Earthquake	0		0
13. Group accident and health	0		0
14. Credit accident and health	0		0
15. Other accident and health	0		0
16. Workers' compensation	0		0
17.1 Other liability occurrence	0		0
17.2 Other liability-claims made	0		0
17.3 Excess Workers' Compensation	0		0
18.1 Products liability-occurrence	0		0
18.2 Products liability-claims made	0		0
19.1,19.2 Private passenger auto liability	0		0
19.3,19.4 Commercial auto liability	0		0
21. Auto physical damage	0		0
22. Aircraft (all perils)	0		0
23. Fidelity	0		0
24. Surety	0		0
26. Burglary and theft	0		0
27. Boiler and machinery	0		0
28. Credit	0		0
29. International	0		0
30. Warranty	0		0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. TOTALS	0	0	0
<b>DETAILS OF WRITE-INS</b>			
3401.	0		0
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2017 + Prior .....			.0			.0				.0	.0	.0	.0
2. 2018 .....			.0			.0				.0	.0	.0	.0
3. Subtotals 2018 + prior .....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. 2019 .....			.0			.0				.0	.0	.0	.0
5. Subtotals 2019 + prior .....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. 2020 .....	XXX	XXX	XXX	XXX		.0	XXX			.0	XXX	XXX	XXX
7. Totals .....	0	0	0	0	0	0	0	0	0	0	0	0	0
8. Prior Year-End Surplus As Regards Policy-holders	17,428										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 0.0	2. 0.0	3. 0.0
													Col. 13, Line 7 Line 8
													4. 0.0

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	<u>Response</u>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	.....NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	.....NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	.....NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	.....NO.....

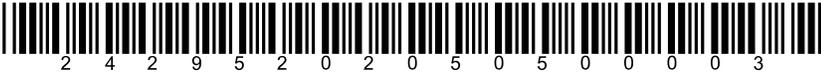
**Explanation:**

**Bar Code:**

1.   
 2 4 2 9 5 2 0 2 0 4 9 0 0 0 0 0 3

2.   
 2 4 2 9 5 2 0 2 0 4 5 5 0 0 0 0 3

3.   
 2 4 2 9 5 2 0 2 0 3 6 5 0 0 0 0 3

4.   
 2 4 2 9 5 2 0 2 0 5 0 5 0 0 0 0 3

**OVERFLOW PAGE FOR WRITE-INS**

PQ004 Additional Aggregate Lines for Page 04 Line 14.  
 \*STMTINCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1404. BAD DEBT - REINSURANCE.....		0	(473,538)
1405. ....		0	0
1406. ....		0	0
1407. ....		0	0
1408. ....		0	0
1497. Summary of remaining write-ins for Line 14 from Page 04	0	0	(473,538)

**SCHEDULE A – VERIFICATION**

**Real Estate**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		0
2.2 Additional investment made after acquisition .....		0
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		0
5. Deduct amounts received on disposals .....		0
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other-than-temporary impairment recognized .....		0
8. Deduct current year's depreciation .....		0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	0	0
10. Deduct total nonadmitted amounts .....	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

NONE

**SCHEDULE B – VERIFICATION**

**Mortgage Loans**

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		0
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		0
6. Total gain (loss) on disposals .....		0
7. Deduct amounts received on disposals .....		0
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		0
10. Deduct current year's other-than-temporary impairment recognized .....		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Total valuation allowance .....		0
13. Subtotal (Line 11 plus Line 12) .....	0	0
14. Deduct total nonadmitted amounts .....	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

**SCHEDULE BA – VERIFICATION**

**Other Long-Term Invested Assets**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	700,000	11,164,249
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		4,851,236
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		(4,342,119)
6. Total gain (loss) on disposals .....		4,338,208
7. Deduct amounts received on disposals .....		15,311,574
8. Deduct amortization of premium and depreciation .....		0
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other-than-temporary impairment recognized .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	700,000	700,000
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	700,000	700,000

**SCHEDULE D – VERIFICATION**

**Bonds and Stocks**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	36,802,459	95,744,845
2. Cost of bonds and stocks acquired .....	24,385,590	7,370,677
3. Accrual of discount .....	8,143	15,901
4. Unrealized valuation increase (decrease) .....	153,212	93,549
5. Total gain (loss) on disposals .....	1,987,513	(1,570,840)
6. Deduct consideration for bonds and stocks disposed of .....	32,889,703	64,771,955
7. Deduct amortization of premium .....	86,246	79,717
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other-than-temporary impairment recognized .....		0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	30,360,969	36,802,459
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	30,360,969	36,802,459

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	22,779,214	7,206,100	9,240,730	(28,765)	30,742,109	22,779,214	20,715,819	32,267,175
2. NAIC 2 (a).....	8,822,592	3,358,965	2,522,410	(13,997)	4,535,125	8,822,592	9,645,150	4,535,285
3. NAIC 3 (a).....	0				0	0	0	0
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	31,601,806	10,565,065	11,763,140	(42,761)	35,277,234	31,601,806	30,360,970	36,802,460
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0				0	0	0	0
9. NAIC 2.....	0				0	0	0	0
10. NAIC 3.....	0				0	0	0	0
11. NAIC 4.....	0				0	0	0	0
12. NAIC 5.....	0				0	0	0	0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	31,601,806	10,565,065	11,763,140	(42,761)	35,277,234	31,601,806	30,360,970	36,802,460

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ..... ; NAIC 2 \$ ..... ;  
NAIC 3 \$ ..... ; NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

S102

Schedule DA - Part 1

**NONE**

Schedule DA - Verification

**NONE**

Schedule DB - Part A - Verification

**NONE**

Schedule DB - Part B - Verification

**NONE**

Schedule DB - Part C - Section 1

**NONE**

Schedule DB - Part C - Section 2

**NONE**

Schedule DB - Verification

**NONE**

**SCHEDULE E – PART 2 – VERIFICATION**  
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	416,334	2,125,022
2. Cost of cash equivalents acquired .....	17,609,349	41,055,807
3. Accrual of discount .....	28	0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals.....	2	0
6. Deduct consideration received on disposals .....	16,276,124	42,764,495
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other-than-temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,749,589	416,334
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	1,749,589	416,334

Schedule A - Part 2

**NONE**

Schedule A - Part 3

**NONE**

Schedule B - Part 2

**NONE**

Schedule B - Part 3

**NONE**

Schedule BA - Part 2

**NONE**

Schedule BA - Part 3

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Governments</b>									
912810-SN-9	UNITED STATES TREASURY		09/30/2020	Various	XXX	576,639	595,000	2,439	1
912810-SP-4	UNITED STATES TREASURY		09/09/2020	DBC-FI	XXX	518,003	525,000	530	1
912828-ZY-9	UNITED STATES TREASURY		08/21/2020	FEDERAL RESERVE BANK OF BOSTON	XXX	249,697	250,000	34	1
91282C-AE-1	UNITED STATES TREASURY		09/03/2020	DBC-FI	XXX	675,369	675,000	275	1
91282C-AF-8	UNITED STATES TREASURY		08/21/2020	DBC-FI	XXX	99,875	100,000	3	1
<b>0599999 - Bonds - U.S. Governments</b>						2,119,583	2,145,000	3,280	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>									
3137FU-ZX-5	FHMS K-112 X1 - CMBS		07/23/2020	BARCLAYS CAPITAL INC FIXED INC	XXX	65,187		674	1
3137FV-50-1	FREDDIE MAC - CMO		07/31/2020	FREIMARK BLAIR & COMPANY, INC/	XXX	89,196	770,000	159	1
3137FW-G9-5	FHMS K-115 X1 - CMBS		09/10/2020	FUNB FUNDS II	XXX	42,094		238	1
<b>3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>						196,477	770,000	1,072	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
00206R-MC-3	AT&T INC		09/18/2020	Unknown	XXX	294,352	304,000		2FE
00206R-ME-9	AT&T INC		09/09/2020	Not Available	XXX	31,470	32,000		2FE
03464L-AA-4	AOMT 2020-4 A1 - CMO/RMBS		07/17/2020	Nomura	XXX	54,999	55,000	52	1FE
037833-BW-9	APPLE INC		09/02/2020	SUSQUEHANNA FINANCIAL GROUP LLP	XXX	101,410	75,000	103	1FE
05369A-AH-4	AVIATION CAPITAL GROUP LLC		07/07/2020	JP Morgan	XXX	84,183	85,000		2FE
06540T-AD-2	BANK 2018-BNK11 A3 - CMBS		09/10/2020	SOCIETE GENERALE	XXX	234,563	200,000	292	1FM
06540W-BH-5	BANK 2019-BNK19 XA - CMBS		08/04/2020	BMO Capital Markets	XXX	128,311		273	1FE
06650A-AE-7	BANK 2017-BNK6 A4 - CMBS		09/10/2020	MORGAN SECURITIES-FIXED INC	XXX	251,582	220,000	277	1FM
08160J-AF-4	BMARK 2019-B9 AAB - CMBS		09/15/2020	GOLDMAN	XXX	114,445	100,000	175	1FM
08161B-AW-3	BMARK 2018-B3 A3 - CMBS		09/15/2020	DBC-FI	XXX	244,310	225,000	375	1FE
08161H-AG-5	BMARK 2018-B4 XA - CMBS		07/22/2020	DBC-FI	XXX	29,388		421	1FE
08162U-AY-6	BMARK 2018-B8 XA - CMBS		07/22/2020	DBC-FI	XXX	44,673		527	1FE
08162V-AF-5	BMARK 2019-B10 XA - CMBS		07/22/2020	DBC-FI	XXX	32,621		355	1FE
08163A-AF-0	BMARK 2020-B18 XA - CMBS		07/23/2020	DBC-FI	XXX	38,635		496	
10112R-BB-9	BOSTON PROPERTIES LP		09/02/2020	MORGAN SECURITIES-FIXED INC	XXX	165,755	150,000	1,034	2FE
12515G-AJ-6	CD 2017-CD3 XA - CMBS		09/18/2020	FREIMARK BLAIR & COMPANY, INC/	XXX	98,204		1,297	1FE
12560E-AA-4	C1FC 203 A1 - CDO	C	09/11/2020	Citi Bank	XXX	250,000	250,000		
14040H-BN-4	CAPITAL ONE FINANCIAL CORP		09/02/2020	MORGAN SECURITIES-FIXED INC	XXX	112,477	100,000	1,823	2FE
205887-CD-2	CONAGRA BRANDS INC		09/03/2020	GOLDMAN	XXX	99,121	75,000	1,402	2FE
224044-CK-1	COX COMMUNICATIONS INC		09/03/2020	SUSQUEHANNA FINANCIAL GROUP LLP	XXX	64,411	50,000	147	2FE
22822V-AK-7	CROWN CASTLE INTERNATIONAL CORP		09/02/2020	TRADEWEB	XXX	86,356	75,000	150	2FE
233063-AW-6	DBJPM 2020-C9 XA - CMBS		09/18/2020	BZW SECS	XXX	171,309		1,603	1FE
23312V-AG-1	DBJPM 2016-C3 XA - CMBS		09/10/2020	MORGAN STANLEY CO	XXX	87,280		682	1FE
25470D-BK-4	DISCOVERY COMMUNICATIONS LLC		09/21/2020	Various	XXX	163,214	164,279	19	2FE
260543-CR-2	DOW CHEMICAL CO		09/02/2020	GOLDMAN	XXX	67,846	50,000	725	2FE
29444U-BG-0	EQUINIX INC		09/02/2020	TRADEWEB	XXX	87,225	85,000	306	2FE
30286X-AN-9	FREMF 2015-K43 B - CMBS		07/30/2020	Raymond James	XXX	86,269	80,000	17	1FM
30292P-AE-8	FREMF 2015-K45 B - CMBS		09/09/2020	PERFORMANCE TRUST CAPITAL PARTNERS LLC	XXX	135,122	125,000	129	1FM
302947-AE-6	FREMF 2016-K60 B - CMBS		07/28/2020	Bank of America Merrill Lynch	XXX	63,894	59,000	168	1FM
30296P-AS-3	FREMF 2018-K75 B - CMBS		09/11/2020	SOCIETE GENERALE	XXX	124,111	110,000	176	1FM
30296V-AS-0	FREMF 2018-K77 B - CMBS		09/09/2020	SOCIETE GENERALE	XXX	114,508	100,000	120	1FM
30300Y-AS-8	FREMF 2017-K67 B - CMBS		09/11/2020	SOCIETE GENERALE	XXX	123,539	110,000	174	1FM
31620M-AY-2	FIDELITY NATIONAL INFORMATION SERVICES I		09/02/2020	SUSQUEHANNA FINANCIAL GROUP LLP	XXX	150,798	125,000	1,609	2FE
33938X-AC-9	FLEX LTD	C	08/13/2020	Bank of Tokyo Mitsubishi	XXX	103,829	95,000	158	2FE
36250S-AG-4	GSMS 2018-GS10 XA - CMBS		07/22/2020	BZW SECS	XXX	27,256		235	1FE
37331N-AL-5	GEORGIA-PACIFIC LLC		09/02/2020	GOLDMAN	XXX	159,246	150,000	1,085	1FE
38937L-AC-5	GRAY OAK PIPELINE LLC		09/11/2020	Various	XXX	75,005	75,000		2FE
42824C-BK-4	HEWLETT PACKARD ENTERPRISE CO		09/02/2020	Citi Bank	XXX	227,903	225,000	514	2FE
44891A-BP-1	HYUNDAI CAPITAL AMERICA		09/15/2020	BNP Paribas	XXX	99,973	100,000		2FE
460146-CQ-4	INTERNATIONAL PAPER CO		09/03/2020	GOLDMAN	XXX	79,862	65,000	183	2FE
46591A-BC-8	JPIMDB 2018-C8 XA - CMBS		09/18/2020	FREIMARK BLAIR & COMPANY, INC/	XXX	69,166		922	1FE
49446R-AU-3	KIMCO REALTY CORP		09/03/2020	JANE STREET EXECUTION SERVICES LLC	XXX	243,648	225,000	763	2FE
52532X-AE-5	LEIDOS INC		09/02/2020	BZW SECS	XXX	118,733	100,000	1,361	2FE
594918-CD-4	MICROSOFT CORP		09/03/2020	SUSQUEHANNA FINANCIAL GROUP LLP	XXX	81,649	75,000	541	1FE
620076-BL-2	MOTOROLA SOLUTIONS INC		09/02/2020	Citi Bank	XXX	145,899	125,000	176	2FE
64133R-AA-4	NEUBERGER BERMAN LOAN ADVISERS CLO 38 LT	C	09/10/2020	BARCLAYS CAPITAL INC FIXED INC	XXX	250,000	250,000		

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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
.64828J-AA-2	NRZT 18NQM1 A1 - CMO/RMBS		.06/03/2020	CREDIT SUISSE SECURITIES (USA)	.XXX	(3,183)			.1FM
.78081B-AD-5	ROYALTY PHARMA PLC		.08/24/2020	Bank of America Merrill Lynch	.XXX	43,992	45,000		.2FE
.842587-CX-3	SOUTHERN CO		.09/03/2020	MILLENIUM ADVISORS LLC	.XXX	61,312	50,000	409	.2FE
.8426EP-AD-0	SOUTHERN COMPANY GAS CAPITAL CORP		.08/17/2020	JP Morgan	.XXX	94,687	95,000		.2FE
.85236K-AD-4	SIDC 201 A2 - ABS		.08/24/2020	GUGGENHEIM	.XXX	25,000	25,000		.1FE
.871829-BL-0	SYSCO CORP		.09/03/2020	BNP Paribas	.XXX	127,507	100,000	2,578	.2FE
.891027-AS-3	GLOBE LIFE INC		.09/03/2020	GOLDMAN	.XXX	149,790	125,000	2,733	.2FE
.90276X-AS-4	UBSCM 2018-C11 ASB - CMBS		.09/15/2020	Bank of Montreal	.XXX	228,625	200,000	366	.1FE
.92212K-AB-2	VDC 201 A1 - RMBS		.09/22/2020	GUGGENHEIM	.XXX	85,000	85,000		
.92340L-AA-7	VEREIT OPERATING PARTNERSHIP LP		.09/02/2020	JANE STREET EXECUTION SERVICES LLC	.XXX	197,587	175,000	2,204	.2FE
.92553P-AU-6	VIACOMCBS INC		.09/03/2020	SUSQUEHANNA FINANCIAL GROUP LLP	.XXX	127,193	100,000	114	.2FE
.92940P-AG-9	WRKCO INC		.09/03/2020	GOLDMAN	.XXX	54,839	50,000	396	.2FE
.95000U-2D-4	WELLS FARGO & CO		.09/02/2020	MORGAN SECURITIES-FIXED INC	.XXX	238,354	200,000	922	.1FE
.95002Q-AA-8	WFMB 2020-2 A1 - CMO/RMBS		.06/12/2020	FUNB FUNDS II	.XXX	(92)		92	.1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						7,049,159	5,739,279	30,677	XXX
8399997 - Bonds - Subtotals - Bonds - Part 3						9,365,218	8,654,279	35,029	XXX
8399999 - Bonds - Subtotals - Bonds						9,365,218	8,654,279	35,029	XXX
9999999 Totals						9,365,218	XXX	35,029	XXX

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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Governments</b>																					
36200A-QW-2	GN 595461 - RWBS		09/01/2020	Paydown	XXX	.41	.41	.44	.41		0		.0		.41		.0	.0	.1	11/15/2032	.1
36200B-3W-5	GN 596713 - RWBS		09/01/2020	Paydown	XXX	.178	.178	.190	.179		(1)		(1)		.178		.0	.0	.4	11/15/2032	.1
36200B-6C-6	GN 596767 - RWBS		09/01/2020	Paydown	XXX	.200	.200	.213	.202		(2)		(2)		.200		.0	.0	.5	12/15/2032	.1
36200B-6N-2	GN 596777 - RWBS		09/01/2020	Paydown	XXX	16,289	16,289	17,084	16,285		5		.5		16,289		.0	.0	.337	12/15/2032	.1
36200B-BZ-9	GN 595956 - RWBS		08/17/2020	Paydown	XXX	10,683	10,683	11,405	10,709		(26)		(26)		10,683		.0	.0	.393	12/15/2032	.1
36200D-2B-8	GN 598470 - RWBS		09/01/2020	Paydown	XXX	.151	.151	.161	.152		(1)		(1)		.151		.0	.0	.3	11/15/2032	.1
36200E-HZ-7	GN 598848 - RWBS		09/01/2020	Paydown	XXX	.274	.274	.293	.277		(2)		(2)		.274		.0	.0	.6	11/15/2032	.1
36201P-5K-7	GN 589550 - RWBS		09/01/2020	Paydown	XXX	.42	.42	.45	.43		0		.0		.42		.0	.0	.1	12/15/2032	.1
36201S-NW-5	GN 591805 - RWBS		09/01/2020	Paydown	XXX	.44	.44	.47	.44		0		.0		.44		.0	.0	.1	12/15/2032	.1
36201V-WZ-1	GN 594764 - RWBS		09/01/2020	Paydown	XXX	.7	.7	.8	.7		0		.0		.7		.0	.0	.1	12/15/2032	.1
36202D-XD-8	G2 003376 - RWBS		09/01/2020	Paydown	XXX	4,346	4,346	4,553	4,445		(99)		(99)		4,346		.0	.0	.102	04/20/2033	.1
36202E-XT-1	G2 004290 - RWBS		09/01/2020	Paydown	XXX	1,932	1,932	1,964	1,940		(9)		(9)		1,932		.0	.0	.43	11/20/2038	.1
36203L-F8-0	GN 352191 - RWBS		09/01/2020	Paydown	XXX	.349	.349	.378	.349		0		.0		.349		.0	.0	.10	05/15/2033	.1
36203L-SH-6	GN 352520 - RWBS		09/01/2020	Paydown	XXX	.464	.464	.505	.465		(1)		(1)		.464		.0	.0	.14	11/15/2033	.1
36203N-GT-0	GN 354682 - RWBS		09/01/2020	Paydown	XXX	1,068	1,068	1,159	1,072		(4)		(4)		1,068		.0	.0	.31	11/15/2033	.1
36206R-HK-5	GN 418834 - RWBS		09/01/2020	Paydown	XXX	.142	.142	.147	.142		0		.0		.142		.0	.0	.4	03/15/2029	.1
36208C-FW-2	GN 446681 - RWBS		09/01/2020	Paydown	XXX	.161	.161	.175	.161		0		.0		.161		.0	.0	.4	08/15/2028	.1
36208Y-C6-4	GN 464593 - RWBS		09/01/2020	Paydown	XXX	.133	.133	.138	.134		(1)		(1)		.133		.0	.0	.3	02/15/2029	.1
36209F-CW-7	GN 469985 - RWBS		09/01/2020	Paydown	XXX	.306	.306	.330	.308		(2)		(2)		.306		.0	.0	.8	02/15/2029	.1
36209H-4E-2	GN 472521 - RWBS		09/01/2020	Paydown	XXX	.186	.186	.200	.188		(2)		(2)		.186		.0	.0	.5	04/15/2029	.1
36210F-D9-4	GN 490728 - RWBS		09/01/2020	Paydown	XXX	.316	.316	.340	.317		(2)		(2)		.316		.0	.0	.8	10/15/2028	.1
36212D-SG-0	GN 531047 - RWBS		09/01/2020	Paydown	XXX	.819	.819	.874	.823		(4)		(4)		.819		.0	.0	.19	11/15/2032	.1
36213A-CC-6	GN 548267 - RWBS		09/15/2020	Paydown	XXX	.382	.382	.415	.382		(1)		(1)		.382		.0	.0	.2	06/15/2032	.1
36213E-3U-8	GN 552611 - RWBS		09/01/2020	Paydown	XXX	.74	.74	.81	.75		0		.0		.74		.0	.0	.2	06/15/2032	.1
36213J-DP-7	GN 555510 - RWBS		09/01/2020	Paydown	XXX	.113	.113	.123	.114		(1)		(1)		.113		.0	.0	.2	09/15/2031	.1
912810-SP-4	UNITED STATES TREASURY INC.		09/17/2020	MORGAN SECURITIES-FIXED	XXX	68,917	70,000	68,953			1		.1		68,953		(36)	(36)	.89	08/15/2050	.1
912828-NT-3	UNITED STATES TREASURY		08/15/2020	Maturity @ 100.00	XXX	900,000	900,000	942,551	905,533		(5,533)		(5,533)		900,000		.0	.0	23,625	08/15/2020	.1
912828-ZY-9	UNITED STATES TREASURY		09/30/2020	MORGAN SECURITIES-FIXED	XXX	249,834	250,000	249,697			11		.11		249,708		.126	.126	.67	07/15/2023	.1
91282C-AE-1	UNITED STATES TREASURY		09/30/2020	MORGAN SECURITIES-FIXED	XXX	671,045	675,000	675,369			(2)		(2)		675,367		(4,322)	(4,322)	.550	08/15/2030	.1
<b>0599999 - Bonds - U.S. Governments</b>						1,928,497	1,933,701	1,977,442	944,386	0	(5,676)	0	(5,676)	0	1,932,729	0	(4,232)	(4,232)	25,356		XXX
<b>Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>																					
31388A-RT-3	FN 599098 - RWBS		09/01/2020	Paydown	XXX	.54	.54	.58	.54		0		.0		.54		.0	.0	.1	12/01/2031	.1
31388N-DC-7	FN 609499 - RWBS		09/01/2020	Paydown	XXX	.35	.35	.37	.35		0		.0		.35		.0	.0	.1	10/01/2031	.1
31388U-K6-6	FN 615117 - RWBS		09/01/2020	Paydown	XXX	.53	.53	.56	.53		0		.0		.53		.0	.0	.1	11/01/2031	.1
3138EJ-RA-8	FN AL2280 - RWBS		09/01/2020	Paydown	XXX	.658	.658	.698	.695		(37)		(37)		.658		.0	.0	.12	09/01/2042	.1
<b>3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>						800	800	849	837	0	(37)	0	(37)	0	800	0	0	0	16	XXX	XXX
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																					
00206R-CU-4	AT&T INC.		09/09/2020	Not Available	XXX	31,341	25,000	31,341			(48)		(48)		31,293		.48	.48	.836	02/15/2047	2FE
00206R-DR-0	AT&T INC.		09/14/2020	Unknown	XXX	293,733	250,000	293,733			(697)		(697)		293,035		.697	.697	7,182	03/01/2037	2FE
00206R-ME-9	AT&T INC.		09/30/2020	GOLDMAN	XXX	31,232	32,000	31,470			.0		.0		31,470		(239)	(239)	.45	09/15/2059	2FE
002824-BH-2	ABBOTT LABORATORIES		09/03/2020	FUND FUNDS II	XXX	109,620	75,000	103,726			(256)		(256)		103,470		.6,150	.6,150	2,838	11/30/2046	1FE
00287Y-AV-1	ABBVIE INC.		09/03/2020	USBANC	XXX	180,726	150,000	169,805			(337)		(337)		169,467		.11,259	.11,259	5,268	05/14/2036	2FE
00287Y-CN-7	ABBVIE INC.		09/03/2020	USBANC	XXX	93,686	75,000	79,246			(59)		(59)		79,010		14,676	14,676	3,568	03/15/2045	2FE
009158-AZ-9	AIR PRODUCTS AND CHEMICALS INC.		09/03/2020	BZW SECS.	XXX	114,800	105,000	104,708			4		.4		104,713		10,087	10,087	1,008	05/15/2040	1FE
03464L-AA-4	ACMT 2020-4 A1 - CMO/RWBS		09/01/2020	Paydown	XXX	2,898	2,898	2,898			0		.0		2,898		.0	.0	.6	05/26/2065	1FE
03465L-AA-3	ACMT 2020-3 A1 - CMO/RWBS		09/01/2020	Paydown	XXX	11,104	11,104	11,104			0		.0		11,104		.0	.0	.33	04/27/2065	1FE
037833-DU-1	APPLE INC.		07/17/2020	GOLDMAN	XXX	57,340	55,000	54,613			7		.7		54,620		2,721	2,721	.174	05/11/2030	1FE
053332-AV-4	AUTOZONE INC.		09/15/2020	JANE STREET EXECUTION SERVICES LLC.	XXX	114,616	100,000	106,213			(303)		(303)		105,910		8,706	8,706	2,979	06/01/2027	2FE
05530M-AA-7	BCAP 2006-AA2 A1 - RWBS		09/25/2020	Paydown	XXX	15,738	15,574	14,757	14,801		774		774		15,574		.164	.164	.61	01/25/2037	1FM
05723K-AG-5	BAKER HUGHES HOLDINGS LLC.		09/03/2020	MILLENIUM ADVISORS LLC.	XXX	80,735	75,000	75,000			0		.0		75,000		5,735	5,735	.61	11/07/2029	1FE
06051G-GM-5	BANK OF AMERICA CORP.		09/02/2020	MORGAN SECURITIES-FIXED	XXX	62,219	50,000	52,675	52,453		(66)		(66)		52,387		9,832	9,832	1,827	04/24/2038	1FE
124857-AP-8	VIA COMCBS INC.		07/23/2020	MARKETAXESS	XXX	357,074	325,000	333,450			(373)		(373)		333,077		23,997	23,997	5,972	01/15/2025	2FE
125970-AA-4	COLT 203 A1 - CMO/RWBS		09/20/2020	Paydown	XXX	7,008	7,008	7,008			0		.0		7,008		.0	.0	.18	04/27/2065	1FE
17180W-AA-3	CIFC 2018-2 A1 - CDO		07/31/2020	Citi Bank	XXX	244,423	250,000	239,450			549		549		239,999		4,423	4,423	1,511	04/21/2031	1FE
172967-LU-3	CITIGROUP INC.		09/02/2020	MORGAN SECURITIES-FIXED	XXX	304,105	250,000	250,000	250,000		0		.0		250,000		54,105	54,105	10,772	01/24/2039	1FE
17318U-AE-4	CGCMT 2012-GC8 AAB - CMBS		06/05/2020	Adjustment	XXX	(13,214)		(13,214)			0		.0		(13,214)		(13,214)	(13,214)		09/12/2045	1FM
17324T-AE-9	CGCMT 2016-GC36 A5 - CMBS		09/03/2020	SOCIETE GENERALE	XXX	389,840	350,000	363,125	359,569		(1,065)		(1,065)		358,504		31,336	31,336	8,683	02/12/2049	1FM

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
17326D-AC-6	CGCMT 2017-P8 A3 - CMBS, CITIZENS FINANCIAL GROUP		09/03/2020	Bank of America Merrill Lynch	.XXX	492,188	450,000	454,488	453,431		(348)		(348)		453,082		39,105	39,105	9,889	09/16/2050	1FM	
174610-AR-6	INC		09/02/2020	Various	.XXX	166,377	150,000	150,417		(16)			(16)		150,401		15,975	15,975	2,446	07/27/2026	2FE	
20030N-BZ-3	COMCAST CORP		09/30/2020	Various	.XXX	307,392	250,000	249,520	249,543		11		11		249,554		57,838	57,838	10,906	08/15/2047	1FE	
202795-JM-3	COMMONWEALTH EDISON CO		09/09/2020	GOLDMAN	.XXX	157,401	125,000	124,976	124,979		0		0		124,979		32,422	32,422	5,139	03/01/2048	1FE	
25470D-AS-8	DISCOVERY COMMUNICATIONS LLC		09/21/2020	BZW SECS	.XXX	332,747	275,000	276,223	276,155		(20)		(20)		276,135		56,612	56,612	12,621	09/20/2037	2FE	
25470D-BK-4	DISCOVERY COMMUNICATIONS LLC		09/23/2020	Not Available	.XXX	279	279	277							277		2	2		09/15/2055	2FE	
26875P-AU-5	EOG RESOURCES INC		09/24/2020	BARCLAYS CAPITAL INC FIXED INC	.XXX	148,176	125,000	139,030			(494)		(494)		138,536		9,640	9,640	2,491	04/15/2030	1FE	
29736R-AK-6	ESTEE LAUDER COMPANIES INC		09/04/2020	Bank of America Merrill Lynch	.XXX	64,254	50,000	59,952			(83)		(83)		59,869		4,385	4,385	1,003	03/15/2047	1FE	
31428X-BQ-8	FEDEX CORP		09/16/2020	Citi Bank	.XXX	148,938	130,000	129,456	129,466		10		10		129,476		19,463	19,463	5,733	02/15/2048	2FE	
31428X-BZ-8	FEDEX CORP		09/04/2020	GOLDMAN	.XXX	90,557	75,000	81,728	(200)				(200)		81,528		9,030	9,030	1,346	05/15/2030	2FE	
36829J-AB-7	GEWIC 2006-1 A2B - RMBS		09/25/2020	Paydown	.XXX	4,524	4,524	2,918	2,634		1,891		1,891		4,524		0	0	34	08/25/2036	1FM	
38148Y-AA-6	GOLDMAN SACHS GROUP INC		09/10/2020	GOLDMAN	.XXX	324,605	275,000	275,000	275,000		0		0		275,000		49,605	49,605	9,635	10/31/2038	1FE	
437076-BS-0	HOME DEPOT INC		09/16/2020	GOLDMAN	.XXX	93,575	75,000	74,298	74,325		13		13		74,339		19,237	19,237	2,210	06/15/2047	1FE	
458140-BM-1	INTEL CORP		09/09/2020	Bank of America Merrill Lynch	.XXX	103,825	75,000	103,543			(215)		(215)		103,328		497	497	1,643	03/25/2050	1FE	
46647P-AL-0	JPMORGAN CHASE & CO		09/09/2020	GOLDMAN	.XXX	153,366	125,000	125,000	125,000		0		0		125,000		28,366	28,366	4,074	11/15/2048	1FE	
487836-BP-2	KELLOGG CO		09/09/2020	Various	.XXX	226,533	200,000	214,606			(676)		(676)		213,930		12,603	12,603	2,521	04/01/2026	2FE	
55282M-AA-2	MAD 2017-330M A - CMBS		08/26/2020	Citi Bank	.XXX	523,340	500,000	507,498	504,966		(786)		(786)		504,180		19,160	19,160	12,040	08/17/2034	1FM	
55336V-BG-4	MPLX LP		07/08/2020	INTERNATIONAL	.XXX	151,757	153,000	153,000	153,000		0		0		153,000		(1,243)	(1,243)	1,979	09/09/2021	2FE	
55375K-AS-5	MSSG 2017-237P A - CMBS		08/26/2020	Citi Bank	.XXX	538,086	500,000	514,960	511,656		(1,049)		(1,049)		510,607		27,479	27,479	12,597	09/15/2039	1FM	
61691E-AZ-8	MSC 2016-UBS12 A3 - CMBS		09/03/2020	GOLDMAN	.XXX	652,500	600,000	610,406	607,515		(855)		(855)		606,659		45,841	45,841	13,737	12/17/2049	1FM	
61764B-AA-1	MSC 2014-150E A - CMBS		08/26/2020	MORGAN SECURITIES-FIXED INC	.XXX	536,758	500,000	530,879	520,967		(2,885)		(2,885)		518,081		18,676	18,676	14,507	09/10/2032	1FM	
64352V-HW-4	NCHET 2004-3 M2 - RMBS		09/25/2020	Paydown	.XXX	2,227	2,227	2,221	2,227		0		0		2,227		0	0	18	11/25/2034	1FM	
64828J-AA-2	NRZT 18NQM1 A1 - CMO/RMBS		09/01/2020	Paydown	.XXX	12,177	12,177	12,329			(152)		(152)		12,177		0	0	80	11/25/2048	1FM	
64830U-AA-3	NRZT 20NQM2 A1 - CMO/RMBS		09/01/2020	Paydown	.XXX	4,439	4,439	4,439			0		0		4,439		0	0	15	05/25/2060	1FE	
68389X-AW-5	ORACLE CORP		09/23/2020	MILLENIUM ADVISORS LLC	.XXX	63,269	50,000	62,468			(138)		(138)		62,329		939	939	1,606	07/08/2044	1FE	
845743-BR-3	SOUTHWESTERN PUBLIC SERVICE CO		09/09/2020	SUSQUEHANNA FINANCIAL GROUP LLP	.XXX	234,070	200,000	198,850	198,903		19		19		198,922		35,148	35,148	7,934	08/15/2047	1FE	
89173U-AA-5	TPMT 2017-4 A1 - RMBS		09/01/2020	Paydown	.XXX	3,867	3,867	3,969			(102)		(102)		3,867		0	0	19	06/25/2057	1FM	
902494-BJ-1	TYSON FOODS INC		07/31/2020	BNP Paribas	.XXX	185,778	160,000	176,206			(600)		(600)		175,606		10,171	10,171	2,720	03/01/2026	2FE	
91324P-DY-5	UNITEDHEALTH GROUP INC		09/04/2020	JANE STREET EXECUTION SERVICES LLC	.XXX	37,499	35,000	36,461			(15)		(15)		36,446		1,052	1,052	297	05/15/2040	1FE	
92343V-CQ-5	VERIZON COMMUNICATIONS INC		09/30/2020	JANE STREET EXECUTION SERVICES LLC	.XXX	218,978	175,000	175,506	175,462		(9)		(9)		175,453		43,525	43,525	7,080	11/01/2034	2FE	
92343V-FD-1	VERIZON COMMUNICATIONS INC		09/10/2020	Citi Bank	.XXX	130,304	105,000	123,468			(127)		(127)		123,341		6,963	6,963	2,030	03/22/2050	2FE	
92916V-AA-0	VOYA 2017-4 A1 - CDO		07/31/2020	Lynch	.XXX	246,375	250,000	241,450			523		523		241,973		4,402	4,402	1,680	10/15/2030	1FE	
95000T-BS-4	WFCM 2017-RB1 A5 - CMBS		08/14/2020	BZW SECS	.XXX	565,938	500,000	521,543	516,475		(1,401)		(1,401)		515,075		50,863	50,863	12,975	03/15/2050	1FM	
95002Q-AA-8	WFBMS 2020-2 A1 - CMO/RMBS		09/01/2020	Paydown	.XXX	6,864	6,864	7,084			(220)		(220)		6,864		0	0	33	02/25/2050	1FE	
3899999	Bonds - Industrial and Miscellaneous (Unaffiliated)					9,417,984	8,345,963	8,668,493	5,732,595	0	(9,794)	0	(9,794)	0	8,629,745	0	788,239	788,239	217,788		XXX	XXX
8399997	Bonds - Subtotals - Bonds - Part 4					11,347,281	10,280,463	10,646,784	6,677,818	0	(15,507)	0	(15,507)	0	10,563,274	0	784,006	784,006	243,160		XXX	XXX
8399999	Bonds - Subtotals - Bonds					11,347,281	10,280,463	10,646,784	6,677,818	0	(15,507)	0	(15,507)	0	10,563,274	0	784,006	784,006	243,160		XXX	XXX
9999999	Totals					11,347,281	10,280,463	10,646,784	6,677,818	0	(15,507)	0	(15,507)	0	10,563,274	0	784,006	784,006	243,160		XXX	XXX

EO5.1

Schedule DB - Part A - Section 1

**NONE**

Schedule DB - Part B - Section 1

**NONE**

Schedule DB - Part D - Section 1

**NONE**

Schedule DB - Part D - Section 2

**NONE**

Schedule DB - Part E

**NONE**

Schedule DL - Part 1

**NONE**

Schedule DL - Part 2

**NONE**



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Providence Washington Insurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
Exempt Money Market Mutual Funds – as Identified by SVO								
261908-10-7	DREYFUS TRS OBS CM INST	.SD	.07/06/2020	.010	.XXX	.2		
31846V-41-9	FIRST AMER:TRS OBG V	.SD	.09/30/2020	.010	.XXX	3,157		
61747C-58-2	MORG STAN I LQ:TRS I	.SD	.11/04/2019	.010	.XXX	.0		
8599999 - Exempt Money Market Mutual Funds – as Identified by SVO						3,159	0	0
All Other Money Market Mutual Funds								
000000-00-0	BNY MELLON CASH RESERVE USD	.SD	.09/30/2020		.XXX	5,909		
09248U-87-4	BLKRR LQ:FED TRUST INSTL		.09/30/2020	.050	.XXX	1,314,490		
38141W-27-3	GOLDMAN:FS GOVT INST		.09/30/2020	.030	.XXX	3,594	0	
38141W-27-3	GOLDMAN:FS GOVT INST		.09/28/2020	.030	.XXX	422,437		
8699999 - All Other Money Market Mutual Funds						1,746,430	0	0
8899999 Total Cash Equivalents						1,749,589	0	0