



**State of Rhode Island**  
**Department of Business Regulation**



**Bond of Surplus Line Broker to the  
 General Treasurer of the State of Rhode Island**

R.I. Gen. Laws § 27-3-38

Bond No. \_\_\_\_\_ Premium \$ \_\_\_\_\_

We, \_\_\_\_\_, as  
 Principal and \_\_\_\_\_, an  
 admitted Surety insurer, as Surety hereon, bind ourselves unto the State of Rhode Island  
 in the penal sum of TWENTY FIVE THOUSAND DOLLARS (\$25,000) to be paid to  
 the General Treasurer of the State of Rhode Island and Providence Plantations, which  
 sum shall be the limit of total aggregate liability hereunder.

The condition of the obligation is that if the Principal is granted, or during the term  
 hereof holds, a surplus lines brokers' license issued by the Insurance Commissioner of  
 the State of Rhode Island, said Principal shall provide any and all services requested of a  
 surplus lines broker in accordance with R.I. Gen. Laws §§ 27-2.4-1 *et seq.* and 27-3-38  
 through 27-3-41; if the Principal shall so provide said services as required by law, then  
 this obligation shall become null and void; otherwise to remain in full force and effect.

This bond shall remain in force and effect until the Commissioner releases the Surety  
 from further liability or until the Surety cancels the bond. The Surety may cancel the  
 bond and be release of further liability hereunder by delivering thirty (30) days notice to  
 the Commissioner. Such cancellation shall not affect any liability incurred or occurred  
 prior to the termination of the thirty (30) day period.

In witness whereof the Principal has subscribed his (her, its) signature, and the Surety has  
 subscribed its full and correct name and affixed its corporate seal on the date shown  
 opposite its signature.

This bond shall take effect on \_\_\_\_\_, 2 \_\_\_\_.

\_\_\_\_\_  
 Principal

\_\_\_\_\_  
 Date

\_\_\_\_\_  
 Surety

\_\_\_\_\_  
 Date

A COPY OF THE POWER OF ATTORNEY EVIDENCING AUTHORITY OF THE  
 SIGNER OF THE BOND MUST BE ATTACHED.